

NuScale Standard Plant Design Certification Application

Chapter One Introduction and General Description of the Plant

# PART 2 - TIER 2

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## **CHAPTER 1 INTRODUCTION AND GENERAL DESCRIPTION OF THE PLANT**

## 1.1 Introduction

This document represents the Final Safety Analysis Report (FSAR) required under 10 CFR 52.47(a) to be provided as part of an application for a standard design certification under 10 CFR 52, Subpart B and will be referred to as such throughout. It describes the NuScale Power, LLC design, including (1) the design bases and limits on its operation; (2) a safety analysis of the structures, systems, and components and of the facility as a whole; and (3) the information prescribed in 10 CFR 52.47(a) that is relevant to the NuScale design.

A NuScale Power Module (NPM) shown in Figure 1.2-6 and Figure 1.2-7, is a collection of systems, sub-systems, and components that together constitute a modularized, movable, nuclear steam supply system (NSSS). The NPM is composed of a reactor core, a pressurizer, and two steam generators integrated within a reactor pressure vessel (RPV) and housed in a compact steel containment vessel.

The NuScale advanced small modular reactor plant design is scalable, such that from one (1) to twelve (12) NPMs operate within a single Reactor Building. The information provided in this FSAR includes the design of an individual NPM, as well as plant design and interfaces for a 12 NPM facility. In general, chapters describe a single module. Multi-module information is only noted where warranted (e.g., shared systems or analyses such as seismic).

The NuScale design features:

- No AC or DC power required for safe shutdown and cooling
- Compact helical coil steam generators with reactor pressure on the outside of the tubes
- High-strength steel containment immersed in a pool of water
- Sub-atmospheric containment pressure during normal operation
- Small core with a correspondingly small source term
- Comprehensive digital instrumentation and controls (I&C) monitoring and control

Important features of a multi-unit plant include:

- a scalable plant design, which allows for incremental plant capacity growth.
- a compact nuclear island.
- the ability to operate in "island mode".

## 1.1.1 Plant Location

The NuScale Power Plant is designed to be located on a site having site characteristics (e.g., seismology, hydrology, meteorology, geology, and other site-related characteristics) bounded by the parameters described in Chapter 2, Site Characteristics.

COL Item 1.1-1: A COL applicant that references the NuScale Power Plant design certification will identify the site-specific plant location.

## 1.1.2 Containment Type

The NuScale containment vessel (CNV) is a supported, cylindrical vessel-type containment that is designed to withstand limiting high-pressure transients. The containment vessel (CNV) is an American Society of Mechanical Engineers (ASME) Boiler and Pressure Vessel Code (BPVC) Class MC (steel) containment that is designed, analyzed, fabricated, inspected, tested and stamped as an ASME BPVC Class 1 pressure vessel. The CNV internal pressure is maintained at a vacuum during normal operations and as such insulation materials are not required between the reactor vessel and the CNV. The containment vessels are mounted to the Reactor Building module compartment walls and at the bottom within the Reactor Building pool.

## 1.1.3 Reactor Type

The NuScale NSSS is a passive NuScale-designed small modular pressurized water reactor. This design is comprised of an integral power module consisting of a reactor core, two steam generator tube bundles, and a pressurizer contained within a single reactor vessel, along with the containment vessel that immediately surrounds the reactor vessel. This design eliminates the need for external piping to connect the steam generators and pressurizer to the RPV. Natural circulation provides reactor coolant system flow, thereby eliminating the need for reactor coolant pumps.

## 1.1.4 Power Output

A NuScale Power Plant consists of from one to12 NPMs. Each NPM is rated at 160 MWt (1,920 MWt, total), with approximately 50 MWe (600 MWe total) output. Electrical output is dependent on environmental conditions. When considering house loads, the total net output is approximately 570 MWe for a 12 NPM facility. Design power assumes an additional 2 percent to account for measurement uncertainty.

## 1.1.5 Schedule

COL Item 1.1-2: A COL applicant that references the NuScale Power Plant design certification will provide the schedules for completion of construction and commercial operation of each power module.

## 1.1.6 Format and Content

## 1.1.6.1 Regulatory Guide 1.206

The format and content of this FSAR generally follow the format and content guidelines of Regulatory Guide 1.206. However, where applicable, Sections may be skipped or additional sections inserted. In addition, this FSAR includes Chapter 20, Mitigation of Beyond-Design-Basis Events and Chapter 21, Multi-Module Design Considerations, which are not included in Regulatory Guide (RG) 1.206.

## 1.1.6.2 Standard Review Plan - NuScale Design Specific Review Standard

A NuScale design specific review standard (DSRS) has been developed by the NRC as a supplement to NUREG-0800, "Standard Review Plan for the Review of Safety Analysis

Reports for Nuclear Power Plants: LWR Edition" (SRP). Accordingly, the preparation of this FSAR used the technical guidance provided in the DSRS and SRP as the basis for the NuScale design. A detailed evaluation of conformance with the NuScale DSRS and the SRP is provided in Section 1.9.

## 1.1.6.3 Text, Tables, and Figures

Tables and figures are typically identified by the "X.Y" section in which they appear and are numbered sequentially. For example, Table 1.1-1 and Figure 1.1-1 would be the first table and figure appearing in Section 1.1. Figures consist of diagrams, plots, pictures, graphs, or other illustrations. Tables and figures are located at the end of the applicable "X.Y" section immediately following the text. The exception to this is for large "X.Y." sections, in which the tables and figures are numbered sequentially in that section. For example, Table 3.9.3-1 and Figure 3.9.3-1 would be the first table and figure appearing in Section 3.9.3. Again, the tables and figures are located at the end of the applicable section intermediately following the text.

## 1.1.6.4 Page Numbering

Section pages are numbered sequentially and are typically identified by the "X.Y" section followed by a sequential number. The exception to this convention is for chapter appendices, which are numbered by the chapter number and appendix letter followed by a sequential number. For example, 3A-1 is the first page of Appendix A to Chapter 3.

### 1.1.6.5 Proprietary Information

This FSAR does not contain proprietary or safeguards information. Some portions of this FSAR are classified as sensitive and withheld from public disclosure pursuant to 10 CFR 2.390 and Regulatory Issue Summary (RIS) 2005-26. Such material is clearly marked and provided with the non-public version of the FSAR. A separate public version of the FSAR is provided that removes the withheld material. Proprietary or safeguards information that is necessary for the complete review of the design certification is provided to the NRC separately in the form of topical or technical reports. Topical and technical reports that are incorporated by reference are listed in Tables 1.6-1 and 1.6-2, respectively.

### 1.1.6.6 Acronyms and Abbreviations

A list of acronyms and abbreviations used in this FSAR is provided in Table 1.1-1, Acronyms and Abbreviations.

| Acronym or<br>Abbreviation | Description  |
|----------------------------|--|
| AAC                        | alternate AC power   |
| AAPS                       | auxiliary AC power source  |
| ABS                        | auxiliary boiler system  |
| ABVS                       | Annex Building HVAC system   |
| ABWR                       | Advanced Boiling Water Reactor   |
| AC                         | alternating current  |
| ACI                        | American Concrete Institute  |
| ACM                        | Availability Controls Manual   |
| ACRS                       | Advisory Committee on Reactor Safeguards                                   |
| AEA                        | Atomic Energy Act  |
| AFU                        | air filtration unit  |
| AFWS                       | auxiliary feedwater system   |
| AHJ                        | authority having jurisdiction  |
| AHU                        | air handling unit  |
| AIA                        | Authorized Inspection Agency   |
| AISC                       | American Institute of Steel Construction                                   |
| AISI                       | American Iron and Steel Institute  |
| ALARA                      | as low as reasonably achievable  |
| ALU                        | actuation logic unit   |
| ALWR                       | advanced light water reactor   |
| AMCA                       | Air Movement and Control Association International, Inc.                   |
| ANB                        | Annex Building   |
| ANS                        | American Nuclear Society   |
| ANSI                       | American National Standards Institute                                      |
| AO                         | axial offset   |
| AOA                        | axial offset anomaly   |
| AOO                        | anticipated operational occurrence   |
| AOV                        | air-operated valve   |
| API                        | American Petroleum Institute   |
| APWR                       | Advanced Pressurized Water Reactor   |
| AQ                         | augmented quality  |
| ARM                        | area radiation monitor   |
| ARO                        | all rods out   |
| ARS                        | acceleration response spectra  |
| ASCE                       | American Society of Civil Engineers  |
| ASD                        | adjustable speed drive   |
| ASHRAE                     | American Society of Heating, Refrigerating, and Air-Conditioning Engineers |
| ASM                        | American Society for Metals International                                  |
| ASME                       | American Society of Mechanical Engineers                                   |
| ASTM                       | American Society for Testing and Materials                                 |
| АТВ                        | Administration and Training Building                                       |
| ATWS                       | anticipated transient without scram  |
| AVT                        | all-volatile treatment   |
| AWS                        | American Welding Society   |
| AWWA                       | American Water Works Association   |
| BAS                        | boron addition system  |
| BAST                       | boric acid storage tank  |
| BDBE                       | beyond design basis event  |
| BDBEE                      | beyond design basis event<br>beyond design basis external event            |
| DUDLL                      | perona design basis external event   |

| Acronym or   | Description                                 |
|--------------|---|
| Abbreviation |   |
| BDG          | backup diesel generator                     |
| BOC          | beginning of cycle                          |
| BOL          | beginning of life                           |
| BOP          | balance-of-plant                            |
| BPDS         | balance-of-plant drain system               |
| BPE          | bioprocessing equipment                     |
| BPSS         | backup power supply system                  |
| BPVC         | Boiler and Pressure Vessel Code             |
| BRL          | Ballistic Research Laboratory               |
| BRVS         | battery room ventilation system             |
| BTP          | Branch Technical Position                   |
| BWR          | boiling water reactor                       |
| CAM          | continuous air monitor                      |
| CARS         | condenser air removal system                |
| CAS          | central alarm station                       |
| CAS          | compressed air system                       |
| CCBE         | common cause basic event                    |
| CCDF         | conditional core damage frequency           |
| CCDP         | conditional core damage probability         |
| CCF          | common cause failure                        |
| CCFL         | counter current flow limitation             |
| CCFP         | conditional containment failure probability |
| CDE          | core damage event                           |
| CDF          | core damage frequency                       |
| CDI          | conceptual design information               |
| CDM          | certified design material                   |
| CDST         | core damage source term                     |
| CEA          | control element assembly                    |
| CES          | containment evacuation system               |
| CET          | containment event tree                      |
| CEUS         | central and eastern United States           |
| CFD          | computational fluid dynamics                |
| CFDS         | containment flooding and drain system       |
| CFR          | Code of Federal Regulations                 |
| CFT          | containment flange tool                     |
| CHF          | critical heat flux                          |
| CHFR         | critical heat flux ratio                    |
| CFWS         | condensate and feedwater system             |
| CHRS         | containment heat removal system             |
| CHWS         | chilled water system                        |
| CILRT        | containment integrated leak rate test       |
| CIM          | civil interface macro                       |
| CIP          | clean-in-place                              |
| CIS          | containment isolation system                |
| CIV          | containment isolation valve                 |
| CLRF         | conditional large release frequency         |
| CLRT         | containment leakage rate testing            |
| СМАА         | Crane Manufacturers Association of America  |
| CMS          | code management software                    |
|              |   |

| Acronym or<br>Abbreviation | Description  |
|----------------------------|--|
| CNTS                       | containment system   |
| CNV                        | containment vessel   |
| CNVF                       | containment vessel failure   |
| COC                        | certificate of compliance  |
| COL                        | combined license   |
| COLA                       | combined license application   |
| COLR                       | core operating limits report   |
| COMS                       | communication system   |
| CPRS                       | condensate polisher resin regeneration system                                  |
| CPS                        | condensate polishing system  |
| CQC                        | complete quadratic combination   |
| CRA                        | control rod assembly   |
| CRB                        | Control Building   |
| CRDM                       | control rod drive mechanism  |
| CRDS                       | control rod drive system   |
| CRE                        | control room envelope  |
| CRHS                       | control room habitability system   |
| CRM                        | control rod misoperation   |
| CRVS                       | normal control room HVAC system  |
| CSA                        | core support assembly  |
| CSDRS                      | certified seismic design response spectra                                      |
| CSDRS-HF                   | certified seismic design response spectra - high frequency                     |
| CSS                        | containment sampling system  |
| CST                        | condensate storage tank  |
| СТС                        | combustion turbine generator   |
| CUB                        | Central Utility Building   |
| CVAP                       | Comprehensive Vibration Assessment Program                                     |
| CVCS                       | chemical and volume control system   |
| CWS                        | circulating water system   |
| D3                         | diversity and defense in depth   |
| DAC                        | design acceptance criteria   |
| DAS                        | distributed antenna system   |
| DAS                        | diverse actuation system   |
| DAW                        | dry active waste   |
| DBA                        | design basis accident  |
| DBE                        | design basis event   |
| DBPB                       | design basis event   |
| DBST                       | design basis source term   |
| DBT                        | design basis tornado   |
| DC                         | direct current   |
| DCA                        | Design Certification Application   |
| DCD                        | Design Control Document (Note - this is synonymous with FSAR in this document) |
| DCH                        | direct containment heating   |
| DCN                        | distributed control system   |
| DDC                        | distributed Doppler coefficient  |
| DDG                        | dry dock gate  |
| DGB                        | Diesel Generator Building  |
| DGB                        | Diesel Generator Building<br>Diesel Generator Building HVAC system             |
| DGBVS                      | decay heat removal system  |
| DIM                        |  |
| ואווט                      | display interface module   |

| Acronym or<br>Abbreviation | Description  |
|----------------------------|--|
| DMA                        | dimethylamine  |
| DNB                        | departure from nucleate boiling  |
| DNBR                       | departure from nucleate boiling ratio  |
| DOE                        | Department of Energy   |
| DOT                        | Department of Transportation   |
| D-RAP                      | Design Reliability Assurance Program   |
| DSRS                       | Design Specific Review Standard  |
| DSS                        | digital safety system  |
| DSW                        | dry solid waste  |
| DTC                        | Doppler temperature coefficient, fuel temperature coefficient, Doppler coefficient |
| DWS                        | demineralized water system   |
| EAB                        | exclusion area boundary  |
| EAL                        | Emergency Action Level   |
| ECCS                       | emergency core cooling system  |
| ECL                        | effluent concentration limit   |
| EDL                        | equivalent dead load   |
| EDMG                       | extensive damage mitigation guidelines   |
| EDNS                       | normal DC power system   |
| EDSS                       | highly reliable DC power system  |
| EDSS-C                     | EDSS-common  |
| EDSS-MS                    | EDSS-module-specific   |
| EDV                        | engineering design verification  |
| EFDS                       | equipment and floor drainage system  |
| EFPD                       | effective full-power days  |
| EFPY                       | effective full-power years   |
| EHVS                       | 13.8 kV and switchyard system  |
| EIM                        | equipment interface module   |
| ELVS                       |  |
| ELWR                       | low voltage AC electrical distribution system                                      |
| EMC                        | evolutionary light water reactor   |
| EMDAP                      | electromagnetic compatibility  |
|                            | evaluation model development and assessment process                                |
| EMDM                       | electromagnetic drive mechanism  |
| EMI                        | electromagnetic interference   |
| EMVS                       | medium voltage AC electrical distribution system                                   |
| EOC                        | end of cycle   |
| EOF                        | emergency operations facility  |
| EOL                        | end of life  |
| EOP                        | emergency operating procedure  |
| EPA                        | electrical penetration assembly  |
| EPA                        | Environmental Protection Agency  |
| EPG                        | emergency procedure guidelines   |
| EPRI                       | Electric Power Research Institute  |
| EPZ                        | emergency planning zone  |
| EQ                         | equipment qualification  |
| EQDP                       | equipment qualification data package   |
| EQRF                       | equipment qualification record file  |
| ERDA                       | Energy Research and Development Administration                                     |
| ERDS                       | emergency response data system   |
| ERF                        | emergency response facility  |
| ERO                        | Emergency Response Organization  |

| Acronym or<br>Abbreviation | Description  |
|----------------------------|--|
| ERS                        | equipment requirement specification  |
| ESAS                       | emergency safeguards actuation system  |
| ESBWR                      | Economic Simplified Boiling Water Reactor  |
| ESF                        | engineered safety feature  |
| ESFAS                      | engineered safety features actuation system  |
| ESL                        | equivalent static load   |
| ESP                        | early site permit  |
| ETA                        | ethanolamine   |
| ETAP                       | Electrical Transient Analyzer Program  |
| FA                         | functional analysis  |
| FAC                        | flow-accelerated corrosion   |
| FAT                        | factory acceptance test  |
| FATT                       | fracture appearance transition temperatures  |
| FCI                        | fuel-coolant interaction   |
| FCU                        | fan coil unit  |
| FDA                        | final design approval  |
| FDS                        | fire detection system  |
| FEM                        | Federation Europeenne de la Manutention  |
| FERC                       | Federal Energy Regulatory Commission   |
| FFD                        | fitness-for-duty   |
| FFT                        | fast Fourier transform   |
| FHA                        | fire hazards analysis  |
| FHE                        | fuel handling equipment  |
| FHM                        | fuel handling machine  |
| FIRS                       | foundation input response spectra  |
| FIT                        | flow-indicating transmitter  |
| FIV                        | flow-induced vibration   |
| FLEX                       | diverse and flexible coping strategies (based on NRC's Fukushima task force recommendations) |
| FLPRA                      | flooding probabilistic risk assessment   |
| FMEA                       | failure modes and effects analysis   |
| FOAK                       | first-of-a-kind  |
| FOM                        | figure of merit  |
| FPGA                       | field programmable gate array  |
| FPP                        | Fire Protection Program  |
| FPRA                       | fire probabilistic risk assessment   |
| FPS                        | fire protection system   |
| FRA                        | functional requirements analysis   |
| FRP                        | fiber-reinforced polymer   |
| FSAR                       | Final Safety Analysis Report (Note - this is synonymous with DCD in this document)           |
| FSG                        | FLEX support guidelines  |
| FSI                        | fluid-structure interaction  |
| FSSA                       | fire safe shutdown analysis  |
| FSSD                       | fire safe shutdown   |
| FV                         | Fussell-Vesely   |
| FW                         | feedwater  |
| FWB                        | Fire Water Building  |
| FWH                        | feedwater heater   |
| FWIV                       | feedwater isolation valve  |
| FWLB                       | feedwater line break   |
| FWPB                       | feedwater pipe break   |

| Acronym or   | Description                                     |  |
|--------------|---|--|
| Abbreviation | Description                                     |  |
| FWRV         | feedwater regulating valve                      |  |
| FWS          | feedwater system                                |  |
| FWTS         | feedwater treatment system                      |  |
| GAC          | granulated activated charcoal                   |  |
| GDC          | General Design Criteria                         |  |
| GLPS         | grounding and lightning protection system       |  |
| GMRS         | ground motion response spectra                  |  |
| GQA          | graded quality assurance                        |  |
| GRWS         | gaseous radioactive waste system                |  |
| GSI          | generic safety issue                            |  |
| GTAW         | gas tungsten arc weld                           |  |
| GTS          | generic technical specifications                |  |
| HAZ          | heat-affected zone                              |  |
| HCLPF        | high confidence of low probability of failure   |  |
| HCW          | high-conductivity waste                         |  |
| HDP          | Hardware Development Plan                       |  |
| HDPE         | high-density polyethylene                       |  |
| HED          | human engineering discrepancy                   |  |
| HEI          | Heat Exchanger Institute                        |  |
| HELB         | high-energy line break                          |  |
| HEP          | human error probability                         |  |
| HEPA         | high-efficiency particulate air                 |  |
| HFE          | human factors engineering, human failure events |  |
| HFEITS       | human factors engineering issue tracking system |  |
| HFP          | hot full power                                  |  |
| HIC          | high integrity container                        |  |
| HIPS         | highly integrated protection system             |  |
| HLHE         | heavy load handling equipment                   |  |
| HMI          | human machine interface                         |  |
| HOV          | hydraulic-operated valve                        |  |
| HP           | high pressure, horsepower                       |  |
| HP-FWH       | high pressure feedwater heater                  |  |
| НРМ          | human performance monitoring                    |  |
| НРМЕ         | high pressure melt ejection                     |  |
| HPN          | health physics network                          |  |
| HRA          | human reliability analysis                      |  |
| HRS          | hardware requirement specification              |  |
| HSI          | human-system interface                          |  |
| HVAC         | heating ventilation and air conditioning        |  |
| HVAC         | feedwater heater vents and drains system        |  |
| HWM          | hard-wired module                               |  |
| HZP          |   |  |
| HZP<br>I&C   | hot zero power<br>instrumentation and controls  |  |
|              |   |  |
| IAB          | inadvertent actuation block                     |  |
| IAS          | instrument air system                           |  |
| IBC          | International Building Code                     |  |
| ICIS         | in-core instrumentation system                  |  |
| ICS          | integrated control system                       |  |
| ID           | inside diameter                                 |  |
| IDD          | interface design description                    |  |

| Acronym or<br>Abbreviation | Description   |
|----------------------------|---|
| IE                         | infrequent event, initiating event                        |
| IEC                        | International Electrotechnical Commission                 |
| IEEE                       | Institute of Electrical and Electronics Engineers         |
| IES                        | Illuminating Engineering Society of North America         |
| IET                        | integral effects test                                     |
| IGSCC                      | intergranular stress-corrosion cracking                   |
| IHA                        | important human action                                    |
| ILRT                       | integrated leak rate testing                              |
| INL                        | Idaho National Laboratory                                 |
| INPO                       | Institute of Nuclear Power Operations                     |
| IOTBS                      | inadvertent opening of the turbine bypass system          |
| IP                         | implementation plan                                       |
| IP                         | intermediate pressure                                     |
| IP-FWH                     | intermediate pressure feedwater heater                    |
| ISA                        | integrated safety analysis, Instrument Society of America |
| ISG                        | interim staff guidance                                    |
| ISI                        | inservice inspection                                      |
| ISLH                       | inservice leak and hydro                                  |
| ISLOCA                     | interfacing systems loss-of-coolant accident              |
| ISM                        | independent support motion                                |
| ISO                        | International Organization for Standardization            |
| ISRS                       | in-structure response spectra                             |
| IST                        | inservice testing   |
| ISV                        | integrated system validation                              |
| ITAAC                      | Inspections, Tests, Analyses, and Acceptance Criteria     |
| ITM                        | inspection, testing, and maintenance                      |
| ITP                        | Initial Test Program                                      |
| IVR                        | in-vessel retention                                       |
| JLD                        | Japan Lessons-Learned Directorate                         |
| LBB                        | leak-before-break   |
| LCO                        | limiting condition for operation                          |
| LCS                        | local control station                                     |
| LCW                        | low-conductivity waste                                    |
| LER                        | Licensee Event Report                                     |
| LHGR                       | linear heat generation rate                               |
| LLRT                       | local leak rate test                                      |
| LOCA                       | loss-of-coolant accident                                  |
| LOLA                       | loss of large areas                                       |
| LOOP                       | loss of offsite power                                     |
| LP                         | low pressure  |
| LP-FWH                     | low pressure feedwater heater                             |
| LPSD                       | low power and shutdown                                    |
| LPZ                        | low population zone                                       |
| LRA                        | lower riser assembly                                      |
| LRF                        | large release frequency                                   |
| LRVP                       | liquid ring vacuum pump                                   |
| LRW                        | liquid radioactive waste                                  |
| LRWS                       | liquid radioactive waste system, liquid radwaste system   |
| LSH                        | level switch, high  |
| LSL                        | level switch, low   |
|                            |   |

| Acronym or   | Description  |  |
|--------------|--|--|
| Abbreviation | Description  |  |
| LSSS         | limiting safety system setting                       |  |
| LTC          | load manual tap changers                             |  |
| LTCC         | long-term core cooling                               |  |
| LTOP         | low temperature overpressure protection              |  |
| LUHS         | loss of normal access to the ultimate heat sink      |  |
| LWMS         | liquid waste management system                       |  |
| LWR          | light water reactor                                  |  |
| MAE          | module assembly equipment                            |  |
| MC           | main condenser                                       |  |
| MCC          | motor control center                                 |  |
| MCHFR        | minimum critical heat flux ratio                     |  |
| MCR          | main control room                                    |  |
| MCS          | module control system                                |  |
| MCYR         | module critical year                                 |  |
| MEL          | master equipment list                                |  |
| MEMS         | meteorological and environmental monitoring system   |  |
| MFW          | main feedwater                                       |  |
| MHA          | maximum hypothetical accident                        |  |
| MHS          | module heatup system                                 |  |
| MIB          | monitoring and indication bus                        |  |
| MIC          | microbiologically induced corrosion                  |  |
| MIC          | Massachusetts Institute of Technology                |  |
| MLA          | module lifting adapter                               |  |
| MLA          | master logic diagram                                 |  |
| MLD          | multiple, multi-module                               |  |
| MMAF         | multi-module adjustment factor                       |  |
| MMAF         | multi-module adjustment factor<br>multi-module issue |  |
| MMPSF        |  |  |
|              | multi-module performance shaping factor              |  |
| MMS          | moment magnitude scale                               |  |
| MOC          | middle of cycle                                      |  |
| MOV          | motor-operated valve                                 |  |
| MPS          | module protection system                             |  |
| MPT          | main power transformer                               |  |
| MPU          | magnetic speed pickup                                |  |
| MSI          | main steam isolation                                 |  |
| MSIBV        | main steam isolation bypass valves                   |  |
| MSIV         | main steam isolation valve                           |  |
| MSLB         | main steam line break                                |  |
| MSO          | multiple spurious operations                         |  |
| MSPB         | main steam pipe break                                |  |
| MSPI         | mitigating system performance index                  |  |
| MSS          | main steam system                                    |  |
| MSSV         | main steam safety valve                              |  |
| MTC          | moderator temperature coefficient                    |  |
| MTU          | metric tons, uranium                                 |  |
| MWe          | megawatt electric                                    |  |
| MWS          | maintenance workstation                              |  |
| MWt          | megawatt thermal                                     |  |
| N/A          | Not Applicable                                       |  |
| NDE          | non-destructive examination                          |  |

| Acronym or   | Description                                     |
|--------------|---|
| Abbreviation |   |
| NDS          | nitrogen distribution system                    |
| NDT          | non-destructive testing                         |
| NEI          | Nuclear Energy Institute                        |
| NERC         | North American Electric Reliability Corporation |
| NFA          | new fuel assembly                               |
| NFE          | new fuel elevator                               |
| NFJC         | new fuel jib crane                              |
| NFPA         | National Fire Protection Association            |
| NIC          | network interface controller                    |
| NIST         | National Institute of Standards and Technology  |
| NIST-1       | NuScale Integral System Test Facility           |
| NMS          | neutron monitoring system                       |
| NOG          | nuclear overhead and gantry                     |
| NPM          | NuScale Power Module                            |
| NPP          | NuScale Power Plant                             |
| NPS          | nominal pipe size                               |
| NPSH         | net positive suction head                       |
| NRC          | Nuclear Regulatory Commission                   |
| NRF          | nuclear reliability factor                      |
| NSA          | neutron source assembly                         |
| NSAC         | Nuclear Safety Analysis Center                  |
| NSSS         | nuclear steam supply system                     |
| NTTF         | Near-Term Task Force                            |
| OBE          | operating basis earthquake                      |
| OCS          | operating basis earnquake                       |
| OD           | outside diameter                                |
| ODC          | overspeed detection circuit                     |
| ODC          | Offsite Dose Calculation Manual                 |
| OE           | operating experience                            |
| OER          | operating experience                            |
| OHLHS        | overhead heavy load handling system             |
| ORNL         | Oak Ridge National Laboratory                   |
| ORPP         | Operational Radiation Protection Program        |
| OSC          |   |
| OSHA         | operational support center                      |
| OSP          | Occupational Safety and Health Administration   |
| OSU          | overspeed protection system                     |
|              | Oregon State University                         |
| P&ID         | piping and instrumentation diagram              |
| PA           | protected area                                  |
| PA/GA        | public address/general alarm                    |
| PACS         | priority actuation and control system           |
| PAM          | post-accident monitoring                        |
| PBX          | private branch exchange                         |
| PCA          | primary coolant activity                        |
| PCCV         | prestressed concrete containment vessel         |
| РСР          | Process Control Program                         |
| PCS          | plant control system                            |
| РСТ          | peak cladding temperature                       |
| PCUS         | pool cleanup system                             |
| PDC          | power distribution center                       |

| Acronym or<br>Abbreviation | Description  |  |
|----------------------------|--|--|
| PDC                        | principal design criteria  |  |
| PDIL                       | power dependent insertion limit  |  |
| PDIT                       | differential pressure indicating transmitter                           |  |
| PFT                        | process feed tank  |  |
| PGA                        | peak ground acceleration   |  |
| рН <sub>Т</sub>            | concentration of H+ ion on a logarithmic scale (temperature dependent) |  |
| PID                        | proportional integral derivative                                       |  |
| PING                       | particulate, iodine, and noble gas                                     |  |
| PIRT                       | phenomena identification and ranking table                             |  |
| PIT                        | pressure indicating transmitter  |  |
| PLC                        | programmable logic controller  |  |
| PLD                        | pool leakage detection   |  |
| PLDD                       | programmable logic design description                                  |  |
| PLDP                       | Programmable Logic Development Plan                                    |  |
| PLDS                       | pool leakage detection system  |  |
| PLHGR                      | peak linear heat generation rate                                       |  |
| PLM                        | priority logic module  |  |
| PLRS                       | programmable logic requirement specification                           |  |
| PLS                        | plant lighting system  |  |
| PLVVP                      | Programmable Logic Verification and Validation Plan                    |  |
| PMF                        | probable maximum flood   |  |
| PMP                        | probable maximum precipitation   |  |
| PORV                       | power-operated relief valve  |  |
| POS                        | plant operating state  |  |
| POV                        | power-operated valve   |  |
| PPE                        | personnel protective equipment   |  |
| PPS                        | plant protection system  |  |
| PRA                        | probabilistic risk assessment  |  |
| PRV                        | pressure relief valve  |  |
| PSCIV                      | primary system containment isolation valves                            |  |
| PSCS                       | pool surge control system  |  |
| PSD                        | power spectra density  |  |
| PSMS                       | power supply monitoring system   |  |
| PSS                        | process sampling system  |  |
| PST                        | phase separator tank   |  |
| PSTN                       | public switched telephone network                                      |  |
| PTAC                       | performance and test acceptance criteria band                          |  |
| PTS                        | pressurized thermal shock  |  |
| PVC                        | polyvinyl chloride   |  |
| PVMS                       | plant-wide video monitoring system                                     |  |
| PWHT                       | post-weld heat treatment   |  |
| PWR                        | pressurized water reactor  |  |
| PWS                        | potable water system   |  |
| PWSCC                      | primary water stress-corrosion cracking                                |  |
| PZR                        | pressurizer  |  |
| QA                         | quality assurance  |  |
| QAP                        | Quality Assurance Program  |  |
| QAPD                       | Quality Assurance Program Description                                  |  |
| QPD                        | quadrant power difference  |  |
| QD                         | quick disconnect   |  |
| עצ                         | Parek asconnect  |  |

| Acronym or<br>Abbreviation | Description  |
|----------------------------|--|
| QPF                        | quadrant power fractions                           |
| RAI                        | request for additional information                 |
| RAP                        | Reliability Assurance Program                      |
| RAW                        | risk achievement worth                             |
| RBC                        | Reactor Building crane                             |
| RBCM                       | Reactor Building components                        |
| RBVS                       | Reactor Building HVAC system                       |
| RCA                        | radiologically controlled area                     |
| RCCA                       | rod control cluster assembly                       |
| RCCWS                      | reactor component cooling water system             |
| RCP                        | reactor coolant pump                               |
| RCPB                       | reactor coolant period                             |
| RCRA                       | Resource Conservation and Recovery Act             |
| RCS                        | reactor coolant system                             |
| RDT                        | reactor drain tank                                 |
| REA                        | rod ejection accident                              |
| RETS                       | Radiological Effluent Technical Specifications     |
| RFI                        | radio frequency interference                       |
| RFP                        | refueling pool                                     |
| RFT                        | reactor flange tool                                |
| RG                         | Regulatory Guide                                   |
| RHR                        | residual heat removal                              |
| RHX                        | regenerative heat exchanger                        |
| RIS                        | regulatory issue summary                           |
| RLE                        | review level earthquake                            |
|                            |  |
| RM<br>RMS                  | radiation monitoring                               |
| RMTS                       | fixed area radiation monitoring system             |
|                            | risk-managed technical specifications              |
| RO<br>ROP                  | reverse osmosis                                    |
|                            | Reactor Oversight Process                          |
| RPCS                       | reactor pool cooling system                        |
| RPI                        | rod position indication                            |
| RPS                        | reactor protection system                          |
| RPV                        | reactor pressure vessel                            |
| RRS                        | required response spectrum                         |
| RRV                        | reactor recirculation valve                        |
| RSA                        | remote shutdown area                               |
| RSR                        | results summary report                             |
| RSS                        | remote shutdown station                            |
| RSV                        | reactor safety valve                               |
| RTB                        | reactor trip breaker                               |
| RTD                        | resistance temperature detector                    |
| RTM                        | requirements traceability matrix                   |
| RT <sub>NDT</sub>          | reference temperature for nil-ductility transition |
| RTNSS                      | regulatory treatment of nonsafety systems          |
| RTP                        | rated thermal power                                |
| RTPTS                      | reference temperature, pressurized thermal shock   |
| RTS                        | reactor trip system                                |
| RVI                        | reactor vessel internals                           |
| RVV                        | reactor vent valve                                 |

# Table 1.1-1: Acronyms and Abbreviations (Continued)

| Acronym or   | Description                                    |
|--------------|--|
| Abbreviation | ·  |
| RWB          | Radioactive Waste Building                     |
| RWBCR        | Radioactive Waste Building control room        |
| RWBVS        | Radioactive Waste Building HVAC system         |
| RWDS         | radioactive waste drain system                 |
| RWMS         | radioactive waste management system            |
| RWSS         | raw water supply system                        |
| RXB          | Reactor Building                               |
| RXC          | reactor core                                   |
| S&Q          | staffing and qualifications                    |
| SAFDL        | specified acceptable fuel design limit         |
| SAM          | seismic anchor motion                          |
| SAMDA        | severe accident mitigation design alternative  |
| SAMG         | severe accident management guideline           |
| SAR          | Safety Analysis Report                         |
| SAS          | secondary alarm station                        |
| SAS          | service air system                             |
| SAT          | site acceptance testing                        |
| SBAC         | smooth bounding analysis curve                 |
| SBLB         | subscale boundary layer boiling                |
| SBLOCA       | small-break loss-of-coolant accident           |
| SBM          | scheduling and bypass module                   |
| SBO          | station blackout                               |
| SBVS         | Security Building HVAC system                  |
| SC-I         | Seismic Category I                             |
| SC-II        | Seismic Category II                            |
| SC-III       | Seismic Category III                           |
| SCB          | Security Buildings                             |
| SCC          | stress corrosion-cracking                      |
| SCDF         | seismic core damage frequency                  |
| SCR          | silicon controlled rectifier                   |
| SCR          | SCRAM load                                     |
| SCS          | secondary sampling system                      |
| SCWS         | site cooling water system                      |
| SDB          | safety data bus                                |
| SDIS         | safety display and indication system           |
| SDM          | shutdown margin                                |
| SDOE         | secure development and operational environment |
| SDOF         | single-degree-of-freedom                       |
| SDP          | software development process                   |
| SDS          | site drainage system                           |
| SEB          | Security Building                              |
| SECS         | , ,  |
|              | plant security system                          |
| SECY         | Secretary of the Commission, Office of the NRC |
| SEI          | Structural Engineering Institute               |
| SEL          | seismic equipment list                         |
| SER          | Safety Evaluation Report                       |
| SFA          | spent fuel assembly                            |
| SFM          | safety function module                         |
| SFP          | spent fuel pool                                |
| SFPCS        | spent fuel pool cooling system                 |

| Acronym or<br>Abbreviation | Description  |
|----------------------------|--|
| SFSS                       | spent fuel storage system  |
| SG                         | separation group   |
| SG                         | steam generator  |
| SG                         | strain gauge   |
| SGI                        | safeguards information   |
| SGS                        | steam generator system   |
| SGTF                       | steam generator tube failure                                       |
| SICS                       | safety information and control system                              |
| SIL                        | software integrity level   |
| SLB                        | steam line break   |
| SLP                        | site layout plan   |
| SM                         | single module  |
| SMA                        | seismic margin assessment  |
| SMACNA                     | Sheet Metal and Air Conditioning Contractors' National Association |
| SME                        | subject matter expert  |
| SMR                        | small modular reactor  |
| SMS                        | seismic monitoring system  |
| SNL                        | Sandia National Laboratories                                       |
| SNM                        | special nuclear material   |
| SOCA                       | security owner controlled area                                     |
| SOV                        | solenoid-operated valve  |
| SPAR                       | standardized plant analysis risk                                   |
| SPND                       | self-powered neutron detector                                      |
| SPS                        | security power system  |
| SQDP                       | seismic qualification data package                                 |
| SQRF                       | seismic qualification record form                                  |
| SQUG                       | Seismic Qualification Utility Group                                |
| SR                         | surveillance requirement   |
| SREC                       | standard radiological effluent control                             |
| SRI                        | Stanford Research Institute  |
| SRM                        | staff requirements memorandum                                      |
| SRP                        | Standard Review Plan   |
| SRSS                       | square root of the sum of the squares                              |
| SRST                       | spent resin storage tank   |
| SRV                        | sump recirculation valve   |
| SRWS                       | solid radioactive waste system                                     |
| SSA                        | safe shutdown analysis   |
| SSC                        | structures, systems, and components                                |
| SSCIV                      | secondary system containment isolation valve                       |
| SSE                        | safe shutdown earthquake   |
| SSI                        | soil-structure interaction or secondary system isolation           |
| SSS                        | secondary sampling system  |
| SSSI                       | structure-soil-structure interaction                               |
| SST                        | station service transformer  |
| STPA                       | System-Theoretic Process Analysis                                  |
| SUNSI                      | sensitive unclassified non-safeguards information                  |
| SVM                        | schedule and voting module   |
| SWIS                       | scredule and voting module<br>service water intake structure       |
| SWIS                       |  |
| SWWS                       | solid waste management system                                      |
| 2000                       | shear wave velocity  |

| Acronym or<br>Abbreviation | Description  |
|----------------------------|--|
| SWYD                       | switchyard system  |
| ТА                         | task analysis  |
| TAF                        | top of active fuel   |
| ТВС                        | Turbine Building crane   |
| TBS                        | turbine bypass system  |
| TBVS                       | Turbine Building HVAC system   |
| T/C                        | thermocouple   |
| тси                        | temperature control unit   |
| TDH                        | total dynamic head   |
| TDS                        | total dissolved solids   |
| TEDE                       | total effective dose equivalent  |
| TGB                        | Turbine Generator Building   |
| TGS                        | turbine generator system   |
| TGSS                       | turbine gland sealing system   |
| THD                        | total harmonic distortion  |
| TIHA                       | treatment of important human actions   |
| TIT                        | temperature indicating transmitter   |
| TLD                        | thermoluminescent dosimeter  |
| TLOSS                      | turbine lube oil storage system  |
| ТМІ                        | Three Mile Island  |
| TMR                        | triple module redundancy   |
| T <sub>NDT</sub>           | nil ductility temperature  |
| TOC                        | top of concrete  |
| TRS                        | test response spectrum   |
| TS                         | technical specifications   |
| TSC                        | technical support center   |
| TSTF                       | Technical Specification Task Force   |
| TUF                        | tubular ultrafiltration  |
| UAT                        |  |
| UCRW                       | unit auxiliary transformer   |
| UCRWS                      | uncontrolled control rod assembly withdrawal at power  |
| UDC                        | uncontrolled control rod assembly withdrawal from a subcritical or low power or startup condition<br>uniform Doppler coefficient |
| UHS                        | ultimate heat sink   |
| UPS                        | uninterruptible power supply   |
| URD                        |  |
| URS                        | Utility Requirements Document  |
|                            | uniform response spectrum  |
| URS<br>USGS                | upper riser assembly/section   |
|                            | United States Geological Survey  |
| USI                        | unresolved safety issue  |
| USM                        | uniform support motion   |
| UTC                        | coordinated universal time   |
| UWS                        | utility water system   |
| V&V                        | verification and validation  |
| VDU                        | video display unit   |
| VIT                        | vibration indicating transmitter   |
| VLA                        | vented lead-acid   |
| VRLA                       | valve-regulated lead-acid  |
| VRT                        | voltage regulating transformer   |
| WDT                        | watchdog timer   |
| WMCR                       | waste management control room  |

| Acronym or   | Description              |
|--------------|--------------------------|
| Abbreviation |                          |
| WSW          | wet solid waste          |
| WTB          | Waste Treatment Building |
| ZOI          | Zone of Influence        |
| ZPA          | zero period acceleration |

# Table 1.1-1: Acronyms and Abbreviations (Continued)

## 1.2 General Plant Description

This section summarizes the plant design and provides a general description of the overall facility. The description includes:

- principal design criteria, operating characteristics, and safety considerations
- engineered safety features (ESFs) and emergency systems
- instrumentation, controls, and electrical systems
- power conversion system
- fuel, fuel handling, and storage systems
- plant cooling water systems
- radioactive waste management systems
- auxiliary systems (e.g., compressed air, non-radioactive drains, water systems)

Each COL Applicant will develop a Final Safety Analysis Report (FSAR) that incorporates by reference the NuScale FSAR. The NuScale FSAR includes COL items that identify where site-specific information must be provided. However, in some instances, representative information is necessary to provide context for interface requirements as specified in 10 CFR 52.47(a)(24) and 10 CFR 52.47(a)(25). This representative or conceptual design information (CDI) is outside the scope of the NuScale Power Plant certified design. Where provided, CDI is delineated by double brackets ([[]]). The scope of the certified design and site-specific design is shown in Figure 1.2-2. The basic systems associated with power generation are shown in Figure 1.2-3. Although some components from these systems are physically located in buildings that are CDI, the system itself is not, with the exception of the clouded portion, which identifies the CDI cooling towers and certain circulating water systems. Security-related information is delineated using double braces {{}}. This information is withheld in accordance with 10 CFR 2.390(d)(1).

## 1.2.1 Principal Site Characteristics

Figure 1.2-1 presents a representative conceptual layout of the overall site. The majority of the site buildings are located within the protected area (PA) and surrounded by a double fence and intrusion-detection equipment. The PA is located within the security owner controlled area (SOCA) surrounded by an additional single fence. An administration and training building and a warehouse are shown outside of the SOCA fence.

A NuScale Power Module (NPM) shown in Figure 1.2-6, is a collection of systems, sub-systems, and components that make up a modularized, movable, nuclear steam supply system (NSSS). Each NPM is comprised of a reactor core, a pressurizer, and two steam generators (SGs) integrated within a reactor pressure vessel (RPV) and housed in a compact steel containment vessel (CNV).

The NuScale Power Plant is designed for 1 to 12 NPMs with the associated primary and secondary systems and components necessary to produce power and maintain the facility. This includes main steam systems, turbine generator sets, condensate and feedwater systems, and shared external cooling water systems (Figure 1.2-3), plus module assembly equipment, fuel handling equipment, turbine maintenance equipment, and radioactive

waste processing equipment. The net total output for a NuScale Power Plant with 12 operating NPMs is approximately 570 MWe.

The following structures are included in the NuScale certified design (Figure 1.2-1 and Figure 1.2-2):

- 1) Reactor Building (RXB): located above and below grade, houses the following facilities (among others that are not specifically discussed in this section):
  - ultimate heat sink (reactor pool, refuel pool, and spent fuel pool)
  - fuel handling areas
  - remote shutdown station
  - primary systems

Additional details of the RXB are provided in Section 1.2.2.1.

- 2) Control Building (CRB): located above and below grade, adjacent to the RXB, provides space for the following facilities:
  - main control room (MCR): located below grade, houses the equipment, controls, and indications for operation of the NPMs
  - technical support center-located above the MCR, outside the radiological controlled area, provides space to support emergency operations and personnel

Additional details of the CRB are provided in Section 1.2.2.2.

3) Radioactive Waste Building (RWB): located above and below grade, provides space for heating ventilating and air conditioning (HVAC) equipment; and radioactive waste treatment and storage equipment. Additional details of the RWB are provided in Section 1.2.2.3.

The following structures are discussed as CDI (Figure 1.2-1 and Figure 1.2-2):

- 1) Turbine Generator Buildings (TGBs): house the turbine generators and associated equipment. Additional details of the TGBs are provided in Section 1.2.2.5.1.
- 2) Annex Building (ANB): controls access into the radiologically controlled area (RCA) and provides space for health physics facilities, servicing potentially radioactive and non-radioactive tooling, fixtures, and instrumentation, security services, and various personnel services. Additional details of the ANB are provided in Section 1.2.2.5.2.
- 3) Security Buildings (SCBs): provide for controlled access into the SOCA and the PA of the plant. Additional details of the SCBs are provided in Section 1.2.2.5.3.
- 4) Central Utility Building (CUB): houses various equipment for the chilled water system and other ancillary equipment for balance of plant systems. Additional details of the CUB are provided in Section 1.2.2.5.4.
- 5) Diesel Generator Buildings (DGBs): house the backup diesel generators and associated equipment. Additional details of the DGBs are provided in Section 1.2.2.5.5.

6) Site Cooling Water System (SCWS): provides cooling water to plant auxiliary systems. Details associated with location and orientation of the cooling towers as well as equipment design and operation are site specific. Additional details of the SCWS are provided in Section 1.2.1.6.

## 1.2.1.1 Facility Description

#### Process Overview

The reactor core is located in a core support assembly, which is seated in the lower RPV assembly. A central hot leg riser is connected to the top of the core support assembly. The reactor core transfers heat into the reactor coolant and the heated reactor coolant flows upward through the core and lower and upper riser assemblies. The heated coolant exits the upper riser assembly and is redirected downwards into the SG region between the vessel wall and the upper riser assembly. As the reactor coolant transfers heat to the SGs, it cools and becomes denser, which drives the natural circulation flow. The coolant returns to the bottom of the vessel through the downcomer and back into the reactor core, where the cycle begins again (Figure 1.2-7).

On the secondary side, preheated feedwater is pumped into the tube side of the SGs where it boils. As the steam flows upward in the tubes, it is continually heated to produce superheated steam before exiting the top of the SGs.

The superheated steam is directed to a dedicated steam turbine. A generator, driven by the turbine, creates electric power that is delivered to the utility grid through a step-up transformer. A turbine bypass line provides up to 100 percent of the rated main steam flow directly from the associated steam generators to the main condenser in a controlled manner to remove heat from the reactor following a load reduction or loss of electrical load.

Steam that exits or bypasses the turbine is directed to the condenser. A shared circulating water loop removes heat and condenses the steam for up to 6 condensers. The condensate is pumped through condensate polishing equipment to the inlet of the variable speed feedwater pumps. A small amount of steam is extracted from turbine stages to preheat the feedwater and increase plant efficiency. Feedwater regulating valves control feed flow into the SGs.

[[Heat from the circulating water loop from up to 6 condensers is rejected to atmosphere by a set of evaporative mechanical-draft cooling towers. Two sets of cooling towers are provided for 12 NPMs.]]

## 1.2.1.1.1 Principal Design Criteria

The design provides a simple, safe reactor and provides the following:

- reliable, passive safety systems that are simple in design and operation, and are not reliant on electrical power to fulfill their safety functions
- safety features that assure a core damage frequency significantly lower than the current light water reactor fleet

- the absence of RPV or containment penetrations below the top of the reactor core
- modularization to enable in-shop fabrication of reactor and containment components

### 1.2.1.1.2 Operating Characteristics

The NPM is designed to operate up to full power conditions using natural circulation as the means of providing reactor coolant flow, eliminating the need for reactor coolant pumps.

The NPMs are partially immersed in a reactor pool and protected by passive safety systems. Each NPM has a dedicated emergency core cooling system (ECCS) and decay heat removal system (DHRS).

Important features of the NPM include the following:

- a small, modular design
- an integral pressurized water reactor (PWR) NSSS that combines the reactor core, SGs, and pressurizer within the RPV, eliminating the need for external piping to connect the SGs and pressurizer to the RPV
- natural circulation provides the driving force for reactor coolant flow, eliminating the need for reactor coolant pumps
- an RPV housed in a steel containment partially immersed in water, providing an effective passive heat sink for long-term decay heat removal
- a steel containment operated at a vacuum, eliminating the need for insulation on the RPV
- passive safety systems that are not reliant on electrical power

Table 1.2-1 presents the overall characteristics of the NuScale Power Plant.

The NPM is designed to perform normal power maneuvers. Electric power can be adjusted with turbine bypass to the condenser. In addition, core power maneuvering can be accomplished with control rods, soluble boron concentration changes, or a combination of control rods and soluble boron as described in Sections 4.2 and 4.3.

### Nuclear Steam Supply System

The NSSS consists of a reactor core, two helical-coil SGs, and a pressurizer integrated within the RPV. The RPV is enclosed in an approximately cylindrical CNV that sits in the reactor pool. The reactor core is located below the helical-coil SGs inside the RPV. Using natural circulation, the primary reactor coolant flow path is upward through the central hot leg riser, and then downward around the outside of the SG tubes with return flow to the bottom of the core via an annular downcomer. As the reactor coolant flows across the SG tubes, heat is transferred to the secondary side fluid inside the SG tubes. Concurrently, as the secondary side

fluid progresses up through the inside of the SG tubes, it is heated, boiled, and superheated to produce high pressure steam for the turbine generator unit.

## **Reactor Core**

The core configuration for the NPM consists of 37 fuel assemblies and 16 control rod assemblies (CRAs). The CRAs are organized into two banks: a regulating bank and a shutdown bank. The regulating bank is used during normal plant operation to control reactivity. The shutdown bank is used during normal shutdown. All 16 CRAs are inserted for scram events.

The fuel assembly design is similar to a standard 17x17 PWR fuel assembly with 24 guide tube locations for control rods and a central instrument tube. The only significant differences are the fuel assembly is nominally half the height of a standard fuel assembly and is supported by five spacer grids. The fuel is uranium dioxide,  $UO_2$ , with gadolinium oxide,  $Gd_2O_3$ , as a burnable absorber homogeneously mixed within the fuel in select rod locations. The U-235 enrichment is less than 4.95 percent. A list of fuel design parameters is presented in Table 4.2-1.

## Pressurizer

The pressurizer provides the primary means for controlling reactor coolant system (RCS) pressure. It is designed to maintain a stable reactor coolant pressure during operation. Reactor coolant pressure is increased by applying power to a pair of heater bundles installed above the pressurizer baffle plate. Pressure in the RCS is reduced using spray provided by the chemical and volume control system (CVCS).

## **Steam Generator**

Each NPM uses two once-through, helical-coil SGs for steam production. The SGs are located in the annular space between the hot leg riser and the RPV inside diameter wall. The SG consists of tubes connected to feed and steam plenums with tube sheets. Preheated feedwater enters the lower feed plenum through nozzles on the RPV. As feedwater flows through the interior of the SG tubes, heat is transfered across the SG tube wall from the reactor coolant to the feedwater. The feedwater changes phase and exits the SG as superheated steam.

## **Reactor Pressure Vessel**

The RPV consists of an approximately cylindrical steel vessel with an inside diameter of approximately 9 ft and an overall height of approximately 58 ft that is designed for an operating pressure of approximately 1,850 psia. The upper and lower heads are torispherical, and the lower portion of the vessel has a flange to provide access for refueling.

The RPV consists of three sections: the RPV head section, the upper section, and the lower section. The RPV head is welded to the top of the upper section, and the upper and lower sections are flanged together using bolts.

The torispherical RPV head supports the control rod drive mechanisms (CRDMs) and includes penetrations ranging from 2" to 8" diameter for pressurizer spray, reactor vent valves, reactor safety valves, reactor high point degasification instrumentation and controls (I&C) instrument channels, and the CRDM nozzles.

The RPV upper section is cylindrical, approximately 9 ft in diameter with slightly thicker sections at the feedwater inlet and steam outlet areas. The upper section includes penetrations ranging from 2.25" to 25" diameter for main steam piping nozzles and main steam access ports, pressurizer heaters, feedwater piping nozzles and feedwater access ports, reactor recirculation valves, CVCS, and pressure instrumentation.

The RPV lower section is cylindrical, approximately 9 ft in diameter and includes a torispherical lower head that is welded in place. There are no penetrations in the lower section of the RPV.

A steel pressurizer baffle plate integral with the RPV provides a barrier between the saturated water in the pressurizer and the RCS. The pressurizer baffle plate is integrated with the upper steam plenums, has flow holes to allow surges of water into and out of the pressurizer, and to act as a thermal barrier.

## **Containment Vessel**

The CNV is a cylindrical, steel pressure vessel housing the RPV, CRDMs, and associated NSSS piping and components. The CNV has an overall height of approximately 76 ft and an outside diameter of approximately 15 ft and consists of an upper CNV section with a welded torispherical top head and a lower CNV section with a welded head. The upper and lower CNV sections are flanged together using bolts. The flange connection permits the CNV to be separated to provide access to the RPV for refueling and maintenance.

The safety functions of the CNV are to contain the release of radioactive material following postulated accidents and to provide heat rejection to the reactor pool following ECCS actuation. The CNV also provides support for the RPV.

Manways provide access to components located inside the CNV. Penetrations on the CNV upper head are provided for process piping, electrical power, and instrumentation.

The CNV is supported laterally by support lugs located slightly below the steam plenum elevation and by the support skirt attached to the CNV lower head. The support skirt also provides vertical support for the CNV. Internal to the CNV, the RPV is laterally and vertically supported by four support plates located slightly below the steam plenum elevation and is laterally supported at the center of the lower RPV head.

The CNV is partially immersed in the reactor pool, which provides a passive heat sink for containment heat removal. The CNV is designed to withstand the external environment of the reactor pool as well as the internal pressure and temperature of a design-basis accident.

The CNV is maintained at a vacuum under normal operating conditions. The benefits of maintaining a vacuum in the CNV include:

- minimizes moisture content that could impact the reliability and contribute to corrosion of components within the CNV
- facilitates detection of leakage from the reactor coolant pressure boundary
- eliminates convective heat transfer and therefore, the need for RPV insulation, which reduces potential debris generated in the CNV
- limits the initial amount of oxygen in containment (severe accident combustible gas consideration)

Following an actuation of the ECCS, steam is vented from the RPV through the reactor vent valves. This results in an initial spike in containment pressure and temperature. Steam in contact with the inside surface of the CNV is passively cooled and condensed by conduction and convection to the reactor pool water. This passive process rapidly reduces containment pressure and temperature and maintains containment pressure and temperature at less than design conditions indefinitely.

## 1.2.1.1.3 Safety Considerations

NuScale has achieved an improvement in safety over existing plants through simplicity of design, reliance on passive safety systems, and small fuel inventory. The integral design of the NPM eliminates external coolant loop piping, which eliminates large-break LOCA scenarios. The availability of passive safety systems for decay heat removal, emergency core cooling, and control room habitability eliminates the need for external power under accident conditions. With these passive safety systems, small-break LOCAs do not challenge the safety of the plant. The result is a design with a core damage frequency that is lower than the current light water reactor fleet.

The reactor core has a small radioactive source term as compared to a conventional 1,000 MWe nuclear reactor. Based on the smaller fuel inventory, the amount of radioactive material available for release during a postulated accident is reduced. Table 1.2-2 provides a listing of some of the features of the NPM.

### 1.2.1.2 Engineered Safety Features and Emergency Systems

### 1.2.1.2.1 Engineered Safety Feature Materials

Details are provided in Section 6.1 related to the selection and fabrication methods for metallic and organic materials used in ESF components to ensure compatibility with fluids that the component may be exposed to during normal, accident, maintenance, and testing conditions.

### 1.2.1.2.2 Containment Systems

The containment is an integral part of the NPM and provides primary containment for the RCS. Section 6.2 provides further information for the containment system.

## 1.2.1.2.3 Emergency Core Cooling System

The ECCS provides a passive means of decay heat removal in the event of a LOCA. The ECCS consists of three independent reactor vent valves and two independent reactor recirculation valves (Figure 1.2-9). All five valves are closed during normal operation.

During ECCS operation, the reactor vent valves vent steam from the RPV into the CNV where the steam condenses and collects in the bottom of the containment. The reactor recirculation valves allow water to reenter the RPV and be circulated through the core. When reactor coolant temperature is reduced to below the boiling point, core cooling continues via conduction directly into the reactor pool. The cooling function of the ECCS is entirely passive, with heat being conducted through the CNV wall to the reactor pool. Section 6.3 provides design and operational information for the ECCS.

## 1.2.1.2.4 Control Room Habitability System

The control room habitability system (CRHS) ensures that plant operators are adequately protected against the effects of accidental releases of toxic or radioactive gases. The CRHS is a passive system that provides clean, compressed, breathable air to the MCR in the event of a radioactive release or when AC power is not available. Areas served by the CRHS are maintained at positive pressure relative to adjacent areas. Compressed breathable air storage capacity can provide clean air to the MCR spaces for at least 72 hours following an initiating event. Section 6.4 provides design and operational information for the CRHS.

### 1.2.1.2.5 Fission Product Removal and Control Systems

The only fission product removal and control system credited in the design is the CNV in conjunction with the containment isolation system. Fission product control is inherent in the design of the NPM, wherein the CNV atmosphere is depleted through the passive process of aerosol deposition. Section 6.5 provides information for this ESF.

### 1.2.1.2.6 Inservice Inspection of Class 2 and 3 Components

The inservice inspection program includes the pre-service examinations and the periodic inservice inspections and tests necessary to ensure that safety-related and risk-significant systems, structures, and components are capable of fulfilling their intended safety functions. Section 6.6 provides detailed information for the inservice inspection program.

### 1.2.1.3 Instrumentation, Controls, and Electrical Systems

The I&C architectural design philosophy incorporates clear interconnection interfaces, separation between safety and nonsafety systems, and simplification of system functions. The I&C architecture primarily consists of the following systems, which are described in Section 7.0:

- module protection system (MPS) provides information from safety-related sensors monitoring temperature, flow, neutron flux, and pressure data on the NSSS.
- neutron monitoring system measures neutron flux as an indication of core power and provides safety inputs to the MPS.
- module control system (MCS) is a distributed control system that allows monitoring and control of module-specific plant components.
- plant control system supplies nonsafety inputs to the human system interfaces in the MCR, the remote shutdown station, and other locations where necessary.
- fixed area radiation monitoring system continuously monitors in-plant radiation and airborne radioactivity levels.
- safety display and indication system provides visual display and indication in the MCR from the MPS and plant protection system.
- plant protection system monitors and controls systems that are common to all NPMs and are not specific to an individual NPM.
- health physics network provides the permanently installed communications infrastructure necessary to support a licensee-implemented radiation protection program.
- in-core instrumentation system monitors various parameters within the reactor core and RCS and sends the parameter values to the MCS for display and evaluation.

Under normal operating conditions the AC electrical power distribution system supplies continuous power to equipment required for startup, normal operation, and shutdown of the plant. As described in Section 8.3, the NuScale Power Plant does not require onsite or offsite AC electrical power to cope with design-basis events. Safety systems are not reliant on AC or DC electrical power for actuation.

The power systems within the plant are described below:

- The 13.8 KV and switchyard system provides power from the turbine generators and the auxiliary AC power source to the 13.8 kV AC buses and connects the onsite AC system to the switchyard.
- Medium voltage AC electrical distribution system provides power at 4,160V AC to buses servicing medium voltage loads.
- Low voltage AC electrical distribution system provides power at 120V AC and 480V AC to buses servicing low voltage loads.
- Highly reliable DC power system provides a failure-tolerant source of 125V DC power to plant loads including emergency lighting, MPS, PPS, and post-accident monitoring loads.
- Normal DC Power System provides power to nonsafety control and instrumentation loads.
- Backup power is provided for onsite AC power. The backup diesel generators provide power at the 480VAC level and the auxiliary AC power source provides power at the 13.8kVAC level.

#### 1.2.1.4 Power Conversion System

The power conversion systems associated with an NPM consist of a main steam system, a turbine generator set, a standard condenser and cooling tower arrangement, and a condensate and feedwater system as shown in Figure 1.2-3.

With multiple NPMs per plant, individual NPMs can be placed into service incrementally to meet construction schedules and grid demand as permitted by the site license. NPMs can also be taken off-line individually for refueling outages and maintenance.

### 1.2.1.5 Fuel Handling and Storage Systems

The fuel handling and reactor maintenance areas are located in the west end of the RXB and include space for the SFP, refueling pool, and dry dock. The pools are shown in Figure 1.2-16.

#### 1.2.1.6 Plant Cooling Water Systems

The plant cooling water systems include several systems that are important to supporting plant operation. These systems include the following:

- The reactor component cooling water system (RCCWS) is a nonsafety-related, closed-loop cooling system that transfers heat from various plant components to the site cooling water system. The RCCWS provides cooling to the CRDMs, the non-regenerative heat exchangers for each CVCS, and the primary sampling system coolers. (Section 9.2.2)
- The reactor pool cooling system and the spent fuel pool cooling system are nonsafety-related, closed-loop systems that transfer heat from the associated pool to the site cooling water system. (Section 9.1.3)
- The circulating water system is an open-loop system that provides a continuous supply of cooling water to the plant turbine condensers. Circulating water pumps draw water from a common basin to provide cooling water flow for up to six condensers in one TGB. Heated circulating water from the outlet of the condensers flows to a set of mechanical-draft cooling towers where excess heat is removed as the water gravity flows back to the common basin. (Section 10.4.5)
- The site cooling water system is an open-loop system that provides a continuous supply of cooling water to the chilled water system, the balance of plant component cooling water system, the spent fuel pool cooling system, the reactor pool cooling system, the RCCWS, and the condenser air removal system. Site cooling water pumps draw water from a common basin to provide cooling water flow to the systems serviced. Heated site cooling water from the outlet of the individual system heat exchangers continues to a dedicated set of mechanical-draft cooling towers where excess heat is removed as the water gravity flows back to the common basin. (Section 9.2.7)

#### 1.2.1.7 Radioactive Waste Management System

The radioactive waste management system is discussed in detail in Chapter 11. Liquid, gaseous, and solid radioactive waste management systems are discussed in detail in Sections 11.2, 11.3, and 11.4, respectively. Process effluent radiation monitoring and sampling systems are discussed in Section 11.5.

#### 1.2.2 General Arrangement of Major Structures and Equipment

Figure 1.2-2 presents the layout of a NuScale Power Plant. This figure includes an administration and training building and a warehouse that are outside the scope of the FSAR and not discussed further.

### 1.2.2.1 Reactor Building

As shown in Figure 1.2-2, the RXB is approximately central to the site. See Figure 1.2-5 and Figure 1.2-10 through Figure 1.2-20 for RXB drawings. Dimensions provided in Figure 1.2-5 are nominal or approximate values for illustrative purposes. The RXB houses the NPMs and systems and components required for plant operation and shutdown. The RXB is primarily a rectangular configuration that is approximately 350 ft long and 150 ft wide, and extends approximately 81 ft above nominal plant grade level. The bottom of the RXB foundation is 86 ft below grade except for the areas under the elevator pit and the refueling pool, which are approximately 92 ft below grade. The RXB is a Seismic Category I, reinforced concrete structure with design considerations for the effects of aircraft impact, environmental conditions, postulated design basis accidents (internal and external), and design basis threats. The RXB also provides radiation protection to plant operations and maintenance personnel.

Each NPM is located in the common reactor pool in its own three-walled bay with the open wall towards the center of the pool. The bays are arranged into two rows with six bays per row along the north and south walls of the reactor pool at the east end of the pool. A central channel is provided between the bays to allow for movement of the NPMs between the bays and the refueling pool. The bays are approximately 20 ft wide by 20 ft long by 98 ft deep with a normal reactor pool water depth of approximately 69 ft (this correlates to an elevation of approximately 94'). Each bay has a concrete bioshield to reduce radiation levels in the RXB and to prevent deposition of foreign materials onto an NPM. The bioshield consists of a two foot thick horizontal slab comprised of reinforced concrete with a stainless steel surface and a vertical assembly comprised of a square stainless steel tube framing system and series of radiation panel assemblies that extends into the pool. The horizontal slab is bolted to the top of the bay. Nine radiation panels are attached on both sides of the vertical bioshield framing system to provide a radiation barrier and ventilation. The bioshields are designed to be removed to access the NPM. To accommodate the removed bioshield, each bioshield is designed to have another bioshield stacked on top of it to allow for NPM movement during refueling.

The NPM, reactor pool, and SFP are below grade. The surface of the reactor pool water is approximately 6 feet below grade. Also located below grade are most primary systems and some radioactive waste equipment. Hoisting and handling equipment is located above grade. Pipe fittings and electrical connections are provided above the reactor pool water level to permit manual connection and disconnection during NPM installation, refueling outages, and during replacement or removal of NPMs.

Table 3.2-1, Classification of Structures, Systems, and Components, provides the location and classification of systems, structures, and components.

### 1.2.2.1.1 Fuel Handling and Reactor Maintenance Areas

The fuel handling and reactor maintenance areas are located in the west end of the RXB and include space for the SFP, refueling pool, and dry dock. The pools are shown in Figure 1.2-16.

The operating areas at the west end, 100'-0" elevation of the RXB provide space for the operation of fuel handling equipment and accessing the upper portion of an NPM while the reactor core is being refueled.

The refueling pool is connected directly to the reactor pool accommodating transport of an NPM through the pool water using the Reactor Building crane (RBC). A weir between the refueling pool and SFP provides access for fuel assembly transport under water during the refueling process. The fuel handling and maintenance areas are designed to provide radiation protection for plant operations and maintenance personnel who are working in those areas.

The area west of the SFP contains a fuel receiving area and a jib crane for loading new fuel assemblies into the new fuel elevator. The area has pallet jack access to aid in new fuel receiving activities. Upon receipt, new fuel assemblies are inspected and temporarily stored in racks in the SFP before being placed in a reactor core.

The SFP provides storage space for the accumulated spent fuel assemblies prior to removal for dry storage and for temporary short-term storage for new fuel assemblies. Spent fuel assemblies removed from the reactor core are placed in spent fuel storage racks in the SFP.

The refueling pool contains the bolting tools to disassemble and reassemble the NPM during refueling. The reactor core remains in the lower head of the RPV while in the refueling pool for refueling and fuel management. A fuel handling machine moves new and used fuel through the weir between the refueling pool and SFP.

The dry dock area contains the module inspection rack and is separated from the refueling pool by a gate. With the gate closed, the dry dock water level can be lowered and maintenance activities on the upper NPM can be completed. Necessary inspection and testing equipment for the NPM are moved to this area during refueling.

The dry dock provides maintenance access to the upper section of the NPM. The dry dock is also used for placing new NPM components into the reactor pool and preparing them for assembly. Additionally, it provides access for shipment of used NPMs off-site.

### 1.2.2.1.2 Refueling Operations

Refueling operations for an individual NPM is independent of the operating status of the remaining NPMs.

During refueling, an NPM is moved from its operating bay in the reactor pool to the refueling pool using the RBC. The RBC lifts the NPM off its supports within the reactor bay and moves it to the open channel in the center of the reactor pool, which serves as a pathway to transport the NPM to the refueling area.

In the refueling area, the NPM is set into the containment flange tool where the CNV flange is unbolted. The crane lifts the NPM, separating the lower CNV from the upper CNV with RPV still attached and intact. Next, the crane moves the upper CNV and RPV to the reactor vessel flange tool where the RPV flange is unbolted. The crane again lifts the NPM, this time separating the upper and lower RPV, leaving the lower RPV including the reactor core, in the reactor vessel flange tool. Finally, the crane transports the upper NPM (now consisting of just the upper CNV with attached upper RPV) to the module inspection rack in the dry dock. Inspection, testing, and maintenance are performed while the core is being refueled using a dedicated fuel handling machine.

After inspection, maintenance, and testing are complete and the reactor core has been refueled, the upper portion of the NPM is moved from the dry dock to the refueling pool where the NPM is reassembled in reverse order using the dedicated flange tools. Following reassembly, the NPM is moved into the reactor pool and returned to its operating bay by the RBC. In the operating bay, startup tests are performed and the reactor is prepared for restart. After the NPM has passed necessary tests and inspections, and the reactor coolant is at startup conditions, the NPM is brought online, and steam and power production begins.

### 1.2.2.2 Control Building

The CRB is located approximately 30 ft east of the RXB. See Figure 1.2-21 through Figure 1.2-27 for CRB drawings. The overall CRB footprint is rectangular, approximately 120 ft long by 80 ft wide at the 100'-0" elevation.

The following portions of the CRB are nonsafety-related and Seismic Category II:

- above the 120'-0" elevation
- inside the elevator shaft (full building height)
- inside the two stairwells (full building height)
- the fire protection vestibule located on the East side of the CRB

Structural steel and metal siding are used above the 120'-0" elevation. The remaining portion of the CRB, below the 120'-0" elevation, is a safety-related, Seismic Category I, concrete structure.

The lowest elevation of the CRB primarily houses electrical equipment and CRHS air bottles. There is a tunnel that connects the RXB to the CRB. The tunnel has two levels;

an upper tunnel for personnel access to the RXB and a lower tunnel that is a utilities tunnel between the CRB and the RXB.

The MCR and the associated spaces are located below grade in the CRB. This is the area serviced by the CRHS. Associated spaces for the MCR include the following:

- conference room (shift turnover)
- open office area (auxiliary operator room)
- two offices
- storage room
- janitor closet
- three air locks
- viewing area
- shift manager's office
- reference room
- emergency equipment room
- lavatories
- break room
- telecommunication room

The technical support center (TSC) and the associated spaces are located at grade level in the CRB. Associated spaces for the TSC include:

- records storage
- three offices
- two conference rooms
- data equipment room
- lavatories
- data maintenance room
- break room

Additional equipment located in the CRB includes the control room HVAC system (CRVS) equipment, the chilled water system equipment supporting the CRVS, and an elevator machine room.

#### 1.2.2.2.1 Main Control Room

The MCR contains control panels for all installed NPMs. Each reactor operator monitors and controls multiple NPMs from a control room panel. Figure 18.7-1 provides the layout for the MCR.

Digital control systems are implemented in a manner that provides independence between safety-related protection systems and nonsafety-related control systems. Each reactor control system display provides the monitoring for a specific reactor. Additional display stations, including a separate display for shared plant systems, provide control room operators with access to a wide range of plant information for trending and diagnostics.

The reactor operators monitor the automated control system for each NPM. The MCR contains all alarms, displays, and controls for effective monitoring and control by the operators. The control room supervisor station provides an overview of all NPMs using multiple monitors. All monitor displays are designed using human factors analysis to enhance simplicity. The display layout and design uses graphical representations of plant systems and components.

The following monitoring and control activities are typical control room functions:

- initiate NPM startup
- initiate NPM shutdown
- set or correct selected set points that control the NPM or plant functions
- take corrective actions if an NPM or plant system does not operate as intended

The MCR enhances supervisory control of the NPMs and plant systems by providing alarm annunciation on the plant group-view overview display monitor as part of the alarm management system. This system includes information from the individual NPMs via the MPS, the MCS, and the shared I&C systems common to all the NPMs.

### 1.2.2.2.2 Technical Support Center

A TSC is provided, compliant with the design requirements of NUREG-0696. Section 13.3 provides additional information.

### 1.2.2.3 Radioactive Waste Building

The RWB houses equipment and systems for processing radioactive gaseous, liquid, and solid waste and for preparing waste for off-site shipment. See Figure 1.2-28 through Figure 1.2-33 for RWB drawings. The building houses equipment to prepare low-level radioactive waste for compaction to reduce volume and provides temporary storage for radioactive waste. HVAC equipment for high-efficiency particulate air filtration of air from the RXB and RWB is located in the RWB. The building is designed to maintain radiation exposures to operators and maintenance personnel as low as reasonably achievable.

### 1.2.2.4 Major Systems

### 1.2.2.4.1 Decay Heat Removal System

The DHRS provides secondary side reactor cooling for non-LOCA events when normal feedwater is not available. The system, as shown in Figure 1.2-8, is a

closed-loop, two-phase natural circulation cooling system. Two trains of decay heat removal equipment are provided, one attached to each SG loop. Each train is capable of removing 100 percent of the decay heat load and cooling the RCS. Each train has a passive condenser immersed in the reactor pool. In the event of a SG tube failure, the affected SG is isolated and the DHRS provides cooling through the intact SG.

On receipt of an actuation signal, feedwater and main steam isolation valves are closed and the DHRS valves open. Reactor coolant continues to circulate through the RPV collecting decay heat from the core. As water from the DHRS condenser travels through the SG tubes it is converted to steam absorbing decay heat from the reactor coolant. The steam then flows back to the DHRS condenser where it gives up excess heat to the reactor pool water and is condensed, and the cycle is repeated. This transfer of heat promotes natural circulation in both the RCS and the DHRS.

Section 5.4.2 provides design and operational information for the DHRS.

### 1.2.2.4.2 Ultimate Heat Sink

The ultimate heat sink is a large, stainless steel-lined, reinforced concrete pool located in the RXB below plant grade level. The ultimate heat sink consists of the reactor pool area, the refueling pool area, and the spent fuel pool area. The pool areas are shown in Figure 1.2-16. During normal plant operations, heat is removed from the pool through the reactor pool cooling system and rejected into the atmosphere through a cooling tower or other external heat sink. The spent fuel pool has an independent spent fuel pool cooling system.

In a design basis accident involving a sustained loss of all AC power, decay heat is removed from the NPMs through passive heat transfer to the pool resulting in pool heat up and boiling. Water inventory in the reactor pool is adequate to cool the NPMs for at least 72 hours without adding water.

The reactor pool provides an additional means of fission product retention beyond that of the fuel, fuel cladding, RPV, and the containment for certain events.

Section 9.2.5 provides design and operational information for the ultimate heat sink.

### 1.2.2.4.3 Chemical and Volume Control System

The CVCS is simple in design and its operation is not credited during or after an accident. During normal operation, the CVCS recirculates a portion of the reactor coolant through demineralizers and filters to maintain reactor coolant cleanliness and chemistry. A portion of the recirculated coolant is used to supply pressurizer spray for controlling reactor pressure. Reactor coolant inventory is controlled by injection of additional water when reactor coolant levels are low or letdown of reactor coolant to the liquid radioactive waste system when coolant inventory is high.

Additionally, during the NPM startup process, the CVCS is used in conjunction with the module heatup system, to add heat to the reactor coolant to establish natural circulation flow in the RCS.

Boron concentration in the RCS is controlled by a feed-and-bleed process. Injection pumps provide borated water or clean demineralized water that is delivered into the RCS with excess reactor coolant being letdown to the radioactive waste system. Safety-related protection is provided for an anticipated operational occurrence involving unintended dilution of the RCS due to CVCS equipment failure or operating error.

Section 9.3.4 provides design and operational information of the CVCS.

### 1.2.2.5 Other Site Structures

#### 1.2.2.5.1 Turbine Generator Building

A NuScale Power Plant has two separate TGBs. The TGBs are nonsafety-related structures. Each building houses six turbine generator sets along with their auxiliaries, the condensers, condensate systems, and the feedwater systems. A laydown area and overhead crane are provided for installation and maintenance activities in each TGB.

#### 1.2.2.5.2 Annex Building

The ANB is a nonsafety-related structure. The ANB houses several facilities and serves several functions, including:

- controlling access to both radiologically-controlled and nonradiologically-controlled areas of the RXB
- housing various personnel support services such as locker rooms, showers, toilet facilities, lunch and conference rooms, and first aid
- [[providing space for personnel and component decontamination equipment and employee dosimeter processing
- housing a portion of the facilities that support plant security such as secondary alarm station, security briefing room, armory, security manager's office, etc.]]

### 1.2.2.5.3 Security Buildings

The SCBs are nonsafety related structures that include the following structures:

- primary access control building
- main security building
- vehicle barrier system

The SCBs provide the following nonsafety-related functions:

• control personnel and vehicle entry into the PA and screen personnel seeking unescorted access into the PA.

- verify identity and access status as well as search for contraband items.
- provide a structure or space to monitor access into areas of the plant as well as monitoring tamper alarm devices.

#### 1.2.2.5.4 Central Utility Building

The CUB is a nonsafety-related structure that houses common utility plant services, which include the following:

- [[chiller equipment
- instrument air system
- service air system
- chemical treatment equipment for demineralized water
- maintenance area
- life safety
- demineralized water equipment
- security functions]]

#### 1.2.2.5.5 [[Diesel Generator Buildings

The NuScale Power Plant design includes two DGBs, each housing a single backup diesel generator. The principal functions of each DGB are to provide support and housing for the backup diesel generators and their auxiliary equipment. The DGB houses no safety-related systems and has no functional requirements that support the ESFs. The DGBs house the following:

- diesel engines and associated support equipment
- generators
- DGB HVAC system
- maintenance area]]

### 1.2.3 Plant Features of Special Interest

#### Human Factors Considerations

The NuScale Power Plant design minimizes human error through fail-safe design functionality, allows multi-modular control capability from a single control room with effective automation design, employs digital display design and soft control technology to enhance usability, and provides optimum workload management.

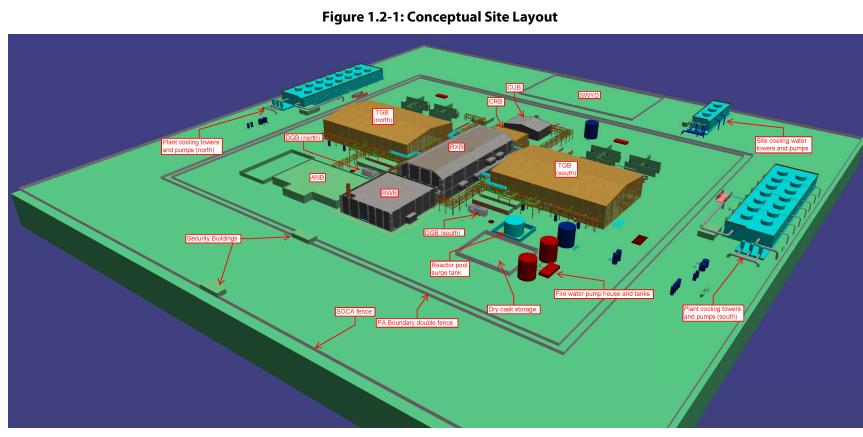
The HFE program satisfies specific regulatory requirements and guidance, and leverages human performance and operating experience from nuclear and non-nuclear industries.

Chapter 18 describes the HFE Program.

| Overall Plant                                    |   |  |  |
|--|---|--|--|
| Nominal net output                               | 570 MWe*  |  |  |
| Number of power modules                          | 12  |  |  |
|  | Power Module                                    |  |  |
| Number of reactors                               | One   |  |  |
| Thermal power rating                             | 160 MWth  |  |  |
| Nominal gross electrical output                  | 50 MWe  |  |  |
| RCS normal operating pressure                    | 1,850 psia                                      |  |  |
| Steam generator number                           | Two   |  |  |
| Steam generator type                             | Vertical helical tube                           |  |  |
| Steam cycle                                      | Rankine-subcritical regenerative with superheat |  |  |
| Turbine type                                     | 3,600 rpm, condensing, with extraction          |  |  |
| Reactor Core                                     |   |  |  |
| Fuel   | UO <sub>2</sub> (<4.95% enrichment)             |  |  |
| Refueling intervals                              | 24 months                                       |  |  |
| * Nominal net output is total gross electrical c | output minus house loads.                       |  |  |

## Table 1.2-1: Overall Characteristics of a NuScale Power Plant

| NuScale Design Feature  | Primary Impact  | Safety Enhancement  |
|---|---|---|
| RCS contained within the RPV                                    | No large diameter primary coolant piping  | Eliminates postulated large-break LOCA spectrum accidents   |
| Natural-convection-cooled core                                  | No reactor coolant pumps  | Eliminates reactor coolant pump accidents,<br>shaft breaks, pump seizure, missile generation<br>and pump leaks  |
| High containment design pressure                                | Containment peak pressure for<br>worst case design-basis accident<br>remains below containment design<br>pressure         | Containment integrity assured, minimizing the potential for radioactive releases during postulated accidents.   |
| RPV and NSSS inside the CNV                                     | During an accident, any water lost<br>from RPV stays within containment<br>and is returned to the RPV by<br>passive means | No postulated design-basis small-break LOCA capable of uncovering nuclear fuel  |
| Evacuated containment   | Subatmospheric pressure during normal operation   | Minimal amount of noncondensible gases<br>increases the steam condensation rate for<br>containment heat removal during postulated<br>small-break LOCA. Amount of oxygen in<br>containment during normal operations is<br>minimized. |
|   | No insulation on RPV  | Eliminates potential sump screen blockage and<br>permits cooling of the exterior of the vessel<br>during an accident  |
| Low power core (160 MWt)  | Reduces decay heat removal requirements   | Enhances in-vessel retention; maintains low<br>accident consequences; reduces fission<br>product source term; simplifies emergency<br>planning  |
| Reactor pool with partially<br>(approximately 90%) immersed NPM | CNV partially immersed in reactor pool  | Provides passive long-term cooling  |
| Passive safety systems  | Safety systems cool and<br>depressurize the RPV/CNV even in<br>the event of loss of external power                        | Active safety systems are not required  |

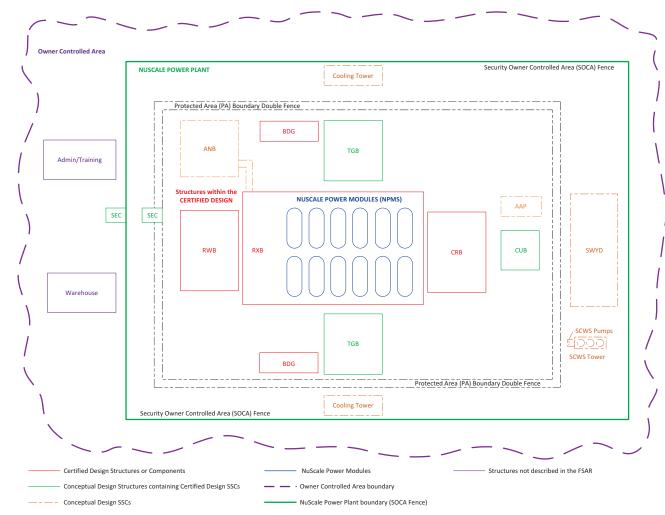


**General Plant Description** 

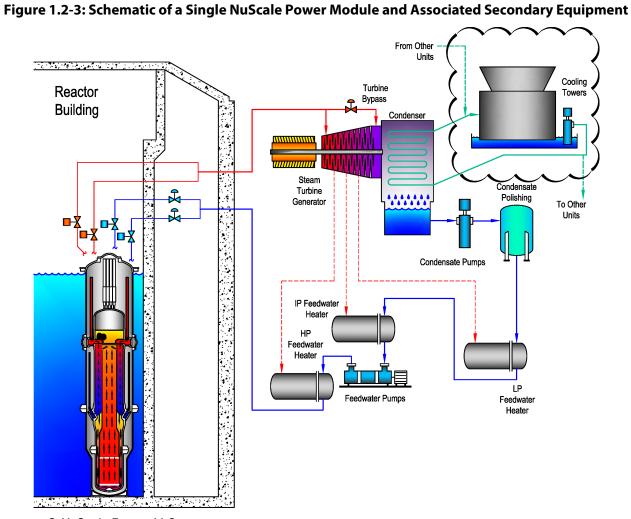
Tier 2

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**Revision 5** 

# Figure 1.2-4: Layout of a Multi-Module NuScale Power Plant

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**NuScale Final Safety Analysis Report** 

## Figure 1.2-5: Cutaway Illustration of 12 Module Configuration

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**NuScale Final Safety Analysis Report** 

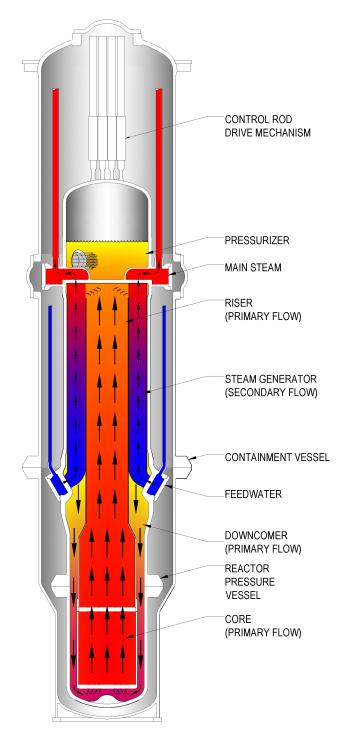
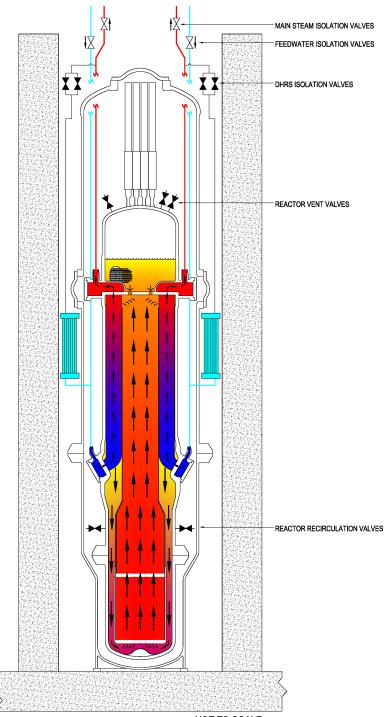
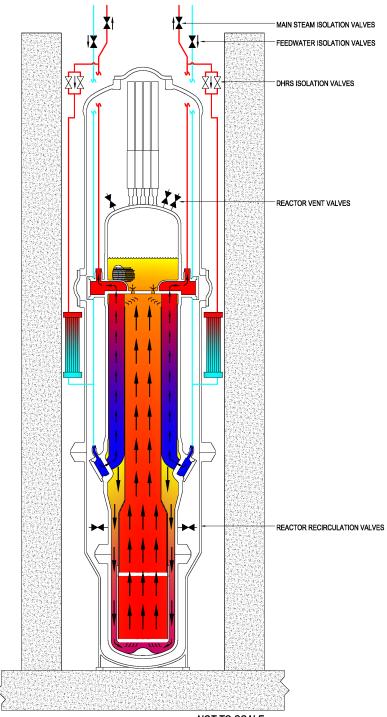


Figure 1.2-6: Cutaway View of NuScale Power Module



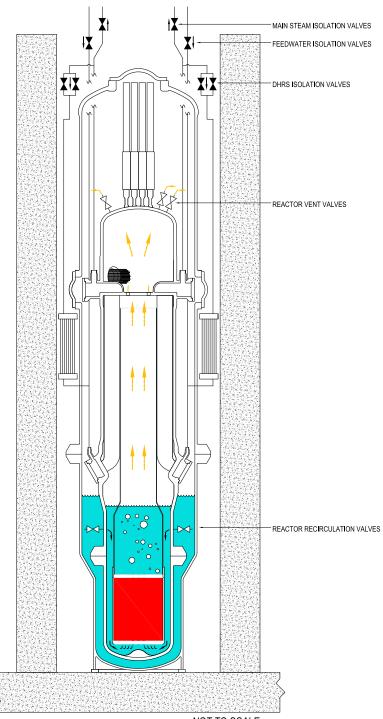
# Figure 1.2-7: Steam Generator and Reactor Flow

NOT TO SCALE



## Figure 1.2-8: Decay Heat Removal System

NOT TO SCALE



# Figure 1.2-9: Emergency Core Cooling System

NOT TO SCALE

## Figure 1.2-10: Reactor Building 24'-0" Elevation

## Figure 1.2-11: Reactor Building 35'-8" Elevation

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1.2-31

# Figure 1.2-12: Reactor Building 50'-0" Elevation

# Figure 1.2-13: Reactor Building 62'-0" Elevation

# Figure 1.2-14: Reactor Building 75'-0" Elevation

# Figure 1.2-15: Reactor Building 86'-0" Elevation

### Figure 1.2-16: Reactor Building 100'-0" Elevation

# Figure 1.2-17: Reactor Building 126'-0" Elevation

## Figure 1.2-18: Reactor Building 145'-6" Elevation

## Figure 1.2-19: Reactor Building East and West Section View

## Figure 1.2-20: Reactor Building South Section View

# Figure 1.2-21: Control Building 50'-0" Elevation

{{ Withheld - See Part 9 }}

# Figure 1.2-22: Control Building 63'-3" Elevation

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# Figure 1.2-23: Control Building 76'-6" Elevation

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# Figure 1.2-24: Control Building 100'-0" Elevation

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# Figure 1.2-25: Control Building 120'-0" Elevation

{{ Withheld - See Part 9 }}

# Figure 1.2-26: Control Building North Section View

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## Figure 1.2-27: Control Building West Section View

# Figure 1.2-28: Radioactive Waste Building 71'-0" Elevation

# Figure 1.2-29: Radioactive Waste Building 82'-0" Elevation

# Figure 1.2-30: Radioactive Waste Building 100'-0" Elevation

# Figure 1.2-31: Radioactive Waste Building 120'-0" Elevation

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1.2-51

Figure 1.2-32: Radioactive Waste Building North and South Section Views

Figure 1.2-33: Radioactive Waste Building West Section View

{{ Withheld - See Part 9 }}

Tier 2

#### **1.3** Comparison with Other Facilities

The major NuScale Power Plant design features and nominal parameters are provided in Table 1.3-1 and discussed further in the associated final safety analysis report (FSAR) section(s). These NuScale features and values are shown in comparison with a typical pressurized water reactor (PWR) plant design. All values are nominal and provided for comparison only. The typical PWR values presented are representative of the Standardized Nuclear Unit Power Plant System design.

Table 1.3-2 provides a comparison of safety systems and components required to protect the reactor core for the NuScale Power Plant versus a typical PWR plant.

| NuScale Plant Parameter or Feature (per NPM) | Typical PWR                           | NuScale                            |
|--|---------------------------------------|------------------------------------|
| Nominal gross electrical output (MWe)        | 1,186                                 | 50                                 |
| Core thermal output (MWt)                    | 3,411                                 | 160                                |
| Number of fuel assemblies                    | 193                                   | 37                                 |
| Fuel assembly lattice                        | -17x17                                | 17x17                              |
| Effective fuel length (ft)                   | 12                                    | 6.56                               |
| Fuel rods per fuel assembly                  | 264                                   | 264                                |
| Average linear heat rate (kW/ft)             | 5.4                                   | 2.5                                |
| Number of Control Rod Assemblies             | 53                                    | 16                                 |
| Design life (years)                          | 40                                    | 60                                 |
| Reactor Coolant System                       |                                       |                                    |
| Number of heat transfer loops                | 4                                     | No External Loops                  |
| Reactor Coolant Pipes (in.)                  | 27.5-31                               | None                               |
| Operating pressure (psia)                    | 2,250                                 | 1,850                              |
| Hot leg temperature (°F)                     | 618                                   | 590                                |
| Reactor Vessel                               | 1                                     | 1                                  |
| Vessel inner diameter (in.)                  | 173                                   | 107.5                              |
| Thermal shielding- and reflector design      | Neutron pad design                    | Stacked stainless steel reflector  |
|  |                                       | blocks                             |
| In-core instrumentation                      | Bottom mounted                        | Top mounted                        |
| Steam Generator                              |                                       |                                    |
| Number                                       | 4                                     | 2                                  |
| Туре   | Vertical U-tube                       | Helical coil                       |
| Heat transfer area (ft2)                     | 55,000                                | Approximately 18,000               |
| Number of tubes                              | 5,626                                 | 1,380                              |
| Reactor Coolant Pumps                        | 4                                     | 0                                  |
| Pressurizer                                  |                                       |                                    |
| Internal volume (ft3)                        | 1,800                                 | 568                                |
| Surge nozzle nominal diameter (in.)          | 14                                    | None                               |
| Residual Heat Removal Pumps                  | 2                                     | None                               |
| Containment                                  |                                       |                                    |
| Туре   | PCCV                                  | Steel Pressure Vessel              |
| Inner diameter (ft-in.)                      | 140-0                                 | 14-2                               |
| Height (ft-in.)                              | 205-0 (inner)                         | 75-8.5 (outer)                     |
| Containment Spray Pumps                      | 2                                     | None                               |
| High Pressure Safety Injection Pumps         | 2                                     | None                               |
| Charging / Safety Injection Pumps            | 2                                     | None                               |
| Low Pressure Safety Injection Pumps          | 2                                     | None                               |
| Accumulators                                 | 4                                     | None                               |
| I&C System type                              | Analog                                | Digital                            |
| Emergency Diesel Generators                  | 2                                     | None                               |
| Turbine Type                                 | 1800 rpm, Tandem Compound Six<br>Flow | 3,600 rpm, 10 stage with Superheat |
| Emergency Feedwater Pumps                    | 3                                     | None                               |
| Charging Pumps (CVCS pumps)                  | 2                                     | 2                                  |
| Used for Safety Injection                    | Yes                                   | No                                 |
| Volume Control Tank                          | 1                                     | 0                                  |
| Reactor Component Cooling Water Pumps        | 4                                     | 6 total for 12 NPMs                |
|  | · ·                                   |                                    |

Table 1.3-1: NuScale Plant Comparison with Other Facilities

| Safety System or Component                        | Typical PWR | NuScale |
|---|-------------|---------|
| Reactor Pressure Vessel                           | Х           | Х       |
| Containment Vessel                                | Х           | Х       |
| Reactor Coolant System                            | Х           | Х       |
| Decay Heat Removal System                         | Х           | Х       |
| Emergency Core Cooling System                     | Х           | Х       |
| Control Rod Drive System                          | Х           | Х       |
| Containment Isolation System                      | Х           | Х       |
| Ultimate Heat Sink                                | Х           | Х       |
| Residual Heat Removal System                      | Х           |         |
| Safety Injection System                           | Х           |         |
| Refueling Water Storage Tank                      | Х           |         |
| Condensate Storage Tank                           | Х           |         |
| Auxiliary Feedwater System                        | Х           |         |
| Emergency Service Water System                    | Х           |         |
| Hydrogen Recombiner or Ignition System            | Х           |         |
| Containment Spray System                          | Х           |         |
| Reactor Coolant Pumps                             | Х           |         |
| Safety-Related Electrical Distribution System     | Х           |         |
| Alternative Off-Site Power                        | Х           |         |
| Emergency Diesel Generators                       | Х           |         |
| Safety-Related Class 1E Battery System            | Х           |         |
| Anticipated Transient Without Scram (ATWS) System | Х           |         |

# Table 1.3-2: Safety Systems and Components Required to Protect the Reactor Core -NuScale Comparison with Other Facilities

#### 1.4 Identification of Agents and Contractors

## 1.4.1 Not Used

#### 1.4.2 Division of Responsibility

NuScale Power, LLC (NuScale) has the overall design responsibility for the NuScale certified design.

#### 1.4.3 Principal Consultants and Other Participants

Fluor Corporation (Fluor) provided the balance of plant design described in the Design Certification Application.

COL Item 1.4-1: A COL applicant that references the NuScale Power Plant design certification will identify the prime agents or contractors for the construction and operation of the nuclear power plant.

#### 1.5 Requirements for Additional Technical Information

This section describes the verification and confirmation tests of unique design features that support the safety analysis for the NuScale Power Plant. The testing program described in this section was developed to provide data to support the final safety analyses.

## 1.5.1 NuScale Testing Programs

The following testing programs have been completed or are currently in progress. The tests focus on design features of the NuScale Power Module (NPM) for which applicable data or operational experience did not previously exist. Tests specific to the NuScale fuel design are summarized in Section 1.5.1.1 and Section 1.5.1.2; tests specific to the steam generator (SG) are summarized in Section 1.5.1.3 and Section 1.5.1.4; tests specific to the control rod assemblies are summarized in Section 1.5.1.6 and Section 1.5.1.7; and tests involving integrated system phenomena are summarized in Section 1.5.1.5.

#### 1.5.1.1 Critical Heat Flux Testing - Preliminary Fuel Design

The NPM employs a fuel design for heat generation that is similar to a standard pressurized water reactor (PWR), with the exception of the fuel assembly height and the reactor coolant driving force. The NuScale fuel is approximately half the height of standard PWR fuel and features low-flow natural circulation of primary coolant rather than pump-driven primary coolant flow. In order to meet fuel licensing requirements, two critical heat flux (CHF) test programs were conducted: (1) a test program described in this section for the preliminary fuel design, and (2) a second test program described in Section 1.5.1.2 for the final fuel design. The preliminary fuel design test program supported code development and safety analysis efforts, and provided data for development of NuScale's NSP2 CHF correlation for the final fuel design.

The NPM reactor core design employs 37 nuclear fuel assemblies. Each assembly is composed of a 17x17 square lattice of fuel rods assembled according to a given rod-to-rod pitch. Each fuel rod is approximately 2 meters in length. Fuel rods are assembled using spacer grids placed at specified locations along the length of the fuel rods such that fuel rods are evenly spaced and adequately supported. Primary coolant enters the NPM reactor core from the bottom through the core inlet plenum and heat transfer to the coolant occurs as coolant travels upward along the length of the fuel assemblies.

In off-normal conditions, such as anticipated operational occurrences and postulated accidents, it must be known how close the heat transfer mode is to transitioning to a state where a continuous steam layer covers the fuel rods or portions of the fuel rods. The point at which this transition occurs is referred to as the CHF point. In order to determine the CHF point for the reduced-length fuel under appropriate flow conditions, a CHF testing program was conducted over a wide range of operating conditions. In these tests, instrumentation was used to measure key test parameters, including: resistance temperature detectors (RTD), thermocouples, pressure transducers, mass flow rate instruments, and electrical voltage and current meters. These sensors were used to measure heater rod temperatures and fluid flow conditions at various points of the fluid loop, and the electrical power supplied to heater rods when CHF occurred. The tests allowed NuScale to obtain fuel bundle subchannel exit

temperatures to determine mixing coefficients and to obtain single-phase and two-phase pressure drop characteristics of the test assembly for a range of bundle powers and hydraulic conditions. All information necessary for CHF correlation development and evaluation was collected.

Testing for the preliminary fuel design CHF test series was performed at Stern Laboratories in Ontario, Canada using their existing high-pressure flow loop, electrical power supplies, heat rejection devices, water conditioning system, and data acquisition system. The test section and fuel simulators were designed and manufactured to represent the preliminary NuScale fuel design. Fuel assembly simulators with different axial power shapes were manufactured along with two sets of spacer grids that allowed testing of a 5x5 fuel assembly simulation bundle with or without the center fuel rod replaced by a guide tube. The approach of using a 5x5 representation of the larger 17x17 fuel assembly is an industry-accepted practice for CHF testing of PWR fuel bundles. The fuel assembly simulation bundles were mounted within a square flow channel and installed in the instrumented test section. This allowed testing of three separate configurations of fuel assembly simulation bundles with different axial flux shapes and fuel assembly subchannels as described in NuScale Power Critical Heat Flux Correlations topical report (Reference 1.5-1).

Tests were performed to envelope a range of bounding conditions and axial power shapes for vertical 5x5 fuel assembly configurations in accordance with the test specification and program documentation, which provided detailed test matrices for steady-state and transient CHF testing, pressure drop, and thermal mixing. The vertical 5x5 fuel assembly configurations were tested using industry-accepted test and acceptance methodology.

The CHF testing was conducted by flowing water over the test sections at discrete test points covering a range of hydraulic conditions sufficient to develop a CHF correlation that spanned the NPM operational envelope. At each test point, the loop was configured for the specified flow, inlet temperature, and exit pressure conditions. The bundle power was increased until CHF was detected, which was indicated by an excursion of the fuel simulator thermocouples. Loop flow conditions (temperature, pressure, and flow), bundle power, rod power, and fuel simulator temperatures were recorded for each run. As-built data for the test section and test article, such as flow channel width, fuel simulator diameters, and spacer grid dimensions, were also recorded.

In conclusion, tests were performed for a variety of thermal conditions using representative 5x5 fuel assembly simulations with a 2-meter heated length, differing axial power profiles, with and without a simulated guide tube. The testing investigated the effects of shorter fuel length and low-flow natural circulation of the primary coolant, and provided data that were used to develop NuScale's NSP2 CHF correlation in support of the NuScale small modular reactor technology. This test program was inspected by the NRC in accordance with Inspection Procedures IP 35034, 35017, and 36100.

## 1.5.1.2 Critical Heat Flux Testing - NuFuel HTP2<sup>™</sup> Fuel Design

The primary objective for this test program was to obtain CHF data for the NuScale fuel design that employs AREVA HMP™/HTP™ spacer grid technology (designated as NuFuel HTP2™) to augment the existing database that was previously obtained for NuScale's preliminary fuel design (described in Section 1.5.1.1). The new data was used to develop NuScale NSP4 CHF correlation and to validate NuScale's NSP2 CHF correlation developed using the preliminary fuel design tests for the NPM application. In addition, this test allowed NuScale to obtain bundle subchannel exit temperatures to determine mixing coefficients, and to collect single-phase and two-phase pressure drop characteristics of the assembly for a range of bundle powers and hydraulic conditions.

The CHF test employed an electrically-heated test section that consisted of a 5x5 simulated fuel bundle built to prototypic geometry and employing AREVA HTP™/HMP™ grid technology. The fuel assembly simulators with different power shapes were tested using a 5x5 fuel bundle with or without the center fuel rod replaced by a guide tube. The testing was conducted by flowing water through the test section at specified flow rates over a range of hydraulic conditions of the NPM. At each test point, the loop was configured for a specified flow rate, inlet temperature, and exit pressure conditions, and the bundle power was increased until CHF was detected over a range of operating conditions and axial power shapes for vertical 5X5 fuel assembly configurations. The occurrence of CHF was indicated by an excursion of the fuel simulator temperatures.

The prototypic fuel design tests were conducted at the AREVA Karlstein Thermal Hydraulics (KATHY) facility located in Karlstein, Germany. The test data was used to validate the applicability of NuScale's NSP2 CHF correlation and to develop the NSP4 correlation for the NuFuel HTP2<sup>™</sup> fuel design.

## 1.5.1.3 Steam Generator Thermal-Hydraulic Performance Testing - Electrically Heated Facility

The NPM incorporates two collocated SGs housed within the reactor pressure vessel. The SGs provide heat transfer to and from the primary system for both normal and off-normal conditions. Through natural circulation, the reactor coolant system transfers the core power to the SG converting feed water into steam. Unlike current PWR designs, the reactor coolant flows around the outside of the SG tubes (primary side) and the feedwater and main steam flow through the inside of the tubes (secondary side). Because these design aspects of the helical SGs are different from those used in the nuclear fleet, operational experience is not available and large-scale experimental data were needed for validation of NuScale thermal-hydraulic systems and design computer codes, as well as determination of SG performance characteristics.

The objective of this testing was to determine the secondary side (inside tube) thermal-hydraulic performance of individual helical tubes representative of those used in the NPM steam generator design. This required testing over a range of conditions representative of the operational envelope. Measurement data were required to evaluate the distribution of temperature and pressure on the inside of the tubes.

The electrically-heated test focused on secondary-side performance and consisted of three isolated tubes that were instrumented with well-controlled boundary conditions. Heating was accomplished using Joule heating, wherein a known electrical current is passed through the tube walls to produce a constant heat flux boundary condition on the inside of the tubes. Three distinctive heating zones were employed to provide different heat fluxes for the subcooled, boiling, and superheat regions. Within each zone the heat flux was constant, which represents a simplification from the heat flux profile that results when fluid heating is employed, as would occur in an operating NuScale SG. This approach enabled tube wall heat flux to be controlled during testing and permitted better access to instrumentation on the outside of the tubing.

The testing was performed at the Societa Informazioni Esperienze Termoidrauliche (SIET) test facility in Piacenza, Italy. Types of testing carried out included adiabatic testing, diabatic testing, transient testing, and density wave oscillation testing. Dynamic pressure measurements were recorded during test runs which supported development of power spectral density spectra that may be used to support evaluation of the potential for internal two-phase (boiling) pressure fluctuations to contribute to flow induced vibration of SG tubes. These data also were used to inform sizing of the SG inlet flow restrictors for stable secondary-side SG operation, to provide benchmarking for NuScale thermal-hydraulic design and systems computer codes, and to define steam outlet conditions as a function of primary heat generation and secondary side conditions. This test program was inspected by the NRC in accordance with Inspection Procedures IP 35034, 35017, and 36100.

## 1.5.1.4 Steam Generator Thermal-Hydraulic Performance Testing - Fluid-Heated Facility

Subsequent to the SG tests described in Section 1.5.1.3 that used three electrically heated SG tubes, a second set of SG tests was conducted using a 252-tube bundle array that was fluid heated on the exterior of the tubes to more accurately represent primary side SG conditions.

The test facility included a large pressure vessel, which was able to accommodate the tube bundle test section and allowed for testing at elevated pressures and temperatures. The test facility included heaters and pumps that provided a span of flow rates at a wide range of thermal-hydraulic conditions. The fluid-heated test focused on overall primary and secondary side performance, and consisted of a bank of 252 helical tubes, modeling five of the 21 helical coil columns, operated at near-prototypic primary- and secondary-flow conditions.

Testing activities were conducted at SIET in Piacenza, Italy using their fluid-heated hydraulic loop. Types of testing carried out included: adiabatic, diabatic, transient, density wave oscillation, and fluid-elastic instability tests. Each type of test consisted of multiple test points covering a range of conditions to characterize the phenomena of interest at various combinations of primary-side and secondary-side pressures, temperatures, and flow rates. In these tests, thermocouples, pressure transducers, mass flow rate instruments, and strain gauges were used to collect temperature, pressure, flow rate, and vibration data at several locations on the primary and secondary sides of the SG. These data have been used to benchmark NuScale thermal-hydraulic design and systems computer codes, and to define steam outlet conditions as a function of primary-fluid heating and secondary-side conditions.

#### 1.5.1.5 NuScale Integral System Test Program

The purpose of the NuScale integral system test program was to generate thermal-hydraulic data for system characterization and safety code validation using a scaled representation of the NPM design. Tests have also informed safety methodology development.

The NuScale Integral System Test Facility (NIST-1) allows NuScale to replicate the integrated thermal-hydraulic phenomenon occurring in the reactor coolant system, containment, safety systems, and reactor pool. Data collected provide system characterization data required for validation of safety-related software, NRELAP5 and PIM. The NRELAP5 code, which is based on a commercial version of RELAP5-3D, is a thermal-hydraulic analysis code developed at NuScale for use in the thermal-hydraulic design, safety analysis, and licensing of the NPM. The code incorporates models and correlations specific to the unique design features of the NPM. The PIM code is a NuScale-developed proprietary code used to assess the stability characteristics of the NPM during operation.

The NIST-1 is a scaled representation of the NPM reactor, containment, and reactor pool systems. It is constructed of stainless steel and has a maximum operating pressure of 1650 psia (11.4 MPa) and temperature of 630 degrees F (605 degrees K). NIST-1 volumes, lengths, and areas are obtained by multiplying the respective NPM design volumes, lengths, active heat transfer areas, and flow areas by the applicable scale factors determined through a detailed scaling analysis. This process ensures the NIST-1 properly captures the important thermal-hydraulic phenomena and processes that would occur in the plant.

A series of tests have been completed at the NIST-1, located on the Oregon State University campus in Corvallis, OR, in support of NuScale's Design Certification Application. These tests include:

- facility characterization tests used to develop the NRELAP5 model of the NIST-1.
- loss-of-coolant accident (LOCA) tests used to validate NRELAP5 for LOCA and containment analyses.
- flow-stability tests used to validate PIM for reactor stability analyses.
- non-LOCA (anticipated operational occurrence) tests used to validate NRELAP5 for non-LOCA analyses.
- long-term cooling tests used to validate NRELAP5 for long term cooling analyses.

Data obtained from the NIST-1 tests identified above have been used to successfully validate the NRELAP5 and PIM codes for LOCA and containment, non-LOCA, flow stability, and long term cooling applications. This test program was inspected by the NRC in accordance with Inspection Procedures IP 35034, 35017, and 36100.

# 1.5.1.6 Control Rod Drive Mechanism Proof Test

The control rod drive mechanism for the NPM contains features that are not common in conventional control rod drive mechanisms: a remote disconnect mechanism and a long control rod drive shaft. A proof-of-concept testing program was conducted to demonstrate the validity of these new designs with regard to performance, reliability, and repeatability of each system. Additional testing to determine misalignment limits is described in Section 1.5.1.7.

Testing was completed at the Curtiss Wright facilities in Cheswick, PA, for both remote connect and remote disconnect operation of the coils. The test setup included a functional drive rod assembly, a prototypic remote disconnect gripper coil, a prototypic remote disconnect gripper latch, a prototypic lift coil, and weights to simulate the control rod assembly (CRA) with a prototypic CRA hub socket.

The remote disconnect mechanism was found to provide a reliable and repeatable method to engage and disengage the CRA within the reactor pressure vessel. This is consistent with the results of the remote operation, lift verification, and manual disengagement testing that was performed.

The tests provided a demonstration of hardware performance, which has been extended to aid in the design of drive rod position detection circuitry. Information gained from this testing has been used as a development tool to improve the design and does not create a design basis for the final control rod drive mechanism.

#### 1.5.1.7 Control Rod Assembly Drop and Control Rod Drive Shaft Alignment Test

The NPM is designed with control rod drive shafts that are longer than conventional PWR designs and have the capability to be remotely disconnected. The control rod drive shafts are aligned using the following multiple-support features:

- control rod drive mechanism nozzles in the reactor vessel head
- integrated steam plenum
- five upper control rod drive shaft supports in the upper riser section
- a control rod drive shaft alignment cone located at the top of the CRA guide tube

The design uses a CRA and fuel-assembly design similar to, but shorter than, traditional operating reactors. The arrangement of a shorter fuel assembly and CRA coupled to a longer control rod drive shaft creates a unique configuration of these components with no operational or testing experience. The CRA-drop and control rod drive shaft-alignment test program was developed to confirm the operability of this unique design.

Testing was completed at the AREVA Technical Center in Erlangen, Germany, and was configured as an ambient pressure and temperature test. The ambient test configuration used a full-length control rod drive shaft coupled with a NPM control rod assembly and fuel assembly, as well as the control rod drive shaft support structures and a CRA guide tube assembly. The CRA guide tube assembly and fuel assembly were immersed in the water under ambient conditions with no coolant flow. During the test, the coupled CRA and control rod drive shaft assembly was dropped using multiple configurations having variations in the alignment of the control rod drive shaft supports and CRA guide tube assembly and a mid-span deflection of the fuel assembly.

Test results confirmed the operability of the control rod drive shafts for a range of potential component conditions and distortions. Test results also confirmed CRA drop time and CRA impact force at end of drop.

#### 1.5.1.8 Emergency Core Cooling System Valve Design Certification Application Demonstration Test

The NPM design utilizes emergency core cooling system (ECCS) valves. The reactor vent valves (RVVs) are located on the reactor vessel pressurizer, and the reactor recirculation valves (RRVs) are located on the reactor vessel downcomer. The RVVs and RRVs are functionally similar in design, however the RVVs are larger than the RRVs. Each of the ECCS valves are pilot operated by remote-mounted trip and reset solenoid valves located at the reactor containment boundary. An inadvertent actuation block (IAB) feature is included in the system, located inside containment on each main valve.

A test program was developed to demonstrate functional performance of the ECCS valve system design including the unique aspects of the design, such as the configuration of the ECCS valve components and the IAB feature. The purpose of this test program was to

- demonstrate the ECCS valves function at reactor operating pressures and temperatures.
- demonstrate the ECCS valves function in borated reactor coolant.

Testing activities were performed at the Curtiss-Wright Valve Group Target Rock facility in Farmingdale, New York, using high pressure and temperature valve test cells. A test article was designed to represent an RRV consisting of the main valve, trip valve, reset valve, and IAB valve components. To represent the NPM design, a pilot-operated main valve was used with an inlet connected to a source able to provide water at reactor operating pressures and temperatures, and an outlet that exhausted to atmosphere. Trip line tubing and a trip valve representative of the NPM design was connected to the main valve to simulate NPM valve actuation performance and potential for boric acid buildup in internal passages. A reset valve was used to pressurize and close the main valve prior to each test run. A representative IAB valve was used in the same functional configuration as the NPM design.

Types of tests carried out included main valve actuation, IAB functionality, and boric acid effects. Boric acid effects testing was performed using a vessel simulating the main valve control chamber in place of the main valve. Boric acid concentrations were selected to bound refueling and operating boron concentrations for the NPM design. Tests were performed through the range of reactor operating pressures and temperatures.

This test program was inspected by the NRC in accordance with Inspection Procedures IP35034.

# 1.5.2 NuScale Test and Inspection Plans

Information on NuScale test and inspection plans related to plant startup testing is provided in Section 14.2.

#### 1.5.3 References

1.5-1 NuScale Power, LLC, "NuScale Power Critical Heat Flux Correlations," TR-0116-21012-P-A, Revision 1.

#### 1.6 Material Referenced

Topical reports and technical reports that are incorporated by reference as part of the NuScale Power Plant Design Certification Application are listed in Table 1.6-1 and Table 1.6-2, respectively.

| opical Report Number Topical Report Title |  | FSAR Section |  |
|---|--|--------------|--|
| NP-TR-1010-859-NP-A, Revision 5           | NuScale Topical Report: Quality Assurance Program        | 17           |  |
|   | Description for the NuScale Power Plant                  |              |  |
| TR-0515-13952-NP-A, Revision 0            | Risk Significance Determination                          | 17, 19       |  |
| TR-0815-16497-P-A, Revision 1             | Safety Classification of Passive Nuclear Power Plant     | 8, 15        |  |
|   | Electrical Systems                                       |              |  |
| TR-1015-18653-P-A, Revision 2             | Design of the Highly Integrated Protection System        | 7, 15        |  |
|   | Platform   |              |  |
| TR-0915-17565-P-A, Revision 4             | Accident Source Term Methodology                         | 15           |  |
| TR-0116-20825-P-A, Revision 1             | Applicability of AREVA Fuel Methodology for the NuScale  | 4            |  |
|   | Design   |              |  |
| TR-0616-48793-P-A, Revision 1             | Nuclear Analysis Codes and Methods Qualification         | 4            |  |
| TR-0516-49417-P-A, Revision 1             | Evaluation Methodology for Stability Analysis of the     | 4            |  |
|   | NuScale Power Module                                     |              |  |
| TR-0516-49422-P-A, Revision 2             | Loss-Of-Coolant Accident Evaluation Model                | 15           |  |
| TR-0915-17564-P-A, Revision 2             | Subchannel Analysis Methodology                          | 4            |  |
| TR-0516-49416-P-A, Revision 3             | Non-Loss-of-Coolant Accident Analysis Methodology        | 15           |  |
| TR-0116-21012-P-A, Revision 1             | NuScale Power Critical Heat Flux Correlations            | 4            |  |
| TR-0716-50350-P-A, Revision 1             | Rod Ejection Accident Methodology                        | 15           |  |
| TR-0716-50351-P-A, Revision 1             | NuScale Applicability of AREVA Method for the Evaluation | 4            |  |
|   | of Fuel Assembly Structural Response to Externally       |              |  |
|   | Applied Forces   |              |  |

Table 1.6-1: NuScale Referenced Topical Reports

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# Table 1.6-2: NuScale Referenced Technical Reports

|            | Report Number                   | Title   | FSAR Section        |
|------------|---------------------------------|---|---------------------|
|            | TR-0116-20781-P,<br>Revision 1  | Fluence Calculation Methodology and Results   | 4.3, 5.3            |
|            | TR-0316-22048-P,<br>Revision 3  | Nuclear Steam Supply System Advanced Sensor Technical Report                              | 7.1, 7.2            |
|            | TR-0416-48929-P,<br>Revision 1  | NuScale Design of Physical Security Systems   | 9.5, 13.6, 14.2, 14 |
|            | TR-0516-49084-P,<br>Revision 3  | Containment Response Analysis Methodology Technical Report                                | 6.2                 |
|            | TR-0616-49121-P,<br>Revision 3  | NuScale Instrument Setpoint Methodology Technical Report                                  | 7.0, 7.2            |
|            | TR-0716-50424-P,<br>Revision 1  | Combustible Gas Control   | 3.8, 6.2            |
|            | TR-0716-50439-P,<br>Revision 2  | NuScale Comprehensive Vibration Assessment Program Analysis Technical Report              | 3.9, 14.2           |
|            | TR-0816-49833-P,<br>Revision 1  | Fuel Storage Rack Analysis  | 3.7, 3.8, 9.1       |
| 1.6-3      | TR-0816-50796-P,<br>Revision 1  | Loss of Large Areas Due to Explosions and Fires Assessment (Security Related Information) | 20.2                |
|            | TR-0816-50797-NP,<br>Revision 3 | Mitigation Strategies for Loss of All AC Power Event                                      | 20.1                |
|            | TR-0816-51127-P,<br>Revision 3  | NuFuel-HTP2 <sup>TM</sup> Fuel and Control Rod Assembly Designs                           | 4.2                 |
|            | TR-0916-51299-P,<br>Revision 3  | Long-Term Cooling Methodology   | 5.4, 6.2, 6.3, 15.0 |
|            | TR-0916-51502-P,<br>Revision 2  | NuScale Power Module Seismic Analysis   | 3.7, 3.12, 3B       |
|            | TR-1015-18177-P,<br>Revision 2  | Pressure and Temperature Limits Methodology   | 5.3                 |
|            | TR-1016-51669-P,<br>Revision 1  | NuScale Power Module Short-Term Transient Analysis  | 3.9                 |
|            | TR-1116-51962-P,<br>Revision 1  | NuScale Containment Leakage Integrity Assurance Technical Report                          | 6.2                 |
| R          | TR-1116-52065-P,<br>Revision 1  | Effluent Release (GALE Replacement) Methodology and Results                               | 11.1, 11.2, 11.3    |
| Revision 5 | RP-0215-10815-P,<br>Revision 3  | Concept of Operations   | 18.7                |

Material Referenced

| Report Number                  | Title   | FSAR Sectio |
|--------------------------------|---|-------------|
| RP-0316-17614-P,               | Human Factors Engineering Operating Experience Review Results Summary Report                              | 18.2        |
| Revision 0                     |   |             |
| RP-0316-17615-P,               | Human Factors Engineering Functional Requirements Analysis and Function Allocation Results Summary Report | 18.3        |
| Revision 0                     |   |             |
| RP-0316-17616-P,               | Human Factors Engineering Task Analysis Results Summary Report  | 18.4        |
| Revision 2                     |   |             |
| RP-0316-17617-P,<br>Revision 0 | Human Factors Engineering Staffing and Qualifications Results Summary Report                              | 18.5        |
| RP-0316-17618-P,               | Human Factors Engineering Treatment of Important Human Actions Results Summary Report                     | 18.6        |
| Revision 0                     |   |             |
| RP-0316-17619-P,               | Human Factors Engineering Human-System Interface Design Results Summary Report                            | 18.7        |
| Revision 2                     |   |             |
| RP-0516-49116-P,               | Control Room Staffing Plan Validation Results   | 18.5        |
| Revision 1                     |   |             |
| RP-0914-8534-P,                | Human Factors Engineering Program management Plan   | 18.1        |
| Revision 5                     |   |             |
| RP-0914-8543-P,                | Human Factors Verification and Validation Implementation Plan   | 18.1        |
| Revision 5                     |   |             |
| RP-0914-8544-P,                | Human Factors Engineering Design Implementation Implementation Plan                                       | 18.11       |
| Revision 4                     |   |             |
| RP-1215-20253-P,               | Control Room Staffing Plan Validation Methodology   | 18.5        |
| Revision 3                     |   | 2.0         |
| TR-0917-56119-P,<br>Revision 1 | CNV Ultimate Pressure Integrity   | 3.8         |
| TR-0918-60894-P,               | NuScale Comprehensive Vibration Assessment Program Measurement and Inspection Plan Technical Report       | 3.9, 14.2   |
| Revision 1                     | Nuscale comprehensive vibration Assessment Program Measurement and inspection Plan Technical Report       | 5.9, 14.2   |
| TR-0818-61384-P,               | Pipe Rupture Hazards Analysis   | 3.6         |
| Revision 2                     |   | 5.0         |
| ES-0304-1381-P,                | Human-System Interface Style Guide  | 18.10       |
| Revision 4                     |   |             |
| RP-1018-61289-P,               | HFE Verification and Validation Results Summary Report  | 18.1        |
| Revision 1                     |   |             |

# Table 1.6-2: NuScale Referenced Technical Reports (Continued)

#### 1.7 Drawings and Other Detailed Information

Where appropriate, simplified instrumentation and controls (I&C), electrical, or mechanical drawings are provided as figures. These figures are used in conjunction with the written text in the associated section to provide visual clarification of design information. Component position indications shown on these figures do not represent a specific operational state unless noted.

#### 1.7.1 Electrical and Instrumentation and Control Drawings

Table 1.7-1 provides a list of I&C functional diagrams and electrical one-line diagrams used in the FSAR.

See Figure 1.7-1a, Figure 1.7-1b, and Figure 1.7-2 for the legends of the symbols and characters used in electrical and I&C diagrams.

COL Item 1.7-1: A COL applicant that references the NuScale Power Plant design certification will provide site-specific diagrams and legends, as applicable.

#### 1.7.2 Piping and Instrumentation Diagrams

Table 1.7-2 provides a list of system drawings used in the FSAR.

See Figure 1.7-3a through Figure 1.7-3f for a legend of the symbols and characters used in piping and instrumentation diagrams.

COL Item 1.7-2: A COL applicant that references the NuScale Power Plant design certification will list additional site-specific piping and instrumentation diagrams and legends as applicable.

| Figure                        | Title  |
|-------------------------------|--|
| Figure 7.0-1                  | Overall Instrumentation and Controls System Architecture Diagram                     |
| Figure 7.0-3                  | Module Protection System Safety Architecture Overview                                |
| Figure 7.0-4                  | Separation Group A Communication Architecture  |
| Figure 7.0-5                  | Separation Group A and Division I Reactor Trip System and Engineered Safety Features |
|                               | Actuation System Communication Architecture  |
| Figure 7.0-6                  | Reactor Trip Breaker Arrangement   |
| Figure 7.0-7                  | Equipment Interface Module Configuration   |
| Figure 7.0-8                  | Equipment Interface Module Output  |
| Figure 7.0-9                  | Pressurizer Trip Breaker Arrangement   |
| Figure 7.0-10                 | Module Protection System Gateway Diagram   |
| Figures 7.0-11a and 7.0-11b   | Module Protection System Power Distribution  |
| Figure 7.0-12                 | Neutron Monitoring System Ex-Core Block Diagram                                      |
| Figure 7.0-13                 | Plant Protection System Block Diagram  |
| Figure 7.0-14                 | Safety Display and Indication System Boundary  |
| Figure 7.0-15                 | Safety Display and Indication Hub  |
| Figure 7.0-16                 | Display Interface Module   |
| Figure 7.0-17                 | Module Control System Internal Functions and External Interfaces                     |
| Figure 7.0-20                 | Plant Control System Internal Functions and External Interfaces                      |
| Figure 8.3-1                  | Station Single Line Diagram  |
| Figures 8.3-2a and 8.3-2b     | 13.8kV and Switchyard System   |
| Figures 8.3-3a and 8.3-3b     | Medium Voltage Alternating Current Electrical Distribution System                    |
| Figures 8.3-4a through 8.3-4z | Low Voltage Alternating Current Electrical Distribution System                       |
| Figures 8.3-5a and 8.3-5b     | Backup Power Supply System   |
| Figure 8.3-6                  | Highly Reliable Direct Current Power System (Common)                                 |
| Figures 8.3-7a and 8.3-7b     | Highly Reliable Direct Current Power System (Module Specific)                        |
| Figures 8.3-8a through 8.3-8f | Normal Direct Current Power System   |
| Figure 11.5-2                 | Process and Effluent Radiation Monitoring System I&C Configuration                   |

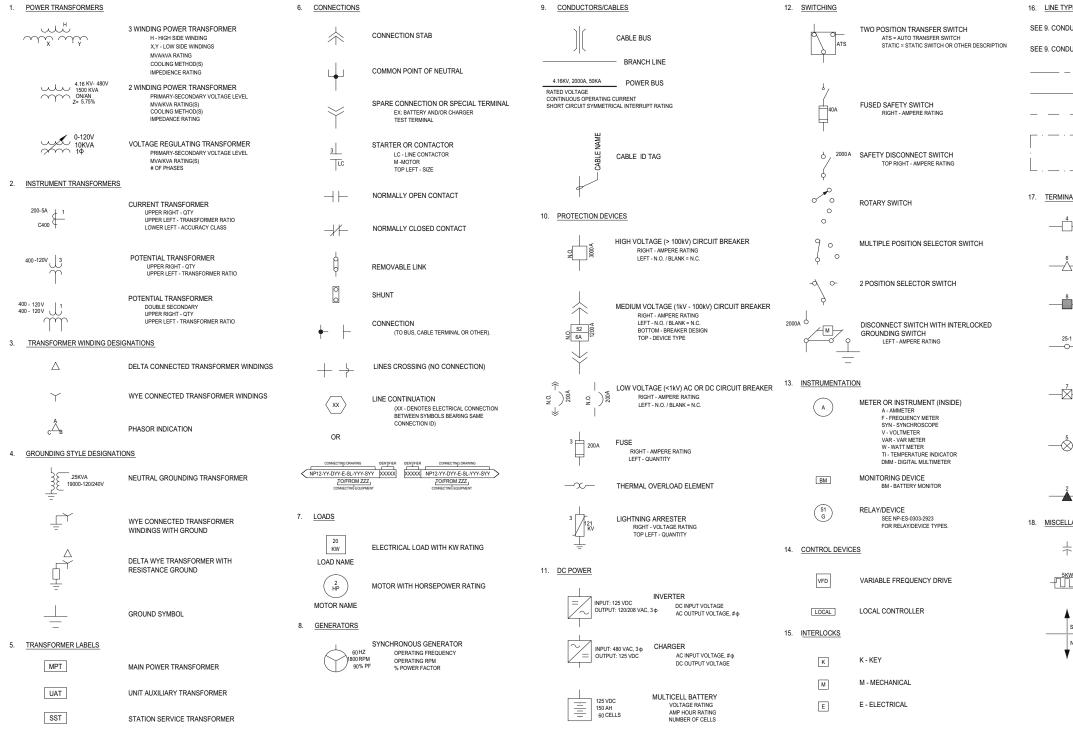
# Table 1.7-1: Instrumentation and Controls Functional and Electrical One-Line Diagrams

| Table | 1.7-2: | System | Drawings |
|-------|--------|--------|----------|
|-------|--------|--------|----------|

| Figure                          | Title  |  |
|---------------------------------|--|--|
| Figure 5.1-2                    | Reactor Coolant System Simplified Diagram                                  |  |
| Figure 5.1-3                    | Reactor Coolant System Schematic Flow Diagram                              |  |
| Figure 5.4-9                    | Steam Generator Simplified Diagram   |  |
| Figure 5.4-10                   | Decay Heat Removal System Simplified Diagram                               |  |
| Figure 6.2-4                    | Containment System Piping and Instrumentation Diagram                      |  |
| Figure 6.2-7                    | Containment Isolation Valve Actuator Hydraulic Schematic                   |  |
| Figure 6.2-8                    | Containment Isolation Valve Hydraulic Skid Schematic                       |  |
| Figure 6.3-1                    | Emergency Core Cooling System  |  |
| Figure 6.3-3                    | Emergency Core Cooling System Valve and Actuator Schematic                 |  |
| Figure 6.4-1                    | Control Room Habitability System Diagram                                   |  |
| Figure 9.1.3-1                  | Spent Fuel Pool Cooling System Diagram                                     |  |
| Figures 9.1.3-2a and 9.1.3-2b   | Reactor Pool Cooling System Diagram  |  |
| Figure 9.1.3-3                  | Pool Cleanup System Diagram  |  |
| Figure 9.1.3-4                  | Pool Surge Control System Diagram  |  |
| Figure 9.2.2-1                  | Reactor Component Cooling Water System Diagram                             |  |
| Figure 9.2.3-1                  | Demineralized Water System Diagram   |  |
| Figure 9.2.5-2                  | Ultimate Heat Sink Qualified Makeup Line and Instrumentation Diagram       |  |
| Figure 9.2.6-1                  | Condensate Storage Facility  |  |
| Figure 9.2.7-1                  | Site Cooling Water System Diagram  |  |
| Figure 9.2.8-1                  | Chilled Water System Diagram   |  |
| Figure 9.2.9-1                  | Utility Water System Diagram   |  |
| Figure 9.3.1-1                  | Instrument Air and Service Air System Diagram                              |  |
| Figure 9.3.1-2                  | Nitrogen Distribution System Diagram                                       |  |
| Figure 9.3.2-1                  | Containment Sampling System Diagram  |  |
| Figure 9.3.3-1                  | Radioactive Waste Drain System Diagram                                     |  |
| Figure 9.3.3-2                  | Balance-of-Plant Drain System Diagram                                      |  |
| Figure 9.3.4-1                  | Chemical and Volume Control System Diagram                                 |  |
|                                 |  |  |
| Figure 9.3.4-2                  | Boron Addition System Diagram  |  |
| Figure 9.3.6-1                  | Containment Evacuation System Diagram                                      |  |
| Figure 9.3.6-2                  | Containment Flooding and Drain System Diagram                              |  |
| Figure 9.4.1-1                  | Control Room Ventilation System Diagram                                    |  |
| Figure 9.4.2-1                  | Reactor Building HVAC System Diagram                                       |  |
| Figure 9.4.3-1                  | Radioactive Waste Building HVAC System Diagram                             |  |
| Figure 9.4.4-1                  | Turbine Building HVAC System Diagram                                       |  |
| Figure 9.5.1-1                  | Fire Protection System Water Supplies and Fire Pumps                       |  |
| Figure 9.5.1-2                  | Fire Protection System Yard Fire Main Loop                                 |  |
| Figure 10.1-1                   | Power Conversion System Block Flow Diagram                                 |  |
| Figure 10.1-2                   | Flow Diagram and Heat Balance Diagram at Rated Power for Steam and Power   |  |
| <b>F</b> ire 10.2.1             | Conversion System Cycle  |  |
| Figure 10.2-1                   | Turbine Generator System Piping and Instrumentation Diagram                |  |
| Figure 10.3-1                   | Main Steam System Piping and Instrumentation Diagram                       |  |
| Figure 10.4-1                   | Main Condenser Piping and Instrumentation Diagram                          |  |
| Figure 10.4-2                   | Condenser Air Removal System Piping and Instrumentation Diagram            |  |
| Figure 10.4-3                   | Circulating Water System Piping and Instrumentation Diagram (Typical of 2) |  |
| Figures 10.4-4a and 10.4-4b     | Auxiliary Boiler System Piping and Instrumentation Diagram                 |  |
| Figures 11.2-1a through 11.2-1j | Liquid Radioactive Waste System Diagram                                    |  |
| Figures 11.3-1a and 11.3-1b     | Gaseous Radioactive Waste System Diagram                                   |  |
| Figure 11.4-1                   | Block Diagram of the Solid Radioactive Waste System                        |  |
| Figure 11.4-2a                  | Process Flow Diagram for Wet Solid Waste                                   |  |
| Figure 11.4-2b                  | Solid Radioactive Waste System Diagram                                     |  |
|                                 |  |  |

| Figure        | Title  |
|---------------|--|
| Figure 11.5-1 | Radioactive Effluent Flow Paths with Process and Effluent Radiation Monitors |
| Figure 11.5-3 | Off-Line Radiation Monitor   |
| Figure 11.5-4 | Adjacent-to-Line Radiation Monitor   |
| Figure 11.5-5 | In-Line Radiation Monitor  |
| Figure 11.5-6 | RBVS Plant Exhaust Stack Effluent Radiation Monitor                          |

# Table 1.7-2: System Drawings (Continued)



# Figure 1.7-1a: Electrical Symbols

SINGLE-LINE DIAGRAM LEGEND

| TYPES           |   |  |
|-----------------|---|--|
| NDUCTORS/CABLES | BRANCH LINE   |  |
| NDUCTORS/CABLES | POWER BUS   |  |
|                 | VOLTAGE LINE POTENTIAL  |  |
|                 | CURRENT LINE  |  |
|                 | RELAY ACTUATION, ALARM OR INTERLC                               | СК   |
| · · · · ·       | INSIDE AN ENCLOSURE   |  |
|                 |   |  |
| INALS           |   |  |
|                 | STARTER COMPARTMENT<br>R DENOTES TERMINAL NUMBER                |  |
|                 | I FIELD TERMINAL BOX<br>ER DENOTES TERMINAL NUMBER              |  |
|                 | I LOCAL CONTROL PANEL<br>ER DENOTES TERMINAL NUMBER             |  |
|                 | I DCS/PLC PANEL<br>R DENOTES TERMINAL NUMBER                    |  |
| MOTOR CON       | I LOW VOLTAGE<br>TROL CENTER (MCC)<br>R DENOTES TERMINAL NUMBER |  |
|                 | I LOW VOLTAGE SWITCH GEAR<br>R DENOTES TERMINAL NUMBER          |  |
|                 | I MEDIUM VOLTAGE SWITCH GEAR<br>R DENOTES TERMINAL NUMBER       |  |
| ELLANEOUS       |   |  |
| ⊥ SURGE CAPA    | ACITOR  |  |
| 5KW ELECTRICAL  | HEATER<br>W RATING  | ABBREVIATIONS  |
|                 | BREAK<br>SAFETY<br>- NONSAFETY                                  | N.O NORMALLY OPEN<br>N.C NORMALLY CLOSED<br>QTY - QUANTITY<br>SWGR - SWITCH GEAR |

# Figure 1.7-1b: Electrical Symbols

|                     |   | LECTRICAL<br>M LEGEND                        |   |   |  |
|---------------------|---|--|---|---|--|
| 19. SWITCHES        |   | 22. ELECTRONICS                              |   | 23. FSAR CHAPTER 8  | SPECIFIC SYMBOLS AND NOTES   |
| T                   | PRESSURE SWITCH (NC)  | <u>20Ω</u>                                   | RESISTOR (FIXED)  |   | CLASS 1E ENCLOSURE   |
| Å                   | PRESSURE SWITCH (NO)  | -2-  | RESISTOR VARIABLE   | ••  | PHYSICAL SEPARATION<br>INTERLOCK CONNECTION  |
| %r fo %o            | TEMPERATURE SWITCH (NO)   | <b></b>                                      | DIODE   | ▲   | ANNOTATION<br>LINE CROSSING<br>(NO CONNECTION)<br>LINE CONTINUTION (SYMBOLS<br>BEARING SAME CONNECTION ID<br>ARE CONNECTION D  |
| T                   | LEVEL SWITCH (NC)   |  | GTO   | <br>▲   | ARE CONNECTED)<br>SHEET REFERENCE<br>LINE DRAWING<br>EXIT  |
| Å                   | LEVEL SWITCH (NO)   |  | SCR   |   |  |
|                     |   | <u>-</u>                                     | THYRISTOR   | M MOTORIZED HIGH<br>VOLTAGE SWITCH  |  |
| To                  | FLOW SWITCH (NC)  | →  | ZENER DIODE   | GENERAL NOTES FOR FIGURES &<br>1. ALL CIRCUIT BREAKERS AN<br>2. ADEQUATE NUMBER OF SPI<br>IN ALL SWITCHGEAR AND M | 3:1-1 THROUGH 8:353<br>BWITCHES ARE NORMALLY CLOSED UNLESS OTHERWISE NOTED.<br>NRE BREAKERS, FUSED SWITCHES, EQUIPPED SPACES, AND BLANKS SPACES<br>CCS WILL BE PROVIDED TO ALLOW FOR FUTURE CHANGES. |
| °_°                 | FLOW SWITCH (NO)  |  | ARC SUPPRESSOR  |   |  |
| ~~e                 | LIMIT SWITCH (NOHC)<br>NORMALLY OPEN HELD CLOSED                                      | 0000   | TERMINAL BOARD  |   |  |
| 000                 | LIMIT SWITCH (NCHO)<br>NORMALLY CLOSED HELD OPEN                                      | ~~   | SOLENOID  |   |  |
| oto                 | TIMER CONTACT (NCTC)<br>NORMALLY CLOSED TIME CLOSED                                   | -0-  | COIL RELAY  |   |  |
|                     | SPEED SWITCH  | 2011<br>2011<br>2011<br>2011<br>2011<br>2011 | MOTOR GENERATOR FIELD<br>CONTROL POWER TRANSFORMER<br>PRIMARY - SECONDARY VOLTAGE LEVEL           |   |  |
| 20. PUSH BUTTONS    |   | RTD  | RESISTANCE TEMPERATURE DETECTOR   |   |  |
| ملم                 | PUSH BUTTON, NORMALLY CLOSED (NC)   | (A) 30<br>480 VAC<br>100 Å                   | WELDING RECEPTACLE  |   |  |
|                     | PUSH BUTTON, NORMALLY OPENED (NO)   |  |   |   |  |
| 00                  | PUSH BUTTON, 2 POSITION   |  |   |   |  |
| <u>-9-6-</u> %-     | PUSH-TO-TEST INDICATING LIGHT   |  |   |   |  |
| 21. INDICATING/ALAF | RM  |  |   |   |  |
| X                   | INDICATING LIGHT<br>A = ANBER, B = BLUE<br>C = CLEAR, G = GREEN<br>R = RED, W = WHITE |  | ABBREVIATIONS<br>DCS - DISTRIBUTED CONTROL SYSTEM<br>GTO - GATE TURN OFF<br>NO - NORMALLY OPEN    |   |  |
| -Q-                 | HORN  |  | NC - NORMALLY CLOSED<br>PLC - PROGRAMMABLE LOGIC CONTROLLER<br>SCR - SILICON CONTROLLED RECTIFIER |   |  |

| SYMBOL  | LOGIC FUNCTION  | DESCRIPTION  | <u>SYMBOL</u>   | LOGIC FUNCTION          | DESCRIPTION   |
|---|---|--|---|-------------------------|---|
| * <b>`</b> *  | OR  | THE OR GATE OUTPUT IS TRUE IF ANY<br>INPUT IS TRUE.  | ↓<br>f(x)<br>↓  | UNSPECIFIED<br>FUNCTION | THE OUTPUT VALUE IS A NONLINEAR OR<br>UNSPECIFIED FUNCTION OF THE INPUT.<br>THE FUNCTION IS DEFINED IN A NOTE OR<br>OTHER TEXT. |
|   | AND   | THE AND GATE OUTPUT IS TRUE ONLY<br>IF ALL INPUTS ARE TRUE.  | $\xrightarrow{(+)} \sum_{\downarrow} \overleftarrow{(-)}$ | SUMMATION               | THE OUTPUT VALUE IS THE ALGEBRAIC SUM<br>OF THE INPUTS.   |
| $\begin{array}{c} \bullet \bullet \bullet \bullet \\ \hline 2/4 \\ \bullet \end{array}$ | COINCIDENT LOGIC  | THE AND GATE OUTPUT IS TRUE ONLY IF<br>2 OUT OF 4 INPUTS, OR MORE, ARE<br>TRUE.  |   |                         |   |
| <b>→</b><br>↓   | NOT   | THE NOT GATE OUTPUT IS FALSE IF THE<br>INPUT IS TRUE. THE OUTPUT IS TRUE IF<br>THE INPUT IS FALSE.   |   |                         |   |
|   | TIME DELAY  | A FUNCTION WHICH PRODUCES AN OUTPUT<br>FOLLOWING A PRESET TIME DELAY AFTER<br>RECEIVING AN INPUT. IF THE INPUT CHANGES<br>FROM TRUE TO FALSE BEFORE THE PRESET<br>TIME DELAY ELAPSES, THEN THE OUTPUT<br>REMAINS FALSE |   |                         |   |
|   | ELECTRONIC BISTABLE<br>OUTPUT<br>INDICATOR  | BISTABLE OUTPUT IS A LOGIC "1"<br>WHEN THE MEASURED VARIABLE IS<br>GREATER THAN THE SETPOINT VALUE.  |   |                         |   |
| PS<br>LS<br>TS<br>NS<br>FS<br>VS  | PRESSURE BISTABLE<br>LEVEL BISTABLE<br>TEMPERATURE BISTABLE<br>NEUTRON FLUX BISTABLE<br>FLOW BISTABLE<br>VOLTAGE BISTABLE | BISTABLE OUTPUT IS A LOGIC "1"<br>WHEN THE MEASURED VARIABLE IS<br>LESS THAN THE SETPOINT VALUE.   |   |                         |   |
| A   | SEPARATION GROUP (SG)   | ONE OF FOUR REDUNDANT SEPARATION<br>GROUPS (SGS) SGS ARE IDENTIFIED AS<br>A, B, C, OR D.   |   |                         |   |
| HS  | MOMENTARY<br>HAND SWITCH  | MOMENTARY HAND SWITCH LOCATED IN<br>THE MAIN CONTROL ROOM .  |   |                         |   |
| HS H  | MAINTAINED-POSITION<br>HAND SWITCH  | MAINTAINED-POSITION HAND SWITCH LOCATED IN THE MAIN CONTROL ROOM .   |   |                         |   |
| 0   | STATUS INDICATION   | MAIN CONTROL ROOM INDICATION OF<br>SYSTEM TRIP/ACTUATION STATUS.   |   |                         |   |
| (P)   | STATUS INDICATION       O     LIT       ACTIVE       MOT LIT       NOT ACTIVE   | MAIN CONTROL ROOM INDICATION OF<br>OPERATIONAL BYPASS PERMISSIVE<br>STATUS.  |   |                         |   |
| à   | ANNUNCIATOR   | MAIN CONTROL ROOM ANNUNCIATOR<br>FOR SYSTEM TRIP/ACTUATION<br>STATUS.  |   |                         |   |
| A   | ANNUNCIATOR   | MAIN CONTROL ROOM FIRST OUT<br>ANNUNCIATOR FOR SYSTEM TRIP/<br>ACTUATION STATUS.   |   |                         |   |
| XS  | MAINTAINENCE/TEST<br>SWITCH   | LOCALLY MOUNTED SWITCH FOR<br>MAINTENANCE AND TESTING.   |   |                         |   |
| OPEN CLOSE  | MCS MANUAL CONTROL<br>INTERFACE   | MCS MOMENTARY MANUAL CONTROL<br>INTERFACE LOCATED IN THE MAIN<br>CONTROL ROOM FOR NONSAFETY<br>CONTROL OF MPS ACTUATED EQUIPMENT.  |   |                         |   |

# Figure 1.7-2: Instrumentation and Controls Symbol Legend

|                  | VALVES  | 3             |  |                           | FIRE & SAFETY                            |          | SPECIAL                      | TY ITEMS |                      | FITTINGS/MISC   | DRAIN                                      |                      |  |
|------------------|---|---------------|--|---------------------------|--|----------|------------------------------|----------|----------------------|-----------------|--|----------------------|--|
| -1001-           | BALL VALVE  | -1747-        | NEEDLE VALVE                                 | $\bigotimes_{\leftarrow}$ | FIRE HYDRANT                             |          | FLAME ARRESTOR               | J        | LOOP SEAL            |                 | CONCENTRIC REDUCER                         | REPRESENT            | & DRAINS ON THE<br>" A 0.75" NORMAL<br>CEPTIONS MUST I |
| -133-            | THREE-WAY BALL VALVE<br>(NOTE 1)                    |               | KNIFE GATE VALVE                             | Ŵ                         | FIRE HYDRANT W/HOSE HOUSE                | 0        | HAMMER ARRESTOR              | $\Box$   | OIL SEPARATOR        |                 | ECCENTRIC REDUCER                          |                      | CLOSEI   |
| -13-             | FOUR-WAY, FOUR-PORTED<br>BALL VALVE                 | - <u> </u>  - | SLIDE VALVE                                  | L ا                       | FREEZE PROOF YARD HYDRANT                | -        | EXPANSION JOINT              |          | EDUCTOR              | D               | CAP (BUTT WELD)                            |                      | OR DRA<br>XXXX =                                       |
| ₽¥               | (NOTE 1)  |               |  |                           | FREEZE PROOF HOSE VALVE                  | 0        | HINGED EXPANSION JOINT       |          | EJECTOR              |                 | SCREWED END                                |                      | ABBRE  |
| ->               | STRAIGHT GLOBE VALVE                                |               | THREE-WAY SLIDE VALVE                        |                           | KEY OPERATED VALVE                       | 0        | SWIVEL JOINT                 | Ø        | INLINE SIGHT GLASS   | ]-              | HOSE CONNECTION                            |                      | OPEN V<br>OR DRA                                       |
| 4                | ANGLED GLOBE VALVE                                  | . 10.         |  |                           | POST INDICATOR VALVE<br>W/ TAMPER SWITCH | l H      | SINGLE BASKET STRAINER       | -8       | BREATHER VENT        | []              | CAPPED HOSE CONNECTION                     | TO XXXX              | ABBRE  |
|                  | THREE-WAY GLOBE VALVE<br>(NOTE 1)                   |               | FLOAT VALVE                                  | AR                        |  | 181      | DUPLEX BASKET STRAINER       |          | SPRAY NOZZLE         | -               | FLANGE                                     |                      | FLOOR DRAIN  |
| -12              | SAFETY ANGLE VALVE / GENERIC<br>TWO-WAY ANGLE VALVE | -1×4-         | Y BLOWDOWN VALVE                             |                           | AIR RELEASE VALVE                        | Hey I    | SIMPLEX BASKET STRAINER      | Т        | STEAM TRAP           |                 | BLIND FLANGE                               |                      |  |
|                  | THREE-WAY GATE VALVE / GENERIC<br>THREE-WAY VALVE   | <br>Ar−       | ANGLE BLOWDOWN VALVE                         | HZH                       | DRY PIPE VALVE                           |          | SUMP STRAINER                |          | IN-LINE-MIXER        | $\triangleleft$ | REDUCING FLANGE                            | $  \bigtriangledown$ | DRAIN FUNNE  |
|                  | (NOTE 1)  | -120-         | AUTOMATIC RECIRCULATION VALVE                |                           | DELUGE VALVE                             | Ĭ        | T-STRAINER                   |          | MIXING TEE           | 8               | CLOSED SPECTACLE FLANGE                    |                      | CLEAN OUT  |
|                  | FOUR-WAY, FOUR-PORTED GATE VALVE                    | ակա           |  |                           | FOAM CHAMBER                             |          | STARTUP STRAINER             |          | IN-LINE SILENCER     |                 |  |                      | DRAIN  |
| ۳ <del>4</del> 7 | GENERIC FOUR-WAY VALVE (NOTE 1)                     | -124-         | VALVE STEM EXTENDED THROUGH<br>SHIELDED WALL | l 🗄                       | HOSE RACK STATION                        |          | SCREEN STRAINER              |          | VENT SILENCER        | P               | OPEN SPECTACLE FLANGE                      |                      |  |
|                  | GATE VALVE / GENERIC TWO-WAY<br>VALVE               | -             | BACKFLOW PREVENTER                           |                           |  |          | CONE STRAINER                | s'       | IN-LINE SAMPLER      | •               | SINGLE BLIND                               | Y Y                  | GATE VALVE,  |
|                  | - FOUR-WAY, FIVE-PORTED VALVE<br>(NOTE 1)           | ¥             | FOOT VALVE                                   | 9                         | HOSE REEL                                |          | CONE STRAINER                | 4        | ISOKINETIC SAMPLER   | Ŷ               | RING SPACER                                | <b>▲</b>             |  |
|                  | BUTTERFLY VALVE                                     | -=>-          | EXTENDED BODY GATE VALVE                     | A                         | FIRE MONITOR                             |          | TEMPORARY STRAINER           |          | PULSATION DAMPENER   | 8 8             | OPEN & CLOSED SPECTACLE                    |                      |  |
| -)00-            | BUTTERFLY VALVE NC                                  |               | HOSE VALVE                                   |                           | ELEVATED FIRE MONITOR                    |          | VENT                         |          | SIPHON               | ĬĹĬĹ            | FLANGES WITH PIPE FLANGES                  | ♣                    | GATE VALVE,  |
| -223-            | PLUG VALVE  |               | ANGLED HOSE VALVE                            |                           | REMOTELY OPERATED FIRE                   | <u>7</u> | VACUUM BREAKER<br>Y-STRAINER |          |                      | •               | SINGLE BLIND WITH PIPE                     | ↓                    |  |
| -                | THREE-WAY PLUG VALVE                                | T             |  | Γ <sup>Δ</sup>            | MONITOR                                  |          | T-STRAINER                   |          | CIRCUIT SETTER       |                 | FLANGES                                    |                      | BALL VALVE, F  |
| 1                | (NOTE 1)<br>FOUR-WAY PLUG VALVE                     |               |  | ,                         | FOAM MONITOR                             |          | FILTER                       | ਧ        | AUTOMATIC VENT VALVE |                 |  |                      | BALL VALVE, F  |
| -                | (NOTE 1)  |               |  |                           | ELEVATED FOAM MONITOR                    | ******   | DISTRIBUTOR                  | 1        | BREAK POT            | h.              | FLEXIBLE HOSE                              |                      |  |
| -235-            | ECCENTRIC ROTARY DISC VALVE                         |               |  |                           |  |          | INLET AIR FILTER             | ¦        | - ORIFICE PLATE      | $\neg$          |  |                      | ) skimmer  |
| -9-              | DIAPHRAGM VALVE                                     |               |  | ļ Ţ                       | REMOTELY OPERATED FOAM<br>MONITOR        | HRS-     | REMOVABLE SPOOL              | T        | TEST PORT            |                 | UNION                                      |                      |  |
| -\               | DIAPHRAGM VALVE                                     |               |  | r -                       | SAFETY SHOWER                            |          | MECHANICAL COUPLING          |          | FLOW NOZZLE          | -00-            | DIELECTRIC UNION                           |                      |  |
| -8-              | PINCH VALVE   |               |  | L<br>F                    |  | Г        | DRIP LEG                     |          |                      | -[]-            | DIELECTRIC FLANGE                          |                      |  |
| -1221-           | BELLOWS SEALED VALVE                                |               |  | L.                        | SAFETY SHOWER W/ EYE WASH                | Ϋ́       |                              | I        | PITOT TUBE AVERAGING |                 | WALL PENETRATION<br>ROOF, FLOOR, OR GROUND |                      |  |
|                  | CHECK VALVE   |               |  | $\bowtie$                 | EYE WASH                                 |          | EXHAUST VENT                 |          | - BALL JOINT         | Ψ               | PENETRATION                                |                      |  |
|                  | CHECK VALVE WITH 3/32<br>ORIFICE IN CLAPPER         |               |  | <u> </u>                  | PRE-ACTION SPRINKLER                     |          | FREE VENT WITH SCREEN        |          |                      | 저               | SWING ELBOW                                |                      |  |
|                  | STOP CHECK VALVE                                    |               |  |                           | SPRAY SPRINKLER                          |          | FREE VENT WITHOUT SCREEN     |          | _ RUPTURE DISK       |                 |  |                      |  |
| -5-              | WAFER CHECK VALVE                                   |               |  |                           | WET SPRINKLER                            | E        |                              |          |                      |                 |  |                      |  |
| - <b>↑</b> \-    | TILTING DISC CHECK VALVE                            |               |  | Q <sub>AM</sub><br>□      | BUTTERFLY VALVE                          |          | SAMPLE COOLER                | s        | SAMPLER              |                 |  |                      |  |
| <u></u> ∠⊢       | ANGLE CHECK VALVE                                   |               |  | I N                       | W/ TAMPER SWITCH                         |          | SPRAY DESUPERHEATER          |          |                      |                 |  |                      |  |
| -17-             | LIFT CHECK VALVE                                    |               |  |                           | GATE VALVE<br>W/ TAMPER SWITCH           |          | DESUPERHEATER                |          |                      |                 |  |                      |  |
|                  | EXCESS FLOW CHECK VALVE                             |               |  |                           |  |          | PACKED BED                   |          |                      |                 |  |                      |  |
| -14-             | STEM LEAK-OFF VALVE                                 |               |  |                           |  | 641254   |                              |          |                      |                 |  |                      |  |
|                  |   |               |  |                           |  |          |                              |          |                      |                 |  |                      |  |
| $\bowtie$        | TRIPLE DUTY VALVE                                   |               |  |                           |  |          |                              |          |                      |                 |  |                      |  |

# Figure 1.7-3a: Piping and Instrumentation Diagram Legends

#### Drawings and Other Detailed Information

INS ON THE P&ID ORMALLY CLOSED MUST BE NOTED ON

LOSED VENT R DRAIN XXX = SYSTEM BBREVIATION

PEN VENT R DRAIN XXX = SYSTEM 3BREVIATION

RAIN

JNNEL

LVE, FLANGED

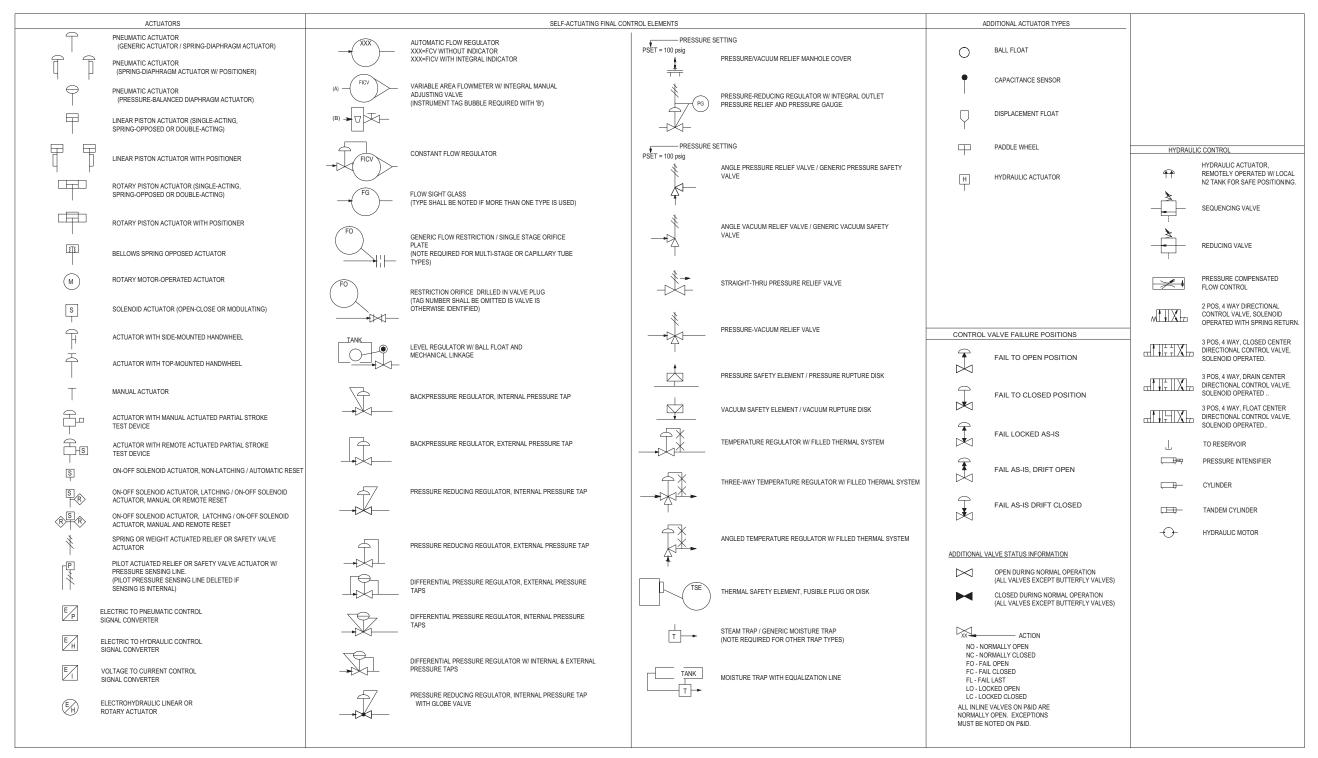
LVE, PLUGGED

LVE, FLANGED

LVE, PLUGGED

NOTES:

1. ARROW INDICATES FAILURE OR UNACTUATED FLOW PATH.



#### Figure 1.7-3b: Piping and Instrumentation Diagram Legends

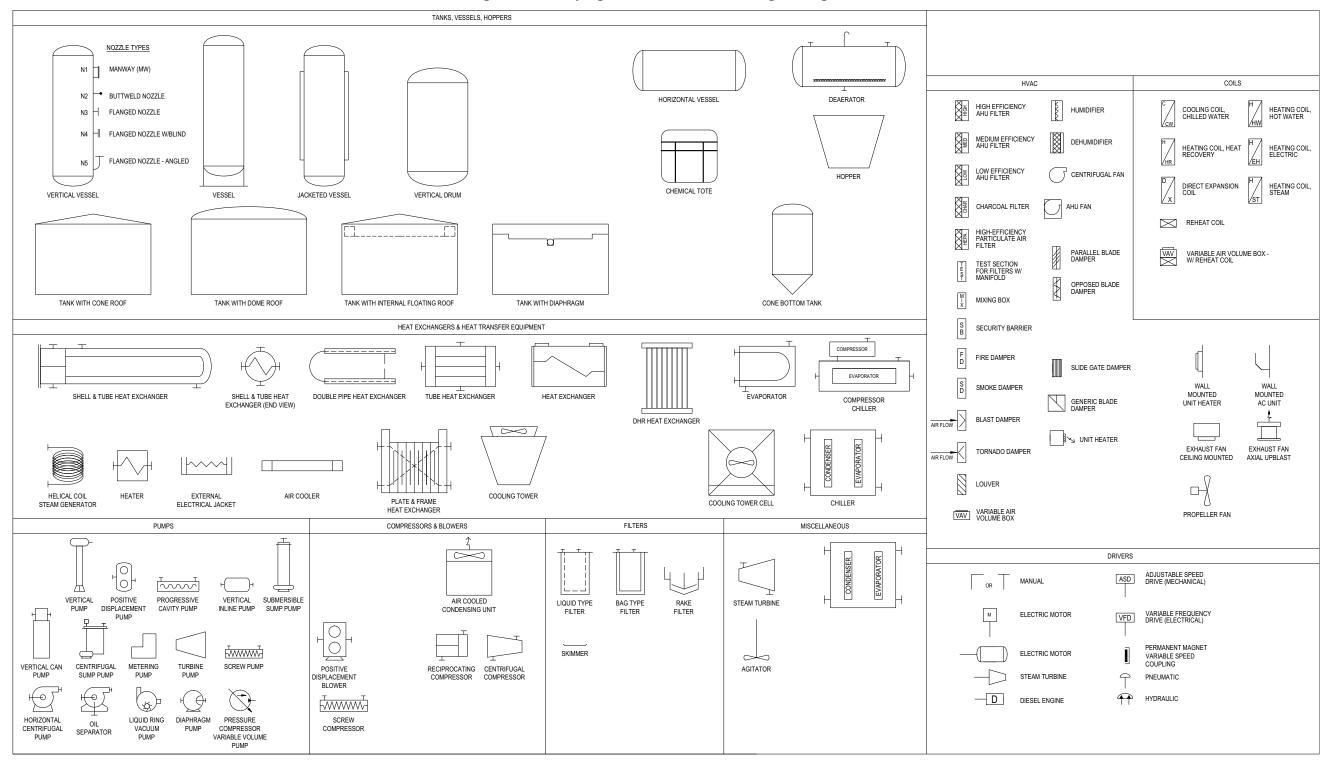
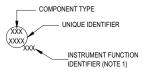


Figure 1.7-3c: Piping and Instrumentation Diagram Legends

|   |   | INSTRUMENTATION DEV              | VICE AND FUNCTION SYMBOLS (N | OTE 2)  |
|---|---|----------------------------------|------------------------------|---|
| SHARED DISPLAY,                                   | SHARED CONTROL                                    |                                  |                              |   |
| PRIMARY CHOICE OR BASIC<br>PROCESS CONTROL SYSTEM | ALTERNATE CHOICE OR SAFETY<br>INSTRUMENTED SYSTEM | COMPUTER SYSTEMS<br>AND SOFTWARE | DISCRETE                     | LOCATION AND ACCESSIBILITY  |
|   |   | $\bigcirc$                       |                              | LOCATED IN FIELD     NOT PANEL, CABINET OR CONSOLE MOUNTED     VISIBLE AT FIELD LOCATION     NORMALLY OPERATOR ACCESSIBLE   |
|   |   | $\bigcirc$                       | $\bigcirc$                   | LOCATED IN OR ON FRONT OF CONTROL OR MAIN PANEL OR CONSOLE     VISIBLE ON FRONT OF PANEL OR ON VIDEO DISPLAY     NORMALLY OPERATOR ACCESSIBLE AT PANEL FRONT OR CONSOLE                     |
|   |   | <>                               |                              | LOCATED IN REAR OF CENTRAL OR MAIN PANEL     LOCATED IN CABINET BEHIND PANEL     NOT VISIBLE ON FRONT OF PANEL OR ON VIDEO DISPLAY     NOT NORMALLY OPERATOR ACCESSIBLE AT PANEL OR CONSOLE |
|   |   | $\bigcirc$                       | $\bigcirc$                   | LOCATED IN OR ON FRONT OF SECONDARY OR LOCAL PANEL OR CONSOLE     VISIBLE ON FRONT OF PANEL OR ON VIDEO DISPLAY     NORMALLY OPERATOR ACCESSIBLE AT PANEL FRONT OR CONSOLE                  |
|   |   | <>                               |                              | LOCATED IN REAR OF SECONDARY OR LOCAL PANEL     LOCATED IN FIELD CABINET     NOT VISIBLE ON FRONT OF PANEL OR ON VIDEO DISPLAY     NOT NORMALLY OPERATOR ACCESSIBLE AT PANEL OR CONSOLE     |

## Figure 1.7-3d: Piping and Instrumentation Diagram Legends

INSTRUMENT TAGGING



|                  |                                   |               |       |                   | TYPI       | CAL INSTRU  | MENT COM | PONENT COM  | BINATIONS  |             |                      |                    |               |                  |                  |                  |                       | - | F |  |         |  |  |   |  |
|------------------|-----------------------------------|---------------|-------|-------------------|------------|-------------|----------|-------------|------------|-------------|----------------------|--------------------|---------------|------------------|------------------|------------------|-----------------------|---|---|--|---------|--|--|---|--|
|                  |                                   | CONTROLLERS D |       |                   |            | CONTROLLERS |          | CONTROLLERS |            | CONTROLLERS |                      |                    | AND ALAR      | M DEVICES*       | TRANSMI          | TTER             | SOLENOIDS,<br>RELAYS, |   |   |  | VIEWING |  |  | ŀ |  |
| FIRST<br>LETTERS | INDICATING OR MEASURABLE VARIABLE | INDICATING    | BLIND | CONTROL<br>VALVES | INDICATING | HIGH**      | LOW**    | COMB**      | INDICATING | BLIND       | COMPUTING<br>DEVICES | PRIMARY<br>ELEMENT | TEST<br>POINT | WELL OR<br>PROBE | DEVICE,<br>GLASS | SAFETY<br>DEVICE | FINAL<br>ELEMENT      |   |   |  |         |  |  |   |  |
| А                | ANALYSIS                          | AIC           | AC    |                   | AI         | ASH         | ASL      | ASHL        | AIT        | AT          | AY                   | AE                 | AP            | AW               |                  |                  | AV                    | A | - |  |         |  |  |   |  |
| В                | BURNER/COMBUSTION                 | BIC           | BC    |                   | BI         | BSH         | BSL      | BSHL        | BIT        | BT          | BY                   | BE                 |               | BW               | BG               |                  | BZ                    |   | - |  |         |  |  |   |  |
| С                | USER'S CHOICE                     |               |       |                   |            |             |          |             |            |             |                      |                    |               |                  |                  |                  |                       | C | - |  |         |  |  |   |  |
| D                | USER'S CHOICE                     |               |       |                   |            |             |          |             |            |             |                      |                    |               |                  |                  |                  |                       | E | - |  |         |  |  |   |  |
| E                | VOLTAGE                           | EIC           | EC    |                   | El         | ESH         | ESL      | ESHL        | EIT        | ET          | EY                   | EE                 |               |                  |                  |                  | EZ                    |   | - |  |         |  |  |   |  |
| F                | FLOW RATE                         | FIC           | FC    | FCV               | FI         | FSH         | FSL      | FSJ         | FIT        | FT          | FY                   | FE                 | FP            |                  | FG               |                  | FV                    |   | + |  |         |  |  |   |  |
| FQ               | FLOW QUANTITY                     | FQIC          |       |                   | FQI        | FQSH        | FQSL     |             | FQIT       | FQT         | FQY                  | FQE                |               |                  |                  |                  | FQV                   | н | H |  |         |  |  |   |  |
| FF               | FLOW RATIO                        | FFIC          | FFC   |                   | FFI        | FFSH        | FFSL     |             |            |             |                      |                    |               |                  |                  |                  |                       |   |   |  |         |  |  |   |  |
| G                | USER'S CHOICE                     |               |       |                   |            |             |          |             |            |             |                      |                    |               |                  |                  |                  |                       | J | F |  |         |  |  |   |  |
| Н                | HAND                              | HIC           | НС    |                   |            |             |          | HS          |            |             |                      |                    |               |                  |                  |                  | HV                    | K |   |  |         |  |  |   |  |
| 1                | CURRENT                           | IIC           |       |                   | Ш          | ISH         | ISL      | ISHL        | IIT        | п           | IY                   | IE                 |               |                  |                  |                  | IZ                    | M |   |  |         |  |  |   |  |
| J                | POWER                             | JIC           |       |                   | JI         | JSH         | JSL      | JSHL        | JIT        | JT          | JY                   | JE                 |               |                  |                  |                  | JZ                    |   | - |  |         |  |  |   |  |
| К                | TIME                              | KIC           | КС    | KCV               | KI         | KSH         | KSL      | KSHL        | KIT        | кт          | KY                   | KE                 |               |                  |                  |                  | КZ                    | 0 | - |  |         |  |  |   |  |
| L                | LEVEL                             | LIC           | LC    | LCV               | LI         | LSH         | LSL      | LSHL        | LIT        | LT          | LY                   | LE                 |               | LW               | LG               |                  | LV                    | Р | T |  |         |  |  |   |  |
| М                | USER'S CHOICE                     |               |       |                   |            |             |          |             |            |             |                      |                    |               |                  |                  |                  |                       | Q | - |  |         |  |  |   |  |
| N                | USER'S CHOICE                     |               |       |                   |            |             |          |             |            |             |                      |                    |               |                  |                  |                  |                       | R | - |  |         |  |  |   |  |
| 0                | USER'S CHOICE                     |               |       |                   |            |             |          |             |            |             |                      |                    |               |                  |                  |                  |                       | S | - |  |         |  |  |   |  |
| Р                | PRESSURE/VACUUM                   | PIC           | PC    | PCV               | PI         | PSH         | PSL      | PSHL        | PIT        | PT          | PY                   | PE                 | PP            |                  |                  | PSV              | PV                    | U |   |  |         |  |  |   |  |
| PD               | PRESSURE, DIFFERENTIAL            | PDIC          | PDC   | PDCV              | PDI        | PDSH        | PDSL     |             | PDIT       | PDT         | PDY                  | PDE                | PDP           |                  |                  | PSE              | PDV                   | v | + |  |         |  |  |   |  |
| Q                | QUANTITY                          | QIC           |       |                   | QI         | QSH         | QSL      | QSHL        | QIT        | QT          | QY                   | QE                 |               |                  |                  |                  | QZ                    | W |   |  |         |  |  |   |  |
| R                | RADIATION                         | RIC           | RC    |                   | RI         | RSH         | RSL      | RSHL        | RIT        | RT          | RY                   | RE                 |               | RW               |                  |                  | RZ                    | X | ι |  |         |  |  |   |  |
| S                | SPEED/FREQUENCY                   | SIC           | SC    | SCV               | SI         | SSH         | SSL      | SSHL        | SIT        | ST          | SY                   | SE                 |               |                  |                  |                  | SV                    |   | 1 |  |         |  |  |   |  |
| Т                | TEMPERATURE (NOTE 2)              | TIC           | TC    | TCV               | TI         | TSH         | TSL      | TSHL        | TIT        | TT          | TY                   | TE                 | TP            | TW               |                  | TSE              | TV                    | Z |   |  |         |  |  |   |  |
| TD               | TEMPERATURE. DIFFERENTIAL         | TDIC          | TDC   | TDCV              | TDI        | TDSH        | TDSL     |             | TDIT       | TDT         | TDY                  | TDE                | TDP           | TDW              |                  |                  | TCV                   |   |   |  |         |  |  |   |  |
| U                | MULTI VARIABLE                    |               |       |                   | UI         |             |          |             |            |             |                      |                    |               |                  |                  |                  | UV                    |   | - |  |         |  |  |   |  |
| V                | VIBRATION/MACHINERY ANALYSIS      |               |       |                   | VI         | VSH         | VSL      | VSHL        | VIT        | VT          | VY                   | VE                 |               |                  |                  |                  |                       |   |   |  |         |  |  |   |  |
| W                | WEIGHT/FORCE                      | WIC           | WC    | WCV               | WI         | WSH         | WSL      | WSHL        | WIT        | WT          | WY                   | WE                 |               |                  |                  |                  | WZ                    |   |   |  |         |  |  |   |  |
| WD               | WEIGHT/FORCE, DIFFERENTIAL        | WDIC          | WDC   | WDCV              | WDI        | WDSH        | WDSL     |             | WDIT       | WDT         | WDY                  | WDE                |               |                  | <u> </u>         |                  | WDZ                   |   |   |  |         |  |  |   |  |
| Х                | UNCLASSIFIED                      |               |       |                   |            |             |          |             |            |             |                      |                    |               |                  |                  |                  | XZ                    |   |   |  |         |  |  |   |  |
| Y                | EVENT/STATE/PRESENCE              | YIC           | YC    |                   | YI         | YSH         | YSL      |             |            | YT          | YY                   | YE                 |               |                  |                  |                  | YZ                    |   |   |  |         |  |  |   |  |
| Z                | POSITION                          | ZIC           | ZC    | ZCV               | ZI         | ZSH         | ZSL      | ZSHL        | ZIT        | ZT          | ZY                   | ZE                 |               |                  |                  |                  | ZV                    |   |   |  |         |  |  |   |  |
| ZD               | GAUGING/DEVIATION                 | ZDIC          | ZDC   | ZDCV              | ZDI        | ZDSH        | ZDSL     |             | ZDIT       | ZDT         | ZDY                  | ZDE                |               |                  |                  |                  | ZDV                   |   |   |  |         |  |  |   |  |

|   |                                | INSTRUMENT                            | TATION IDENTIFICATION LETTERS (NOTE 3) |  |                      |
|---|--------------------------------|---------------------------------------|--|--|----------------------|
|   | FIRST LETTI                    | ERS                                   | SI                                     | JCCEEDING LETTERS  |                      |
|   | COLUMN 1                       | COLUMN 2                              | COLUMN 3                               | COLUMN 4   | COLUMN 5             |
|   | MEASURED/INITIATING VARIABLE   | VARIABLE<br>MODIFIER                  | READOUT/PASSIVE<br>FUNCTION            | OUTPUT/ACTIVE<br>FUNCTION                                  | FUNCTION<br>MODIFIER |
| А | ANALYSIS                       |                                       | ALARM                                  |  |                      |
| В | BURNER, COMBUSTION             |                                       | USER'S CHOICE                          | USER'S CHOICE  | USER'S CHOICE        |
| С | USER'S CHOICE                  |                                       |  | CONTROL  | CLOSE                |
| D | USER'S CHOICE                  | DIFFERENCE, DIFFERENTIAL              |  |  | DEVIATION            |
| Е | VOLTAGE                        |                                       | SENSOR, PRIMARY ELEMENT                |  |                      |
| F | FLOW, FLOW RATE                | RATIO                                 |  |  |                      |
| G | USER'S CHOICE                  |                                       | GLASS, GAUGE, VIEWING DEVICE           |  |                      |
| Н | HAND                           |                                       |  |  | HIGH                 |
| L | CURRENT                        |                                       | INDICATE                               |  |                      |
| J | POWER                          |                                       | SCAN                                   |  |                      |
| Κ | TIME, SCHEDULE                 | TIME RATE OF CHANGE                   |  | CONTROL STATION  |                      |
| L | LEVEL                          |                                       | LIGHT                                  |  | LOW                  |
| М | USER'S CHOICE                  |                                       |  |  | MIDDLE, INTERMEDIATE |
| Ν | USER'S CHOICE                  |                                       | USER'S CHOICE                          | USER'S CHOICE  | USER'S CHOICE        |
| 0 | USER'S CHOICE                  |                                       | ORIFICE, RESTRICTION                   |  | OPEN                 |
| Р | PRESSURE                       |                                       | POINT (TEST CONNECTION)                |  |                      |
| Q | QUANTITY                       | INTEGRATE, TOTALIZE                   | INTEGRATE, TOTALIZE                    |  |                      |
| R | RADIATION                      |                                       | RECORD                                 |  | RUN                  |
| S | SPEED, FREQUENCY               | SAFETY                                |  | SWITCH   | STOP                 |
| Т | TEMPERATURE                    |                                       |  | TRANSMIT   |                      |
| U | MULTIVARIABLE                  |                                       | MULTIFUNCTION                          | MULTIFUNCTION  |                      |
| V | VIBRATION, MECHANICAL ANALYSIS |                                       |  | VALVE, DAMPER, LOUVER                                      |                      |
| W | WEIGHT, FORCE                  |                                       | WELL, PROBE                            |  |                      |
| Х | UNCLASSIFIED                   | X-AXIS                                | ACCESSORY DEVICES, UNCLASSIFIED        | UNCLASSIFIED   | UNCLASSIFIED         |
| Y | EVENT, STATE, PRESENCE         | Y-AXIS                                |  | AUXILIARY DEVICES  |                      |
| Z | POSITION, DIMENSION            | Z-AXIS, SAFETY<br>INSTRUMENTED SYSTEM |  | DRIVER, ACTUATOR,<br>UNCLASSIFIED FINAL<br>CONTROL ELEMENT |                      |

NOTE: THIS TABLE IS NOT ALL-INCLUSIVE

\*A, ALARM, THE ANNUNCIATION DEVICE, MAY BE USED IN THE SAME FASHION AS S, SWITCH, THE ACTUATION DEVICE \*\* THE LETTERS "H" AND "L" MAY BE OMITTED IF NOT DEFINED. IF APPROPRIATE, "C" (CLOSED) AND

"O" (OPEN) MAY BE USED IN PLACE OF "H" AND "L."

OTHER POSSIBLE COMBINATIONS: FO (RESTRICTION ORIFICE) PFR

(PRESSURE RATIO RECORD) (TIME TOTALIZING INDICATOR) KQI

QQI

1.7-11

(INDICATING COUNTER)

(HAND CONTROL VALVE)

NOTES:

- INSTRUMENT FUNCTION IDENTIFIER. USED ONLY WHEN THE COMPONENT TYPE REQUIRES FURTHER CLARIFICATION. SEE FUNCTION IDENTIFIERS TABLE ON FIGURE 1.7-3e.
- 2. FOR INSTRUMENTATION TYPE AND FUNCTION, SEE INSTRUMENTATION IDENTIFICATION LETTERS TABLE ON THIS SHEET AND FUNCTION IDENTIFIERS TABLE ON FIGURE 1.7-3e.
- 3. TEMPERATURE ELEMENTS ARE THERMOCOUPLES UNLESS NOTED OTHERWISE.
- FOR GUIDANCE ON THE USE OF THE INSTRUMENTATION IDENTIFICATION LETTERS TABLE, REFER TO ANSI/ISA 5.1-2009.

## Figure 1.7-3e: Piping and Instrumentation Diagram Legends

|                     |  |                                 |  |                     | FUNCTION IDENTIFIERS                              |                    |  |                   |   |          |                     | SYMBOLS: INSTRUMENT TO PROCESS AND EQUIPMEN   |
|---------------------|--|---------------------------------|--|---------------------|---|--------------------|--|-------------------|---|----------|---------------------|---|
|                     |  |                                 |  |                     | ANALYSIS  |                    |  |                   |   |          | SYMBOL              | DESCRIPTION   |
| CALOR<br>CO<br>CO2  | CARBON MONOXIDE<br>CARBON DIOXIDE                | GC<br>H2<br>HC                  | GAS CHROMATOGRAPH<br>HYDROGEN/HYDROGEN ANALYSIS<br>HYDROCARBON | MeOH<br>MOIST<br>MS | METHYL ALCOHOL<br>MOISTURE<br>MASS SPECTROMETER   | ORP<br>PHASE<br>pH | HYDROGEN ION   | TDS<br>THC<br>TOC | TOTAL DISSOLVED SOLIDS<br>TOTAL HYDROCARBON<br>TOTAL ORGANIC CARBON | _        |                     | INSTRUMENT CONNECTION TO PROCESS AND EQUIP<br>PROCESS IMPULSE LINES<br>ANALYZER SAMPLE LINES                            |
| COL                 | COLOR  | H2O                             | WATER  | NIR<br>N2           | NEAR INFRARED                                     | REF                | REFRACTOMETER  | TURB<br>UV        | TURBIDITY<br>ULTRAVIOLET  |          |                     |   |
| COMB<br>COND<br>DEN | COMBUSTION<br>ELECTRICAL CONDUCTIVITY<br>DENSITY | H2S<br>HUM<br>%RH               | HYDROGEN SULFIDE<br>HUMIDITY<br>RELATIVE HUMIDITY              | NZ<br>NH3<br>NOx    | NITROGEN<br>AMMONIA<br>OXIDES OF NITROGEN         | RI<br>SOx<br>SP GR | REFRACTIVE INDEX<br>OXIDES OF SULFUR<br>SPECIFIC GRAVITY | VIS<br>VISC       | VISIBLE LIGHT<br>VISCOSITY  |          | (ST)                | HEAT (COOL) TRACED IMPULSE OR SAMPLE LINE FRO<br>TYPE OF TRACING INDICATED BY (ET) ELECTRICAL, (S<br>CHILLED WATER. ETC |
| DEWPT<br>DO         | DEW POINT<br>DISSOLVED OXYGEN                    | IR<br>LC                        | INFRARED<br>LIQUID CHROMATOGRAPH                               | O2<br>OP            | OXYGEN<br>OPACITY                                 | TC<br>TDL          | THERMAL CONDUCTIVITY<br>TUNABLE DIODE LASER              |                   |   |          |                     | GENERIC INSTRUMENT CONNECTION TO PROCESS FL   |
|                     | DIOCOLVED OXIGEN                                 | 20                              |  |                     | FLOW  | TUE                |  |                   |   |          |                     | GENERIC INSTRUMENT CONNECTION TO EQUIPMENT  |
| CFR                 | CONSTANT FLOW REGULATOR                          | , FLT                           | FLOW RATE  | OP-E                | ECCENTRIC   | , PV               | , PITOT VENTURI  | TUR               | TURBINE   |          | 12                  | HEAT (COOL) TRACED GENERIC INSTRUMENT IMPULS  |
| CONE                | CONE   | LAM<br>MAG                      | LAMINAR  | OP-FT<br>OP-MH      | FLANGE TAPS                                       | SNR<br>SON         | SONAR  | US                | ULTRASONIC  |          |                     | PROCESS LINE OR EQUIPMENT MAY OR MAY NOT BE   |
| COR<br>DOP          | CORIOLLIS<br>DOPPLER                             | OP                              | MAGNETIC<br>ORIFICE PLATE                                      | OP-MH               | MULTI-HOLE<br>PIPE TAPS                           | TAR                | SONIC<br>TARGET  | VENT<br>VOR       | VENTURI TUBE<br>VORTEX SHEDDING                                     | -        |                     |   |
| DSON<br>FLN         | DOPPLER SONIC<br>FLOW NOZZLE                     | OP-C<br>OP-C                    |  | OP-VC<br>PD         | VENA CONTRACTA TAPS<br>POSITIVE DISPLACEMENT      | THER<br>TTS        | THERMAL<br>TRANSIT TIME SONIC                            | WDG               | WEDGE   |          |                     | HEAT(COOL) TRACED INSTRUMENT<br>INSTRUMENT IMPULSE LINE MAY OR MAY NOT BE TRA   |
| 1 EN                |  | 01 01                           |  | PT                  | PITOT TUBE  |                    |  |                   |   |          |                     |   |
|                     |  |                                 |  |                     | LEVEL   |                    |  |                   |   |          |                     |   |
| CAP<br>d/p          | CAPACITANCE<br>DIFFERENTIAL PRESSURE             | DP<br>GWR                       | DIFFERENTIAL PRESSURE<br>GUIDED WAVE RADAR                     | MS<br>NUC           | MAGNETOSTRICTIVE<br>NUCLEAR                       | SON<br>US          | SONIC<br>ULTRASONIC                                      |                   |   |          |                     | LINE SYMBOLS  |
| Dİ                  | DIELECTRIC CONSTANT                              | LSR                             | LASER  | RADAF               | RADAR   |                    |  |                   |   | -        | LINE TYPE/SYMBOL    | DESCRIPTION     IA MAY BE REPLACED BY PA (PLANT AIR), NS (NIT   |
| DISP                | DISPLACER  | MAG                             | MAGNETIC   | RES                 | RESISTANCE PRESSURE                               |                    |  |                   |   | IA       |                     | GAS SUPPLY).  |
| ABS                 | ABSOLUTE   | MAN                             | MANOMETER  | VAC                 | VACUUM  |                    |  |                   |   |          |                     | <ul> <li>INDICATE SUPPLY PRESSURE AS REQUIRED, E.G<br/>PSIG, ETC.</li> </ul>  |
| AVG                 | AVERAGE  | P-V                             | PRESSURE-VACUUM  |                     |   |                    |  |                   |   | -        |                     | INSTRUMENT ELECTRIC POWER SUPPLY.   |
| DRF                 | DRAFT  | SG                              | STRAIN GAUGE   |                     | TENDEDATUDE                                       |                    |  |                   |   | ES       |                     | <ul> <li>INDICATE VOLTAGE AND TYPE AS REQUIRED, E.G.</li> <li>ES MAY BE REPLACED BY 24 VDC, 120 VAC, ETC.</li> </ul>    |
| ВМ                  | BI-METAL   | RTD                             | RESISTANCE TEMP. DETECTOR                                      | TCJ                 | TEMPERATURE<br>THERMOCOUPLE, TYPE J               | THRM               | THERMISTOR   |                   |   | ня       |                     | INSTRUMENT HYDRAULIC POWER SUPPLY.  |
| IR                  | INFRARED   | TC                              | THERMOCOUPLE   | TCK                 | THERMOCOUPLE, TYPE K                              | TMP                | THERMOPILE   |                   |   |          |                     | INDICATE PRESSURE AS REQUIRED, E.G. HS-70 P     ELECTRONIC OR ELECTRICAL CONTINUOUSLY VA                                |
| RAD<br>RP           | RADIATION<br>RADIATION PYROMETER                 | TCE                             | THERMOCOUPLE, TYPE E   | TCT                 | THERMOCOUPLE, TYPE T                              | TRAN               | TRANSISTOR   |                   |   |          |                     | FUNCTIONAL DIAGRAM BINARY SIGNAL.   |
|                     |  |                                 |  |                     | MISCELLANEOUS                                     |                    |  |                   |   |          |                     |   |
|                     | ANNUNCIATION                                     |                                 | BURNER, COMBUSTION   |                     |   | THER               | 1  |                   | POSITION  |          |                     | RAILS.  |
| ALM<br>ANN          | ALARM<br>ANNUNCIATOR                             | FR<br>IGN                       | FLAME ROD<br>IGNITER   | CONC<br>HOA         | CONCENTRIC<br>HAND-OFF-AUTO                       | PB<br>PC           | PUSHBUTTON<br>PHOTOCELL                                  | CAP<br>EC         | CAPACITANCE<br>EDDY CURRENT   | -×-      | ××                  | FILLED THERMAL ELEMENT CAPILLARY TUBE.     FILLED SENSING LINE BETWEEN PRESSURE SEAI                                    |
| AININ               | ANNUNCIATOR                                      | IR                              | TELEVISION   | L/R                 | LOCAL/REMOTE                                      | SMOKE              |  | IND               | INDUCTIVE   |          |                     | GUIDED ELECTROMAGNETIC SIGNAL.  |
|                     |  | UV                              | ULTRA VIOLET   | MOS<br>MULTI        | MAINTENANCE OVERRIDE SWITCH<br>MULTIVARIABLE      | SYNC<br>TDR        | SYNCHRONIZATION<br>TIME DELAY RELAY                      | LAS<br>MAG        | LASER<br>MAGNETIC   |          | $\sim \sim \sim$    | OUIDED SONIC SIGNAL.     OFIBER OPTIC SIGNAL.   |
|                     |  |                                 |  | O/L                 | OVERLOAD  | TEST               | TEST   | MECH              | MECHANICAL  |          |                     | <ul> <li>COMMUNICATION LINK AND SYSTEM BUS, BETWE</li> </ul>  |
|                     |  |                                 |  | OX<br>NR            | OVERRIDE SWITCH<br>NARROW RANGE                   | VIBR               | VIBRATION<br>WIDE RANGE                                  | OPT<br>RADAF      | OPTICAL<br>RADAR  |          | -00                 | <ul> <li>FUNCTIONS OF A SHARED DISPLAY, SHARED CON</li> <li>DCS, PLC, OR PC COMMUNICATION LINK AND SYS</li> </ul>       |
|                     | QUANTITY   |                                 | RADIATION  |                     | SPEED   |                    | WEIGHT, FORCE  |                   |   |          |                     | COMMUNICATION LINK OR BUS CONNECTING TW   |
| PE                  | PHOTOELECTRIC                                    | α                               | ALPHA RADIATION  | ACC                 | ACCELERATION                                      | LC                 | LOAD CELL  |                   |   |          | • • •               | <ul> <li>INDEPENDENT MICROPROCESSORS OR COMPUT</li> <li>DCS-TO-DCS, DCS-TO-PLC, PLC-TO-PC, DCS-TO-F</li> </ul>          |
| TOG                 | TOGGLE   | Υ                               | BETA RADIATION<br>GAMMA RADIATION                              | EC<br>PROX          | EDDY CURRENT<br>PROXIMITY                         | SG<br>WS           | STRAIN GAUGE<br>WEIGH SCALE                              |                   |   |          |                     | CONNECTIONS.  |
|                     |  | n<br>RAD                        | NEUTRON RADIATION<br>RADIATION ADSORBED DOSE                   | VEL                 | VELOCITY  |                    |  |                   |   |          |                     | COMMUNICATION LINK AND SYSTEM BUS, BETWE<br>FUNCTIONS OF A FIELDBUS SYSTEM.   |
|                     |  | REM                             | ROENTGEN EQUIVALENT MAN  |                     |   |                    |  |                   |   | -        |                     |   |
|                     |  |                                 |  |                     |   |                    |  |                   |   | _        | 000-                | <ul> <li>ADJUSTMENT DEVICE OR SYSTEM.</li> </ul>  |
|                     |  | NT DESCRIPTI                    | ONS (NOTE 1)   |                     | BOUNDARY IDENTIFICATION                           |                    | MISCE  | LLANEOUS          | DENTIFICATIONS  |          |                     | LINK FROM AND TO 'SMART' DEVICES  |
|                     |  | EXCHANGER<br>ON DUTY: BTU/      | HR DP/DT: PSIG/°F  |                     |   |                    |  |                   |   |          | <u> </u>            |   |
|                     |  | CYCLE: %                        | OP/OT: PSIG/°F   |                     | LIMITS OF LIMITS OF DOWNSTREAM                    |                    |  | _                 | 2   |          |                     | - PRIMARY LINE  |
|                     |  | L DP/DT: PSIG/                  |  | 0                   | CLASS OR LINE PIPE CLASS OR<br>NUMBER LINE NUMBER |                    |  | E C               |   |          |                     | - • SECONDARY LINE  |
|                     |  | L OP/OT: PSIG/<br>DP/DT: PSIG/° |  |                     |   |                    | i i  |                   | }   |          |                     |   |
|                     |  | OP/OT: PSIG/°                   |  |                     |   |                    |  |                   |   | (;       | ST)(ST)(ST)(ST)(S   | •> •STEAM TRACE LINE  |
|                     | <u>IPRESSOR</u><br>DT: PSIG/°F TANK              |                                 | CAPACITY: GPM<br>DUTY CYCLE: %                                 |                     |   |                    | VENDOR PACKAGE   |                   |   |          | (ET) (ET) (ET) (ET) | ELECTRICAL TRACE LINE   |
|                     |  | : PSIG/°F                       | DESIGN HEAD: FT  |                     | DRAWING CONNECTIONS                               |                    | VENDORTHORNOL  |                   | REVISION TRIANGLE   | -#-      |                     | PNEUMATIC SIGNAL  |
|                     |  | T: PSIG/°F<br>ID X HEIGHT: F    | T DP/DT: PSIG/°F   |                     | CONNECTOR DESTINATION                             |                    |  |                   | $\sim$  |          |                     | - • HYDRAULIC SIGNAL.   |
|                     |  | CITY: GAL                       |  |                     | .D. NUMBER PAGE NUMBER                            |                    |  | 6                 | HOLD CLOUD  |          |                     |   |
|                     |  |                                 | CHILLER  |                     |   |                    |  | {                 |   | R        |                     | - •REFRIGERANT  |
| FILT<br>DP/         |  | ACU/ FCU<br>LOW: CFM            | CAPACITY: TONS<br>DUTY CYCLE: %                                |                     |   |                    |  | Z                 |   |          |                     |   |
|                     |  | CYCLE: %                        | EVAP FLOW: GPM   |                     | I ON INOM   |                    |  |                   | HOLD  | <u> </u> |                     | OTHER SYSTEMS   |
|                     | EID X T-T COO                                    | ING CAPACITY                    | : BTU/ HR EVAP EWT/ LWT: °F/ °F                                |                     | ×1000-10-1000-1-0-1000-1001 00000                 |                    | NON-VENDOR PACKAGE<br>OR MODULE PACKAGE                  |                   |   |          |                     |   |
| PAR                 |  |                                 | : BTU/ HR (kW) COND FLOW: GPM<br>E: HP COND EWT/ LWT: °F/ °F   |                     | TO/FROM   |                    | STURDEL FIORIOL  |                   |   |          |                     |   |
| FAN                 |  | OR NAMEPLATI                    | POWER: kW  |                     | < xxxxx-xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx           |                    |  | $\geq$            | SLOPE   |          |                     |   |
|                     |  | HEATER                          |  |                     | XXXX-XX-XXXX-XXXX-XXXX-XXXX DXXXX X<br>T0/FROM    |                    |  |                   | PIPE SLOPE  |          |                     |   |
|                     | Y CYCLE: % HEAT                                  | ING CAPACITY                    | : kW   |                     |   |                    | (E.G. TO CONDENSER) (NODE IDENTI                         | FIED WITH A       |   |          |                     |   |
|                     |  |                                 |  |                     |   |                    | (E.G., TO CONDENSER) AND/OR LETT                         | ER)               |   |          |                     |   |

NT CONNECTIONS

MENT

OM PROCESS ST) STEAM, (CW)

.OW

E LINE TRACED

CED

ROGEN), OR GS (ANY

. PA-70 KPA, NS-150

6. ES-220 VAC

'SIG. ARIABLE OR BINARY SIGNAL.

E SIGNAL. NAL AND POWER

AND INSTRUMENT.

VEEN DEVICES AND DNTROL SYSTEM. YSTEM BUS. WO OR MORE JTER-BASED SYSTEMS. -FIELDBUS, ETC,

EN DEVICES AND

A REMOTE CALIBRATION

NOTES:

1. SPECIFICATIONS LISTED FOR VARIOUS EQUIPMENT TYPES ARE RECOMMENDATIONS ONLY. THE REQUIRED SPECIFICATIONS ARE PER THE DISCRETION OF THE DESIGNER.

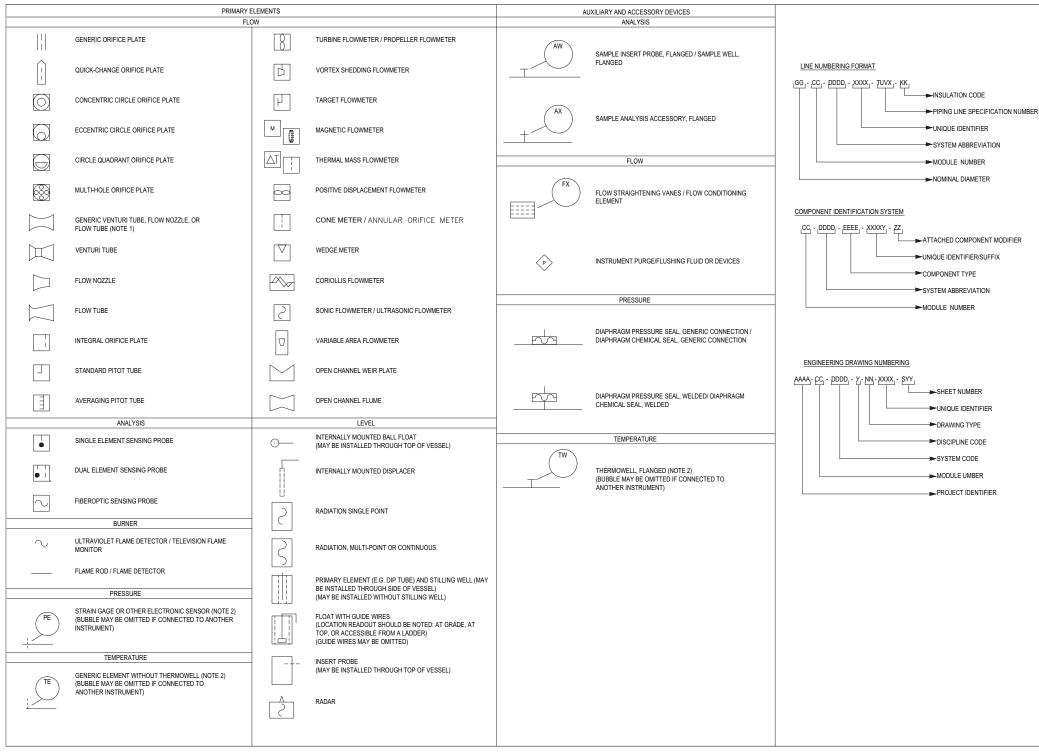


Figure 1.7-3f: Piping and Instrumentation Diagram Legends

| 1. | ABBREVIATIONS FROM FUNCTION IDENTIFIERS |
|----|---|
|    | TABLE ON FIGURE 1.7-3e SHALL BE USED IF |
|    | MORE THAN ONE ELEMENT TYPE APPEARS ON   |
|    | THE DRAWING.                            |

- 2. AN ABBREVIATION FROM THE FUNCTION IDENTIFIERS TABLE ON FIGURE 1.7-3e SHOULD BE USED TO IDENTIFY THE ELEMENT TYPE

## **1.8** Interfaces with Certified Design

This section addresses interface requirements between the NuScale Power Plant certified design and the site-specific design provided in the combined license (COL) application. Section 1.2 identifies the structures, systems, and components that are included in the certified design. Figure 1.2-1 provides a representation of the overall facility and Figure 1.2-2 provides the general boundaries between the certified design and site-specific design.

Table 1.8-1 identifies the interfaces between the NuScale certified design and the site-specific design. There are two types of interface requirements described:

- CDI: Conceptual design information that is provided for the non-certified portion of the plant to facilitate review of the certified design and to confirm the adequacy of identified interface requirements.
- COL: NuScale design assumptions related to site-specific design elements that are the responsibility of the COL applicant. This type of interface is identified as a COL information item.

## **1.8.1** Combined License Information Items

Information that must be provided in order to license and operate a site-specific NuScale Power Plant, but is not included in the certified design, is identified throughout the Final Safety Analysis Report as COL information items. Table 1.8-2 lists the COL information items, includes the COL information item text and identifies the section where the information item is located. The COL applicant addresses each COL information item in the section where it is located.

#### 1.8.2 Departures

COL Item 1.8-1: A COL applicant that references the NuScale Power Plant design certification will provide a list of departures from the certified design.

| System, Structure, or Component   | Interface | FSAR                    |
|---|-----------|-------------------------|
|   | Туре      | Section                 |
| Turbine Generator Buildings   | CDI       | 1.2.2                   |
| Annex Building  | CDI       | 1.2.2                   |
| Cooling towers, pump houses, and associated structures, systems, and            | CDI       | 1.2.2,                  |
| components (e.g., cooling tower basin, circulating water pumps, cooling         |           | 10.4.5                  |
| tower fans, chemical treatment building, etc.)                                  |           |                         |
| Security Buildings  | CDI       | 1.2.2                   |
| Central Utility Building  | CDI       | 1.2.2                   |
| Diesel Generator Buildings  | CDI       | 1.2.2                   |
| Offsite power transmission system, main switchyard, and transformer area        | CDI       | 8.2                     |
| Auxiliary AC power system   | CDI       | 8.3.1                   |
| Site cooling water system   | CDI       | 9.2.7                   |
| Circulating water system  | CDI       | 10.4.5                  |
| Grounding and lightning protection system                                       | CDI       | 8.3.1                   |
| Plant exhaust stack   | CDI       | 9.4.2                   |
| Potable and sanitary water systems  | COL       | 9.2.4                   |
| Resin tanks for the condensate polishing system                                 | COL       | 10.4                    |
| Site drainage system  | COL       | N/A                     |
| Raw water system  | COL       | 9.2.9                   |
| Site parameters, geographic and demographic characteristics,                    | COL       | Table 2.0-1, 2.1, 2.2,  |
| meteorological characteristics, nearby industrial, transportation, and military |           | 2.3, 2.4, 2.5, 3.3, 3.4 |
| facilities, hydrologic characteristics, geology, seismology, and geotechnical   |           |                         |
| characteristics, weather conditions and site topography, flooding               |           |                         |
| Site-specific communications  | COL       | 9.5.2                   |
| Turbine generators  | COL       | 3.5-1                   |
| Operational Support Center  | COL       | 13.3                    |

## Table 1.8-1: Summary of NuScale Certified Design Interfaces with Remainder of Plant

| Item No.         | Description of COL Information Item  | Section |
|------------------|--|---------|
| COL Item 1.1-1:  | A COL applicant that references the NuScale Power Plant design certification will identify the<br>site-specific plant location.  | 1.1     |
| COL ltem 1.1-2:  | A COL applicant that references the NuScale Power Plant design certification will provide the schedules for completion of construction and commercial operation of each power module.  | 1.1     |
| COL Item 1.4-1:  | A COL applicant that references the NuScale Power Plant design certification will identify the prime agents or contractors for the construction and operation of the nuclear power plant.  | 1.4     |
| COL Item 1.7-1:  | A COL applicant that references the NuScale Power Plant design certification will provide site-<br>specific diagrams and legends, as applicable.   | 1.7     |
| COL Item 1.7-2:  | A COL applicant that references the NuScale Power Plant design certification will list additional site-specific piping and instrumentation diagrams and legends as applicable.   | 1.7     |
| COL Item 1.8-1:  | A COL applicant that references the NuScale Power Plant design certification will provide a list of departures from the certified design.  | 1.8     |
| COL Item 1.9-1:  | A COL applicant that references the NuScale Power Plant design certification will review and address the conformance with regulatory criteria in effect six months before the docket date of the COL application for the site-specific portions and operational aspects of the facility design.  | 1.9     |
| COL ltem 1.10-1: | A COL applicant that references the NuScale Power Plant design certification will evaluate the potential hazards resulting from construction activities of the new NuScale facility to the safety-related and risk significant structures, systems, and components of existing operating unit(s) and newly constructed operating unit(s) at the co-located site per 10 CFR 52.79(a)(31). The evaluation will include identification of management and administrative controls necessary to eliminate or mitigate the consequences of potential hazards and demonstration that the limiting conditions for operation of an operating unit would not be exceeded. This COL item is not applicable for construction activities (build-out of the facility) at an individual NuScale Power Plant with operating NuScale Power Modules. | 1.10    |
| COL ltem 2.0-1:  | A COL applicant that references the NuScale Power Plant design certification will demonstrate that site-specific characteristics are bounded by the site parameters specified in Table 2.0-1. If site-specific values are not bounded by the values in Table 2.0-1, the COL applicant will demonstrate the acceptability of the site-specific values in the appropriate sections of its combined license application.  | 2.0     |
| COL ltem 2.1-1:  | A COL applicant that references the NuScale Power Plant design certification will describe the site geographic and demographic characteristics.  | 2.1     |
| COL ltem 2.2-1:  | A COL applicant that references the NuScale Power Plant design certification will describe nearby industrial, transportation, and military facilities. The COL applicant will demonstrate that the design is acceptable for each of these potential hazards, or provide site-specific design alternatives.   | 2.2     |
| COL ltem 2.3-1:  | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific meteorological characteristics for Section 2.3.1 through Section 2.3.5, as applicable.  | 2.3     |
| COL Item 2.4-1:  | A COL applicant that references the NuScale Power Plant design certification will investigate and describe the site-specific hydrologic characteristics for Section 2.4.1 through Section 2.4.14, except Section 2.4.8 and Section 2.4.10.   | 2.4     |
| COL Item 2.5-1:  | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific geology, seismology, and geotechnical characteristics for Section 2.5.1 through Section 2.5.5, below.   | 2.5     |
| COL Item 3.2-1:  | A COL applicant that references the NuScale Power Plant design certification will update Table 3.2-1 to identify the classification of site-specific structures, systems, and components.  | 3.2     |
| COL Item 3.3-1:  | A COL applicant that references the NuScale Power Plant design will confirm that nearby structures exposed to severe and extreme (tornado and hurricane) wind loads will not collapse and adversely affect the Reactor Building or Seismic Category I portion of the Control Building.   | 3.3     |
| COL Item 3.4-1:  | A COL applicant that references the NuScale Power plant design certification will confirm the final location of structures, systems, and components subject to flood protection and final routing of piping.   | 3.4     |

| Table 1.8-2: Combined License Informa | tion Items |
|---------------------------------------|------------|
|---------------------------------------|------------|

| ltem No.        | Description of COL Information Item   | Section |
|-----------------|---|---------|
| COL Item 3.4-2: | A COL applicant that references the NuScale Power plant design certification will develop the on-site program addressing the key points of flood mitigation. The key points to this program include the procedures for mitigating internal flooding events; the equipment list of structures, systems, and components subject to flood protection in each plant area; and providing assurance that the program reliably mitigates flooding to the identified structures, systems, and components.   | 3.4     |
| COL Item 3.4-3: | A COL applicant that references the NuScale Power plant design certification will develop an inspection and maintenance program to ensure that each water-tight door, penetration seal, or other "degradable" measure remains capable of performing its intended function.  | 3.4     |
| COL Item 3.4-4: | A COL applicant that references the NuScale Power plant design certification will confirm that site-specific tanks or water sources are placed in locations where they cannot cause flooding in the Reactor Building or Control Building.   | 3.4     |
| COL Item 3.4-5: | A COL applicant that references the NuScale Power Plant design certification will determine the extent of waterproofing and dampproofing needed for the underground portion of the Reactor Building and Control Building based on site-specific conditions. Additionally, a COL applicant will provide the specified design life for waterstops, waterproofing, damp proofing, and watertight seals. If the design life is less than the operating life of the plant, the COL applicant will describe how continued protection will be ensured.   | 3.4     |
| COL Item 3.4-6: | A COL applicant that references the NuScale Power Plant design certification will confirm that nearby structures exposed to external flooding will not collapse and adversely affect the Reactor Building or Seismic Category I portion of the Control Building.  | 3.4     |
| COL Item 3.4-7: | A COL applicant that references the NuScale Power Plant design certification will determine the extent of waterproofing and damp proofing needed to prevent groundwater and foreign material intrusion into the expansion gap between the end of the tunnel between the Reactor Building and the Control Building, and the corresponding Reactor Building connecting walls.   | 3.4     |
| COL Item 3.5-1: | A COL applicant that references the NuScale Power Plant design certification will demonstrate that the site-specific turbine missile parameters are bounded by the design certification analysis, or provide a missile analysis using the site-specific turbine generator parameters to demonstrate that barriers adequately protect essential structures, systems, and components from turbine missiles. Parameters to verify are limiting turbine missile spectrum (rotor and blade material properties); turbine rotor design, geometry and number of blades; final design of the reactor building exterior wall; final design of the control building exterior wall and grade-level slab; and location of the turbines with respect to the reactor building and control building. | 3.5     |
| COL Item 3.5-2: | A COL applicant that references the NuScale Power Plant design certification will address the effect of turbine missiles from nearby or co-located facilities.  | 3.5     |
| COL Item 3.5-3: | A COL applicant that references the NuScale Power Plant design certification will confirm that<br>automobile missiles cannot be generated within a 0.5-mile radius of safety-related structures,<br>systems, and components and risk-significant structures, systems, and components requiring<br>missile protection that would lead to impact higher than 30 feet above plant grade.<br>Additionally, if automobile missiles impact at higher than 30 feet above plant grade, the COL<br>applicant will evaluate and show that the missiles will not compromise safety-related and risk-<br>significant structures, systems, and components.   | 3.5     |
| COL Item 3.5-4: | A COL applicant that references the NuScale Power Plant design certification will evaluate site-<br>specific hazards for external events that may produce more energetic missiles than the design<br>basis missiles defined in Tier 2, Section 3.5.1.4.   | 3.5     |
| COL Item 3.6-1: | A COL applicant that references the NuScale Power Plant design certification will complete the routing of piping systems outside of the containment vessel and the area under the bioshield, identify the location of high- and moderate-energy lines, and update Table 3.6-1 as necessary. This activity includes the performance of associated final piping stress analyses, design and qualification of associated piping supports, evaluation of subcompartment pressurization effects (if applicable), and completion of the Balance of Plant Pipe Rupture Hazards Analysis, including the design and evaluation of pipe whip/jet impingement mitigation devices as required. This includes an evaluation and disposition of multi-module impacts in common pipe galleries.      | 3.6     |

| Item No.        | Description of COL Information Item   | Section |
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| COL Item 3.6-2: | A COL applicant that references the NuScale Power Plant design certification will verify that the pipe rupture hazards analysis (including dynamic and environmental effects) of the high- and moderate-energy lines outside the containment vessel (under the bioshield) is applicable. If changes are required, the COL applicant will update the pipe rupture hazards analysis, design additional protection features as necessary, and update Table 3.6-2.  | 3.6     |
| COL Item 3.6-3: | A COL applicant that references the NuScale Power Plant design certification will perform the pipe rupture hazards analysis (including dynamic and environmental effects) of the high- and moderate-energy lines outside the reactor pool bay in the Reactor Building (RXB) and update Table 3.6-2 as appropriate. This includes an evaluation and disposition of multi-module impacts in common pipe galleries, and evaluations regarding subcompartment pressurization. The COL applicant will show that the analysis of RXB piping bounds the possible effects of ruptures for the routings of lines outside of the RXB or perform the pipe rupture hazards analysis of the high-and moderate-energy lines outside the buildings.  | 3.6     |
| COL ltem 3.6-4: | Not used.   | 3.6     |
| COL Item 3.7-1: | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific structures, systems, and components.   | 3.7     |
| COL Item 3.7-2: | A COL applicant that references the NuScale Power Plant design certification will provide<br>site-specific time histories. In addition to the above criteria for cross correlation coefficients,<br>time step and earthquake duration, strong motion durations, comparison to response spectra<br>and power spectra density, the applicant will also confirm that site-specific ratios V/A and AD/V2<br>(A, V, D, are peak ground acceleration, ground velocity, and ground displacement, respectively)<br>are consistent with characteristic values for the magnitude and distance of the appropriate<br>controlling events defining the site-specific uniform hazard response spectra.  | 3.7     |
| COL Item 3.7-3: | <ul> <li>A COL applicant that references the NuScale Power Plant design certification will:</li> <li>develop a site-specific strain compatible soil profile.</li> <li>confirm that the criterion for the minimum required response spectrum has been satisfied.</li> <li>determine whether the seismic site characteristics fall within the seismic design parameters such as soil layering assumptions used in the certified design, range of soil parameters, shear wave velocity values, and minimum soil bearing capacity.</li> </ul>   | 3.7     |
| COL Item 3.7-4: | A COL applicant that references the NuScale Power Plant design certification will confirm that nearby structures exposed to a site-specific safe shutdown earthquake will not collapse and adversely affect the Reactor Building or Seismic Category I portion of the Control Building.   | 3.7     |
| COL Item 3.7-5: | A COL applicant that references the NuScale Power Plant design certification will perform a soil-structure interaction analysis of the Reactor Building and the Control Building using the NuScale SASSI2010 models for those structures. The COL applicant will confirm that the site-specific seismic demands of the standard design for critical structures, systems, and components in Appendix 3B are bounded by the corresponding design certified seismic demands and, if not, the standard design for critical structures, systems, and components will be shown to have appropriate margin or should be appropriately modified to accommodate the site-specific demands. Seismic demands investigated shall include forces, moments, deformations, in-structure response spectra, and seismic stability of the structures. | 3.7     |
| COL Item 3.7-6: | A COL applicant that references the NuScale Power Plant design certification will perform a structure-soil-structure interaction analysis that includes the Reactor Building, Control Building, Radioactive Waste Building and both Turbine Generator Buildings. The COL applicant will confirm that the site-specific seismic demands of the standard design structures, systems, and components are bounded by the corresponding design certified seismic demands and, if not, the standard design structures, systems, and components will be shown to have appropriate margin or should be appropriately modified to accommodate the site-specific demands.   | 3.7     |
| COL ltem 3.7-7: | A COL applicant that references the NuScale Power Plant design certification will provide a seismic monitoring system and a seismic monitoring program that satisfies Regulatory Guide 1.12 "Nuclear Power Plant Instrumentation for Earthquakes," Rev. 2 (or later) and Regulatory Guide 1.166 "Pre-Earthquake Planning and Immediate Nuclear Power Plant Operator Post-earthquake Actions," Rev. 0 (or later). This information is to be provided as noted below.   | 3.7     |

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| COL Item 3.7-8:  | A COL applicant that references the NuScale Power Plant design certification will identify the implementation milestone for the seismic monitoring program. In addition, a COL applicant that references the NuScale Power Plant design certification will prepare site-specific procedures for activities following an earthquake. These procedures and the data from the seismic instrumentation system will provide sufficient information to determine if the level of earthquake ground motion requiring shutdown has been exceeded. An activity of the procedures will be to address measurement of the post-seismic event gaps between the fuel racks and the pool walls and between the individual fuel racks and to take appropriate corrective action if needed (such as repositioning the racks or assuring that the as-found condition of the racks is acceptable based on the assumptions of the racks' design basis analysis). Acceptable guidance for procedure development is contained in Regulatory Guide 1.166 "Pre-Earthquake Planning and Immediate Nuclear Power Plant Operator Post-earthquake Actions," Rev. 0 (or later).   | 3.7     |
| COL Item 3.7-9:  | A COL applicant that references the NuScale Power Plant design certification will include an analysis of performance-based response spectra established at the surface and intermediate depth(s) that take into account the complexities of the subsurface layer profiles of the site and provide a technical justification for the adequacy of vertical to horizontal (V/H) spectral ratios used in establishing the site-specific foundation input response spectra and performance-based response spectra for the vertical direction.   | 3.7     |
| COL Item 3.7-10: | <ul> <li>A COL applicant that references the NuScale Power Plant design certification will perform a site-specific configuration analysis that includes the Reactor Building with applicable configuration layout of the desired NuScale Power Modules. The COL applicant will confirm the following are bounded by the corresponding design certified seismic demands:</li> <li>1) The in-structure response spectra of the standard design at the foundation and roof. See FSAR Figure 3.7.2-107 and Figure 3.7.2-108 for foundation in-structure response spectra and Figure 3.7.2-113 for roof in-structure response spectra.</li> <li>2) The maximum forces in the NuScale Power Module lug restraints and skirts. See Table 3B-28.</li> <li>3) The site-specific in-structure response spectra for the NuScale Power Module at the skirt support will be shown to be bounded by the in-structure response spectra in Figure 3.7.2-156 and Figure 3.7.2-158 through Figure 3.7.2-163.</li> <li>4) The maximum forces and moments in the west wing wall and pool wall. See Table 3B-23a and Table 3B-23b.</li> <li>5) Not used.</li> <li>6) The site-specific in-structure response spectra shown immediately below will be shown to be bounded by their corresponding certified in-structure response spectra: <ul> <li>Reactor Building north exterior wall at EL 126'-0": bounded by in-structure response spectra in Figure 3.7.2-110</li> <li>Reactor Building crane wheels at EL 145'-6": bounded by in-structure response spectra in Figure 3.7.2-119 and Figure 3.7.2-119b</li> <li>Control Building east wall at EL 26'-6": bounded by in-structure response spectra in Figure 3.7.2-110a and Figure 3.7.2-110b</li> </ul> </li> <li>Reactor Building south wall at EL 120'-0": bounded by in-structure response spectra in Figure 3.7.2-112 and Figure 3.7.2-119b</li> <li>Control Building east wall at EL 126'-0": bounded by in-structure response spectra in Figure 3.7.2-119a and Figure 3.7.2-121b</li> </ul> <li>If not, the standard design will be shown to have appropriate margin or should be</li> | 3.7     |
| COL ltem 3.7-11: | A COL applicant that references the NuScale Power Plant design certification will perform a site-specific analysis that assesses the effects of soil separation. The COL applicant will confirm that the in-structure response spectra in the soil separation cases are bounded by the instructure response spectra shown in FSAR Figure 3.7.2-107 through Figure 3.7.2-122.   | 3.7     |

| ltem No.         | Description of COL Information Item   | Section |
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| COL Item 3.7-12: | A COL applicant that references the NuScale Power Plant design certification will perform an analysis that uses site-specific soil and time histories to confirm the adequacy of the fluid-structure interaction correction factor.   | 3.7     |
| COL ltem 3.7-13: | A COL applicant that references the NuScale Power Plant design certification will perform a site-<br>specific analysis that assesses the effects of non-vertically propagating seismic waves on the free-field ground motions and seismic responses of Seismic Category I structures, systems, and components.  | 3.7     |
| COL Item 3.7-14: | A COL applicant that references the NuScale Power Plant design certification will demonstrate that the site-specific seismic demand is bounded by the FSAR capacity for an empty dry dock condition.  | 3.7     |
| COL ltem 3.7-15: | A COL applicant that references the NuScale Power Plant design certification will determine the appropriate site-specific number of interaction planes for soil structure interaction.  | 3.7     |
| COL Item 3.7-16: | A COL applicant that references the NuScale Power Plant design certification will determine the means and methods of lifting the bioshield. A COL applicant will demonstrate that bioshield components and connections can withstand the bioshield loads and appropriate load factors.  | 3.7     |
| COL Item 3.8-1:  | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific program for monitoring and maintenance of the Seismic Category I structures in accordance with the requirements of 10 CFR 50.65 as discussed in Regulatory Guide 1.160. Monitoring is to include below grade walls, groundwater chemistry if needed, base settlements and differential displacements.  | 3.8     |
| COL Item 3.8-2:  | A COL applicant that references the NuScale Power Plant design certification will confirm that the site-independent Reactor Building and Control Building are acceptable for use at the designated site.  | 3.8     |
| COL Item 3.8-3:  | A COL applicant that references the NuScale Power Plant design certification will identify local stiff and soft spots in the foundation soil and address these in the design, as necessary.   | 3.8     |
| COL Item 3.8-4:  | A COL applicant that references the NuScale Power Plant design certification will evaluate and document construction aid elements such as steel beams, Q-decking, formwork, lugs, and other items that are left in place after construction, but that were not part of the certified design, to verify the construction aid elements do not have an appreciable adverse effect on overall mass, stiffness, and seismic demands of the certified building structure. The COL applicant will confirm that these left-in-place construction aid elements will not have adverse effects on safety-related structures, systems, and components per Section 3.7.2.  | 3.8     |
| COL Item 3.8-5:  | A COL applicant that references the NuScale Power Plant design certification will verify that the reactor flange tool (RFT) and embed plates are evaluated using site-specific seismic analysis, and generate seismic loads to the reactor pressure vessel and fuel assemblies that are bounded by the certified design. The design of the structural members will be confirmed by assessing demand-to-capacity ratios for the load combinations in Table 3.8.4-23. The design of the embed plates will be confirmed by assessing demand-to-capacity ratios for the load combinations in Table 3.8.4-21. The design of the embed plates will be confirmed by assessing demand-to-capacity ratios for the load combinations in Table 3.8.4-12. In addition, the core plate in-structure response spectra for the RFT location shown in Figure B-34 through Figure B-39 of TR-0916-51502 (NuScale Power Module Seismic Analysis) shall be confirmed against the site specific spectra. If either the demands on the structural members or the embed plates exceed their capacity, or core plate motions do not maintain justifiable margin to limits for the fuel assembly, the COL applicant will address and augment the design per the criteria specified in FSAR Section 3.8.4, and the fuel assembly-imposed load limitations. | 3.8     |
| COL Item 3.8-6:  | A COL applicant that references the NuScale Power Plant design certification will verify that the construction loads applied to the pool liner plate and its support structure do not exceed 600 psf per American Concrete Institute (ACI)-347, Guide to Formwork for Concrete.   | 3.8     |
| COL ltem 3.9-1:  | A COL applicant that references the NuScale Power Plant design certification will provide the applicable test procedures before the start of testing and will submit the test and inspection results from the comprehensive vibration assessment program for the NuScale Power Module, in accordance with Regulatory Guide 1.20.  | 3.9     |

| Item No.         | Description of COL Information Item  | Section |
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| COL Item 3.9-2:  | A COL applicant that references the NuScale Power Plant design certification will develop design specifications and design reports in accordance with the requirements outlined under American Society of Mechanical Engineers Boiler and Pressure Vessel Code Section III (Reference 3.9-1). A COL applicant will address any known issues through the reactor vessel internals reliability programs (i.e. Comprehensive Vibration Assessment Program, steam generator programs, etc.) in regards to known aging degradation mechanisms such as those addressed in Section 4.5.2.1.   | 3.9     |
| COL Item 3.9-3:  | A COL applicant that references the NuScale Power Plant design certification will provide a summary of reactor core support structure American Society of Mechanical Engineers (ASME) service level stresses, deformation, and cumulative usage factor values for each component and each operating condition in conformance with ASME Boiler and Pressure Vessel Code Section III Subsection NG.  | 3.9     |
| COL Item 3.9-4:  | A COL applicant that references the NuScale Power Plant design certification will submit a Preservice Testing program for valves as required by 10 CFR 50.55a.   | 3.9     |
| COL Item 3.9-5:  | A COL applicant that references the NuScale Power Plant design certification will establish an<br>Inservice Testing program in accordance with American Society of Mechanical Engineers<br>Operation and Maintenance Code and 10 CFR 50.55a.   | 3.9     |
| COL Item 3.9-6:  | A COL applicant that references the NuScale Power Plant design certification will identify any site-specific valves, implementation milestones, and the applicable American Society of Mechanical Engineers (ASME) Operation and Maintenance (OM) Code (and ASME OM Code Cases) for the preservice and inservice testing programs. These programs are to be consistent with the requirements in the latest edition and addenda of the OM Code incorporated by reference in 10 CFR 50.55a in accordance with the time period specified in 10 CFR 50.55a before the scheduled initial fuel load (or the optional ASME Code Cases listed in Regulatory Guide 1.192 incorporated by reference in 10 CFR 50.55a). | 3.9     |
| COL Item 3.9-7:  | Not used.  | 3.9     |
| COL Item 3.9-8:  | A COL applicant that references the NuScale Power Plant design certification will develop specific test procedures to allow detection and monitoring of power-operated valve assembly performance sufficient to satisfy periodic verification design basis capability requirements.  | 3.9     |
| COL ltem 3.9-9:  | A COL applicant that references the NuScale Power Plant design certification will develop specific test procedures to allow detection and monitoring of emergency core cooling system valve assembly performance sufficient to satisfy periodic verification of design basis capability requirements.  | 3.9     |
| COL Item 3.9-10: | A COL applicant that references the NuScale Power Plant design certification will verify that<br>evaluations are performed during the detailed design of the main steam lines, using acoustic<br>resonance screening criteria and additional calculations as necessary (e.g., Strouhal number) to<br>determine if there is a concern. The methodology contained in "NuScale Comprehensive<br>Vibration Assessment Program Technical Report," TR-0716-50439 is acceptable for this purpose.<br>The COL applicant will update Section 3.9.2.1.1.3 to describe the results of this evaluation.  | 3.9     |
| COL Item 3.9-11: | A COL applicant that references the NuScale Power Plant design certification will implement a control rod drive system Operability Assurance Program that meets the requirements described in Section 3.9.4.4 and provide a summary of the testing program and results.  | 3.9     |
| COL Item 3.9-12: | A COL applicant that references the NuScale Power Plant design certification will perform a site-specific seismic analysis in accordance with Section 3.7.2.16. In addition to the requirements of Section 3.7, for sites where the high frequency portion of the site-specific spectrum is not bounded by the control rod drive system, the standard design of NuScale Power Module components will be shown to have appropriate margin or should be appropriately modified to accommodate the site-specific demand.  | 3.9     |

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| COL Item 3.9-13: | A COL applicant that references the NuScale Power Plant design certification will complete an assessment of piping systems inside the reactor building to determine the portions of piping to be tested for vibration and thermal expansion. The piping systems within the scope of this testing include American Society of Mechanical Engineers Boiler and Pressure Vessel Code Section III, Class 1, 2, and 3 piping systems, other high-energy piping systems inside Seismic Category I structures or those whose failure would reduce the functioning of any Seismic Category I plant feature to an unacceptable level, and Seismic Category I portions of moderate-energy piping systems located outside of containment. The COL applicant may select the portions of piping in the NuScale design for which vibration testing is performed while considering the piping system design and analysis, including the vibration screening and analysis results and scope of testing as identified by the Comprehensive Vibration Assessment Program. | 3.9     |
| COL Item 3.9-14: | A COL applicant that references the NuScale Power Plant design certification will develop an evaluation methodology for the analysis of secondary-side instabilities in the steam generator design. This methodology will address the identification of potential density wave oscillations in the steam generator tubes, and qualification of the applicable portions of the reactor coolant system integral reactor pressure vessel and steam generator given the occurrence of density wave oscillations, including the effects of reverse fluid flows within the tubes.   | 3.9     |
| COL ltem 3.10-1: | A COL applicant that references the NuScale Power Plant design certification will develop and maintain a site-specific seismic and dynamic qualification program.   | 3.10    |
| COL ltem 3.10-2: | A COL applicant that references the NuScale Power Plant design certification will develop the equipment qualification database and ensure equipment qualification record files are created for the structures, systems, and components that require seismic qualification.  | 3.10    |
| COL ltem 3.10-3: | A COL applicant that references the NuScale Power Plant design certification will submit an implementation program for Nuclear Regulatory Commission approval prior to the installation of the equipment that requires seismic qualification.   | 3.10    |
| COL Item 3.11-1: | A COL applicant that references the NuScale Power Plant design certification will submit a full description of the environmental qualification program and milestones and completion dates for program implementation.  | 3.11    |
| COL Item 3.11-2: | A COL applicant that references the NuScale Power Plant design certification will develop the equipment qualification database and ensure equipment qualification record files are created for the structures, systems, and components that require environmental qualification.  | 3.11    |
| COL Item 3.11-3: | A COL applicant that references the NuScale Power Plant design certification will implement an equipment qualification operational program that incorporates the aspects in Section 3.11-7 specific to the environmental qualification of mechanical and electrical equipment. This program will include an update to Table 3.11-1 to include commodities that support equipment listed in Table 3.11-1.  | 3.11    |
| COL Item 3.11-4: | A COL applicant that references the NuScale Power Plant design certification will ensure the<br>environmental qualification program cited in COL Item 3.11-1 includes a description of how<br>equipment located in harsh conditions will be monitored and managed throughout plant life.<br>This description will include methodology to ensure equipment located in harsh environments<br>will remain qualified if the measured dose is higher than the calculated dose.   | 3.11    |
| COL ltem 3.12-1: | A COL applicant that references the NuScale Power Plant design certification may use a piping analysis program other than the programs listed in Section 3.12.4.1; however, the applicant will implement a benchmark program using the models for the NuScale Power Plant standard design.  | 3.12    |
| COL Item 3.12-2: | A COL applicant that references the NuScale Power Plant design certification will confirm that<br>the site-specific seismic response is within the parameters specified in Section 3.7. A COL<br>applicant may perform a site-specific piping stress analysis in accordance with the<br>methodologies described in this section, as appropriate.  | 3.12    |
| COL Item 3.13-1: | A COL applicant that references the NuScale Power Plant design certification will provide an inservice inspection program for American Society of Mechanical Engineers (ASME) Class 1, 2, and 3 threaded fasteners. The program will identify the applicable edition and addenda of ASME Boiler and Pressure Vessel Code Section XI and ensure compliance with 10 CFR 50.55a.   | 3.13    |

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| COL Item 4.2-1  | A COL applicant that references the NuScale Power Plant design certification and wishes to utilize non-baseload operations will provide justification for the fuel performance codes and methods corresponding to the desired operation.   | 4.2     |
| COL ltem 5.2-1: | Not used.  | 5.2     |
| COL ltem 5.2-2: | A COL applicant that references the NuScale Power Plant design certification will provide a certified Overpressure Protection Report in compliance with American Society of Mechanical Engineers Boiler and Pressure Vessel Code Section III Subarticles NB-7200 and NC-7200 to demonstrate the reactor coolant pressure boundary and secondary system are designed with adequate overpressure protection features, including low temperature overpressure protection features.  | 5.2     |
| COL ltem 5.2-3: | Not used.  | 5.2     |
| COL Item 5.2-4: | A COL applicant that references the NuScale Power Plant design certification will develop and implement a Strategic Water Chemistry Plan. The Strategic Water Chemistry Plan will provide the optimization strategy for maintaining primary coolant chemistry and provide the basis for requirements for sampling and analysis frequencies, and corrective actions for control of primary water chemistry consistent with the latest version of the Electric Power Research Institute Pressurized Water Reactor Primary Water Chemistry Guidelines.  | 5.2     |
| COL ltem 5.2-5: | A COL applicant that references the NuScale Power Plant design certification will develop and implement a Boric Acid Control Program that includes: inspection elements to ensure the integrity of the reactor coolant pressure boundary components for subsequent service, monitoring of the containment atmosphere for evidence of reactor coolant system leakage, the type of visual or other nondestructive inspections to be performed, and the required inspection frequency.  | 5.2     |
| COL ltem 5.2-6: | A COL applicant that references the NuScale Power Plant design certification will develop<br>site-specific preservice examination, inservice inspection, and inservice testing program plans in<br>accordance with Section XI of the American Society of Mechanical Engineers Boiler and Pressure<br>Vessel Code and will establish implementation milestones. If applicable, a COL applicant that<br>references the NuScale Power Plant design certification will identify the implementation<br>milestone for the augmented inservice inspection program. The COL applicant will identify the<br>applicable edition of the American Society of Mechanical Engineers Code utilized in the<br>program plans consistent with the requirements of 10 CFR 50.55a. | 5.2     |
| COL ltem 5.2-7: | A COL applicant that references the NuScale Power Plant design certification will establish plant-specific procedures that specify operator actions for identifying, monitoring, and trending reactor coolant system leakage in response to prolonged low leakage conditions that exist above normal leakage rates and below the technical specification limits. The objective of the methods of detecting and trending the reactor coolant pressure boundary leak will be to provide the operator sufficient time to take actions before the plant technical specification limits are reached.  | 5.2     |
| COL Item 5.3-1: | A COL applicant that references the NuScale Power Plant design certification will establish measures to control the onsite cleaning of the reactor pressure vessel during construction in accordance with Regulatory Guide 1.28.   | 5.3     |
| COL ltem 5.3-2: | A COL applicant that references the NuScale Power Plant design certification will develop operating procedures to ensure that transients will not be more severe than those for which the reactor design adequacy had been demonstrated.   | 5.3     |
| COL Item 5.3-3  | A COL applicant that references the NuScale Power Plant design certification will describe their reactor vessel material surveillance program consistent with NUREG 0800, Section 5.3.1.   | 5.3     |

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| COL ltem 5.4-1:  | A COL applicant that references the NuScale Power Plant design certification will develop and  | 5.4     |
|                  | implement a Steam Generator Program for periodic monitoring of the degradation of steam  |         |
|                  | generator components to ensure that steam generator tube integrity is maintained. The Steam  |         |
|                  | Generator Program will be based on the latest revision of Nuclear Energy Institute (NEI) 97-06,  |         |
|                  | "Steam Generator Program Guidelines," and applicable Electric Power Research Institute steam   |         |
|                  | generator guidelines at the time of the COL application. The elements of the program will  |         |
|                  | include: assessment of degradation, tube inspection requirements, tube integrity assessment,   |         |
|                  | tube plugging, primary-to-secondary leakage monitoring, shell side integrity assessment,   |         |
|                  | primary and secondary side water chemistry control, foreign material exclusion, loose parts  |         |
|                  | management, contractor oversight, self-assessment, and reporting.  |         |
| COL ltem 6.2-1:  | A COL applicant that references the NuScale Power Plant design certification will develop a  | 6.2     |
|                  | containment leakage rate testing program that will identify which option is to be implemented  |         |
|                  | under 10 CFR 50, Appendix J. Option A defines a prescriptive-based testing approach whereas  |         |
|                  | Option B defines a performance-based testing program.  |         |
| COL Item 6.2-2:  | A COL applicant that references the NuScale Power Plant design certification will verify that the  | 6.2     |
|                  | final design of the containment vessel meets the design basis requirement to maintain flange   |         |
|                  | contact pressure at accident temperature, concurrent with peak accident pressure.  |         |
| COL ltem 6.2-3:  | A COL applicant that references the NuScale Power Plant design certification will perform an   | 6.2     |
|                  | analysis that, in consideration of the as-built containment internal free volume, demonstrates   |         |
|                  | that containment design pressure and temperature bounds containment peak accident  |         |
|                  | pressure and temperature. The evaluation value for containment internal free volume must   |         |
|                  | include margin to address the complex shapes of internal structures and components and   |         |
|                  | manufacturing processes.   |         |
| COL ltem 6.3-1:  | A COL applicant that references the NuScale Power Plant design certification will describe a   | 6.3     |
|                  | containment cleanliness program that limits debris within containment. The program should  |         |
|                  | contain the following elements:  |         |
|                  | <ul> <li>Foreign material exclusion controls to limit the introduction of foreign material and debris<br/>sources into containment.</li> </ul> |         |
|                  | • Maintenance activity controls, including temporary changes, that confirm the emergency core  |         |
|                  | cooling system function is not reduced by changes to analytical inputs or assumptions or   |         |
|                  | other activities that could introduce debris or potential debris sources into containment.   |         |
|                  | Controls that limit the introduction of coating materials into containment.  |         |
|                  | • An inspection program to confirm containment vessel cleanliness prior to closing for normal  |         |
|                  | power operation.   |         |
| COL Item 6.4-1:  | A COL applicant that references the NuScale Power Plant design certification will comply with  | 6.4     |
| COLINCIII O.I.I. | Regulatory Guide 1.78 Revision 1, "Evaluating the Habitability of a Nuclear Power Plant Control  | 0.1     |
|                  | Room During a Postulated Hazardous Chemical Release."  |         |
| COL Item 6.4-2:  | Not used.  | 6.4     |
| COL Item 6.4-3:  | Not used.  | 6.4     |
| COL Item 6.4-4:  | Not used.  | 6.4     |
| COL Item 6.4-5:  | A COL applicant that references the NuScale Power Plant design certification will specify testing  | 6.4     |
|                  | and inspection requirements for the control room habitability system and control room  |         |
|                  | envelope integrity testing as specified in Table 6.4-4.  |         |
| COL Item 6.6-1:  | A COL applicant that references the NuScale Power Plant design certification will implement an   | 6.6     |
|                  | inservice testing program in accordance with 10 CFR 50.55a(f).   |         |
| COL Item 6.6-2:  | A COL applicant that references the NuScale Power Plant design certification will develop  | 6.6     |
| 20210101021      | preservice inspection and inservice inspection program plans in accordance with Section XI of  | 0.0     |
|                  | the American Society of Mechanical Engineers (ASME) Boiler and Pressure Vessel Code (BPVC),  |         |
|                  | and will establish the implementation milestones for the program. The COL applicant will   |         |
|                  | identify the applicable edition of the ASME BPVC used in the program plan consistent with the  |         |
|                  | requirements of 10 CFR 50.55a. The COL applicant will, if needed, address the use of a single  |         |
|                  | inservice inspection program for multiple NuScale Power Modules, including any alternative to  |         |
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| ltem No.        | Description of COL Information Item  | Section |
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| COL ltem 7.0-1: | A COL applicant that references the NuScale Power Plant design certification is responsible for demonstrating the stability of the NuScale Power Module during normal and power maneuvering operations for closed-loop module control system subsystems that use reactor power as a control input.   | 7.0     |
| COL ltem 7.2-1: | A COL applicant that references the NuScale Power Plant design certification is responsible for<br>the implementation of the life cycle processes for the operation phase for the instrumentation<br>and controls systems, as defined in Institute of Electrical and Electronics Engineers (IEEE)<br>Std 1074-2006 and IEEE Std 1012-2004.   | 7.2     |
| COL ltem 7.2-2: | A COL applicant that references the NuScale Power Plant design certification is responsible for<br>the implementation of the life cycle processes for the maintenance phase for the<br>instrumentation and controls systems, as defined in Institute of Electrical and Electronics<br>Engineers (IEEE) Std 1074-2006 and IEEE Std 1012-2004.   | 7.2     |
| COL Item 7.2-3: | The NuScale Digital instrumentation and controls (I&C) Software Configuration Management<br>Plan provides guidance for the retirement and removal of a software product from use. A COL<br>applicant that references the NuScale Power Plant design certification is responsible for the<br>implementation of the life cycle processes for the retirement phase for the instrumentation and<br>controls systems, as defined in Institute of Electrical and Electronics Engineers (IEEE)<br>Std 1074-2006 and IEEE Std 1012-2004. The NuScale Digital I&C Software Configuration<br>Management Plan provides guidance for the retirement and removal of a software product from<br>use. | 7.2     |
| COL Item 8.2-1: | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific switchyard layout and design, including offsite power connections, control and indication, characteristics of circuit breakers and buses, protective relaying, power supplies, lightning and grounding protection equipment, and conformance with General Design Criteria (GDC) 5.  | 8.2     |
| COL ltem 8.2-2: | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific offsite power connection and grid stability studies, including the effects of grid contingencies such as the loss of the largest operating unit on the grid, the loss of one NuScale Power Module, and the loss of the full complement of NuScale Power Modules (up to 12). The study will be performed in accordance with the applicable Federal Energy Regulatory Commission, North American Electric Reliability Corporation, and transmission system operator requirements, including communication agreements and protocols.   | 8.2     |
| COL Item 8.2-3: | A COL applicant that references the NuScale Power Plant design certification will describe the testing of the switchyard and the connections to an offsite power system, if provided, consistent with Regulatory Guide 1.68, Revision 4. The testing description will include the details of initial testing associated with degraded offsite power conditions.  | 8.2     |
| COL ltem 8.3-1: | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific location, type, and design of the power source to be used as the auxiliary alternating current power system.  | 8.3     |
| COL ltem 8.3-2: | A COL applicant that references the NuScale Power Plant design certification will describe the design of the site-specific electrical heat tracing system.   | 8.3     |
| COL ltem 8.3-3: | A COL applicant that references the NuScale Power Plant design certification will describe the design of the site-specific plant grounding grid and lightning protection network.  | 8.3     |
| COL ltem 9.1-1: | A COL applicant that references the NuScale Power Plant design certification will develop plant programs and procedures for safe operations during handling and storage of new and spent fuel assemblies, including criticality control.   | 9.1     |
| COL Item 9.1-2: | A COL applicant that references the NuScale Power Plant design certification will demonstrate that an NRC-licensed cask can be lowered into the dry dock and used to remove spent fuel assemblies from the plant.  | 9.1     |
| COL ltem 9.1-3: | A COL applicant that references the NuScale Power Plant design certification will develop procedures related to the transfer of spent fuel to a transfer cask.   | 9.1     |
| COL ltem 9.1-4: | A COL applicant that references the NuScale Power Plant design certification will provide the periodic testing plan for fuel handling equipment.   | 9.1     |

| ltem No.        | Description of COL Information Item   | Section |
|-----------------|---|---------|
| COL Item 9.1-5: | The COL applicant that references the NuScale Power Plant design certification will describe the process for handling and receipt of critical loads including NuScale Power Modules.  | 9.1     |
| COL Item 9.1-6: | The COL applicant that references the NuScale Power Plant design certification will provide a design for a spent fuel cask and handling equipment including procedures and programs for safe handling.  | 9.1     |
| COL Item 9.1-7: | <ul> <li>The COL applicant that references the NuScale Power Plant design certification will provide a description of the program governing heavy loads handling. The program should address</li> <li>operating and maintenance procedures</li> <li>inspection and test plans</li> <li>personnel qualifications and operator training</li> <li>detailed description of the safe load paths for movement of heavy loads</li> </ul>   | 9.1     |
| COL ltem 9.1-8: | A COL applicant that references the NuScale Power Plant design certification will provide a structural evaluation of the spent fuel storage racks, and fuel assemblies located in the racks, and confirm the thermal-hydraulic, criticality, and material analysis aspects of the design remain valid. This evaluation is dependent on the vendor-specific spent fuel storage rack design.  | 9.1     |
| COL ltem 9.1-9: | A COL applicant that references the NuScale Power Plant design certification will provide a neutron absorber material qualification report which demonstrates that the neutron absorber material can meet the neutron attenuation and environmental compatibility design functions described in Technical Report TR-0816-49833. The COL applicant will establish procedures to evaluate the neutron attenuation uncertainty associated with the material lot variability and will establish procedures to inspect the as-manufactured material for contamination and manufacturing defects. | 9.1     |
| COL Item 9.2-1: | A COL applicant that references the NuScale Power Plant design certification will select the appropriate chemicals for the reactor component cooling water system based on site-specific water quality and materials requirements.  | 9.2     |
| COL Item 9.2-2: | A COL applicant that references the NuScale Power Plant design certification will describe the source and pre-treatment methods of potable water for the site, including the use of associated pumps and storage tanks.   | 9.2     |
| COL ltem 9.2-3: | A COL applicant that references the NuScale Power Plant design certification will describe the method for sanitary waste storage and disposal, including associated treatment facilities.   | 9.2     |
| COL ltem 9.2-4: | A COL applicant that references the NuScale Power Plant design certification will provide details on the prevention of long-term corrosion and organic fouling in the site cooling water system.  | 9.2     |
| COL Item 9.2-5: | A COL applicant that references the NuScale Power Plant design certification will identify the site-specific water source and provide a water treatment system that is capable of producing water that meets the plant water chemistry requirements.  | 9.2     |
| COL Item 9.3-1: | A COL applicant that references the NuScale Power Plant design certification will submit a leakage control program for systems outside containment that contain (or might contain) accident source term radioactive materials following an accident (including systems and components used in post-accident hydrogen and oxygen monitoring of the containment atmosphere). The leakage control program will include an initial test program, a schedule for re-testing these systems, and the actions to be taken for minimizing leakage from such systems to as low as practical.          | 9.3     |
| COL ltem 9.3-2: | Not used.   | 9.3     |
| COL Item 9.4-1: | A COL applicant that references the NuScale Power Plant design certification will specify a periodic testing and inspection program for the normal control room heating ventilation and air conditioning system.  | 9.4     |
| COL Item 9.4-2: | A COL applicant that references the NuScale Power Plant design certification will specify periodic testing and inspection requirements for the Reactor Building heating ventilation and air conditioning system in accordance with Regulatory Guide 1.140.  | 9.4     |
| COL ltem 9.4-3: | A COL applicant that references the NuScale Power Plant design certification will specify periodic testing and inspection requirements for the Radioactive Waste Building heating ventilation and air conditioning system.  | 9.4     |

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| ltem No.         | Description of COL Information Item   | Section |
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| COL Item 9.4-4:  | A COL applicant that references the NuScale Power Plant design certification will specify periodic testing and inspection requirements for the Turbine Building heating ventilation and air conditioning system.  | 9.4     |
| COL Item 9.5-1:  | A COL applicant that references the NuScale Power Plant design certification will provide a description of the offsite communication system, how that system interfaces with the onsite communications system, as well as how continuous communications capability is maintained to ensure effective command and control with onsite and offsite resources during both normal and emergency situations.                     | 9.5     |
| COL Item 9.5-2:  | A COL applicant that references the NuScale Power Plant design certification will determine the location for the security power equipment within a vital area in accordance with 10 CFR 73.55(e)(9)(vi)(B).   | 9.5     |
| COL ltem 10.3-1: | A COL applicant that references the NuScale Power Plant design certification will provide a site-specific chemistry control program based on the latest revision of the Electric Power Research Institute Pressurized Water Reactor Secondary Water Chemistry Guidelines and Nuclear Energy Institute (NEI) 97-06 at the time of the COL application.   | 10.3    |
| COL ltem 10.3-2: | A COL Applicant that references the NuScale Power Plant design certification will provide a description of the flow-accelerated corrosion monitoring program for the steam and power conversion systems based on Generic Letter 89-08 and the latest revision of the Electric Power Research Institute NSAC-202L at the time of the COL application.  | 10.3    |
| COL ltem 10.4-1: | A COL applicant that references the NuScale Power Plant design certification will determine the size and number of new and spent resin tanks in the condensate polishing system.  | 10.4    |
| COL ltem 10.4-2: | A COL applicant that references the NuScale Power Plant design certification will describe the type of fuel supply for the auxiliary boilers.   | 10.4    |
| COL ltem 10.4-3: | A COL applicant that references the NuScale Power Plant design certification will provide a secondary water chemistry analysis. This analysis will show that the size, materials, and capacity of the feedwater treatment system equipment and components satisfies the water quality requirements of the secondary water chemistry program described in Section 10.3.5, and that it is compatible with the chemicals used. | 10.4    |
| COL ltem 11.2-1: | A COL applicant that references the NuScale Power Plant design certification will ensure mobile equipment used and connected to plant systems is in accordance with American National Standards Institute / American Nuclear Society (ANSI/ANS)-40.37 (Reference 11.2-1), Regulatory Guide (RG) 1.143, 10 CFR 20.1406, NRC IE Bulletin 80-10 and 10 CFR 50.34a.   | 11.2    |
| COL ltem 11.2-2: | A COL applicant that references the NuScale Power Plant design certification will calculate doses to members of the public using the site-specific parameters, compare those liquid effluent doses to the numerical design objectives of 10 CFR 50, Appendix I, and comply with the requirements of 10 CFR 20.1302 and 40 CFR 190.  | 11.2    |
| COL Item 11.2-3: | A COL applicant that references the NuScale Power Plant design certification will perform a site-<br>specific evaluation of the consequences of an accidental release of radioactive liquid from the<br>pool surge control system storage tank in accordance with NRC Branch Technical Position 11-6.   | 11.2    |
| COL ltem 11.2-4: | A COL applicant that references the NuScale Power Plant design certification will perform a site-specific evaluation using the site-specific dilution flow.   | 11.2    |
| COL ltem 11.2-5: | A COL applicant that references the NuScale Power Plant design certification will perform a cost-benefit analysis as required by 10 CFR 50.34a and 10 CFR 50, Appendix I, to demonstrate conformance with regulatory requirements. This cost-benefit analysis is to be performed using the guidance of Regulatory Guide 1.110.  | 11.2    |
| COL ltem 11.3-1: | A COL applicant that references the NuScale Power Plant design certification will perform a site-specific cost-benefit analysis.  | 11.3    |
| COL ltem 11.3-2: | A COL applicant that references the NuScale Power Plant design certification will calculate doses to members of the public using the site-specific parameters, compare those gaseous effluent doses to the numerical design objectives of 10 CFR 50, Appendix I, and comply with the requirements of 10 CFR 20.1302 and 40 CFR 190.   | 11.3    |
| COL ltem 11.3-3: | A COL applicant that references the NuScale Power Plant design certification will perform an analysis in accordance with Branch Technical Position 11-5 using the site-specific parameters.   | 11.3    |

| Table 1.8-2: Combined | License Information | Items (Continued) |
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| Item No.         | Description of COL Information Item  | Section |
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| COL Item 11.4-1: | A COL applicant that references the NuScale Power Plant design certification will describe<br>mobile equipment used and connected to plant systems in accordance with American National<br>Standards Institute / American Nuclear Society (ANSI/ANS) 40.37, Regulatory Guide 1.143,<br>10 CFR 20.1406, NRC IE Bulletin 80-10, and 10 CFR 50.34a.   | 11.4    |
| COL Item 11.4-2: | A COL applicant that references the NuScale Power Plant design certification will develop a site-specific process control program following the guidance of Nuclear Energy Institute (NEI) 07-10A (Reference 11.4-3).  | 11.4    |
| COL ltem 11.5-1: | A COL applicant that references the NuScale Power Plant design certification will describe site-specific process and effluent monitoring and sampling system components and address the guidance provided in American National Standards Institute (ANSI) N13.1-2011, ANSI N42.18-2004, and Regulatory Guides 1.21, 1.33, and 4.15.  | 11.5    |
| COL ltem 11.5-2: | A COL applicant that references the NuScale Power design certification will develop an offsite dose calculation manual (ODCM) that contains a description of the methodology and parameters used for calculation of offsite doses for gaseous and liquid effluents, using the guidance of Nuclear Energy Institute (NEI) 07-09A (Reference 11.5-8).  | 11.5    |
| COL Item 11.5-3: | A COL applicant that references the NuScale Power design certification will develop a<br>Radiological Environmental Monitoring Program (REMP), consistent with the guidance in<br>NUREG-1301 and NUREG-0133, that considers local land use census data for the identification of<br>potential radiation pathways radioactive materials present in liquid and gaseous effluents, and<br>direct external radiation from systems, structures, and components. | 11.5    |
| COL Item 12.1-1: | A COL applicant that references the NuScale Power Plant design certification will describe the operational program to maintain exposures to ionizing radiation as far below the dose limits as practical, as low as reasonably achievable (ALARA).   | 12.1    |
| COL Item 12.2-1: | A COL applicant that references the NuScale Power Plant design certification will describe additional site-specific contained radiation sources that exceed 100 millicuries (including sources for instrumentation and radiography) not identified in Section 12.2.1.  | 12.2    |
| COL Item 12.3-1: | A COL applicant that references the NuScale Power Plant design certification will develop the administrative controls regarding access to high radiation areas per the guidance of Regulatory Guide 8.38.  | 12.3    |
| COL Item 12.3-2: | A COL applicant that references the NuScale Power Plant design certification will develop the administrative controls regarding access to very high radiation areas per the guidance of Regulatory Guide 8.38.   | 12.3    |
| COL ltem 12.3-3: | A COL applicant that references the NuScale Power Plant design certification will specify personnel exposure monitoring hardware, specify contamination identification and removal hardware, and establish administrative controls and procedures to control access into and exiting the radiologically controlled area.   | 12.3    |
| COL ltem 12.3-4: | A COL applicant that references the NuScale Power Plant design certification will develop the processes and programs necessary for the implementation of 10 CFR 20.1501 related to conducting radiological surveys, maintaining proper records, calibration of equipment, and personnel dosimetry.   | 12.3    |
| COL ltem 12.3-5: | A COL applicant that references the NuScale Power Plant design certification will describe design criteria for locating additional area radiation monitors.  | 12.3    |
| COL ltem 12.3-6: | A COL applicant that references the NuScale Power Plant design certification will develop the processes and programs necessary for the use of portable airborne monitoring instrumentation, including accurately determining the airborne iodine concentration in areas within the facility where plant personnel may be present during an accident.   | 12.3    |
| COL ltem 12.3-7: | A COL applicant that references the NuScale Power Plant design certification will develop the processes and programs associated with Objectives 5 and 6, to work in conjunction with design features, necessary to demonstrate compliance with 10 CFR 20.1406, and the guidance of Regulatory Guide 4.21.  | 12.3    |

| ltem No.         | Description of COL Information Item  | Section |
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| COL Item 12.3-8: | A COL applicant that references the NuScale Power Plant design certification will describe the radiation shielding design measures used to compensate for major shield wall penetrations in accordance with FSAR Section 12.1.2.3.2 "Minimizing Radiation Levels in Plant Access Areas and Vicinity of Equipment," Section 12.3.1.2.3 "Penetrations," and Section 12.3.2.2 "Design Considerations." Penetration compensatory measures will account for the protection of equipment, and exposures to workers and the public. | 12.3    |
| COL ltem 12.4-1: | A COL applicant that references the NuScale Power Plant design certification will estimate doses to construction personnel from a co-located existing operating nuclear power plant that is not a NuScale Power Plant.   | 12.4    |
| COL Item 12.5-1: | A COL applicant that references the NuScale Power Plant design certification will describe elements of the operational radiation protection program to ensure that occupational and public radiation exposures are as low as reasonably achievable in accordance with 10 CFR 20.1101.  | 12.5    |
| COL Item 13.1-1: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the corporate or home office management and technical support organization, including a description of the qualification requirements for (1) each identified position or class of positions that provide technical support to the onsite operating organization, and (2) individuals holding management and supervisory positions in organizational units providing technical support to the onsite operation.   | 13.1    |
| COL ltem 13.1-2: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the proposed structure, functions, and responsibilities of the onsite organization necessary to operate and maintain the plant. The proposed operating staff shall be consistent with the minimum licensed operator staffing requirements in Section 18.5.  | 13.1    |
| COL Item 13.1-3: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the qualification requirements for each management, operating, technical, and maintenance position described in the operating organization.   | 13.1    |
| COL Item 13.2-1: | A COL applicant that references the NuScale Power Plant design certification will provide a description and schedule of the initial training and qualification as well as requalification programs for reactor operators and senior reactor operators.   | 13.2    |
| COL Item 13.2-2: | A COL applicant that references the NuScale Power Plant design certification will provide a description and schedule of the non-licensed plant staff training programs including initial training, periodic retraining, and qualification requirements.  | 13.2    |
| COL Item 13.3-1: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the onsite operational support center (OSC) including the direct communication system or systems between the OSC and the control room.  | 13.3    |
| COL ltem 13.3-2: | A COL applicant that references the NuScale Power Plant design certification will provide a description of an emergency operations facility for management of overall licensee emergency response. The facility will meet the requirements of 10 CFR 50.47(b)(8) and Section IV.E, "Emergency Facilities and Equipment," of Appendix E to 10 CFR Part 50.  | 13.3    |
| COL Item 13.3-3: | A COL applicant that references the NuScale Power Plant design certification will provide a comprehensive emergency plan in accordance with 10 CFR 50.47, 10 CFR 50, Appendix E, 10 CFR 52.48, and 10 CFR 52.79(a)(21).  | 13.3    |

| Item No.          | Description of COL Information Item   | Section |
|-------------------|---|---------|
| COL Item 13.4-1:  | A COL applicant that references the NuScale Power Plant design certification will provide site-   | 13.4    |
|                   | specific information, including implementation schedule, for operational programs:  |         |
|                   | Inservice inspection programs (refer to Section 5.2, Section 5.4, and Section 6.6)  |         |
|                   | Inservice testing programs (refer to Section 3.9 and Section 5.2)   |         |
|                   | Environmental qualification program (refer to Section 3.11)   |         |
|                   | Pre-service inspection program (refer to Section 5.2 and Section 5.4)   |         |
|                   | Reactor vessel material surveillance program (refer to Section 5.3)   |         |
|                   | Pre-service testing program (refer to Section 3.9.6, Section 5.2, and Section 6.6)  |         |
|                   | Containment leakage rate testing program (refer to Section 6.2)      Fire protection program (refer to Section 0.5)   |         |
|                   | Fire protection program (refer to Section 9.5)      Breases and offluent manitoring and sampling program (refer to Section 11.5)  |         |
|                   | Process and effluent monitoring and sampling program (refer to Section 11.5)     Padiation program (refer to Section 12.5)  |         |
|                   | <ul> <li>Radiation protection program (refer to Section 12.5)</li> <li>Non-licensed plant staff training program (refer to Section 13.2)</li> </ul>   |         |
|                   | <ul> <li>Reactor operator training program (refer to Section 13.2)</li> </ul>   |         |
|                   | <ul> <li>Reactor operator requalification program (refer to Section 13.2)</li> <li>Reactor operator requalification program (refer to Section 13.2)</li> </ul>                                  |         |
|                   | <ul> <li>Emergency planning (refer to Section 13.3)</li> </ul>  |         |
|                   | <ul> <li>Process control program (PCP) (refer to Section 11.4)</li> </ul>   |         |
|                   | <ul> <li>Security (refer to Section 13.6)</li> </ul>  |         |
|                   | Quality assurance program (refer to Section 17.5)   |         |
|                   | Maintenance rule (refer to Section 17.6)  |         |
|                   | <ul> <li>Motor-operated valve testing (refer to Section 3.9)</li> </ul>   |         |
|                   | <ul> <li>Initial test program (refer to Section 14.2)</li> </ul>  |         |
| COL ltem 13.5-1:  | A COL applicant that references the NuScale Power Plant design certification will describe the  | 13.5    |
|                   | site-specific procedures that provide administrative control for activities that are important for  |         |
|                   | the safe operation of the facility consistent with the guidance provided in Regulatory Guide  |         |
|                   | 1.33, Revision 3.   |         |
| COL ltem 13.5-2:  | A COL applicant that references the NuScale Power Plant design certification will describe the  | 13.5    |
|                   | site-specific procedures that operators use in the main control room and locally in the plant,  |         |
|                   | including normal operating procedures, abnormal operating procedures, and emergency   |         |
|                   | operating procedures. The COL applicant will describe the classification system for these   |         |
|                   | procedures, and the general format and content of the different classifications.  | 49.5    |
| COL ltem 13.5-3:  | A COL applicant that references the NuScale Power Plant design certification will describe the  | 13.5    |
|                   | site-specific maintenance and other operating procedures, including how these procedures are classified, and the general format and content of the different classifications. The categories of |         |
|                   | procedures listed below should be included:   |         |
|                   | plant radiation protection procedures   |         |
|                   | <ul> <li>emergency preparedness procedures</li> </ul>   |         |
|                   | <ul> <li>calibration and test procedures</li> </ul>   |         |
|                   | chemical-radiochemical control procedures   |         |
|                   | <ul> <li>radioactive waste management procedures</li> </ul>   |         |
|                   | maintenance and modification procedures   |         |
|                   | material control procedures   |         |
|                   | plant security procedures   |         |
| COL ltem 13.5-4:  | A COL applicant that references the NuScale Power Plant design certification will provide a plan  | 13.5    |
| COE IICHI 13.3-4. | for the development, implementation, and control of administrative procedures, including  | 13.5    |
|                   | preliminary schedules for preparation and target dates for completion. Additionally, the COL  |         |
|                   | applicant will identify the group within the operating organization responsible for maintaining   |         |
|                   | these procedures.   |         |

| ltem No.         | Description of COL Information Item  | Section |
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| COL Item 13.5-5: | A COL applicant that references the NuScale Power Plant design certification will provide a plan<br>for the development, implementation, and control of operating procedures, including<br>preliminary schedules for preparation and target dates for completion. Additionally, the COL<br>applicant will identify the group within the operating organization responsible for maintaining<br>these procedures.  | 13.5    |
| COL ltem 13.5-6: | Not used.  | 13.5    |
| COL ltem 13.5-7: | A COL applicant that references the NuScale Power Plant design certification will provide a plan<br>for the development, implementation, and control of emergency operating procedures (EOPs),<br>including preliminary schedules for preparation and target dates for completion. Included in the<br>submittal is the Procedures Generation Package, consisting of the following:   | 13.5    |
|                  | <ul> <li>Plant-Specific Technical Guidelines, which are guidelines based on analysis of transients and accidents that are specific to the COL applicant's plant design and operating philosophy.</li> <li>A plant-specific writer's guide that details the specific methods to be used by the COL applicant in preparing EOPs based on the Plant-Specific Technical Guidelines.</li> <li>A description of the program for verification and validation of the EOPs.</li> <li>A description of the program for training operators on the EOPs.</li> <li>Additionally, the COL applicant will identify the group within the operating organization responsible for maintaining these procedures.</li> </ul> |         |
| COL ltem 13.5-8: | A COL applicant that references the NuScale Power Plant design certification will provide a plan<br>for the development, implementation, and control of maintenance and other operating<br>procedures, including preliminary schedules for preparation and target dates for completion.<br>Additionally, the COL applicant will identify what group or groups within the operating<br>organization have the responsibility for maintaining and following these procedures.   | 13.5    |
| COL Item 13.6-1: | <ul> <li>A COL applicant that references the NuScale Power Plant design certification will provide the following:</li> <li>Security Plans (Physical Security, Security Training and Qualification, and Safeguards Contingency Plans)</li> <li>proposed site security provisions to be implemented during construction and as modules are completed and become operational of a new plant</li> <li>portions of the physical security system not located within the nuclear island and structures</li> </ul>   | 13.6    |
| COL Item 13.6-2: | A COL applicant that references the NuScale Power Plant design certification will be responsible for the requirements described in Table 5-1 of TR-0416-48929, "NuScale Design of Physical Security Systems" (Reference 13.6-1).   | 13.6    |
| COL ltem 13.6-3: | A COL applicant that references the NuScale Power Plant design certification will provide a secondary alarm station that is equal and redundant to the central alarm station.  | 13.6    |
| COL Item 13.6-4: | A COL applicant that references the NuScale Power Plant design certification will provide inspections, tests, analyses, and acceptance criteria for site-specific physical security structures, systems, and components.   | 13.6    |
| COL ltem 13.6-5: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the access authorization program.   | 13.6    |
| COL ltem 13.6-6: | A COL applicant that references the NuScale Power Plant design certification will provide a Cyber Security Plan.   | 13.6    |
| COL ltem 13.7-1: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the applicant's 10 CFR 26 compliant fitness-for-duty (FFD) program for plant operations.  | 13.7    |
| COL Item 13.7-2: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the applicant's 10 CFR 26 compliant fitness-for-duty (FFD) program for construction.  | 13.7    |
| COL Item 14.2-1: | A COL applicant that references the NuScale Power Plant design certification will describe the site-specific organizations that manage, supervise, or execute the Initial Test Program, including the associated training requirements.  | 14.2    |

| ltem No.         | Description of COL Information Item   |      |  |  |  |  |
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| COL Item 14.2-2: | A COL applicant that references the NuScale Power Plant design certification is responsible for<br>the development of the Startup Administration Manual that will contain the administrative<br>procedures and requirements that control the activities associated with the Initial Test Program.<br>The COL applicant will provide a milestone for completing the Startup Administrative Manual<br>and making it available for NRC inspection. | 14.2 |  |  |  |  |
| COL ltem 14.2-3: | A COL applicant that references the NuScale Power Plant design certification will identify the specific operator training to be conducted during low-power testing related to the resolution of TMI Action Plan Item I.G.1, as described in NUREG-0660, NUREG-0694, and NUREG-0737.   | 14.2 |  |  |  |  |
| COL ltem 14.2-4: | A COL applicant that references the NuScale Power Plant design certification will provide a schedule for the Initial Test Program.  | 14.2 |  |  |  |  |
| COL ltem 14.2-5: | A COL applicant that references the NuScale Power Plant design certification will provide a test abstract for the potable water system pre-operational testing.   | 14.2 |  |  |  |  |
| COL ltem 14.2-6: | A COL applicant that references the NuScale Power Plant design certification will provide a test abstract for the seismic monitoring system pre-operational testing.  | 14.2 |  |  |  |  |
| COL ltem 14.2-7: | A COL applicant that references the NuScale Power Plant design certification will select the plant configuration to perform the Island Mode Test (number of NuScale Power Modules in service).  | 14.2 |  |  |  |  |
| COL Item 14.3-1: | A COL applicant that references the NuScale Power Plant design certification will provide the site-specific selection methodology and inspections, tests, analyses, and acceptance criteria for emergency planning.   | 14.3 |  |  |  |  |
| COL Item 14.3-2: | A COL applicant that references the NuScale Power Plant design certification will provide the site-specific selection methodology and inspections, tests, analyses, and acceptance criteria for structures, systems, and components within their scope.   | 14.3 |  |  |  |  |
| COL ltem 16.1-1: | A COL applicant that references the NuScale Power Plant design certification will provide the final plant-specific information identified by [] in the generic Technical Specifications and generic Technical Specification Bases.  | 16.1 |  |  |  |  |
| COL ltem 16.1-2  | A COL applicant that references the NuScale Power Plant design certification will prepare and maintain an owner-controlled requirements manual that includes owner-controlled limits and requirements described in the Bases of the Technical Specifications or as otherwise specified in the FSAR.   | 16.1 |  |  |  |  |
| COL Item 16.1-3  | A COL applicant that references the NuScale Power Plant design certification, and uses allocations for sensor response times based on records of tests, vendor test data, or vendor engineering specifications as described in the Bases for Surveillance Requirement 3.3.1.3, will do so for selected components provided that the components and methodology for verification have been previously reviewed and approved by the NRC.          | 16.1 |  |  |  |  |
| COL ltem 17.4-1: | A COL applicant that references the NuScale Power Plant design certification will describe the Reliability Assurance Program conducted during the operations phases of the plant's life.  | 17.4 |  |  |  |  |
| COL Item 17.4-2: | A COL applicant that references the NuScale Power Plant design certification will identify site-specific structures, systems, and components within the scope of the Reliability Assurance Program.   | 17.4 |  |  |  |  |
| COL ltem 17.4-3: | A COL applicant that references the NuScale Power Plant design certification will identify the quality assurance controls for the Reliability Assurance Program structures, systems, and components during site-specific design, procurement, fabrication, construction, and preoperational testing activities.   | 17.4 |  |  |  |  |
| COL Item 17.5-1: | -1: A COL applicant that references the NuScale Power Plant design certification will describe the Quality Assurance Program applicable to site-specific design activities and to the construction and operations phases.   |      |  |  |  |  |
| COL Item 17.6-1: | A COL applicant that references the NuScale Power Plant design certification will describe the program for monitoring the effectiveness of maintenance required by 10 CFR 50.65.  | 17.6 |  |  |  |  |
| COL ltem 18.5-1: | A COL applicant that references the NuScale Power Plant design certification will address the staffing and qualifications of non-licensed operators.  | 18.5 |  |  |  |  |

| ltem No.          | Description of COL Information Item   |       |  |  |  |  |  |
|-------------------|---|-------|--|--|--|--|--|
| COL ltem 18.12-1: | A COL applicant that references the NuScale Power Plant design certification will provide a description of the human performance monitoring program in accordance with applicable NUREG-0711 or equivalent criteria.  | 18.12 |  |  |  |  |  |
| COL ltem 19.1-1:  | A COL applicant that references the NuScale Power Plant design certification will identify and describe the use of the probabilistic risk assessment in support of licensee programs being implemented during the COL application phase.  |       |  |  |  |  |  |
| COL Item 19.1-2:  | A COL applicant that references the NuScale Power Plant design certification will identify and describe specific risk-informed applications being implemented during the COL application phase.   | 19.1  |  |  |  |  |  |
| COL Item 19.1-3:  | A COL applicant that references the NuScale Power Plant design certification will specify and describe the use of the probabilistic risk assessment in support of licensee programs during the construction phase (from issuance of the COL up to initial fuel loading).  | 19.1  |  |  |  |  |  |
| COL Item 19.1-4:  | A COL applicant that references the NuScale Power Plant design certification will specify and describe risk-informed applications during the construction phase (from issuance of the COL up to initial fuel loading).  | 19.1  |  |  |  |  |  |
| COL Item 19.1-5:  | A COL applicant that references the NuScale Power Plant design certification will specify and describe the use of the probabilistic risk assessment in support of licensee programs during the operational phase (from initial fuel loading through commercial operation).  | 19.1  |  |  |  |  |  |
| COL Item 19.1-6:  | A COL applicant that references the NuScale Power Plant design certification will specify and describe risk-informed applications during the operational phase (from initial fuel loading through commercial operation).  | 19.1  |  |  |  |  |  |
| COL ltem 19.1-7:  | A COL applicant that references the NuScale Power Plant design certification will evaluate site-specific external event hazards (e.g., liquefaction, slope failure), screen those for risk-significance, and evaluate the risk associated with external hazards that are not bounded by the design certification. | 19.1  |  |  |  |  |  |
| COL ltem 19.1-8:  | A COL applicant that references the NuScale Power Plant design certification will confirm the validity of the "key assumptions" and data used in the design certification application probabilistic risk assessment (PRA) and modify, as necessary, for applicability to the as-built, as-operated PRA.           | 19.1  |  |  |  |  |  |
| COL Item 19.2-1:  | A COL applicant that references the NuScale Power Plant design certification will develop severe accident management guidelines and other administrative controls to define the response to beyond-design-basis events.   | 19.2  |  |  |  |  |  |
| COL Item 19.2-2:  | A COL applicant that references the NuScale Power Plant design certification will use the site-specific probabilistic risk assessment to evaluate and identify improvements in the reliability of core and containment heat removal systems as specified by 10 CFR 50.34(f)(1)(i).                                | 19.2  |  |  |  |  |  |
| COL Item 19.2-3:  | A COL applicant that references the NuScale Power Plant design certification will evaluate severe accident mitigation design alternatives screened as "not required for design certification application."  | 19.2  |  |  |  |  |  |
| COL Item 19.3-1:  | A COL applicant that references the NuScale Power Plant design certification will identify site-specific regulatory treatment of nonsafety systems (RTNSS) structures, systems, and components and applicable RTNSS process controls.   | 19.3  |  |  |  |  |  |
| COL ltem 20.1-1:  | Not used.   | 20.1  |  |  |  |  |  |
| COL Item 20.1-2:  | Not used.   | 20.1  |  |  |  |  |  |
| COL Item 20.1-3:  | Not used.   | 20.1  |  |  |  |  |  |
| COL ltem 20.1-4:  | Not used.   | 20.1  |  |  |  |  |  |
| COL ltem 20.1-5:  | Not used.   | 20.1  |  |  |  |  |  |
| COL ltem 20.1-6:  | Not used.   | 20.1  |  |  |  |  |  |
| COL Item 20.1-7:  | Not used.   | 20.1  |  |  |  |  |  |

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| ltem No.         | Description of COL Information Item  | Section |
|------------------|--|---------|
| COL Item 20.1-8: | A COL applicant that references the NuScale Power Plant design certification will develop procedures, training, and a qualification program for operations, maintenance, testing, and calibration of ultimate heat sink level instrumentation to ensure the level instruments will be available when needed and personnel are knowledgeable in interpreting the information as addressed in Nuclear Energy Institute (NEI) 12-02, Revision 1, Industry Guidance for Compliance with NRC Order EA-12-051, "To Modify Licenses with Regard to Reliable Spent Fuel Pool Instrumentation." | 20.1    |
| COL ltem 20.2-1: | A COL applicant that references the NuScale Power Plant design certification will develop<br>enhanced firefighting capabilities in accordance with 10 CFR 50.155(b)(2). The enhanced<br>firefighting capabilities should address the expectation elements listed in Section 4.1.3 of the<br>Technical Report TR-0816-50796 (Reference 20.2-1).   | 20.2    |
| COL ltem 20.2-2: | A COL applicant that references the NuScale Power Plant design certification will provide a means for water spray scrubbing using fog nozzles and the availability of water sources, and address runoff water containment issues (sandbags, portable dikes, etc.) as an attenuation measure for mitigating radiation releases outside containment.   | 20.2    |

#### 1.9 Conformance with Regulatory Criteria

This section provides a guide to conformance with regulatory criteria in individual table format, as listed below. Conformance is assessed to regulatory criteria in effect six months before the anticipated docket date.

Table 1.9-1, "Conformance Status Legend," defines the codes used to indicate conformance in Table 1.9-2 through Table 1.9-8

Table 1.9-2, Conformance with Regulatory Guides

Table 1.9-3, Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS)

Table 1.9-4, Conformance with Interim Staff Guidance (ISG)

Table 1.9-5, Conformance with TMI Requirements (10 CFR 50.34(f)) and Generic Issues (NUREG-0933)

Table 1.9-6, Evaluation of Operating Experience (Generic Letters and Bulletins)

Table 1.9-7, Conformance with Advanced and Evolutionary Light Water Reactor Design Issues (SECYs and associated SRMs)

Table 1.9-8, Conformance with SECY-93-087, "Policy, Technical, and Licensing Issues Pertaining to Evolutionary and Advanced Light-Water Reactor Designs"

COL Item 1.9-1: A COL applicant that references the NuScale Power Plant design certification will review and address the conformance with regulatory criteria in effect six months before the submittal date of the COL application for the site-specific portions and operational aspects of the facility design.

#### 1.9.1 Conformance with Regulatory Guides

Table 1.9-2 provides an evaluation of conformance with the guidance in NRC regulatory guides in effect 6 months before the submittal date of the Final Safety Analysis Report (FSAR). This evaluation also includes an identification and description of deviations from the guidance in the NRC Regulatory Guides as well as suitable justifications for any alternative approaches proposed.

The conformance evaluation was performed on the following groups of Regulatory Guides:

- Division 1, Power Reactors
- Division 4, Environmental and Siting (applies to the environmental report and should be discussed therein)
- Division 5, Materials and Plant Protection (applies to the security plan and should be discussed therein)
- Division 8, Occupational Health

#### 1.9.2 Conformance with Standard Review Plan

NuScale performed a review of the SRP including Branch Technical Positions and guidance referenced within the SRP. A summary of this review was submitted to the NRC as NP-RT-0612-023, "Gap Analysis Summary Report," Revision 1, in July 2014 (Reference 1.9-1). The gap analysis review for applicability was directed towards the acceptance criteria of each SRP section. However, the review considered the relevance of sub-tier guidance whether referenced in the acceptance criteria or in other portions of the SRP section being reviewed.

Additionally, NuScale considered conformance with the DSRS developed by the NRC for the review of the NuScale Power small modular reactor design. This information has been incorporated into Table 1.9-3. Conformance with NRC Interim Staff Guidance is presented in Table 1.9-4.

#### 1.9.3 Generic Issues

In accordance with 10 CFR 52.47(a)(8), conformance is assessed against technically relevant Three Mile Island (TMI) requirements identified in 10 CFR 50.34(f), except for paragraphs (f)(1)(xii), (f)(2)(ix), and (f)(3)(v). Plant characteristics and plant programs that address relevant TMI requirements are described in the appropriate FSAR sections.

In accordance with 10 CFR 52.47(a)(21), proposed resolutions must be identified for any technically relevant unresolved safety issues and medium-priority to high-priority generic safety issues (GSI) identified in the version of NUREG-0933 that is current six months prior to the application for design certification. Resolution and closure of generic issues is managed via the NRC Generic Issues Program. NRC SECY-07-0110, dated July 6, 2007 provides the most recent supplemental status report of the Generic Issues Program prior to the FSAR submittal. As such, Appendix B of NUREG-0933, Rev. 21 (including the Main Report and Supplements 1-34) and NRC letter SECY-07-0110, were used to identify those generic issues applicable to the NuScale Power Plant design certification.

Table 1.9-5 identifies the applicable TMI requirements and generic issues, along with an abbreviated summary description of the NRC position for each table entry. Table 1.9-5 also provides a brief conformance assessment notation, including annotation of any exceptions, and a reference to the FSAR section(s) addressing the issue. Those NUREG-0933 generic issues determined as non-applicable were eliminated from consideration in Table 1.9-5 based on these:

- Resolved: Issue has been completely resolved and removed from the latest Generic Issues Program list of Active and Regulatory Office Implementation Generic Issues.
- BWR, Ice Condenser Containment or Other: Issue applies to another nuclear power plant design concept or to the design of a nuclear facility other than a nuclear power plant.

### 1.9.4 Operational Experience (Generic Communications)

Per 10 CFR 52.47(a)(22) requirements, applicants for design certification of new plant designs include a description of how operational experience has been incorporated into the design process. Operational experience insights are incorporated into applicable SRP

sections as they are updated. Operational experience from NRC Bulletins and Generic Letters not incorporated into the most recent applicable SRP six months before the application docket date are incorporated into the design unless stated otherwise. The design is an evolution of nuclear power plant designs that have been operated in the United States, as addressed by 10 CFR 52.41(b)(1); hence NRC guidance for technically relevant operational experience issues is addressed in the appropriate FSAR sections.

The conformance assessment relative to operational experience is provided in Table 1.9-6, "Evaluation of Operating Experience (Generic Letters and Bulletins)." Further, 10 CFR 21 notifications were reviewed for impact to the NuScale design as part of the supplier evaluation process. NuScale's QA supplier evaluation program includes a review of 10 CFR 21 notifications for every Nuclear Safety Related supplier prior to use as an approved supplier for safety related items/services. The evaluation for any 10 CFR 21 notifications is also performed as part of monitoring of supplier performance by periodic annual review. There have been no 10 CFR 21 notifications impacting nuclear safety related work performed by NuScale approved safety related suppliers for the development of the NuScale Design. Therefore, all applicable 10 CFR 21 notifications have been evaluated.

#### 1.9.5 Advanced and Evolutionary Light-Water Reactor Design Issues

Guidance in SRP Section 1.0 recommends that this section address the applicable licensing and policy issues developed by the NRC and documented in SECY-93-087, "Policy, Technical, and Licensing Issues Pertaining to Evolutionary and Advanced Light-Water Reactor (ALWR) Designs," Secretary of the Commission, Office of the U.S. Nuclear Regulatory Commission, April, 2, 1993, as supplemented by the associated staff requirements memorandum (SRM) dated July 21, 1993.

Table 1.9-7 lists applicable design issues identified in SECYs and their associated SRMs. The table provides a conformance assessment notation, including annotation of any exceptions, for each issue. Table 1.9-7 also provides a cross-reference from the SECY issues to the FSAR sections that address them. Table 1.9-8 provides a separate assessment of SECY-93-087 line items pertaining to ALWR designs.

#### 1.9.6 References

1.9-1 NuScale Power, LLC, "Gap Analysis Summary Report," NP-RT-0612-023, Revision 1.

| Conformance Status<br>Code | Description  |
|----------------------------|--|
| Conforms                   | The regulation or regulatory guidance is relevant and applicable, and can be applied "as-is." The design fully conforms to the requirement or guidance described in the Section(s) identified. Where options are identified in the regulation or regulatory guidance, "Conforms" indicates that the design fully conforms to the option(s) selected.   |
| Partially Conforms         | <ul> <li>The design conforms to those portions of the requirement or guidance that can be appropriately applied as written.</li> <li>The underlying purpose or intent of the requirement or guidance is relevant to the design but cannot be appropriately applied as written, or some portion of the requirement or guidance is applicable while other portions are not applicable. The following are examples: <ul> <li>A portion of the regulatory requirement or guidance is literally applicable, but the specific language refers to a different type of light water reactor (LWR) design or structures, systems, and components (SSC) that are not part of the design.</li> <li>The regulatory requirement or guidance is applicable except for aspects that are specific to combined license or early site permit applicants, or to BWR designs, etc.</li> <li>The intent of a regulatory requirement or guidance is applicable, but the specific language refers to one of the following: <ul> <li>a different type of LWR design</li> <li>an SSC that is not part of the design, but for which a substantively equivalent function is served by other SSC within the design</li> </ul> </li> </ul></li></ul>   |
| Not Applicable             | <ul> <li>The regulation or guidance is not appropriate to apply and therefore conformance is not required.</li> <li>The following are examples: <ul> <li>The regulatory requirement or guidance is applicable only to BWR designs.</li> <li>The regulatory requirement or guidance is applicable only to large pressurized water reactor (PWR) designs.</li> <li>The regulatory requirement or guidance is applicable to the design, but is the responsibility of the COL applicant.</li> <li>The regulatory requirement or guidance is applicable to SSC that are not part of the design.</li> </ul> </li> </ul>  |
| Departure                  | For items found within the Code of Federal Regulations (CFR): the regulation is literally applicable; however NuScale intends to depart from the regulation based on the design or safety basis of the NuScale design. That is to say that conformance to the regulation would have a minimal, or even negative, impact on safety of the NuScale design and hence a departure from the regulation (that was originally created for traditional LWRs) is warranted. The form of the departure may be through an exemption request under 10 CFR 52.7 or through a specific process available for a set of regulations. For example, the introduction to 10 CFR 50, Appendix A provides a departure from the General Design Criteria as explained in Section 3.1, and the TMI action items may be identified and justified as "not technically relevant" to the design consistent with 10 CFR 52.47(a)(8) and 10 CFR 50.34(f). <sup>1</sup> Note that some TMI action items are categorized as "Partially Conforms" or "Not Applicable" rather than "Departure." The difference is that those requirements are not applicable by their own terms, for example because they apply to BWRs or to an SSC that the NuScale design lacks. A departure from a TMI requirement is appropriate where the requirement is literally applicable but is inappropriate to apply to the NuScale design. |

| Table | 1.9-1: | Conforman | ce Status | Legend |
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| RG   | Division Title   | Rev. | Conformance Status | Comments   | Section        |
|------|--|------|--------------------|--|----------------|
| 1.3  | Assumptions Used for Evaluating<br>the Potential Radiological Conse-<br>quences of a Loss of Coolant Acci-<br>dent for Boiling Water Reactors                  | 2    | Not Applicable     | This guidance is only applicable to BWRs.  | Not Applicable |
| 1.4  | Assumptions Used for Evaluating<br>the Potential Radiological Conse-<br>quences of a Loss of Coolant Acci-<br>dent for Pressurized Water<br>Reactors           | 2    | Not Applicable     | This RG pertains to existing reactors; RG 1.183 is specified in SRP Section 15.0.3 to be used for new reactors.  | Not Applicable |
| 1.5  | Safety Guide 5 - Assumptions<br>Used for Evaluating the Potential<br>Radiological Consequences of a<br>Steam Line Break Accident for<br>Boiling Water Reactors | -    | Not Applicable     | This guidance is only applicable to BWRs.  | Not Applicable |
| 1.6  | Safety Guide 6 - Independence<br>Between Redundant Standby<br>(Onsite) Power Sources and<br>Between Their Distribution Sys-<br>tems                            | -    | Partially Conforms | The onsite electrical AC power systems do not con-<br>tain Class 1E distribution systems. The EDSS design<br>conforms to the guidance for independence of<br>standby power sources and their distribution sys-<br>tems.  | 8.3            |
| 1.7  | Control of Combustible Gas Con-<br>centrations in Containment  | 3    | Partially Conforms | The NuScale design complies with the intent of RG<br>1.7 regulatory positions that address hydrogen and<br>oxygen monitors, atmosphere mixing, hydrogen gas<br>production, and containment structural integrity.<br>However, the NuScale design differs from the system<br>designs the guidance addresses. The NuScale design<br>combustible gas control system does not use com-<br>bustible gas control systems. The NuScale design<br>supports an exemption to 10 CFR 50.44(c)(2) as<br>described in DCA Part 7, section 2. | 6.2.5          |
| 1.8  | Qualification and Training of Per-<br>sonnel for Nuclear Power Plants  | 3    | Not Applicable     | Site-specific programmatic and operational activi-<br>ties are the responsibility of the COL applicant.  | Not Applicable |
| 1.9  | Application and Testing of Safety-<br>Related Diesel Generators in<br>Nuclear Power Plants   | 4    | Not Applicable     | The NuScale design does not require or include safety-related emergency diesel generators.   | Not Applicable |
| 1.11 | Instrument Lines Penetrating the<br>Primary Reactor Containment  | 1    | Not Applicable     | No lines penetrate the NPM containment.  | Not Applicable |

# Table 1.9-2: Conformance with Regulatory Guides

Tier 2

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| RG   | Division Title  | Rev. | Conformance Status | Comments   | Section                    |
|------|---|------|--------------------|--|----------------------------|
| 1.12 | Nuclear Power Plant Instrumenta-<br>tion for Earthquakes  | 2    | Partially Conforms | Selection of specific equipment is the responsibility<br>of the COL applicant or licensee. In addition, seismic<br>detectors cannot be installed inside the contain-<br>ment so Section 3.7.3 indicates they are installed in<br>the RXB.  | 3.7<br>12.3                |
| 1.13 | Spent Fuel Storage Facility<br>Design Basis   | 2    | Partially Conforms | The design of the new and spent fuel storage facility<br>complies with Regulatory Position C.8, Makeup<br>Water by the large inventory of water within the<br>Seismic Category I structures forming the ultimate<br>heat sink (UHS) and by the separate Quality Group C,<br>Seismic Category I makeup line. For Regulatory Posi-<br>tion C.9, Pool Cooling, the UHS pool structures con-<br>taining the inventory of makeup water credited for<br>spent fuel cooling during accident conditions meet<br>Seismic Category I requirements, but as structures,<br>they are not designed to Quality Group C require-<br>ments. In addition, the reactor building ventilation<br>system is not credited with the capability to vent<br>steam or moisture to the atmosphere to protect<br>safety-related components from high temperatures<br>and moisture levels because such protection is not<br>required for the design. | 3.2<br>9.1<br>9.2<br>3.5.2 |
| 1.14 | Reactor Coolant Pump Flywheel<br>Integrity  | 1    | Not Applicable     | This guidance is applicable only to PWR designs that<br>rely on reactor coolant pumps. The NuScale design<br>uses passive natural circulation of the primary cool-<br>ant, eliminating the need for reactor coolant pumps.   | Not Applicab               |
| 1.20 | Comprehensive Vibration Assess-<br>ment Program for Reactor Inter-<br>nals During Preoperational and<br>Initial Startup Testing | 3    | Conforms           | The first operational NPM is classified as a prototype<br>in accordance with RG 1.20. Thus, the portions of<br>this RG which apply to prototype reactors are appli-<br>cable to the first operational NPM. After the first<br>NPM is qualified as a valid prototype, subsequent<br>NPMs are classified as non-prototype category I and<br>the non-prototype portions of the RG apply. The<br>identification of departures from RG 1.20 is the<br>responsibility of the COL applicant or licensee.  | 3.9<br>5.4<br>14.2         |

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|------|---|------|--------------------|--|---|
| 1.21 | Measuring, Evaluating, and<br>Reporting Radioactive Material in<br>Liquid and Gaseous Effluents and<br>Solid Waste  | 2    | Partially Conforms | Site-specific, programmatic and operational aspects<br>are the responsibility of the COL applicant or<br>licensee.   | 11.5  |
| 1.22 | Periodic Testing of Protection<br>System Actuation Functions  | 0    | Conforms           | None.  | 7.2   |
| 1.23 | Meteorological Monitoring Pro-<br>grams for Nuclear Power Plant   | 1    | Not Applicable     | This guidance is the responsibility of the COL appli-<br>cant or licensee.   | Not Applicab  |
| 1.24 | Assumptions Used for Evaluating<br>the Potential Radiological Conse-<br>quences of a Pressurized Water<br>Reactor Radioactive Gas Storage<br>Tank Failure   | 0    | Not Applicable     | Site-specific guidance is the responsibility of the<br>COL applicant or licensee.  | Not Applicab  |
| 1.25 | Assumptions Used for Evaluating<br>the Potential Radiological Conse-<br>quences of a Fuel Handling Acci-<br>dent in the Fuel Handling and<br>Storage Facility for Boiling and<br>Pressurized Water Reactors | 0    | Not Applicable     | This RG pertains to TID14844 source terms used by<br>licensees of existing reactors that are not authorized<br>to use the alternative source term under 10 CFR<br>50.67. RG 1.183 is specified to be used in lieu of RG<br>1.25 for new reactors (and existing reactors autho-<br>rized to use the alternative source term under 10<br>CFR 50.67). | Not Applicabl   |
| 1.26 | Quality Group Classifications and<br>Standards for Water-, Steam-, and<br>Radioactive-Waste-Containing<br>Components of Nuclear Power<br>Plants   | 4    | Conforms           | The quality group classification from RG 1.26 appli-<br>cable to a specific component is described through-<br>out the FSAR.   | 3.2<br>5.2<br>5.4<br>6.2<br>9.1<br>9.2<br>9.3<br>10.3<br>10.4 |
| 1.27 | Ultimate Heat Sink for Nuclear<br>Power Plants  | 3    | Not Applicable     | RG does not apply to plants that use a passive cool-<br>ing system to transfer heat to the ultimate heat sink.<br>The NuScale design uses a passive cooling system.  | Not Applicab  |

Tier 2

| RG   | Division Title   | Rev. | Conformance Status | Comments   | Section       |
|------|--|------|--------------------|--|---------------|
| 1.28 | Quality Assurance Program Crite-   | 4    | Conforms           | None.  | 3.13          |
|      | ria (Design and Construction)  |      |                    |  | 4.5           |
|      |  |      |                    |  | 5.2           |
|      |  |      |                    |  | 5.3           |
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|      |  |      |                    |  | 6.1           |
|      |  |      |                    |  | 7.0           |
|      |  |      |                    |  | 7.2           |
|      |  |      |                    |  | 14.3          |
|      |  |      |                    |  | 17.1          |
|      |  |      |                    |  | 17.5          |
| 1.29 | Seismic Design Classification for<br>Nuclear Power Plants  | 5    |                    | Each SSC described in Staff Regulatory Guidance<br>C.1.a through C.1.h is designated as Seismic Cate-<br>gory I. SSC that meet Staff Regulatory Guidance C.1.i<br>are designated Seismic Category II rather than Seis-<br>mic Category I. The seismic classification from RG<br>1.29 applicable to a specific component is described<br>throughout the FSAR.   | 3.2           |
| 1.30 | Safety Guide 30 - Quality Assur-<br>ance Requirements for the Instal-<br>lation, Inspection, and Testing of<br>Instrumentation and Electric<br>Equipment | -    |                    | This RG endorses IEEE Std. 336-1971 for the installa-<br>tion, inspection, and testing of instrumentation and<br>electric equipment. The NuScale design is based on<br>NQA-1-2008 and the NQA-1a-2009 addenda, as<br>endorsed in RG 1.28, Rev. 4. NQA-1-2008 and<br>NQA-1a-2009 (Subpart 2.4) reference IEEE Std. 336-<br>1985 (as opposed to IEEE Std. 336-1971). The sub-<br>stantive content and intent of RG 1.30 is contained<br>in Subpart 2.4 of NQA-1-2008 and NQA-1a-2009 and<br>IEEE Std. 336-1985, which is applicable to the NuS-<br>cale design per NQA-2008 and NQA-1a-2009. | Not Applicabl |
| 1.31 | Control of Ferrite Content in  | 4    | Conforms           | None.  | 4.5           |
|      | Stainless Steel Weld Metal   |      |                    |  | 5.2           |
|      |  |      |                    |  | 6.1           |
| 1.32 | Criteria for Power Systems for<br>Nuclear Power Plants   | 3    |                    | RG 1.32 is not applicable to the offsite and onsite AC power systems. The EDSS conforms to RG 1.32 to the extent described in Section 8.3.   | 8.2<br>8.3    |

**NuScale Final Safety Analysis Report** 

Conformance with Regulatory Criteria

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| RG    | Division Title   | Rev. | Conformance Status                                | Comments   | Section           |
|-------|--|------|---|--|-------------------|
| 1.33  | Quality Assurance Program<br>Requirements (Operation)  | 3    | Not Applicable                                    | This guidance is the responsibility of the COL appli-<br>cant or licensee.   | Not Applicable    |
| 1.34  | Control of Electroslag Weld Prop-<br>erties  | 1    | Conforms  | None.  | 5.3               |
| 1.35  | Inservice Inspection of<br>Ungrouted Tendons in Pre-<br>stressed Concrete Containments                                 | 3    | Not Applicable                                    | The NuScale design uses a steel containment vessel<br>(i.e., does not use concrete in its design).   | Not Applicable    |
| .35.1 | Determining Prestressing Forces<br>for Inspection of Prestressed Con-<br>crete Containments                            | -    | Not Applicable                                    | The NuScale design uses a steel containment vessel<br>(i.e., does not use concrete in its design).   | Not Applicable    |
| .36   | Nonmetallic Thermal Insulation<br>for Austenitic Stainless Steel   | -    | Not Applicable                                    | The NuScale design does not use nonmetallic ther-<br>mal insulation on RCPB or CNV components.   | Not Applicabl     |
| .40   | Qualification of Continuous Duty<br>Safety-Related Motors for Nuclear<br>Power Plants                                  | 1    | Not Applicable                                    | The NuScale design does not use continuous duty<br>Class 1E motors.  | Not Applicabl     |
| .41   | Preoperational Testing of Redun-<br>dant Onsite Electric Power Sys-<br>tems to Verify Proper Load Group<br>Assignments | -    | Partially Conforms                                | Portions of this guide are applicable to preopera-<br>tional testing of divisional EDSS load groups. It does<br>not apply to NuScale AC power systems or the EDNS. | 8.3<br>14.2       |
| .43   | Control of Stainless Steel Weld<br>Cladding of Low-Alloy Steel Com-<br>ponents   | 1    | Conforms  | None.  | 5.2<br>5.3<br>6.1 |
| .44   | Control of the Processing and Use  | 1    | Partially Conforms                                | This RG is applicable except for its specification of  | 4.5               |
| •••   | of Stainless Steel   |      |   | applying RG 1.37 for cleaning and flushing of fin-   | 5.2               |
|       |  |      | ished surfaces. RG 1.37 has been withdrawn by the | 5.3  |                   |
|       |  |      |   | NRC.   | 6.1               |

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| RG   | Division Title                 | Rev. | Conformance Status | Comments   | Section |
|------|--------------------------------|------|--------------------|--|---------|
| 1.45 | Guidance on Monitoring and     | 1    | Conforms           | The design satisfies RG 1.45 guidance by using two     | 3.6     |
|      | Responding to Reactor Coolant  |      |                    | systems to detect leakage into the containment:        | 5.2     |
|      | System Leakage                 |      |                    | containment pressure monitoring and leakage col-       | 6.2     |
|      |                                |      |                    | lection. Both leakage detection methods satisfy Reg-   |         |
|      |                                |      |                    | ulatory Positions C.2.1 and C.2.2 in RG 1.45: a)       | 9.3     |
|      |                                |      |                    | leakage to the primary reactor containment from        | 11.5    |
|      |                                |      |                    | unidentified sources can be detected, monitored,       | 14.2    |
|      |                                |      |                    | and quantified for rates $\geq$ 0.05 gpm; and; b)      | 14.3    |
|      |                                |      |                    | response time (not including transport delay time) is  |         |
|      |                                |      |                    | less than one hour for a leakage rate greater than     |         |
|      |                                |      |                    | one gpm. Regulatory Position C.2.4 is satisfied        |         |
|      |                                |      |                    | because the containment pressure method is capa-       |         |
|      |                                |      |                    | ble of performing its function following a seismic     |         |
|      |                                |      |                    | event that does not require plant shutdown (i.e.,      |         |
|      |                                |      |                    | vacuum pump remains functional). C.2.5 is satisfied    |         |
|      |                                |      |                    | because both methods permit calibration and test-      |         |
|      |                                |      |                    | ing during plant operation. Finally, radiation detec-  |         |
|      |                                |      |                    | tors in the CES condenser vent line provide an early   |         |
|      |                                |      |                    | indication of RCS leakage consistent with Regulatory   |         |
|      |                                |      |                    | Position C.2.3. All leakage is treated as unidentified |         |
|      |                                |      |                    | because of the limited capability to identify or quan- |         |
|      |                                |      |                    | tify RCS leakage.                                      |         |
| 1.47 | Bypassed and Inoperable Status | 1    | Conforms           | None.  | 7.2     |
|      | Indication for Nuclear Power   |      |                    |  |         |
|      | Plant Safety Systems           |      |                    |  |         |
| 1.50 | Control of Preheat Temperature | 1    | Conforms           | None.  | 5.2     |
|      | for Welding of Low-Alloy Steel |      |                    |  | 6.1     |

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| RG   | Division Title  | Rev. | Conformance Status | Comments  | Section                    |
|------|---|------|--------------------|---|----------------------------|
| 1.52 | Design, Inspection, and Testing<br>Criteria for Air Filtration and<br>Adsorption Units of Post-Acci-<br>dent Engineered-Safety-Feature<br>Atmosphere Cleanup Systems in<br>Light-Water-Cooled Nuclear<br>Power Plants | 4    | Not Applicable     | This guidance addresses engineered safety feature<br>(ESF) filter and atmosphere cleanup systems<br>designed for fission product removal in a post-<br>design basis accident environment. The NuScale<br>design does not rely on ESF filter and atmosphere<br>cleanup systems to mitigate the consequences of a<br>design basis accident. Nonsafety-related normal<br>ventilation systems provide atmosphere cleanup<br>capability, as necessary, that meets the design, test-<br>ing, and maintenance guidelines in RG 1.140. These<br>systems provide appropriate containment, confine-<br>ment, and filtering to limit releases of airborne radio-<br>activity to the environment during normal and<br>postulated accident conditions. However, these sys-<br>tems are not required following an accident at a<br>NuScale Power Plant, and accordingly receive no<br>credit in the determination of the radiological con-<br>sequences of an accident. | Not Applicab               |
| 1.53 | Application of the Single-Failure<br>Criterion to Safety Systems  | 2    | Conforms           | None.   | 7.1<br>8.3<br>15.1<br>15.2 |
|      |   |      |                    |   | 15.5                       |
| 1.54 | Service Level I, II, and III Protective<br>Coatings Applied to Nuclear<br>Power Plants  | 2    | Partially Conforms | Applicable except for portions of this RG that govern<br>operational aspects (e.g., maintenance of safety-<br>related coatings) that are the responsibility of the<br>COL applicant or licensee.  | 11.2                       |

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| RG   | Division Title  | Rev. | Conformance Status | Comments   | Section                             |
|------|---|------|--------------------|--|-------------------------------------|
| 1.57 | Design Limits and Loading Com-<br>binations for Metal Primary Reac-<br>tor Containment System<br>Components | 2    |                    | Applicable except for reference to<br>10 CFR 50.34(f)(3)(v), since per 10 CFR 50.34(f) and<br>10 CFR 52.47(a)(8), a design certification applicant<br>does not have to show compliance with<br>10 CFR 50.34(f)(3)(v). Use of typical reactor pressure<br>vessel load combinations for Class 1 vessels is more<br>applicable to the containment vessel than using the<br>load combinations specified in RG 1.57 because of<br>the increased quality of the fabrication, inspection,<br>and testing required by American<br>Society of Mechanical Engineers (ASME) Boiler and<br>Pressure Vessel ASME Code, Section III, Subsection<br>NB for a Class 1 vessel. Additionally, the use of load<br>combinations for Class 1 vessels is more applicable<br>to the containment vessel than using the load com-<br>binations specified in RG 1.57 because of the quality<br>of the fabrication, inspection, and testing required<br>by ASME Code, Section III, Subsection NB for a Class<br>1 vessel. | 3.8<br>6.2                          |
| 1.59 | Design Basis Floods for Nuclear<br>Power Plants   | 2    | Not Applicable     | The NuScale design assumes the NPP is located above the probable maximum flood height (includ-<br>ing wind-induced wave run-up).   | Not Applical                        |
| 1.60 | Design Response Spectra for Seis-<br>mic Design of Nuclear Power<br>Plants                                  | 2    | Not Applicable     | The Certified Seismic Design Response Spectra<br>(CSDRS) was not developed using RG 1.60. However,<br>it is demonstrated that the design envelops the RG<br>1.60 spectra anchored to 0.1g.   | Not Applical                        |
| 1.61 | Damping Values for Seismic<br>Design of Nuclear Power Plants  | 1    | Conforms           | In accordance with the guidance of RG 1.61, an alter-<br>native damping value for the NPM substructure was<br>determined.  | 3.7<br>3.8<br>3.12                  |
| 1.62 | Manual Initiation of Protective<br>Actions  | 1    | Conforms           | None.  | 7.1<br>7.2                          |
| 1.63 | Electric Penetration Assemblies in<br>Containment Structures for<br>Nuclear Power Plants                    | 3    |                    | The portion of the RG 1.63 guidance that endorses<br>IEEE-317-1983 is applicable. IEEE 741-1997 is used<br>for external circuit protection of electrical penetra-<br>tion assemblies instead of IEEE 741-1986 as<br>endorsed by RG 1.63. The 1997 version, including<br>the additional design enhancements, is consistent<br>with RG 1.63.   | 3.8.2<br>3.11<br>8.1<br>8.3<br>14.3 |

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| RG           | Division Title  | Rev.  | Conformance Status                                     | Comments  | Section        |
|--------------|---|---|--|---|----------------|
| .65          | Materials and Inspections for<br>Reactor Vessel Closure Studs   | 1   |  | Inservice inspection is the responsibility of the COL<br>applicant. The reactor pressure vessel (RPV) bolting<br>material is described in Table 5.2-4. The material is<br>not subject to the concerns addressed by RG 1.65<br>Positions 1(a)(i) and 2(b). Therefore, these Positions<br>do not apply to the RPV bolting material. | 3.13<br>5.3    |
| .68          |   | This guidance is applicable except for aspects that | 4.4  |   |                |
|              | Cooled Nuclear Power Plants   |   |  | are BWR-specific or address specific PWR SSC design   | 5.4            |
|              |   |   |  | features not in the NuScale design. Site-specific pro-<br>gram implementation activities are the responsibil-   | 8.2            |
|              |   |   |  | ity of the COL applicant or licensee that references  | 8.3            |
|              |   |   |  | the NuScale certified design.   | 9.3.2          |
|              |   |   |  |   | 10.4           |
|              |   |   | 14.2   |   |                |
|              |   |   |  | 14.3  |                |
| .68.1        | Initial Test Program of Conden-<br>sate and Feedwater Systems for<br>Light-Water Reactors                             | 2   |  | This RG is applicable except for aspects that are<br>BWR-specific or address specific PWR design fea-<br>tures not in the NuScale design.   | 14.2           |
| .68.2        | Initial Startup Test Program to<br>Demonstrate Remote Shutdown<br>Capability for Water-Cooled<br>Nuclear Power Plants | 2   |  | This guidance is applicable except for site-specific<br>aspects including test performance, test report<br>preparation, and records retention, which are the<br>responsibility of the COL applicant or licensee.  | 14.2           |
| .68.3        | Preoperational Testing of Instru-<br>ment and Control Air Systems   | 1   |  | This guidance is applicable except for site-specific<br>aspects, including test performance and records<br>retention, which are the responsibility of the COL<br>applicant or licensee.   | 14.2           |
| .69          | Generic Shield Testing for Nuclear  |   | radiation shields. Site-specific aspects of this guid- | 3.8   |                |
|              |   |   |  | 12.3  |                |
| Power Plants |   |   |  | ance, including development and implementation<br>of a radiation shield test program, are the responsi-<br>bility of the COL applicant or licensee.   | 14.2           |
| 70           | Standard Format and Content of<br>Safety Analysis Reports for<br>Nuclear Power Plants (LWR Edi-<br>tion)              | 3   |  | RG 1.206 and NuScale Design Specific Review Stan-<br>dards (DSRS) are used.   | Not Applicable |

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**Revision 5** 

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| RG   | Division Title  | Rev. | Conformance Status | Comments  | Section                          |
|------|---|------|--------------------|---|----------------------------------|
| 1.71 | Welder Qualification for Areas of<br>Limited Accessibility                      | 1    | Partially Conforms | This guidance is applicable except for site-specific<br>aspects, including specification of standards for<br>weld fabrication and repair that are performed<br>during construction, installation, and operation of a<br>nuclear facility, which are the responsibility of the<br>COL applicant or licensee. | 4.5<br>5.2<br>6.1                |
| 1.72 | Spray Pond Piping Made from<br>Fiberglass-Reinforced Thermoset-<br>ting Resin   | 2    | Not Applicable     | The design does not use fiberglass piping in spray pond applications (or for the UHS design).   | Not Applicable                   |
| 1.73 | Qualification Tests for Safety-<br>Related Actuators in Nuclear<br>Power Plants | 1    | Partially Conforms | The guidance is applicable except for portions that apply to high temperature gas-cooled reactor designs.   | 3.11                             |
| 1.75 | Criteria for Independence of Elec-<br>trical Safety Systems                     | 3    | Conforms           | None.   | 7.1<br>7.2<br>8.3<br>9.5<br>14.3 |
| 1.76 | Design-Basis Tornado and Tor-<br>nado Missiles for Nuclear Power<br>Plants      | 1    | Conforms           | Region 1 (bounding) characteristics are used as<br>design parameters. The COL applicant or licensee is<br>responsible for confirming the characteristics.   | 2.3<br>3.3<br>3.5<br>3.8<br>5.0  |

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|------|---|------|--------------------|---|-------------------|
| 1.77 | Assumptions Used for Evaluating<br>a Control Rod Ejection Accident<br>for Pressurized Water Reactors                        | -    | Partially Conforms | Portions of this RG pertain to assumptions for radio-<br>logical consequence analysis. Per SRP Section 15.0.3,<br>Section I, Areas of Review, Item 10 under subhead-<br>ing Review Interfaces, for the review of design certi-<br>fication applications, SRP Section 15.0.3 supersedes<br>the radiological analyses, assumptions, acceptance<br>criteria, and methodologies identified in SRP Section<br>15.4.8, which references guidance in RG 1.77.  | 15.0.3<br>15.4    |
|      |   |      |                    | The NRC has identified this RG as out of date and in<br>need of revision. The fuel and cladding failure crite-<br>ria are superseded by the criteria provided SRP<br>(NUREG-0800) 4.2, Appendix B. The radiological cri-<br>teria are superseded by the criteria in RG 1.183. How-<br>ever, the general approach and intent of RG 1.77 still<br>apply and are used in Section 15.4.8 analyses.  |                   |
| 1.78 | Evaluating the Habitability of a<br>Nuclear Power Plant Control<br>Room During a Postulated Haz-<br>ardous Chemical Release | 1    | Partially Conforms | Aspects of this RG related to control room habitabil-<br>ity design within the scope of the NuScale design are<br>applicable. Other aspects of this guidance require<br>site-specific information (e.g., amount and location<br>of toxic chemicals relative to the control room, and<br>site-specific atmospheric dispersion factors) or spec-<br>ify operational, programmatic emergency planning<br>activities. These aspects are the responsibility of the<br>COL applicant or licensee.   | 3.2<br>6.4<br>9.4 |
| 1.79 | Preoperational Testing of Emer-<br>gency Core Cooling Systems for<br>Pressurized Water Reactors                             | 2    | Partially Conforms | The intent of this RG is applicable to the NuScale<br>design, but the literal language refers to SSC design<br>features not in the NuScale design. For example, the<br>ECCS design does not use high pressure or low pres-<br>sure safety injection pumps as described in this<br>guidance. Rather, the ECCS design provides core<br>decay heat removal by steam condensation and nat-<br>ural reactor coolant recirculation. Nevertheless, pre-<br>operational testing will be performed on the ECCS in<br>a manner that satisfies the intent of this guidance.<br>Much of this RG prescribes preoperational test<br>implementation activities that are the responsibility | 6.3<br>14.2       |

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| RG     | Division Title   | Rev. | Conformance Status | Comments   | Section        |
|--------|--|------|--------------------|--|----------------|
| 1.79.1 | Initial Test Program of Emergency<br>Core Cooling Systems for New<br>Boiling-Water Reactors  | -    | Not Applicable     | RG 1.79.1 is applicable to BWRs only.  | Not Applicable |
| 1.81   | Shared Emergency and Shut-<br>down Electric Systems for Multi-<br>Unit Nuclear Power Plants  | 1    | Not Applicable     | RG 1.81 is not relevant to the AC power systems. As described in Section 8.3, the EDSS conforms to portions of RG 1.81.  | Not Applicable |
| 1.82   | Water Sources for Long-Term<br>Recirculation Cooling Following a<br>Loss-of-Coolant Accident | 4    | Partially Conforms | The NuScale design complies with the intent of RG<br>1.82 regulatory positions that address the design<br>criteria, performance standards, and analysis<br>methods related to water sources for long-term<br>cooling. However, the NuScale design differs from<br>the system designs the guidance addresses.   | 6.3            |
|        |  |      |                    | The NuScale design complies with the guidance<br>with respect to debris generation, debris transport,<br>coating debris, latent debris, downstream, and<br>chemical effects. The NuScale design is passive and<br>does not include pumps, sumps, suction strainers,<br>debris interceptor, or trash racks, and the design<br>minimizes or negates the potential effect of non-<br>condensables on coolant flow to the core. The<br>NuScale design does not require operator action to<br>mitigate debris accumulation. |                |
|        |  |      |                    | The NuScale design does not comply with<br>regulatory position C1.1 with the exception that<br>NuScale does comply with the intent of the<br>following regulatory positions:   |                |
|        |  |      |                    | <ul> <li>Position C1.1.1.9 (assessment of the possibility of downstream clogging).</li> <li>Position C1.1.1.10 (buildup of debris and chemical reaction products downstream).</li> <li>Position C.1.1.2 (minimization of debris source term, cleanness programs, monitoring/sampling for latent debris, insulation selection, restriction on coatings and cladding of carbon steel).</li> </ul>  |                |

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| RG   | Division Title  | Rev. | Conformance Status | Comments  | Section        |
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|      |   |      |                    | Positions C1.1.3 and C1.1.4 are not applicable<br>because the NuScale design does not rely on<br>operator action to mitigate the consequences of<br>debris accumulation and does not include active<br>devices or systems to prevent debris accumulation. |                |
|      |   |      |                    | The NuScale design does not comply with regulatory position C.1.2 (alternative water sources for inoperable strainers).   |                |
|      |   |      |                    | The NuScale design complies with the intent of regulatory position C.1.3 (evaluation of long term recirculation capability as applicable to the design) with the exception of the following:  |                |
|      |   |      |                    | <ul> <li>Position C.1.3.1 (net positive suction head)</li> <li>Portions of position C.1.3.2 that are not consistent with the NuScale design</li> <li>The NuScale design does not comply with</li> </ul>   |                |
|      |   |      |                    | regulatory positions C1.3.7 (upstream effects) or C.1.3.9 (strainer structural integrity).  |                |
|      |   |      |                    | The NuScale design does not comply with regulatory position C1.3.12 (prototypical head loss testing).   |                |
|      |   |      |                    | The NuScale design does not comply with regulatory position C.2 with the exception that the intent of chemical reaction effects (position 2.2) is met.  |                |
|      |   |      |                    | The NuScale design does not comply with regulatory position C.3.  |                |
| 1.84 | Design, Fabrication, and Materials                        | 36   | Conforms           | None.   | 3.12           |
|      | Code Case Acceptability, ASME                             |      |                    |   | 3.13           |
|      | Section III   |      |                    |   | 4.5            |
|      |   |      |                    |   | 5.2            |
| 1.86 | Termination of Operating<br>Licenses for Nuclear Reactors | -    | Not Applicable     | This RG governs the process for terminating nuclear reactor operating licenses.   | Not Applicable |

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| 1.87 | Guidance for Construction of<br>Class 1 Components in Elevated-<br>Temperature Reactors (Supple-<br>ment to ASME Section III Code<br>Cases 1592, 1593, 1594, 1595, and<br>1596) | 1    | Not Applicable     | This RG applies to elevated-temperature reactors<br>such as high-temperature gas-cooled reactors, liq-<br>uid-metal fast-breeder reactors, and gas-cooled fast-<br>breeder reactors.  | Not Applicab                 |
| 1.89 | Environmental Qualification of<br>Certain Electric Equipment<br>Important to Safety for Nuclear<br>Power Plants   | 1    | Partially Conforms | This RG is applicable except for: (1) aspects that are<br>BWR-specific or related to SSC that are not relevant<br>to the NuScale design (e.g., ice condenser contain-<br>ment, containment spray system, etc.); and (2) refer-<br>ence to RG 1.4 for source term, since the source term<br>provisions of RG 1.4 are superseded by RG 1.183 for<br>new reactors. | 3.8.2<br>3.11<br>Appendix 30 |
| 1.90 | Inservice Inspection of Pre-<br>stressed Concrete Containment<br>Structures with Grouted Tendons  | 2    | Not Applicable     | This RG is applicable to LWR designs that incorpo-<br>rate a pre-stressed concrete containment structure<br>with grouted tendons. The NuScale containment<br>vessel is steel (i.e., does not use concrete or grouted<br>tendons in its design).   | Not Applicat                 |
| 1.91 | Evaluations of Explosions Postu-<br>lated to Occur on Transportation<br>Routes Near Nuclear Power Plants  | 2    | Not Applicable     | This guidance governs the performance of site-spe-<br>cific evaluations and is the responsibility of the COL<br>applicant or licensee.  | Not Applicat                 |
| 1.92 | Combining Modal Responses and   | 3    | Conforms           | None.   | 3.7                          |
|      | Spatial Components in Seismic   |      |                    |   | 3.8                          |
|      | Response Analysis   |      |                    |   | 3.9                          |
|      |   |      |                    |   | 3.10                         |
|      |   |      |                    |   | 3.12                         |
| 1.93 | Availability of Electric Power<br>Sources   | 1    | Not Applicable     | This RG is not identified as an applicable RG in DSRS<br>Section 8.1.   | Not Applical                 |
| 1.96 | Design of Main Steam Isolation<br>Valve Leakage Control Systems<br>for Boiling Water Reactor Nuclear<br>Power Plants  | 1    | Not Applicable     | This RG is applicable only to BWR designs.  | Not Applicat                 |

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| <u>)</u> - | 3.11           |
| B          | Appendix 3C    |
|            | 5.4            |
| 9          | 7.1            |
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|            | 8.3            |
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| 1.97  | Criteria for Accident Monitoring<br>Instrumentation for Nuclear<br>Power Plants   | 4    | Partially Conforms | The NuScale design satisfies power supply require-<br>ments in Section 6.6 of IEEE Std 497-2002 for Type B<br>and C variables with highly reliable power rather<br>than with Class 1E. The portions of RG 1.97 dealing<br>with 10 CFR 50.34(f)(2)(xix) are addressed in<br>Section 19.2.3.3.8.  | 3.11<br>Appendix 3C<br>5.4<br>7.1<br>7.2<br>8.3<br>11.5<br>12.3<br>14.3<br>19.2 |
| 1.98  | Assumptions Used for Evaluating<br>the Potential Radiological Conse-<br>quences of a Radioactive Offgas<br>System Failure in a Boiling Water<br>Reactor                     | 0    | Not Applicable     | This RG is applicable only to BWR designs.  | Not Applicable  |
| 1.99  | Radiation Embrittlement of Reac-<br>tor Vessel Materials  | 2    | Conforms           | None.   | 5.3   |
| 1.100 | Seismic Qualification of Electrical<br>and Active Mechanical Equip-<br>ment and Functional Qualifica-<br>tion of Active Mechanical<br>Equipment for Nuclear Power<br>Plants | 3    | Partially Conforms | This RG is applicable except for aspects related to: (1)<br>when site-specific spectra exceed the certified<br>design spectra (e.g., Position C1.2.1.g); and (2) quali-<br>fication of new and replacement equipment in older<br>unresolved safety issue A46 plants (e.g., Position<br>C.1.2.2.j). Not applicable to electrical equipment.<br>Site-specific guidance is the responsibility of the<br>COL applicant. RG 1.100 endorses<br>ASME QME-1 2007. NuScale complies with the<br>non-mandatory Appendix QR-B with the following<br>exceptions:<br>QR-B5200, Identification and Specification of Qualifi-<br>cation Requirements, (g) material activation energy.<br>QR-B5300 Selection of Qualification Methods for<br>determination and recording of shelf life of<br>nonmetallics.<br>QR-B5500 Documentation, (h) shelf life preservation<br>requirements.<br>Appendix 3C describes the exceptions cited. | 3.9<br>3.10<br>5.2<br>14.3<br>Appendix 3C                                       |

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**Revision 5** 

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**NuScale Final Safety Analysis Report** 

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|-------|--|------|--------------------|--|---|
| 1.101 | Emergency Response Planning<br>and Preparedness for Nuclear<br>Power Reactors  | 5    | Not Applicable     | This RG is the responsibility of the COL applicant proposing to site a power plant that meets the definition of co-located.  | Not Applicab                                |
| 1.102 | Flood Protection for Nuclear<br>Power Plants   | 1    | Applicable         | The design assumes the NPP is located above the probable maximum flood height (including wind induced wave run-up).  | 2.4<br>3.4<br>3.8                           |
| 1.105 | Setpoints for Safety-Related<br>Instrumentation  | 3    | Partially Conforms | Chapter 15 analyses use the safety-related setpoints<br>described in Chapter 7. This RG endorses ISA-<br>67.04.01-1994, however, the NuScale Instrument<br>Setpoint Methodology Technical Report (TR-0616-<br>49121) applies the guidance contained in ISA-<br>67.04.01-2006. A key difference is that the 1994 ver-<br>sion of ISA-67.04.01 uses an allowable value to<br>determine instrument channel operability during<br>surveillance testing and calibration. The 2006 ver-<br>sion of ISA-67.04.01 provides updated guidance for<br>evaluating instrument channel operability based on<br>the comparison of the as-found to the as-left value<br>from the previous instrument calibration for the<br>instrument setpoint. | 7.2<br>15.1<br>15.2<br>15.4<br>15.5<br>15.6 |
| 1.106 | Thermal Overload Protection for<br>Electric Motors on Motor-Oper-<br>ated Valves   | 2    | Not Applicable     | This RG governs the application of thermal overload<br>protection devices to ensure that safety-related<br>motor-operated valves perform their safety func-<br>tion. The NuScale design does not use safety-related<br>motor-operated valves.  | Not Applicab                                |
| 1.107 | Qualification for Cement Grout-<br>ing for Prestressing Tendons in<br>Containment Structures   | 2    | Not Applicable     | This RG is applicable only to LWR designs that use a prestressed concrete containment structure. The containment vessel is a steel containment (i.e., does not use concrete or pre-stressed tendons in its design).  | Not Applicab                                |
| 1.109 | Calculation of Annual Doses to<br>Man from Routine Releases of<br>Reactor Effluents for the Purpose<br>of Evaluating Compliance with 10<br>CFR Part 50, Appendix I | 1    | Partially Conforms | This RG is applicable except for specification of site-<br>specific information (e.g., meteorological data). Site-<br>specific information is the responsibility of the COL<br>applicant.  | 11.2<br>11.3                                |

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| RG    | Division Title   | Rev. | Conformance Status | Comments   | Section             |
|-------|--|------|--------------------|--|---------------------|
| 1.110 | Cost-Benefit Analysis for Rad-<br>waste Systems for Light-Water-<br>Cooled Nuclear Power Reactors  | 1    | Partially Conforms | This RG is applicable except for aspects related to<br>performance of a site-specific cost-benefit analysis.<br>Site-specific information is the responsibility of the<br>COL applicant or licensee.   | 11.2<br>11.3        |
| .111  | Methods for Estimating Atmo-<br>spheric Transport and Dispersion<br>of Gaseous Effluents in Routine<br>Releases from Light-Water-<br>Cooled Reactors | 1    | Partially Conforms | This RG is applicable except for specification of site-<br>specific dispersion data. Site-specific information is<br>the responsibility of the COL applicant or licensee.  | 2.3<br>3.3          |
| 1.112 | Calculation of Releases of Radio-<br>active Materials in Gaseous and<br>Liquid Effluents from Light-<br>Water-Cooled Nuclear Power<br>Reactors       | 1    | Partially Conforms | This RG is applicable except for specification of site-<br>specific information (e.g., meteorological data). Site-<br>specific information is the responsibility of the COL<br>applicant or licensee.  | 2.3<br>11.2<br>11.3 |
| 1.113 | Estimating Aquatic Dispersion of<br>Effluents from Accidental and<br>Routine Reactor Releases for the<br>Purpose of Implementing Appen-<br>dix I     | 1    | Not Applicable     | This RG provides guidance for analyzing the aquatic<br>dispersion of radioactive liquid effluents from com-<br>ponent failures, in accordance with BTP 11-6.<br>Because the NuScale facility provides an approved<br>design mitigative feature (metal-lined concrete dike<br>around the PSCS storage tank), such an analysis is<br>not required.   | Not Applicable      |
| 1.114 | Guidance to Operators at the<br>Controls and to Senior Operators<br>in the Control Room of a Nuclear<br>Power Unit                                   | 3    | Partially Conforms | Site-specific guidance is the responsibility of the<br>COL applicant or licensee. Consistent with the dis-<br>cussion in RG 1.114, Section B.1, the ability of the<br>COL applicant to meet this guidance is facilitated by<br>the control room design and layout (including the<br>designated surveillance area described in Position<br>C.1.3). Portions of this guidance that implement<br>operator staffing requirements of 10 CFR<br>50.54(m)(2)(i) and (iii) (e.g., Position C.1.5) are not<br>applicable to COL applicants. | 18.5                |
| 1.115 | Protection Against Turbine Mis-<br>siles   | 2    | Conforms           | None.  | 3.5.3               |
| 1.117 | Protection Against Extreme Wind<br>Events and Missiles for Nuclear<br>Power Plants   | 2    | Conforms           | Confirmation that nearby structures exposed to<br>extreme wind loads will not adversely affect the<br>Reactor Building or the Seismic Category I portion of<br>the Control Building is the responsibility of the COL<br>applicant or licensee.   | 3.5<br>9.1          |

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| RG    | Division Title  | Rev.        | <b>Conformance Status</b> | Comments   | Section        |
|-------|---|-------------|---------------------------|--|----------------|
| 1.118 | Periodic Testing of Electric Power<br>and Protection Systems  | 3           | Partially Conforms        | Site-specific guidance is the responsibility of the COL applicant or licensee.   | 7.2<br>8.3     |
|       |   |             |                           |  | 8.3<br>14.2    |
| 1.121 | Bases for Plugging Degraded<br>PWR Steam Generator Tubes  | August 1976 | Conforms                  | None.  | 5.4            |
| 1.122 | Development of Floor Design<br>Response Spectra for Seismic<br>Design of Floor-Supported Equip-<br>ment or Components | 1           | Partially Conforms        | Site-specific guidance is the responsibility of the COL applicant or licensee.   | 3.7<br>3.12    |
| 1.124 | Service Limits and Loading Com-<br>binations for Class 1 Linear-Type<br>Supports                                      | 3           | Conforms                  | None.  | 3.9            |
| 1.125 | Physical Models for Design and<br>Operation of Hydraulic Struc-<br>tures and Systems for Nuclear<br>Power Plants      | 2           | Not Applicable            | The NuScale design does not require hydraulic struc-<br>tures.   | Not Applicable |
| 1.126 | An Acceptable Model and Related<br>Statistical Methods for the Analy-<br>sis of Fuel Densification                    | 2           | Conforms                  | None.  | 4.2            |
| 1.127 | Inspection of Water-Control<br>Structures Associated with<br>Nuclear Power Plants                                     | 1           | Not Applicable            | This guidance governs the development of an inser-<br>vice inspection and surveillance program for dams,<br>slopes, canals, and other water-control structures<br>associated with emergency cooling water systems<br>or flood protection of nuclear power plants. Water<br>control structures and associated inservice inspec-<br>tion and surveillance programs are site-specific<br>details. Site-specific guidance is the responsibility of<br>the COL applicant or licensee. | Not Applicable |
| 1.128 | Installation Design and Installa-<br>tion of Vented Lead-Acid Storage<br>Batteries for Nuclear Power Plants           | 2           | Partially Conforms        | The EDSS uses VRLA batteries; thus<br>IEEE Std 1187-2013 is applied.   | 8.3<br>14.2    |
| 1.129 | Maintenance, Testing, and<br>Replacement of Vented Lead-<br>Acid Storage Batteries for Nuclear<br>Power Plants        | 3           | Partially Conforms        | The EDSS uses VRLA batteries. NuScale applies<br>IEEE Std 1188-2005 with the 2014 amendment.   | 8.3            |

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| 1.130 | Service Limits and Loading Com-<br>binations for Class 1 Plate-and-<br>Shell-Type Supports  | 3    | Conforms           | None.  | 3.9                                |
| 1.132 | Site Investigations for Founda-<br>tions of Nuclear Power Plants  | 2    | Not Applicable     | This RG governs site investigations performed as part of site selection. Site-specific guidance is the responsibility of the COL applicant or licensee.  | Not Applicable                     |
| 1.133 | Loose-Part Detection Program for<br>the Primary System of Light-<br>Water-Cooled Reactors   | 1    | Not Applicable     | The low fluid velocities resulting from natural circu-<br>lation flow combined with a design that has only<br>small lines entering the RPV minimizes the potential<br>for loose parts entering or being generated in the<br>RPV. Additional justification for this information is in<br>Section 4.4 of the FSAR. | Not Applicable                     |
| 1.134 | Medical Evaluation of Licensed<br>Personnel at Nuclear Power<br>Plants  | 3    | Not Applicable     | This RG governs site-specific operational program activities. Compliance with site-specific guidance is the responsibility of the COL applicant or licensee.   | Not Applicable                     |
| 1.136 | Design Limits, Loading Combina-<br>tions, Materials, Construction,<br>and Testing of Concrete Contain-<br>ments   | 3    | Not Applicable     | This guidance is applicable only to LWR designs that<br>use concrete containments. The NuScale design<br>uses a steel containment vessel.  | Not Applicable                     |
| 1.137 | Fuel-Oil Systems for Standby Die-<br>sel Generators   | 2    | Not Applicable     | The design does not rely on or include safety-related emergency diesel generators.   | Not Applicable                     |
| 1.138 | Laboratory Investigations of Soils<br>and Rocks for Engineering Analy-<br>sis and Design of Nuclear Power<br>Plants   | 2    | Not Applicable     | This guidance is related to site-specific laboratory investigation activities. Site-specific guidance is the responsibility of the COL applicant or licensee.  | Not Applicable                     |
| 1.140 | Design, Inspection, and Testing<br>Criteria for Air Filtration and<br>Adsorption Units of Normal<br>Atmosphere Cleanup Systems in<br>Light-Water-Cooled Nuclear<br>Power Plants | 2    | Partially Conforms | Design-related aspects of this guidance are applica-<br>ble. Aspects related to construction, testing, and<br>repairs are the responsibility of the COL applicant or<br>licensee.  | 3.2<br>9.4<br>11.3<br>12.3<br>14.2 |
| 1.141 | Containment Isolation Provisions<br>for Fluid Systems   | 1    | Conforms           | The NuScale design conforms to the requirements of<br>RG 1.141 through adherence to ANS N271-1976.<br>Note: the provisions of ANSI/ANS 56.2-1984,<br>Section 3.6.5 are applied to penetrations with both<br>CIVs outside containment that serve non-ESF<br>process systems.                                      | 6.2                                |

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| 1.142 | Safety-Related Concrete Struc-<br>tures for Nuclear Power Plants<br>(Other than Reactor Vessels and<br>Containments)                                  | 2    | Partially Conforms | The intent of this guidance is applicable but the lan-<br>guage endorses ACI 349-1997 with exceptions. The<br>2006 version of the ACI 349 standard has been used.<br>Aspects of Regulatory Positions C.1 and C.14 related<br>to concrete structures within containment are not<br>applicable to the design. The containment vessel is a<br>steel component, and does not use interior concrete<br>structures. | 3.8   |
| 1.143 | Design Guidance for Radioactive<br>Waste Management Systems,<br>Structures, and Components<br>Installed in Light-Water-Cooled<br>Nuclear Power Plants | 2    | Partially Conforms | The aspects of this RG related to steam generator<br>blowdown systems are not applicable to the design.<br>Radioactive waste management system design crite-<br>ria specified in this RG are applicable. Construction,<br>installation, and testing criteria are the responsibility<br>of the COL applicant or licensee.  | 3.2<br>3.3<br>3.5<br>3.7<br>9.2.6<br>11.2<br>11.3<br>11.4 |
| 1.145 | Atmospheric Dispersion Models<br>for Potential Accident Conse-<br>quence Assessments at Nuclear<br>Power Plants                                       | 1    | Not Applicable     | This RG does not include modeling of building wake<br>effects. For the short distances that may be used for<br>EAB and LPZ, Regulatory Guide 1.194 is used to<br>determine representative atmospheric dispersion<br>factors.  | 14.3<br>Not Applicable                                    |
| 1.147 | Inservice Inspection Code Case<br>Acceptability, ASME Section XI,<br>Division 1   | 17   |                    | Performance of inservice inspections per the<br>American Society of Mechanical Engineers Boiler<br>and Pressure Vessel Code is the responsibility of the<br>COL applicant or licensee.  | 5.2<br>6.6  |
| 1.149 | Nuclear Power Plant Simulation<br>Facilities for Use in Operator<br>Training, License Examinations,<br>and Applicant Experience<br>Requirements       | 4    |                    | Simulation facilities and conduct of licensed opera-<br>tor training and qualification are the responsibility of<br>the COL applicant or licensee.  | Not Applicable  |
| 1.151 | Instrument Sensing Lines  | 1    | Partially Conforms | This RG governs design and installation of safety-<br>related instrument sensing lines in nuclear power<br>plants. The aspects of this RG regarding installation<br>criteria are the responsibility of the COL applicant or<br>licensee.  | 7.2   |

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| 1.152 | Criteria for Use of Computers in<br>Safety Systems of Nuclear Power<br>Plants         | 3    | Partially Conforms | The NuScale I&C development lifecycle differs from<br>the conceptual waterfall lifecycle in RG 1.152. The<br>applicable tasks from the RG lifecycle model will be<br>mapped to the I&C development lifecycle. Compli-<br>ance with Clause 5.5 of IEEE 7-4.3.2-2003 is condi-<br>tioned by the choice of field programmable gate<br>array technology, which makes some tests not appli-<br>cable (e.g., calculation tests, watchdog timer tests). | 3.11<br>7.1<br>7.2<br>14.3                                     |
| 1.153 | Criteria for Safety Systems   | 1    | Conforms           | Applicable to EDSS.  | 3.11<br>8.3  |
| 1.155 | Station Blackout  | 1    | Partially Conforms | The design conforms to the aspects of the RG as it pertains to passive plant designs.  | 5.4<br>6.2<br>8.3<br>8.4<br>9.3<br>9.5<br>10.3<br>14.2<br>3.11 |
|       | Assemblies for Nuclear Power<br>Plants  |      |                    |  |  |
| 1.157 | Best-Estimate Calculations of<br>Emergency Core Cooling System<br>Performance         | -    | Not Applicable     | Best estimate calculations are not used.   | Not Applicable   |
| 1.158 | Qualification of Safety-Related<br>Lead Storage Batteries for Nuclear<br>Power Plants | -    | Conforms           | The DC system batteries are non-Class 1E.  | 3.11   |
| 1.159 | Assuring the Availability of Funds<br>for Decommissioning Nuclear<br>Reactors         | 2    | Not Applicable     | Decommissioning funding activities are the respon-<br>sibility of the COL applicant.   | Not Applicable   |
| 1.160 | Monitoring the Effectiveness of<br>Maintenance at Nuclear Power<br>Plants             | 3    | Not Applicable     | Monitoring the effectiveness of maintenance activi-<br>ties is the responsibility of the COL applicant.  | Not Applicable   |

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| 1.161 | Evaluation of Reactor Pressure<br>Vessels with Charpy Upper-Shelf<br>Energy Less Than 50 Ft-Lb   | -    | Not Applicable     | The Charpy upper-shelf energy of the NuScale reac-<br>tor vessel materials will exceed the 50 ft-lb energy<br>value (throughout the life of the vessel with signifi-<br>cant margin) below which this guidance would<br>apply. However, in the unlikely event the reactor<br>vessel material surveillance program implemented<br>during reactor operations indicates that this is not<br>the case, the requirements of 10 CFR 50, Appendix G<br>and the provisions of RG 1.161 would be the respon-<br>sibility of the COL applicant or licensee (see discus-<br>sion of RG 1.162). | Not Applicable |
| 1.162 | Format and Content of Report for<br>Thermal Annealing of Reactor<br>Pressure Vessels             | -    | Not Applicable     | If thermal annealing becomes necessary, the<br>requirements of 10 CFR 50.66 and the provisions of<br>RG 1.162 would be the responsibility of the COL<br>applicant or licensee.  | Not Applicable |
| 1.163 | Performance-Based Containment<br>Leak-Test Program   | -    | Not Applicable     | The design of containment penetrations supports<br>performance of local leak rate tests (Type B and Type<br>C tests) in accordance with the guidance provided in<br>ANSI/ANS 56.8, Regulatory Guide 1.163, and NEI 94-<br>01. The NuScale system design, in conformance with<br>10 CFR 50.54(o), accommodates the 10 CFR 50,<br>Appendix J, test method frequencies of Option A or<br>Option B. This RG is the responsibility of a COL appli-<br>cant or licensee that seeks to implement Option B.   | Not Applicable |
| 1.166 | Pre-Earthquake Planning and<br>Immediate Nuclear Power Plant<br>Operator Post Earthquake Actions | -    | Not Applicable     | This RG governs programmatic activities (earth-<br>quake planning and post-earthquake actions) that<br>are the responsibility of the COL applicant or<br>licensee.  | Not Applicable |
| 1.167 | Restart of a Nuclear Power Plant<br>Shut Down by a Seismic Event                                 | -    | Not Applicable     | This RG governs post-earthquake inspections and tests that are the responsibility of the COL applicant or licensee.   | Not Applicable |

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| RG    | Division Title  | Rev. | Conformance Status | Comments   | Section     |
|-------|---|------|--------------------|--|-------------|
| 1.168 | Verification, Validation, Reviews,<br>and Audits for Digital Computer<br>Software Used in Safety Systems<br>of Nuclear Power Plants | 2    | Partially Conforms | The NuScale design applies RG 1.152, Revision 3 and<br>IEEE Std. 7-4.3.2-2003 that it endorses. For RG 1.168,<br>the requirements of IEEE 1012-2004 are tailored to<br>the NuScale I&C development lifecycle, which is dif-<br>ferent from the conceptual waterfall lifecycle in<br>IEEE 1012-2004. The applicable tasks from<br>IEEE 1012-2004 to the I&C development are<br>mapped. Some administrative mandatory require-<br>ments in the standard conflict with established Engi-<br>neering or QA documentation requirements. The<br>requirements of IEEE 1028-2008 are tailored to the<br>NuScale I&C development lifecycle. | 7.2<br>14.3 |
| 1.169 | Configuration Management Plans<br>for Digital Computer Software<br>Used in Safety Systems of Nuclear<br>Power Plants                | 1    | Partially Conforms | For this RG, the requirements of IEEE 828-2005 are<br>tailored to the NuScale I&C development lifecycle,<br>which is different from the conceptual waterfall life-<br>cycle in RG 1.152. The applicable tasks from<br>IEEE 828-2005 are mapped to the NuScale I&C devel-<br>opment lifecycle.  | 7.2<br>14.3 |
| 1.170 | Test Documentation for Digital<br>Computer Software Used in<br>Safety Systems of Nuclear Power<br>Plants                            | 1    | Partially Conforms | Requirements of IEEE 829-2008 are tailored to the<br>NuScale I&C development lifecycle, which is differ-<br>ent from the conceptual waterfall lifecycle in<br>RG 1.152. The applicable tasks from IEEE 829-2008<br>are mapped to the NuScale I&C development lifecy-<br>cle. NuScale takes exception to some administrative<br>mandatory requirements in the standard that con-<br>flict with established Engineering or quality docu-<br>mentation requirements.  | 7.2<br>14.3 |
| 1.171 | Software Unit Testing for Digital<br>Computer Software Used in<br>Safety Systems of Nuclear Power<br>Plants                         | 1    | Partially Conforms | NuScale takes exception to some administrative<br>mandatory requirements in IEEE 1008-1987 that<br>conflict with established Engineering or quality doc-<br>umentation requirements.   | 7.2<br>14.3 |
| .172  | Software Requirements Specifica-<br>tions for Digital Computer Soft-<br>ware Used in Safety Systems of<br>Nuclear Power Plants      | 1    |                    | NuScale takes exception to some administrative<br>mandatory requirements in IEEE 830-1998 standard<br>that conflict with established Engineering or quality<br>documentation requirements.   | 7.2<br>14.3 |

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| RG    | Division Title   | Rev. | Conformance Status | Comments   | Section        |
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| 1.173 | Developing Software Life Cycle<br>Processes for Digital Computer<br>Software Used in Safety Systems<br>of Nuclear Power Plants                   | 1    | Partially Conforms | Requirements of IEEE 1074-2006 are tailored to the<br>NuScale I&C development lifecycle, which differs<br>from the conceptual waterfall lifecycle in RG 1.152.<br>Applicable tasks from IEEE 1074-2006 are mapped to<br>the NuScale I&C development lifecycle. NuScale<br>takes exception to some administrative mandatory<br>requirements in the standard that conflict with<br>established Engineering or quality documentation<br>requirements. | 7.2<br>14.3    |
| 1.174 | An Approach for Using Probabilis-<br>tic Risk Assessment in Risk-<br>Informed Decisions on Plant-Spe-<br>cific Changes to the Licensing<br>Basis | 2    | Not Applicable     | This RG is applicable to licensees seeking changes in<br>licensing basis, and is the responsibility of the<br>licensee.  | Not Applicable |
| 1.175 | An Approach for Plant-Specific,<br>Risk Informed Decision making:<br>Inservice Testing   | -    | Not Applicable     | This RG is applicable to licensees seeking change to<br>licensing basis using a risk-informed approach and is<br>the responsibility of the COL applicant or licensee.<br>NuScale is not using a risk-informed approach for<br>IST.   | Not Applicable |
| 1.177 | An Approach for Plant-Specific,<br>Risk-Informed Decision making:<br>Technical Specifications  | 1    | Not Applicable     | This RG applies to existing licensees seeking NRC<br>approval of changes to their plant-specific technical<br>specifications. However, NuScale considered this<br>guidance, as appropriate, in risk-informed technical<br>specification development.   | 16.1           |
| 1.178 | An Approach for Plant-Specific<br>Risk-Informed Decision making<br>for Inservice Inspection of Piping  | 1    | Not Applicable     | This RG addresses the use of PRA in support of a risk-<br>informed inservice inspection program for piping.<br>Such a program is a plant-specific operational pro-<br>gram that is the responsibility of the COL applicant<br>or licensee.   | Not Applicable |
| 1.179 | Standard Format and Content of<br>License Termination Plans for<br>Nuclear Power Reactors  | 1    | Not Applicable     | This guidance governs site-specific decommission-<br>ing and license termination planning and imple-<br>mentation activities that are the responsibility of the<br>COL applicant or licensee.  | Not Applicable |

**Revision 5** 

1.9-28

| RG    | Division Title   | Rev. | Conformance Status | Comments   | Section   |
|-------|--|------|--------------------|--|---|
| 1.180 | Guidelines for Evaluating Electro-<br>magnetic and Radio-Frequency<br>Interference in Safety-Related<br>Instrumentation and Control Sys-<br>tems | 1    | Partially Conforms | Aspects of this guidance related to the design of SSC<br>to address effects of electromagnetic and radio-fre-<br>quency interference (EMI/RFI) are applicable.<br>Aspects of this guidance related to the design of<br>site-specific SSC and installation and testing prac-<br>tices for addressing the effects of EMI/RFI and power<br>surges on safety-related I&C systems are the respon-<br>sibility of the COL applicant or licensee.   | 3.11<br>7.2<br>9.5  |
| 1.181 | Content of the Updated Final<br>Safety Analysis Report in Accor-<br>dance with 10 CFR 50.71(e)   | -    | Not Applicable     | This guidance governs site-specific reporting activi-<br>ties that are the responsibility of the COL applicant<br>or licensee.   | Not Applicable  |
| 1.183 | Alternative Radiological Source<br>Terms for Evaluating Design Basis<br>Accidents at Nuclear Power Reac-<br>tors                                 | 0    | Partially Conforms | For the NuScale design, the safety analysis shows<br>that core damage does not occur during any design<br>basis event. Thus, the RG 1.183 guidance is partially<br>applicable to the NuScale dose consequence analy-<br>sis. The basis and justification for departures from<br>the RG 1.183 guidance for the limiting dose conse-<br>quence analysis for NuScale are provided in a Topi-<br>cal Report. NuScale uses the alternative source term<br>non-LOCA or transient-specific guidance of RG 1.183<br>for Chapter 15 events. | 6.4<br>9.3<br>12.2<br>15.0.2<br>15.0.3<br>15.6<br>15.7<br>15.10 |
| 1.184 | Decommissioning of Nuclear<br>Power Reactors   | 1    | Not Applicable     | This RG governs site-specific decommissioning plan-<br>ning and implementation activities that are the<br>responsibility of the COL applicant or licensee.   | Not Applicable  |
| 1.185 | Standard Format and Content for<br>Post-Shutdown Decommission-<br>ing Activities Report  | 1    | Not Applicable     | This RG governs site-specific decommissioning plan-<br>ning activities that are the responsibility of the COL<br>applicant or licensee.  | Not Applicable  |
| 1.186 | Guidance and Examples for Iden-<br>tifying 10 CFR 50.2 Design Bases  | -    | Not Applicable     | This RG endorses NEI 97-04 Appendix B is the responsibility of the COL applicant or licensee.  | Not Applicable  |
| 1.187 | Guidance for Implementation of<br>10 CFR 50.59, Changes, Tests, and<br>Experiments   | -    | Not Applicable     | This RG implements change process requirements that are the responsibility of the COL applicant or licensee.   | Not Applicable  |
| 1.188 | Standard Format and Content for<br>Applications to Renew Nuclear<br>Power Plant Operating Licenses   | 1    | Not Applicable     | This RG is applicable to operating reactor licensees seeking to renew their operating licenses.  | Not Applicable  |

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| RG    | Division Title   | Rev. | Conformance Status | Comments   | Section   |
|-------|--|------|--------------------|--|---|
| 1.189 | Fire Protection for Nuclear Power<br>Plants  | 2    | Partially Conforms | This RG is applicable except for portions (1) directed<br>toward a specific reactor design (e.g., BWR or non-<br>LWR) or SSC conditions not relevant to the NuScale<br>PWR design; and (2) related to site-specific fire pro-<br>tection systems and equipment or programmatic<br>and procedural activities that are the responsibility<br>of the COL applicant or licensee. | 9.4<br>9.5<br>Appendix 9A<br>11.3<br>14.3<br>19.1 |
| 1.190 | Calculational and Dosimetry<br>Methods for Determining Pres-<br>sure Vessel Neutron Fluence  | -    | Partially Conforms | The neutron flux and fluence calculation methods<br>are consistent with the guidance of RG 1.190 with<br>exceptions as described in NuScale Technical Report<br>TR-0116-20781, "Fluence Calculation Methodology<br>and Results."   | 5.3   |
| 1.191 | Fire Protection Program for<br>Nuclear Power Plants During<br>Decommissioning and Perma-<br>nent Shutdown  | -    | Not Applicable     | This RG governs site-specific fire protection program<br>activities that are applicable only to holders of reac-<br>tor licenses that have permanently ceased power<br>operations.   | Not Applicable                                    |
| 1.192 | Operation and Maintenance<br>Code Case Acceptability, ASME<br>OM Code  | 1    | Not Applicable     | Implementation of inservice testing is the responsibility of the COL applicant or licensee.  | Not Applicable                                    |
| 1.193 | ASME Code Cases Not Approved<br>for Use  | 4    | Conforms           | ASME code cases in RG 1.193 are not used unless authorized by the NRC pursuant to 10 CFR 50.55a(z).  | 5.2   |
| 1.194 | Atmospheric Relative Concentra-<br>tions for Control Room Radiologi-<br>cal Habitability Assessments at<br>Nuclear Power Plants                  | -    | Conforms           | None.  | 9.4<br>15.0.3                                     |
| 1.195 | Methods and Assumptions for<br>Evaluating Radiological Conse-<br>quences of Design Basis Acci-<br>dents at Light-Water Nuclear<br>Power Reactors | -    | Not Applicable     | This RG pertains to TID14844 source terms used by<br>licensees of existing reactors that are not authorized<br>to use the alternative source term under<br>10 CFR 50.67. Therefore, RG 1.183 is specified to be<br>used in lieu of RG 1.195 for new reactors and existing<br>reactors authorized to use the alternative source<br>term under 10 CFR 50.67.                   | Not Applicable                                    |

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|-------|---|------|--------------------|---|--|
| 1.196 | Control Room Habitability at<br>Light-Water Nuclear Power Reac-<br>tors   | 1    | Partially Conforms | Aspects of this RG related to control room habitabil-<br>ity design within the scope of the standard plant<br>design are applicable to the DCA. References to ESF<br>ventilation systems are not applicable to the NuS-<br>cale design. The NuScale control room habitability<br>system neither relies on nor uses emergency filtra-<br>tion to protect operators during accident conditions.<br>Rather, clean air is provided using compressed air<br>tanks. Other aspects of this guidance specify opera-<br>tional, programmatic activities that are the responsi-<br>bility of the COL applicant or licensee. | 3.8<br>6.4<br>18.7 (via the<br>Human-System<br>Interface Design Results<br>Summary Report) |
| 1.197 | Demonstrating Control Room<br>Envelope Integrity at Nuclear<br>Power Reactors   | -    | Not Applicable     | Inleakage testing activities are the responsibility of<br>the COL applicant or licensee referencing the certi-<br>fied design.  | Not Applicable   |
| 1.198 | Procedures and Criteria for<br>Assessing Seismic Soil Liquefac-<br>tion at Nuclear Power Plant Sites                                | -    | Not Applicable     | The evaluation governed by this guidance is the responsibility of the COL applicant or licensee referencing the certified design.   | Not Applicable   |
| 1.199 | Anchoring Components and<br>Structural Supports in Concrete   | -    | Partially Conforms | The intent of this guidance is applicable but the spe-<br>cific language endorses Appendix B of ACI 349-2001<br>with specified exceptions in the area of load combi-<br>nations. NuScale uses the 2006 version of the ACI<br>349 standard.  | 3.8  |
| 1.200 | An Approach for Determining the<br>Technical Adequacy of Probabilis-<br>tic Risk Assessment Results for<br>Risk-Informed Activities | 2    | Conforms           | As referenced in SRP 19.0 with regard to PRA quality and technical adequacy.  | 19.1   |

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| RG    | Division Title  | Rev. | Conformance Status | Comments   | Section                         |
|-------|---|------|--------------------|--|---------------------------------|
| 1.201 | Guidelines for Categorizing Struc-<br>tures, Systems, and Components<br>in Nuclear Power Plants Accord-<br>ing to Their Safety Significance | 1    |                    | 10 CFR 50.69 provides an alternative regulatory<br>framework for a licensee to use a risk-informed pro-<br>cess for categorizing SSC by their safety significance,<br>and based on this process can remove SSC of low<br>safety significance from the scope of identified spe-<br>cial treatment requirements. Thus, these require-<br>ments are applicable to licensees that choose this<br>alternative framework. NuScale uses a risk-informed,<br>performance-based approach to safety classification<br>that blends the strengths of deterministic engineer-<br>ing judgment and probabilistic methods. Specifi-<br>cally, the NuScale approach to SSC safety<br>classification combines the traditional approach<br>using the definitions of 10 CFR 50.2 and guidance of<br>RG 1.26 and SRP Section 3.2.2 with the alternative<br>regulatory framework similar to that prescribed in<br>10 CFR 50.69 and RG 1.201 (and NEI 00-04 endorsed<br>by RG 1.201). This methodology is consistent with<br>SECY-03-0047 and SECY-10-0034, which recom-<br>mend the use of a probabilistic, risk-informed<br>approach for SSC safety classification. NuScale<br>applies the guidance of RG 1.201 and NEI 00-04 to<br>the extent appropriate given the baseline risk met-<br>rics for the NuScale advanced reactor design. | 3.2                             |
| 1.202 | Standard Format and Content of<br>Decommissioning Cost Estimates<br>for Nuclear Power Reactors  | -    | Not Applicable     | This RG implements regulatory requirements for decommissioning cost estimates that are applicable only to licensees.   | Not Applicable                  |
| 1.203 | Transient and Accident Analysis<br>Methods  | -    | Conforms           | None.  | 15.0.2                          |
| 1.204 | Guidelines for Lightning Protec-<br>tion of Nuclear Power Plants  | -    | Conforms           | None.  | 3.8<br>7.0<br>7.2<br>8.1<br>8.3 |

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|-------|--|---------------|---------------------------|--|------------------------------------|
| 1.205 | Risk-Informed, Performance-<br>Based Fire Protection for Existing<br>Light-Water Nuclear Power Plants  | 1             | Not Applicable            | This RG applies to reactor licensees or applicants<br>that are developing or revising a risk-informed, per-<br>formance-based fire protection program pursuant<br>to 10 CFR 50.48(c). Development and implementa-<br>tion of a risk-informed, performance-based fire pro-<br>tection program would be the responsibility of COL<br>applicants or licensees that reference the NuScale<br>design, and that elect to implement the provisions<br>of 10 CFR 50.48(c). | Not Applicable                     |
| 1.206 | Combined License Applications<br>for Nuclear Power Plants (LWR<br>Edition)   | -             | Partially Conforms        | This RG is the template for the FSAR layout, with exceptions.  | Ch. 1 through Ch. 19               |
| 1.207 | Guidelines for Evaluating Fatigue<br>Analyses Incorporating the Life<br>Reduction of Metal Components<br>Due to the Effects of the Light-<br>Water Reactor Environment for<br>New Reactors | -             | Conforms                  | None.  | 3.8<br>3.9<br>3.12                 |
| 1.208 | A Performance-Based Approach<br>to Define the Site-Specific Earth-<br>quake Ground Motion  | -             | Not Applicable            | This guidance is for development of site-specific ground motion response spectra and is the responsibility of the COL applicant or licensee.   | Not Applicable                     |
| 1.209 | Guidelines for Environmental<br>Qualification of Safety-Related<br>Computer-Based Instrumenta-<br>tion and Control Systems in<br>Nuclear Power Plants                                      | -             | Conforms                  | None.  | 3.11<br>Appendix 3C<br>7.2<br>14.3 |
| 1.210 | Qualification of Safety-Related<br>Battery Chargers and Inverters for<br>Nuclear Power Plants  | -             | Not Applicable            | The NuScale design does not use safety-related bat-<br>tery chargers or inverters; EDSS battery chargers are<br>not located in a harsh environment.  | Not Applicable                     |
| 1.211 | Qualification of Safety-Related<br>Cables and Field Splices for<br>Nuclear Power Plants  | -             | Conforms                  | None.  | 3.11                               |
| 1.212 | Sizing of Large Lead-Acid Stor-<br>age Batteries   | November 2008 | Partially Conforms        | The NuScale DC power systems conform to the VRLA<br>sizing guidance in IEEE Std. 485-1997, with consider-<br>ation as appropriate for regulatory positions in RG<br>1.212 relevant to VRLA battery sizing.   | 8.3                                |

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| 1.213 | Qualification of Safety-Related<br>Motor Control Centers for Nuclear<br>Power Plants                            | -    | Not Applicable     | The NuScale electrical system design does not use safety-related motor control centers.   | Not Applicable    |
| 1.214 | Response Strategies for Potential<br>Aircraft Threats   | 1    | Conforms           | None.   | 19.5              |
| 1.215 | Guidance for ITAAC Closure<br>Under 10 CFR Part 52  | 2    | Not Applicable     | This guidance describes acceptable methods of complying with the requirements of 10 CFR 52.99, which is applicable to COL applicants and licensees.   | Not Applicable    |
| 1.216 | Containment Structural Integrity<br>Evaluation for Internal Pressure<br>Loadings Above Design-Basis<br>Pressure | 0    | Conforms           | None.   | 3.8<br>6.2        |
| 1.217 | Guidance for the Assessment of<br>Beyond-Design-Basis Aircraft<br>Impacts                                       | -    | Conforms           | None.   | 19.5              |
| 1.218 | Condition-Monitoring Tech-<br>niques for Electric Cables Used in<br>Nuclear Power Plants                        | -    | Not Applicable     | The COL holder determines whether a cable is sub-<br>ject to condition monitoring during the develop-<br>ment of the maintenance rule (10 CFR 50.65)<br>program. Cables that meet the criteria for inclusion<br>in the maintenance rule program are subject to the<br>guidance of RG 1.218. | Not Applicable    |
| .219  | Guidance on Making Changes to<br>Emergency Plans for Nuclear<br>Power Reactors                                  | -    | Not Applicable     | These requirements are applicable to operating reactor licensees, including COL holders.  | Not Applicable    |
| 1.221 | Design-Basis Hurricane and Hurri-<br>cane Missiles for Nuclear Power<br>Plants                                  | -    | Conforms           | NuScale uses the highest wind speed postulated in<br>Regulatory Position 1 (which occurs in Figure 2 of RG<br>1.221 Rev. 0) as the wind speed for the design basis<br>hurricane. Confirmation of site characteristics is the<br>responsibility of the COL applicant.                        | 3.3<br>3.5<br>3.8 |
| 4.1   | Radiological Environmental Mon-<br>itoring for Nuclear Power Plants   | 2    | Not Applicable     | This guidance governs site-specific, programmatic<br>environmental monitoring activities that are the<br>responsibility of the COL applicant or licensee.   | Not Applicable    |
| 4.2   | Preparation of Environmental<br>Reports for Nuclear Power Sta-<br>tions   | 2    | Not Applicable     | This guidance governs site-specific environmental<br>evaluation activities that are the responsibility of a<br>license or construction permit applicant.  | Not Applicable    |

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| 4.251 | Supplement 1 to RG 4.2, Prepara-<br>tion of Supplemental Environ-<br>mental Reports for Applications<br>to Renew Nuclear Power Plant<br>Operating Licenses                 | 1    | Not Applicable     | This guidance is applicable to licensees seeking renewal of their operating license.   | Not Applicable |
| 4.4   | Reporting Procedure for Mathe-<br>matical Models Selected to Pre-<br>dict Heated Effluent Dispersion in<br>Natural Water Bodies  | -    | Not Applicable     | This guidance governs site-specific environmental<br>activities related to modeling temperature impact of<br>plant discharge on aquatic systems. These activities<br>are the responsibility of the COL applicant or<br>licensee. | Not Applicable |
| 4.7   | General Site Suitability Criteria for<br>Nuclear Power Stations  | 2    | Not Applicable     | This guidance governs site-specific evaluation activi-<br>ties that are the responsibility of the COL applicant.   | Not Applicable |
| 4.9   | Preparation of Environmental<br>Reports for Commercial Uranium<br>Enrichment Facilities  | 1    | Not Applicable     | This guidance applies only to uranium enrichment facilities.   | Not Applicable |
| 4.11  | Terrestrial Environmental Studies<br>for Nuclear Power Stations  | 2    | Not Applicable     | This guidance governs site-specific environmental<br>evaluation activities that are the responsibility of a<br>license or construction permit applicant.   | Not Applicable |
| 4.13  | Performance, Testing, and Proce-<br>dural Specifications for Thermolu-<br>minescence Dosimetry:<br>Environmental Applications  | 1    | Not Applicable     | This guidance governs site-specific procedural activ-<br>ities that are the responsibility of a COL applicant or<br>holder.  | Not Applicable |
| 4.14  | Radiological Effluent and Environ-<br>mental Monitoring at Uranium<br>Mills  | 1    | Not Applicable     | This guidance is applicable only to uranium mills.   | Not Applicable |
| 4.15  | Quality Assurance for Radiologi-<br>cal Monitoring Programs (Incep-<br>tion through Normal Operations<br>to License Termination) - Effluent<br>Streams and the Environment | 2    | Not Applicable     | Applicable to COL applicants.  | Not Applicable |
| 4.16  | Monitoring and Reporting Radio-<br>active Materials in Liquid and<br>Gaseous Effluents from Nuclear<br>Fuel Cycle Facilities   | 2    | Not Applicable     | This guidance is applicable only to fuel cycle facili-<br>ties.  | Not Applicable |
| 4.17  | Standard Format and Content of<br>Site Characterization Plans for<br>High-Level-Waste Geologic<br>Repositories   | 1    | Not Applicable     | This guidance is applicable only to geological repos-<br>itories.  | Not Applicable |

Tier 2

**Revision 5** 

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| RG   | Division Title  | Rev. | Conformance Status | Comments   | Section        |
|------|---|------|--------------------|--|----------------|
| 4.18 | Standard Format and Content of<br>Environmental Reports for Near-<br>Surface Disposal of Radioactive<br>Waste                                       | -    | Not Applicable     | This guidance is applicable only to near-surface dis-<br>posal of radioactive waste, which is not within the<br>scope of the NuScale certified design. | Not Applicable |
| 4.19 | Guidance for Selecting Sites for<br>Near-Surface Disposal of Low-<br>Level Radioactive Waste  | -    | Not Applicable     | This guidance is applicable only to near-surface dis-<br>posal of radioactive waste, which is not within the<br>scope of the NuScale certified design. | Not Applicable |
| 4.20 | Constraint on Releases of Air-<br>borne Radioactive Materials to<br>the Environment for Licensees<br>other than Power Reactors                      | 1    | Not Applicable     | This guidance is applicable only to non-reactor facili-<br>ties.   | Not Applicable |
| 4.21 | Minimization of Contamination   | -    | Partially Conforms | This guidance is applicable, except for the portions   | 9.1            |
|      | and Radioactive Waste Genera-<br>tion: Life-Cycle Planning  |      |                    | that relate to site-specific, operational aspects that<br>are the responsibility of the COL applicant or<br>licensee referencing the NuScale design.   | 9.2            |
|      |   |      |                    |  | 9.4            |
|      |   |      |                    |  | 10.4           |
|      |   |      |                    | 11.2   |                |
|      |   |      |                    |  | 11.3           |
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|      |   |      |                    |  | 12.5           |
|      |   |      |                    |  | 14.3           |
| 4.22 | Decommissioning Planning<br>During Operations   | -    | Not Applicable     | This RG is applicable to operating reactor licensees.  | Not Applicable |
| 5.3  | Statistical Terminology and Nota-<br>tion for Special Nuclear Materials<br>Control and Accountability   | -    | Not Applicable     | This RG is directed towards licensees of fuel process-<br>ing and fuel fabrication facilities.   | Not Applicable |
| 5.4  | Standard Analytical Methods for<br>the Measurement of Uranium<br>Tetrafluoride (UF4) and Uranium<br>Hexafluoride (UF6)                              | -    | Not Applicable     | This RG is directed towards licensees of enrichment facilities.  | Not Applicable |
| 5.5  | Standard Methods for Chemical,<br>Mass Spectrometric, and Spectro-<br>chemical Analysis of Nuclear-<br>Grade Uranium Dioxide Powders<br>and Pellets | -    | Not Applicable     | This RG is directed towards licensees of fuel fabrica-<br>tion facilities.   | Not Applicable |

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| RG   | Division Title  | Rev. | Conformance Status | Comments   | Section                                   |
|------|---|------|--------------------|--|---|
| 5.7  | Entry/Exit Control for Protected<br>Areas, Vital Areas, and Material<br>Access Areas  | 1    | Partially Conforms | Site-specific, programmatic aspects of this guidance<br>are the responsibility of the COL applicant or<br>licensee referencing the NuScale design. | 13.6 (via Security Tech-<br>nical Report) |
| 5.8  | Design Considerations for Mini-<br>mizing Residual Holdup of Special<br>Nuclear Material in Drying and<br>Fluidized Bed Operations  | 1    | Not Applicable     | Applicable to Part 70 facilities.  | Not Applicable                            |
| 5.9  | Guidelines for Germanium Spec-<br>troscopy Systems for Measure-<br>ment of Special Nuclear Material                                 | 2    | Not Applicable     | This guidance governs processes, procedures,<br>equipment, and methods that are not applicable to<br>the NuScale design.                           | Not Applicable                            |
| 5.11 | Nondestructive Assay of Special<br>Nuclear Material Contained in<br>Scrap and Waste   | 1    | Not Applicable     | This RG applies to facilities that process SNM. The<br>NuScale design does not process SNM.  | Not Applicable                            |
| 5.12 | General Use of Locks in the Pro-<br>tection and Controls of Facilities<br>and Special Nuclear Materials                             | -    | Partially Conforms | Site-specific, programmatic aspects of this guidance<br>are the responsibility of the COL applicant or<br>licensee referencing the NuScale design. | 13.6 (via Security Tech-<br>nical Report) |
| 5.13 | Conduct of Nuclear Material Phys-<br>ical Inventories   | -    | Not Applicable     | Applicable to Part 70 facilities.  | Not Applicable                            |
| 5.18 | Limit of Error Concepts and Prin-<br>ciples of Calculation in Nuclear<br>Materials Control  | -    | Not Applicable     | This RG is applicable to a special nuclear material licensee.  | Not Applicable                            |
| 5.20 | Training, Equipping, and Qualify-<br>ing of Guards and Watchmen   | -    | Not Applicable     | Applicable to COL applicant or licensee.   | Not Applicable                            |
| 5.21 | Nondestructive Uranium-235<br>Enrichment Assay by Gamma Ray<br>Spectrometry   | 1    | Not Applicable     | Applicable to Part 70 facilities.  | Not Applicable                            |
| 5.22 | Assessment of the Assumption of<br>Normality (Employing Individual<br>Observed Values)  | -    | Not Applicable     | This RG is not applicable to the DCA because NuS-<br>cale is not a special nuclear material licensee.  | Not Applicable                            |
| 5.23 | In Situ Assay of Plutonium Resid-<br>ual Holdup   | 1    | Not Applicable     | Applicable to Part 70 facilities.  | Not Applicable                            |
| 5.25 | Design Considerations for Mini-<br>mizing Residual Holdup of Special<br>Nuclear Material in Equipment for<br>Wet Process Operations | -    | Not Applicable     | Applicable to Part 70 facilities.  | Not Applicable                            |
| 5.26 | Selection of Material Balance<br>Areas and Item Control Areas   | 1    | Not Applicable     | Applicable to Part 70 facilities.  | Not Applicable                            |

|   | Division Title  | Rev. | Conformance Status | Comments   | Section                                   |
|---|---|------|--------------------|--|---|
|   | Special Nuclear Material Door-<br>way Monitors  | -    | Not Applicable     | Applicable to COL applicant.   | Not Applicable                            |
|   | Evaluation of Shipper-Receiver<br>Differences in the Transfer of Spe-<br>cial Nuclear Materials                                     | -    | Not Applicable     | This RG applies to fuel processing and fuel fabrica-<br>tion licensees.  | Not Applicable                            |
|   | Nuclear Material Control systems<br>for Nuclear Power Plants  | 2    | Not Applicable     | This RG is not applicable to the NuScale design but<br>may be used by a COL applicant to meet the mate-<br>rial control and accounting requirements in Subpart<br>B of 10 CFR Part 74. | Not Applicable                            |
|   | Specially Designed Vehicle with<br>Armed Guards for Road Shipment<br>of Special Nuclear Material                                    | 1    | Not Applicable     | Applicable to COL applicant.   | Not Applicable                            |
|   | Statistical Evaluation of Material<br>Unaccounted For   | -    | Not Applicable     | Applicable to Part 70 facilities.  | Not Applicable                            |
|   | Nondestructive Assay for Pluto-<br>nium in Scrap Material by Sponta-<br>neous Fission Detection                                     | 1    | Not Applicable     | Applicable to Part 70 processing.  | Not Applicable                            |
|   | Recommended Practice for Deal-<br>ing with Outlying Observations  | 1    | Not Applicable     | This RG is applicable to a special nuclear material licensee.  | Not Applicable                            |
|   | General Methods for the Analysis<br>of Uranyl Nitrate Solutions for<br>Assay, Isotopic Distribution, and<br>Impurity Determinations | -    | Not Applicable     | This RG is not applicable to the DCA because NuS-<br>cale is not an applicant for a special nuclear material<br>in an unsealed form license.   | Not Applicable                            |
|   | Design Considerations for Mini-<br>mizing Residual Holdup of Special<br>Nuclear Material in Equipment for<br>Dry Process Operations | -    | Not Applicable     | Applicable to Part 70 facilities.  | Not Applicable                            |
|   | Plant Security Force Duties   | -    | Not Applicable     | Applicable to COL applicant or licensee.   | Not Applicable                            |
|   | Perimeter Intrusion Alarm Sys-<br>tems  | 3    | Partially Conforms | Site-specific, programmatic aspects of this guidance<br>are the responsibility of the COL applicant referenc-<br>ing the NuScale design.   | 13.6 (via Security Tech-<br>nical Report) |
|   | Design Considerations-Systems<br>for Measuring the Mass of Liquids  | -    | Not Applicable     | Applicable to COL applicant or licensee.   | Not Applicable                            |
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Internal Transfers of Special

Nuclear Material (for Comment)

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| RG   | Division Title   | Rev. | Conformance Status | Comments   | Section        |
|------|--|------|--------------------|--|----------------|
| 5.51 | Management Review of Nuclear<br>Material Control and Accounting<br>Systems   | 0    | Not Applicable     | This RG applies to fuel cycle facilities.  | Not Applicable |
| 5.52 | Standard Format and Content of<br>a Licensee Physical Protection<br>Plan for Strategic Special Nuclear<br>Material at Fixed Sites (Other than<br>Nuclear Power Plants) | 3    | Not Applicable     | Not applicable to nuclear power plants.  | Not Applicabl  |
| 5.53 | Qualification, Calibration, and<br>Error Estimation Methods for<br>Nondestructive Assay  | 1    | Not Applicable     | This RG applies to fuel processing licensees.  | Not Applicable |
| 5.54 | Standard Format and Content of<br>Safeguards Contingency Plans for<br>Nuclear Power Plants   | 1    | Not Applicable     | This guidance governs site-specific physical protec-<br>tion features and security program activities that are<br>the responsibility of the COL applicant or licensee. | Not Applicabl  |
| 5.55 | Standard Format and Content of<br>Safeguards Contingency Plans for<br>Fuel Cycle Facilities  | 0    | Not Applicable     | Applicable to fuel cycle facilities.   | Not Applicabl  |
| 5.56 | Standard Format and Content of<br>Safeguards Contingency Plans for<br>Transportation   | 0    | Not Applicable     | Applicable to transportation of special nuclear mate-<br>rial.   | Not Applicabl  |
| 5.57 | Shipping and Receiving Control<br>of Strategic Special Nuclear Mate-<br>rial   | 1    | Not Applicable     | Applicable to COL applicant or licensee.   | Not Applicabl  |
| 5.58 | Considerations for Establishing<br>Traceability of Special Nuclear<br>Material Accounting Measure-<br>ments  | 1    | Not Applicable     | Applicable to COL applicant or licensee.   | Not Applicable |
| 5.59 | Standard Format and Content for<br>a Licensee Physical Security Plan<br>for the Protection of Special<br>Nuclear Material of Moderate or<br>Low Strategic Significance | 1    | Not Applicable     | Applicable to COL applicant or licensee.   | Not Applicable |
| 5.60 | Standard Format and Content of<br>a Licensee Physical Protection<br>Plan for Strategic Special Nuclear<br>Material in Transit  | -    | Not Applicable     | Applicable to COL applicant or licensee.   | Not Applicable |

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| RG   | Division Title   | Rev. | Conformance Status | Comments   | Section                                   |
|------|--|------|--------------------|--|---|
| 5.61 | Intent and Scope of the Physical<br>Protection Upgrade Rule Require-<br>ments for Fixed Sites  | -    | Not Applicable     | This guidance applies to fuel cycle facilities.  | Not Applicable                            |
| 5.62 | Reporting of Safeguards Events   | 1    | Not Applicable     | This guidance applies to site-specific security issues concerning SNM and is the responsibility of the COL applicant or licensee.  | Not Applicable                            |
| 5.63 | Physical Protection for Transient<br>Shipments   | -    | Not Applicable     | Applicable to COL applicant or licensee.   | Not Applicable                            |
| 5.65 | Vital Area Access Controls, Pro-<br>tection of Physical Security Equip-<br>ment, and Key and Lock Controls   | -    | Partially Conforms | Site-specific, programmatic aspects of this guidance<br>are the responsibility of the COL applicant or<br>licensee referencing the NuScale design.   | 13.6 (via Security Tech-<br>nical Report) |
| 5.66 | Access Authorization Program for<br>Nuclear Power Plants   | 2    | Not Applicable     | This guidance governs site-specific physical security program activities that are the responsibility of the COL applicant or licensee.   | Not Applicable                            |
| 5.68 | Protection Against Malevolent<br>Use of Vehicles at Nuclear Power<br>Plants  | -    | Partially Conforms | Although applicable to the COL applicant or<br>licensee, the design must allow compliance (e.g.,<br>F090 Site Layout Plan, which references parts of<br>73.55).  | 13.6 (via Security Tech-<br>nical Report) |
| 5.69 | Guidance for the Application of<br>Radiological Sabotage Design-<br>Basis Threat in the Design, Devel-<br>opment and Implementation of a<br>Physical Security Program that<br>Meets 10 CFR 73.55 Require-<br>ments (SGI) | -    | Not Applicable     | This guidance is the responsibility of the COL appli-<br>cant or licensee.   | 13.6 (via Security Tech-<br>nical Report) |
| 5.70 | Guidance for the Application of<br>the Theft and Diversion Design-<br>Basis Treat in the Design Develop-<br>ment, and Implementation of a<br>Physical Security Program that<br>Meets CFR 73.45 and 73.46 (SGI)           | -    | Not Applicable     | COL applicant or licensee responsibility.  | Not Applicable                            |
| 5.71 | Cyber Security Programs for<br>Nuclear Facilities  | -    | Partially Conforms | The portions of RG 5.71 that govern site-specific<br>operational and programmatic activities (e.g., devel-<br>opment and implementation of operational cyber<br>security plans) apply to the COL applicant or<br>licensee. | 13.6 (via Security Tech-<br>nical Report) |

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| RG   | Division Title  | Rev. | Conformance Status | Comments  | Section                                   |
|------|---|------|--------------------|---|---|
| 5.73 | Fatigue Management for Nuclear<br>Power Plant Personnel   | -    | Not Applicable     | This RG is not applicable to the NuScale design but<br>may be used by a COL applicant or licensee to meet<br>the fatigue management requirements of 10 CFR 26<br>Subpart I.       | Not Applicable                            |
| 5.74 | Managing the Safety/Security Interface  | -    | Not Applicable     | COL applicant or licensee responsibility.   | Not Applicable                            |
| 5.75 | Training and Qualification of<br>Security Personnel at Nuclear<br>Power Reactor Facilities  | -    | Not Applicable     | COL applicant or licensee responsibility.   | Not Applicable                            |
| 5.76 | Physical Protection Programs at<br>Nuclear Power Reactors   | -    | Not Applicable     | This guidance governs site-specific physical protec-<br>tion program activities that are the responsibility of<br>the COL applicant or licensee.                                  | 13.6 (via Security Tech-<br>nical Report) |
| 5.77 | Insider Mitigation Program (OUO-<br>SRI)  | -    | Not Applicable     | COL applicant or licensee responsibility.   | Not Applicable                            |
| 5.78 | Physical Protection of Mixed<br>Oxide Fuels in Nuclear Power<br>Plants (SGI)  | -    | Not Applicable     | The NuScale design does not use mixed oxide fuels.  | Not Applicable                            |
| 5.79 | Protection of Safeguard Informa-<br>tion  | -    | Conforms           | NuScale protects Safeguards<br>Information against unauthorized disclosure in<br>accordance with RG 5.79.   | Not Applicable                            |
| 5.80 | Pressure-Sensitive and Tamper-<br>Indicating Device Seals for Mate-<br>rial Control and Accounting of<br>Special Nuclear Material | -    | Not Applicable     | This RG is not applicable to the NuScale design.  | Not Applicable                            |
| 5.81 | Target Set Identification and<br>Development for Nuclear Power<br>Reactors (OUO-SRI)  | -    | Not Applicable     | COL applicant or licensee responsibility.   | Not Applicable                            |
| 5.83 | Cyber Security Event Notifications  | -    | Not Applicable     | COL applicant or licensee responsibility.   | Not Applicable                            |
| 5.84 | Fitness-For-Duty for New Nuclear<br>Power Plant Construction Sites  | -    | Not Applicable     | COL applicant or licensee responsibility.   | Not Applicable                            |
| 8.2  | Administrative Practices in Radia-<br>tion Surveys and Monitoring   | 1    | Not Applicable     | This guidance governs site-specific, programmatic<br>activities related to radiation surveys and monitor-<br>ing that are the responsibility of the COL applicant<br>or licensee. | Not Applicable                            |

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| 8.4  | Personnel Monitoring Device -<br>Direct-Reading Pocket Dosime-<br>ters  | 1    | Not Applicable     | This guidance governs site-specific programmatic<br>activities related to the selection, maintenance, cali-<br>bration, training, and reading of pocket dosimeters<br>that are the responsibility of the COL applicant or<br>licensee.  | Not Applicable  |
| 8.7  | Instructions for Recording and<br>Reporting Occupational Radia-<br>tion Dose Data   | 2    | Not Applicable     | This guidance governs site-specific programmatic<br>activities related to recording and reporting dose<br>data that are the responsibility of the COL applicant<br>or licensee.   | Not Applicable  |
| 8.8  | Information Relevant to Ensuring<br>that Occupational Radiation<br>Exposures at Nuclear Power Sta-<br>tions Will Be as Low as Is Reason-<br>ably Achievable | 3    | Partially Conforms | Implementation of this guidance is largely site-spe-<br>cific and is the responsibility of the COL applicant.<br>However, the NuScale application for standard<br>design certification considered this guidance to be<br>applicable to the extent necessary to provide rea-<br>sonable assurance that the COL applicant referenc-<br>ing the certified design can meet these<br>requirements. The aspects of this guidance that are<br>design-specific (i.e., pertain to design features, facili-<br>ties, functions, and equipment that are technically<br>relevant to the NuScale standard plant design - e.g.,<br>Position C.2) are applicable to the DCA. | 9.3<br>10.4<br>11.2<br>11.4<br>11.5<br>12.1<br>12.3<br>12.5<br>14.3 |
| 8.9  | Acceptable Concepts, Models,<br>Equations, and Assumptions for a<br>Bioassay Program  | 1    | Not Applicable     | This guidance governs site-specific, programmatic activities, procedures, equipment, and methods that are the responsibility of the COL applicant.  | Not Applicable  |
| 8.10 | Operating Philosophy for Main-<br>taining Occupational Radiation<br>Exposures as Low as Is Reason-<br>ably Achievable                                       | 1-R  | Not Applicable     | These site-specific aspects are the responsibility of the COL applicant referencing the certified design.   | Not Applicable  |
| 8.11 | Applications of Bioassay for Ura-<br>nium   | -    | Not Applicable     | This guidance governs programmatic activities that apply to licensees for which uranium bioassay is required.   | Not Applicable  |
| 8.13 | Instruction Concerning Prenatal<br>Radiation Exposure   | 3    | Not Applicable     | This guidance governs site-specific, programmatic activities, procedures, equipment, and methods that are the responsibility of the COL applicant.  | Not Applicable  |
| 8.15 | Acceptable Programs for Respira-<br>tory Protection   | 1    | Not Applicable     | This guidance governs site-specific, programmatic activities, procedures, equipment, and methods that are the responsibility of the COL applicant.  | Not Applicable  |

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| RG   | Division Title  | Rev. | Conformance Status | Comments   | Section        |
|------|---|------|--------------------|--|----------------|
| 8.18 | Information Relevant to Ensuring<br>that Radiation Exposures at Medi-<br>cal Institutions Will Be as Low as is<br>Reasonably Achievable | 2    | Not Applicable     | This guidance governs activities applicable only to medical institutions.  | Not Applicable |
| 8.19 | Occupational Radiation Dose<br>Assessment in Light Water Reac-<br>tor Power Plants - Design Stage<br>Man-Rem Estimates                  | 1    | Partially Conforms | This guidance is applicable, except for the portions<br>that relate to site-specific, operational aspects that<br>are the responsibility of the COL applicant referenc-<br>ing the NuScale design. Construction activities dose<br>assessments are the responsibility of the COL appli-<br>cant or licensee. | 12.4           |
| 8.20 | Applications of Bioassay for<br>Radioiodine   | 2    | Not Applicable     | This guidance governs site-specific, programmatic<br>activities, procedures, equipment, and methods that<br>are the responsibility of the COL applicant or<br>licensee.  | Not Applicabl  |
| 8.21 | Health Physics Surveys for<br>Byproduct Material at NRC<br>Licensed Processing and Manu-<br>facturing Plants                            | 1    | Not Applicable     | Applicable only to processing and manufacturing plants.  | Not Applicable |
| 8.22 | Bioassay at Uranium Mills   | 1    | Not Applicable     | Applicable only to uranium mills.  | Not Applicable |
| 8.23 | Radiation Safety Surveys at Medi-<br>cal Institutions   | 1    | Not Applicable     | This guidance governs activities applicable only to medical institutions.  | Not Applicable |
| 8.24 | Health Physics Surveys During<br>Enriched Uranium-235 Processing<br>and Fuel Fabrication  | 2    | Not Applicable     | This guidance governs activities applicable only to facilities that process or fabricate fuel with uranium enriched with the U-235 isotope.  | Not Applicable |
| 8.25 | Air Sampling in the Workplace   | 1    | Not Applicable     | This guidance governs site-specific, programmatic<br>activities related to air sampling in the workplace<br>that are the responsibility of the COL applicant or<br>licensee.   | Not Applicable |
| 8.26 | Applications of Bioassay for Fis-<br>sion and Activation Products   | -    | Not Applicable     | This guidance governs site-specific, programmatic<br>activities, procedures, equipment, and methods that<br>are the responsibility of the COL applicant or<br>licensee.  | Not Applicable |
| 8.27 | Radiation Protection Training for<br>Personnel at Light-Water-Cooled<br>Nuclear Power Plants  | -    | Not Applicable     | This guidance governs site-specific operational training programs, plans, and procedures that are the responsibility of the COL applicant or licensee.   | Not Applicable |

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#### Table 1.9-2: Conformance with Regulatory Guides (Continued) RG **Division Title** Rev. **Conformance Status** Comments Section 8.28 Audible-Alarm Dosimeters Not Applicable This guidance governs site-specific, programmatic Not Applicable activities, procedures, equipment, and methods that are the responsibility of the COL applicant or licensee. 8.29 Instruction Concerning Risks from Not Applicable This guidance governs site-specific, programmatic Not Applicable 1 Occupational Radiation Exposure training and instructional activities that are the responsibility of the COL applicant or licensee. 8.30 Health Physics Surveys in Ura-1 Not Applicable This guidance governs activities applicable only to Not Applicable nium Recovery Facilities uranium recovery facilities. 8.31 Information Relevant to Ensuring 1 Not Applicable This guidance governs activities applicable only to Not Applicable that Occupational Radiation uranium recovery facilities. Exposures at Uranium Recovery Facilities Will Be as Low as Is Reasonably Achievable 8.32 Criteria for Establishing a Tritium Not Applicable This guidance governs site-specific, programmatic Not Applicable **Bioassay Program** activities, procedures, equipment, and methods that are the responsibility of a licensee authorized to possess nuclear material. 8.34 Monitoring Criteria and Methods This guidance governs site-specific, programmatic Not Applicable Not Applicable to Calculate Occupational Radiaactivities, procedures, equipment, and methods that are the responsibility of the COL applicant or tion Doses licensee. Planned Special Exposure This guidance governs site-specific, programmatic Not Applicable 8.35 Not Applicable 1 activities, procedures, equipment, and methods that are the responsibility of the COL applicant or licensee. This guidance governs site-specific, programmatic 8.36 Radiation Dose to the Embryo/ Not Applicable Not Applicable Fetus activities, procedures, equipment, and methods that are the responsibility of the COL applicant or licensee. This guidance governs activities applicable only to 8.37 ALARA Levels for Effluents from Not Applicable Not Applicable \_ Materials Facilities materials facilities. Implementation of this guidance is site-specific and 8.38 Control of Access to High and 1 Partially Conforms 12.1 is the responsibility of the COL applicant. However, Very High Radiation Areas in 12.3 Nuclear Power Plants NuScale considered this guidance to be applicable 12.5 to the extent necessary to provide reasonable assur-14.2 ance that the COL applicant or licensee referencing the certified design can meet these requirements.

NuScale Final Safety Analysis Report

**Conformance with Regulatory Criteria** 

|     | RG | Division Title   | Rev. | Conformance Status | Comments  | Section        |
|-----|----|--|------|--------------------|---|----------------|
| 8.3 | 39 | Release of Patients Administered<br>Radioactive Materials                    | -    |                    | This guidance governs activities applicable only to facilities that administer radio-pharmaceuticals.   | Not Applicable |
| 8.4 | 10 | Methods for Measuring Effective<br>Dose Equivalent from External<br>Exposure | -    |                    | This guidance governs dosimetry methods for deter-<br>mining effective dose equivalent for external radia-<br>tion exposures. These methods are the responsibility<br>of the COL applicant or licensee. | Not Applicable |

#### Table 1.9-2: Conformance with Regulatory Guides (Continued)

# Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS)

| SRP or DSRS Section, Rev:<br>Title                                    | AC    | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|---|-------|--|-----------------------|---|----------------|
| SRP 1.0, Rev 2: Introduction<br>and Interfaces                        | II.1  | No Specific Acceptance Criteria  | -                     | No Specific Acceptance Criteria.  | Not Applicable |
| SRP 1.0, Rev 2: Introduction<br>and Interfaces                        | 11.2  | SRP Acceptance Criteria Associated with Each Referenced SRP section  | Conforms              | None.   | Ch 1           |
| SRP 1.0, Rev 2: Introduction<br>and Interfaces                        | II.3  | Performance of New Safety Features<br>and Design Qualification Testing<br>Requirements                                     | Conforms              | None.   | Ch 1           |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | II.1  | Specific SRP Acceptance Criteria<br>Contained in Related SRP Chapter 2<br>or Other Referenced SRP sections                 | Conforms              | This acceptance criterion is a pointer to other SRP sections.   | 2.0            |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | II.2  | COL Application Referencing an Early<br>Site Permit  | Not Applicable        | This acceptance criterion is applicable only to COL applicants that do not reference the DCA.               | Not Applicable |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | II.3  | COL Application Referencing a<br>Certified Design  | Not Applicable        | This acceptance criterion is for COL<br>applicants to meet the design parameters<br>established in the DCA. | Not Applicable |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | 11.4  | COL Application Referencing an Early<br>Site Permit and a Certified Design   | Not Applicable        | This acceptance criterion is for COL<br>applicants to meet the design parameters<br>established in the DCA. | Not Applicable |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | 11.5  | COL Application Referencing Neither<br>an Early Site Permit Nor a Certified<br>Design                                      | Not Applicable        | This acceptance criterion is applicable only to COL applicants that do not reference the DCA.               | Not Applicable |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | Арр А | Table 1: Examples of Site<br>Characteristics and Site Parameters   | Partially Conforms    | NuScale provides site parameters where applicable.  | Table 2.0-1    |
| SRP 2.0, (March 2007): Site<br>Characteristics and Site<br>Parameters | Арр А | Table 2: Examples of Site-Related<br>Design Parameters and Design<br>Characteristics                                       | Partially Conforms    | NuScale provides site parameters where applicable.  | Table 2.0-1    |
| SRP 2.1.1, Rev 3: Site Location and Description                       | All   | Specification of Location and Site<br>Area Map   | Not Applicable        | Site-specific.  | Not Applicable |
| 5RP 2.1.2, Rev 3: Exclusion<br>Area Authority and Control             | All   | Establishment of Authority, Exclusion<br>or Removal of Personnel and<br>Property, and Proposed and<br>Permitted Activities | Not Applicable        | Site-specific.  | Not Applicable |

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### Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title   | AC             | AC Title/Description   | Conformance<br>Status | Comments       | Section              |
|--|----------------|--|-----------------------|----------------|----------------------|
| SRP 2.1.3, Rev 3: Population<br>Distribution   | All            | Population Data, Exclusion Area, Low-<br>Population Zone, Nearest Population<br>Center Boundary, and Population<br>Density | Not Applicable        | Site-specific. | Not Applicabl        |
| SRP 2.2.1-2.2.2, Rev 3:<br>Identification of Potential<br>Hazards in Site Vicinity           | All            | Various  | Not Applicable        | Site-specific. | Not Applicabl        |
| SRP 2.2.3, Rev 3: Evaluation of<br>Potential Accidents                                       | All            | Event Probability and Design-Basis<br>Event Analysis   | Not Applicable        | Site-specific. | Not Applicabl        |
| SRP 2.3.1, Rev 3: Regional<br>Climatology  | All            | Various  | Not Applicable        | Site-specific  | Not Applicabl        |
| SRP 2.3.1, Rev 3: Regional<br>Climatology  | III.4.b.1      | Postulated Site Parameters   | Conforms              | None.          | Table 2.0-1<br>2.3.1 |
| SRP 2.3.1, Rev 3: Regional<br>Climatology  | III.4.b.2      | Site Parameters Included as Tier 1<br>Information  | Conforms              | None.          | Table 2.0-1<br>2.3.1 |
| SRP 2.3.1, Rev 3: Regional<br>Climatology  | III.4.b.3      | Site Parameters Summary Table  | Conforms              | None.          | Table 2.0-1<br>2.3.1 |
| SRP 2.3.1, Rev 3: Regional<br>Climatology  | III.4.b.4      | Basis for Site Parameters  | Conforms              | None.          | Table 2.0-1<br>2.3.1 |
| SRP 2.3.2, Rev 3: Local<br>Meteorology   | ll.1 thru ll.4 | Various  | Not Applicable        | Site-specific. | Not Applicabl        |
| SRP 2.3.2, Rev 3: Local<br>Meteorology   | III.4.b.i      | Postulated Site Parameters   | Conforms              | None.          | Table 2.0-1<br>2.3.2 |
| SRP 2.3.2, Rev 3: Local<br>Meteorology   | III.4.b.ii     | Site Parameters Included as Tier 1<br>Information  | Conforms              | None.          | Table 2.0-1<br>2.3.2 |
| SRP 2.3.2, Rev 3: Local<br>Meteorology   | III.4.b.iii    | Site Parameters Summary Table  | Conforms              | None.          | Table 2.0-1<br>2.3.2 |
| SRP 2.3.2, Rev 3: Local<br>Meteorology   | III.4.b.iv     | Basis for Site Parameters  | Conforms              | None.          | Table 2.0-1<br>2.3.2 |
| SRP 2.3.3, Rev 3: Onsite<br>Meteorological<br>Measurements Program                           | All            | Various  | Not Applicable        | Site-specific. | Not Applicabl        |
| SRP 2.3.4, Rev 3: Short-Term<br>Atmospheric Dispersion<br>Estimates for Accident<br>Releases | All            | Various  | Not Applicable        | Site-specific. | Not Applicabl        |

Tier 2

| Standard (DSRS) (Continued)  |                 |   |                       |                |                |  |  |
|--|-----------------|---|-----------------------|----------------|----------------|--|--|
| SRP or DSRS Section, Rev:<br>Title   | AC              | AC Title/Description  | Conformance<br>Status | Comments       | Section        |  |  |
| SRP 2.3.4, Rev 3: Short-Term<br>Atmospheric Dispersion<br>Estimates for Accident<br>Releases | III.6.b.1       | Postulated Site Parameters  | Conforms              | None.          | 2.3.4          |  |  |
| SRP 2.3.4, Rev 3: Short-Term<br>Atmospheric Dispersion<br>Estimates for Accident<br>Releases | III.6.b.2       | Site Parameters Included as Tier 1<br>Information   | Conforms              | None.          | 2.3.4          |  |  |
| SRP 2.3.4, Rev 3: Short-Term<br>Atmospheric Dispersion<br>Estimates for Accident<br>Releases | III.6.b.3       | Site Parameters Summary Table   | Conforms              | None.          | 2.3.4          |  |  |
| SRP 2.3.4, Rev 3: Short-Term<br>Atmospheric Dispersion<br>Estimates for Accident<br>Releases | III.6.b.4       | Basis for Site Parameters   | Conforms              | None.          | 2.3.4          |  |  |
| SRP 2.3.4, Rev 3: Short-Term<br>Atmospheric Dispersion<br>Estimates for Accident<br>Releases | III (no number) | Applicable Short-Term (Post-<br>Accident) Site Parameters - EAB, LPZ,<br>and Control Room Atmospheric<br>Dispersion Factors | Conforms              | None.          | 2.3.4          |  |  |
| SRP 2.3.5, Rev 3: Long-Term<br>Atmospheric Dispersion<br>Estimates for Routine<br>Releases   | All             | Various   | Not Applicable        | Site-specific. | Not Applicable |  |  |
| SRP 2.3.5, Rev 3: Long-Term<br>Atmospheric Dispersion<br>Estimates for Routine<br>Releases   | III.5.b.1       | Postulated Site Parameters  | Conforms              | None.          | 2.3.5          |  |  |
| SRP 2.3.5, Rev 3: Long-Term<br>Atmospheric Dispersion<br>Estimates for Routine<br>Releases   | III.5.b.2       | Site Parameters Included as Tier 1<br>Information   | Conforms              | None.          | 2.3.5          |  |  |
| SRP 2.3.5, Rev 3: Long-Term<br>Atmospheric Dispersion<br>Estimates for Routine<br>Releases   | III.5.b.3       | Site Parameters Summary Table   | Conforms              | None.          | 2.3.5          |  |  |

# Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific ReviewStandard (DSRS) (Continued)

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Conformance with Regulatory Criteria

| SRP or DSRS Section, Rev:  | AC           | AC Title/Description   | Conformance    | Comments       | Section        |
|--|--------------|--|----------------|----------------|----------------|
| Title  |              | AC InterDescription  | Status         | Comments       | Section        |
| SRP 2.3.5, Rev 3: Long-Term<br>Atmospheric Dispersion<br>Estimates for Routine<br>Releases | III.5.b.4    | Basis for Site Parameters  | Conforms       | None.          | 2.3.5          |
| SRP 2.3.5, Rev 3: Long-Term<br>Atmospheric Dispersion<br>Estimates for Routine<br>Releases |              | Applicable Long-Term (Routine<br>Release) Site Parameters - Maximum<br>Annual Average Site Boundary<br>Atmospheric Dispersion Factor | Conforms       | None.          | 2.3.5          |
| SRP 2.4.1, Rev 3: Hydrologic<br>Description  | All          | Various  | Not Applicable | Site-specific. | Not Applicable |
| SRP 2.4.1, Rev 3: Hydrologic<br>Description  | III.7.B.i    | Postulated Site Parameters   | Conforms       | None.          | 2.4.1          |
| SRP 2.4.1, Rev 3: Hydrologic<br>Description  | III.7.B.ii   | Site Parameters Included as Tier 1<br>Information  | Conforms       | None.          | 2.4.1          |
| SRP 2.4.1, Rev 3: Hydrologic<br>Description  | III.7.B.iii  | Site Parameters Summary Table  | Conforms       | None.          | 2.4.1          |
| SRP 2.4.1, Rev 3: Hydrologic<br>Description  | III.7.B.iv   | Basis for Site Parameters  | Conforms       | None.          | 2.4.1          |
| SRP 2.4.2, Rev 4: Floods   | All          | Various  | Not Applicable | Site-specific. | Not Applicable |
| SRP 2.4.2, Rev 4: Floods   | III.11.B.i   | Postulated Site Parameters   | Conforms       | None.          | 2.4.2          |
| SRP 2.4.2, Rev 4: Floods   | III.11.B.ii  | Site Parameters Included as Tier 1<br>Information  | Conforms       | None.          | 2.4.2          |
| SRP 2.4.2, Rev 4: Floods   | III.11.B.iii | Site Parameters Summary Table  | Conforms       | None.          | 2.4.2          |
| SRP 2.4.2, Rev 4: Floods   | III.11.B.iv  | Basis for Site Parameters  | Conforms       | None.          | 2.4.2          |
| SRP 2.4.3, Rev 4: Probable<br>Maximum Flood (PMF) on<br>Streams and Rivers                 | All          | Various  | Not Applicable | Site-specific. | Not Applicable |
| SRP 2.4.3, Rev 4: Probable<br>Maximum Flood (PMF) on<br>Streams and Rivers                 | III.4.B.i    | Postulated Site Parameters   | Conforms       | None.          | 2.4.3          |
| SRP 2.4.3, Rev 4: Probable<br>Maximum Flood (PMF) on<br>Streams and Rivers                 | III.4.B.ii   | Site Parameters Included as Tier 1<br>Information  | Conforms       | None.          | 2.4.3          |
| SRP 2.4.3, Rev 4: Probable<br>Maximum Flood (PMF) on<br>Streams and Rivers                 | III.4.B.iii  | Site Parameters Summary Table  | Conforms       | None.          | 2.4.3          |

## Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

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# Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific ReviewStandard (DSRS) (Continued)

| SRP or DSRS Section, Rev:  | AC          | AC Title/Description                              | Conformance    | Comments       | Section        |
|--|-------------|---|----------------|----------------|----------------|
| Title  |             |   | Status         |                |                |
| SRP 2.4.3, Rev 4: Probable<br>Maximum Flood (PMF) on<br>Streams and Rivers | III.4.B.iv  | Basis for Site Parameters                         | Conforms       | None.          | 2.4.3          |
| SRP 2.4.4, Rev 3: Potential<br>Dam Failures                                | All         | Various   | Not Applicable | Site-specific. | Not Applicable |
| SRP 2.4.4, Rev 3: Potential<br>Dam Failures                                | III.8.B.i   | Postulated Site Parameters                        | Conforms       | None.          | 2.4.4          |
| SRP 2.4.4, Rev 3: Potential<br>Dam Failures                                | III.8.B.ii  | Site Parameters Included as Tier 1<br>Information | Conforms       | None.          | 2.4.4          |
| SRP 2.4.4, Rev 3: Potential<br>Dam Failures                                | III.8.B.iii | Site Parameters Summary Table                     | Conforms       | None.          | 2.4.4          |
| SRP 2.4.4, Rev 3: Potential<br>Dam Failures                                | III.8.B.iv  | Basis for Site Parameters                         | Conforms       | None.          | 2.4.4          |
| SRP 2.4.5, Rev 3: Probable<br>Maximum Surge and Seiche<br>Flooding         | All         | Various   | Not Applicable | Site-specific. | Not Applicable |
| SRP 2.4.5, Rev 3: Probable<br>Maximum Surge and Seiche<br>Flooding         | III.7.B.i   | Postulated Site Parameters                        | Conforms       | None.          | 2.4.5          |
| SRP 2.4.5, Rev 3: Probable<br>Maximum Surge and Seiche<br>Flooding         | III.7.B.ii  | Site Parameters Included as Tier 1<br>Information | Conforms       | None.          | 2.4.5          |
| SRP 2.4.5, Rev 3: Probable<br>Maximum Surge and Seiche<br>Flooding         | III.7.B.iii | Site Parameters Summary Table                     | Conforms       | None.          | 2.4.5          |
| SRP 2.4.5, Rev 3: Probable<br>Maximum Surge and Seiche<br>Flooding         | III.7.B.iv  | Basis for Site Parameters                         | Conforms       | None.          | 2.4.5          |
| SRP 2.4.6, Rev 3: Probable<br>Maximum Tsunami Hazards                      | All         | Various   | Not Applicable | Site-specific. | Not Applicable |
| SRP 2.4.6, Rev 3: Probable<br>Maximum Tsunami Hazards                      | III.9.B.i   | Postulated Site Parameters                        | Conforms       | None.          | 2.4.6          |
| SRP 2.4.6, Rev 3: Probable<br>Maximum Tsunami Hazards                      | III.9.B.ii  | Site Parameters Included as Tier 1<br>Information | Conforms       | None.          | 2.4.6          |
| SRP 2.4.6, Rev 3: Probable<br>Maximum Tsunami Hazards                      | III.9.B.iii | Site Parameters Summary Table                     | Conforms       | None.          | 2.4.6          |

Conformance with Regulatory Criteria

# Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title                       | AC          | AC Title/Description                              | Conformance<br>Status | Comments       | Section        |
|--|-------------|---|-----------------------|----------------|----------------|
| SRP 2.4.6, Rev 3: Probable<br>Maximum Tsunami Hazards    | III.9.B.iv  | Basis for Site Parameters                         | Conforms              | None.          | 2.4.6          |
| SRP 2.4.7, Rev 3: Ice Effects                            | All         | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.4.7, Rev 3: Ice Effects                            | III.6.B.i   | Postulated Site Parameters                        | Conforms              | None.          | 2.4.7          |
| SRP 2.4.7, Rev 3: Ice Effects                            | III.6.B.ii  | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.4.7          |
| SRP 2.4.7, Rev 3: Ice Effects                            | III.6.B.iii | Site Parameters Summary Table                     | Conforms              | None.          | 2.4.7          |
| SRP 2.4.7, Rev 3: Ice Effects                            | III.6.B.iv  | Basis for Site Parameters                         | Conforms              | None.          | 2.4.7          |
| SRP 2.4.8, Rev 3: Cooling<br>Water Canals and Reservoirs | All         | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.4.8, Rev 3: Cooling<br>Water Canals and Reservoirs | III.5.B.1   | Postulated Site Parameters                        | Conforms              | None.          | 2.4.8          |
| SRP 2.4.8, Rev 3: Cooling<br>Water Canals and Reservoirs | III.5.B.2   | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.4.8          |
| SRP 2.4.8, Rev 3: Cooling<br>Water Canals and Reservoirs | III.5.B.3   | Site Parameters Summary Table                     | Conforms              | None.          | 2.4.8          |
| SRP 2.4.8, Rev 3: Cooling<br>Water Canals and Reservoirs | III.5.B.4   | Basis for Site Parameters                         | Conforms              | None.          | 2.4.8          |
| SRP 2.4.9, Rev 3: Channel<br>Diversions                  | All         | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.4.9, Rev 3: Channel<br>Diversions                  | III.8.B.i   | Postulated Site Parameters                        | Conforms              | None.          | 2.4.9          |
| SRP 2.4.9, Rev 3: Channel<br>Diversions                  | III.8.B.ii  | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.4.9          |
| SRP 2.4.9, Rev 3: Channel<br>Diversions                  | III.8.B.iii | Site Parameters Summary Table                     | Conforms              | None.          | 2.4.9          |
| SRP 2.4.9, Rev 3: Channel<br>Diversions                  | III.8.B.iv  | Basis for Site Parameters                         | Conforms              | None.          | 2.4.9          |
| SRP 2.4.10, Rev 3: Flooding<br>Protection Requirements   | All         | Various   | Not Applicable        | Site-specific. | Not Applicable |
| SRP 2.4.10, Rev 3: Flooding<br>Protection Requirements   | III.5.B.i   | Postulated Site Parameters                        | Conforms              | None.          | 2.4.10         |
| SRP 2.4.10, Rev 3: Flooding<br>Protection Requirements   | III.5.B.ii  | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.4.10         |

Conformance with Regulatory Criteria

# Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific ReviewStandard (DSRS) (Continued)

| SRP or DSRS Section, Rev:     | AC             | AC Title/Description               | Conformance    | Comments       | Section        |
|-------------------------------|----------------|------------------------------------|----------------|----------------|----------------|
| Title                         |                |                                    | Status         |                |                |
| SRP 2.4.10, Rev 3: Flooding   | III.5.B.iii    | Site Parameters Summary Table      | Conforms       | None.          | 2.4.10         |
| Protection Requirements       |                |                                    |                |                |                |
| SRP 2.4.10, Rev 3: Flooding   | III.5.B.iv     | Basis for Site Parameters          | Conforms       | None.          | 2.4.10         |
| Protection Requirements       |                |                                    |                |                |                |
| SRP 2.4.11, Rev 3: Low Water  | All            | Various                            | Not Applicable | Site-specific. | Not Applicable |
| Considerations                |                |                                    |                |                |                |
| SRP 2.4.11, Rev 3: Low Water  | III.6.B.i      | Postulated Site Parameters         | Conforms       | None.          | 2.4.11         |
| Considerations                |                |                                    |                |                |                |
| SRP 2.4.11, Rev 3: Low Water  | III.6.B.ii     | Site Parameters Included as Tier 1 | Conforms       | None.          | 2.4.11         |
| Considerations                |                | Information                        |                |                |                |
| SRP 2.4.11, Rev 3: Low Water  | III.6.B.iii    | Site Parameters Summary Table      | Conforms       | None.          | 2.4.11         |
| Considerations                |                |                                    |                |                |                |
| SRP 2.4.11, Rev 3: Low Water  | III.6.B.iv     | Basis for Site Parameters          | Conforms       | None.          | 2.4.11         |
| Considerations                |                |                                    |                |                |                |
| SRP 2.4.12, Rev 3:            | ll.1 thru ll.5 | Various                            | Not Applicable | Site-specific. | Not Applicable |
| Groundwater                   |                |                                    |                |                |                |
| SRP 2.4.12, Rev 3:            | III.6.B.i      | Postulated Site Parameters         | Conforms       | None.          | 2.4.12         |
| Groundwater                   |                |                                    |                |                |                |
| SRP 2.4.12, Rev 3:            | III.6.B.ii     | Site Parameters Included as Tier 1 | Conforms       | None.          | 2.4.12         |
| Groundwater                   |                | Information                        |                |                |                |
| SRP 2.4.12, Rev 3:            | III.6.B.iii    | Site Parameters Summary Table      | Conforms       | None.          | 2.4.12         |
| Groundwater                   |                |                                    |                |                |                |
| SRP 2.4.12, Rev 3:            | III.6.B.iv     | Basis for Site Parameters          | Conforms       | None.          | 2.4.12         |
| Groundwater                   |                |                                    |                |                |                |
| SRP 2.4.13, Rev 3: Accidental | All            | Various                            | Not Applicable | Site-specific. | Not Applicable |
| Releases of Radioactive       |                |                                    |                |                |                |
| Liquid Effluents in Ground    |                |                                    |                |                |                |
| and Surface Waters            |                |                                    |                |                |                |
| SRP 2.4.13, Rev 3: Accidental | III.5.B.i      | Postulated Site Parameters         | Conforms       | None.          | 2.4.13         |
| Releases of Radioactive       |                |                                    |                |                |                |
| Liquid Effluents in Ground    |                |                                    |                |                |                |
| and Surface Waters            |                |                                    |                |                |                |
| SRP 2.4.13, Rev 3: Accidental | III.5.B.ii     | Site Parameters Included as Tier 1 | Conforms       | None.          | 2.4.13         |
| Releases of Radioactive       |                | Information                        |                |                |                |
| Liquid Effluents in Ground    |                |                                    |                |                |                |
| and Surface Waters            |                |                                    |                |                |                |

| Standard (DSRS) (Continued)  |             |   |                       |                |                |  |
|--|-------------|---|-----------------------|----------------|----------------|--|
| SRP or DSRS Section, Rev:<br>Title   | AC          | AC Title/Description                              | Conformance<br>Status | Comments       | Section        |  |
| Releases of Radioactive<br>Liquid Effluents in Ground<br>and Surface Waters                                  | III.5.B.iii | Site Parameters Summary Table                     | Conforms              | None.          | 2.4.13         |  |
| SRP 2.4.13, Rev 3: Accidental<br>Releases of Radioactive<br>Liquid Effluents in Ground<br>and Surface Waters | III.5.B.iv  | Basis for Site Parameters                         | Conforms              | None.          | 2.4.13         |  |
| SRP 2.4.14, Rev 3: Technical<br>Specifications and<br>Emergency Operation<br>Requirements                    | All         | Various   | Not Applicable        | Site-specific. | Not Applicable |  |
| SRP 2.4.14, Rev 3: Technical<br>Specifications and<br>Emergency Operation<br>Requirements                    | III.5.B.i   | Postulated Site Parameters                        | Conforms              | None.          | 2.4.14         |  |
| SRP 2.4.14, Rev 3: Technical<br>Specifications and<br>Emergency Operation<br>Requirements                    | III.5.B.ii  | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.4.14         |  |
| SRP 2.4.14, Rev 3: Technical<br>Specifications and<br>Emergency Operation<br>Requirements                    | III.5.B.iii | Site Parameters Summary Table                     | Conforms              | None.          | 2.4.14         |  |
| SRP 2.4.14, Rev 3: Technical<br>Specifications and<br>Emergency Operation<br>Requirements                    | III.5.B.iv  | Basis for Site Parameters                         | Conforms              | None.          | 2.4.14         |  |
| SRP 2.5.1, Rev 4: Basic<br>Geologic and Seismic<br>Information   | All         | Regional and Site Geology                         | Not Applicable        | Site-specific. | Not Applicable |  |
| SRP 2.5.2, Rev 4: Vibratory<br>Ground Motion   | All         | Various   | Not Applicable        | Site-specific. | Not Applicable |  |
| SRP 2.5.2, Rev 4: Vibratory<br>Ground Motion   | III.2.a     | Postulated Site Parameters                        | Conforms              | None.          | 2.5.2          |  |

Tier 2

Conformance with Regulatory Criteria

**Revision 5** 

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| SRP or DSRS Section, Rev:<br>Title  | AC      | AC Title/Description                              | Conformance<br>Status | Comments       | Section       |
|---|---------|---|-----------------------|----------------|---------------|
| SRP 2.5.2, Rev 4: Vibratory<br>Ground Motion                              | III.2.b | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.5           |
| SRP 2.5.2, Rev 4: Vibratory<br>Ground Motion                              | III.2.c | Site Parameters Summary Table                     | Conforms              | None.          | 2.5.2         |
| SRP 2.5.2, Rev 4: Vibratory<br>Ground Motion                              | III.2.d | Basis for Site Parameters                         | Conforms              | None.          | 2.5.2         |
| SRP 2.5.3, Rev 4: Surface<br>Faulting                                     | All     | Various   | Not Applicable        | Site-specific. | Not Applicabl |
| SRP 2.5.3, Rev 4: Surface<br>Faulting                                     | III.2.a | Postulated Site Parameters                        | Conforms              | None.          | 2.5.3         |
| SRP 2.5.3, Rev 4: Surface<br>Faulting                                     | III.2.b | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.5.3         |
| SRP 2.5.3, Rev 4: Surface<br>Faulting                                     | III.2.c | Site Parameters Summary Table                     | Conforms              | None.          | 2.5           |
| SRP 2.5.3, Rev 4: Surface<br>Faulting                                     | III.2.d | Basis for Site Parameters                         | Conforms              | None.          | 2.5.3         |
| SRP 2.5.4, Rev 4: Stability of<br>Subsurface Materials and<br>Foundations | All     | Various   | Not Applicable        | Site-specific. | Not Applicabl |
| SRP 2.5.4, Rev 4: Stability of<br>Subsurface Materials and<br>Foundations | III.2.A | Postulated Site Parameters                        | Conforms              | None.          | 2.5.4         |
| SRP 2.5.4, Rev 4: Stability of<br>Subsurface Materials and<br>Foundations | III.2.B | Site Parameters Included as Tier 1<br>Information | Conforms              | None.          | 2.5.4         |
| SRP 2.5.4, Rev 4: Stability of<br>Subsurface Materials and<br>Foundations | III.2.C | Site Parameters Summary Table                     | Conforms              | None.          | 2.5           |
| SRP 2.5.4, Rev 4: Stability of<br>Subsurface Materials and<br>Foundations | III.2.D | Basis for Site Parameters                         | Conforms              | None.          | 2.5.4         |
| SRP 2.5.5, Rev 4: Stability of<br>Slopes                                  | All     | Various   | Not Applicable        | Site-specific. | Not Applicabl |
| SRP 2.5.5, Rev 4: Stability of Slopes                                     | III.2.A | Postulated Site Parameters                        | Conforms              | None.          | 2.5.5         |

Conformance with Regulatory Criteria

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| SRP or DSRS Section, Rev:<br>Title                       | AC                      | AC Title/Description  | Conformance<br>Status | Comments   | Section     |
|--|-------------------------|---|-----------------------|--|-------------|
| SRP 2.5.5, Rev 4: Stability of<br>Slopes                 | III.2.B                 | Site Parameters Included as Tier 1<br>Information   | Conforms              | None.  | 2.5.5       |
| SRP 2.5.5, Rev 4: Stability of<br>Slopes                 | III.2.C                 | Site Parameters Summary Table   | Conforms              | None.  | 2.5         |
| SRP 2.5.5, Rev 4: Stability of<br>Slopes                 | III.2.D                 | Basis for Site Parameters   | Conforms              | None.  | 2.5.5       |
| SRP 3.2.1, Rev 2: Seismic<br>Classification              | 11.1                    | Seismic Design Classification to Meet<br>GDC 2; 10 CFR 100, Appendix A; and<br>10 CFR 50, Appendix S  | Partially Conforms    | This acceptance criterion is applicable<br>except that SSC meeting Staff Regulatory<br>Guidance C.1.i of Regulatory Guide 1.29 are<br>designated Seismic Category II rather than<br>Seismic Category I.  | 3.2.1       |
| SRP 3.2.2, Rev 2: System<br>Quality Group Classification | II.1                    | Quality Group Classification to Meet<br>GDC 1 and 10 CFR 50.55a   | Conforms              | None.  | 3.2.2       |
| SRP 3.2.2, Rev 2: System<br>Quality Group Classification | Table 3.2.21            | Summary of Construction Codes and<br>Standards for Components of<br>WaterCooled Nuclear Power Plants by<br>NRC Quality Classification System<br>(Page 3.2.2-12) | Partially Conforms    | This acceptance criterion is applicable<br>except for reference to RG 1.85, which was<br>withdrawn in 2004 because its guidance<br>was updated and incorporated into RG 1.84.  | Table 3.2-1 |
| SRP 3.2.2, Rev 2: System<br>Quality Group Classification | App. A and<br>Table A-1 | Additional Guidance for Classification<br>of Systems and Components and<br>Application of Quality Standards   | Partially Conforms    | The intent of Table A-1 is applicable but<br>some of the specific language refers to SSC<br>not part of the NuScale design. For example,<br>the NuScale design does not include<br>emergency diesel generators, ESF rooms, or<br>pressurizer power operated relief valves. | Table 3.2-1 |
| SRP 3.3.1, Rev. 3:<br>Wind Loadings                      | II.1                    | Most Severe Wind  | Partially Conforms    | Bounding parameters are established.   | 3.3.1       |
| SRP 3.3.1, Rev. 3:<br>Wind Loadings                      | 11.2                    | Design Wind Speed, Recurrence<br>Interval, and Other Site-Related Wind<br>Parameters  | Conforms              | None.  | 3.3.1       |
| SRP 3.3.1, Rev. 3:<br>Wind Loadings                      | 11.3                    | Procedures for Transforming Wind<br>Speed Into Equivalent Pressure  | Conforms              | None.  | 3.3.1       |
| SRP 3.3.2: Rev. 3:<br>Tornado Loads                      | II.1                    | Most Severe Tornado Wind and<br>Associated Missiles   | Partially Conforms    | Bounding parameters are established.   | 3.3.2       |
| SRP 3.3.2: Rev. 3:<br>Tornado Loads                      | 11.2                    | Acceptance Criteria for Tornado<br>Parameters and Spectrum of<br>Tornado-Generated Missiles   | Conforms              | None.  | 3.3.2       |

Tier 2

**Revision** 5

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| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description  | Conformance<br>Status | Comments   | Section |
|---|------|---|-----------------------|--|---------|
| SRP 3.3.2: Rev. 3:<br>Tornado Loads   | 11.3 | Procedures for Transforming Tornado<br>Parameters Into Equivalent Loads on<br>Structures  | Conforms              | None.  | 3.3.2   |
| SRP 3.3.2: Rev. 3:<br>Tornado Loads   | 11.4 | Demonstrating That Failure of<br>Structure or Component Not<br>Designed for Tornado Loads Will Not<br>Affect the Capability of Other SSC to<br>Perform Safety Functions | Conforms              | None.  | 3.3.2   |
| SRP 3.4.1, Rev. 3: Internal<br>Flood Protection for Onsite<br>Equipment Failures      | II.1 | Seismic Design and Classification<br>Requirements   | Conforms              | None.  | 3.4.1   |
| SRP 3.4.1, Rev. 3: Internal<br>Flood Protection for Onsite<br>Equipment Failures      | 11.2 | Compliance with GDC 4   | Conforms              | None.  | 3.4.1   |
| SRP 3.4.2, Rev. 3: Protection of<br>Structures Against Flood from<br>External Sources |      | Most Severe Highest Flood and<br>Groundwater Levels   | Partially Conforms    | The NuScale Certified design assumes the NPP is above the maximum flood level. | 3.4.2   |
| SRP 3.4.2, Rev. 3: Protection of<br>Structures Against Flood from<br>External Sources |      | Highest Flood Level Below Grade -<br>Consideration of Hydrostatic Effects<br>and Wave Action  | Conforms              | The NuScale Certified design assumes the NPP is above the maximum flood level. | 3.4.2   |
| SRP 3.4.2, Rev. 3: Protection of<br>Structures Against Flood from<br>External Sources |      | Highest Flood Level Above Grade -<br>Consideration of Dynamic Loads<br>From Wave Action   | Conforms              | The NuScale Certified design assumes the NPP is above the maximum flood level. | 3.4.2   |
| SRP 3.5.1.1, Rev. 3: Internally-<br>Generated Missiles (Outside<br>Containment)       |      | Statistical Significance of an<br>Identified Missile by Probability<br>Analysis   | Conforms              | None.  | 3.5.1   |
| SRP 3.5.1.1, Rev. 3: Internally-<br>Generated Missiles (Outside<br>Containment)       | 11.2 | Acceptable Methods of Providing<br>Missile Protection   | Conforms              | None.  | 3.5.1   |
| SRP 3.5.1.2, Rev. 3: Internally<br>Generated Missiles (Inside<br>Containment)         | 11.1 | Statistical Significance of an<br>Identified Missile by Probability<br>Analysis   | Conforms              | None.  | 3.5.1   |
| SRP 3.5.1.2, Rev. 3: Internally<br>Generated Missiles (Inside<br>Containment)         | 11.2 | Acceptable Methods of Providing<br>Missile Protection   | Conforms              | None.  | 3.5.1   |

Tier 2

|          | SRP or DSRS Section, Rev:<br>Title                          | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|----------|---|------|---|-----------------------|---|----------------|
|          | DSRS 3.5.1.3, Rev. 0: Turbine<br>Missiles                   | II.1 | Probability of Unacceptable Damage<br>From Turbine Missiles   | Not Applicable        | Per DSRS 10.2.3, Section I and DSRS 3.5.1.3,<br>Section I.1, plants that use barriers to<br>protect essential SSCs specified in RG 1.115<br>do not have to rely on the turbine missile<br>generation probabilities, including turbine<br>rotor integrity. | Not Applicable |
|          | DSRS 3.5.1.3, Rev. 0: Turbine<br>Missiles                   | 11.2 | Turbine Missile Generation  | Not Applicable        | Per DSRS 10.2.3, Section I and DSRS 3.5.1.3,<br>Section I.1, plants that use barriers to<br>protect essential SSCs specified in RG 1.115<br>do not have to rely on the turbine missile<br>generation probabilities, including turbine<br>rotor integrity. | Not Applicable |
| 1.9-57   | DSRS 3.5.1.3, Rev. 0: Turbine<br>Missiles                   | 11.3 | Acceptably Low Missile Generation<br>Probability  | Not Applicable        | Per DSRS 10.2.3, Section I and DSRS 3.5.1.3,<br>Section I.1, plants that use barriers to<br>protect essential SSCs specified in RG 1.115<br>do not have to rely on the turbine missile<br>generation probabilities, including turbine<br>rotor integrity. | Not Applicable |
|          | DSRS 3.5.1.3, Rev. 0: Turbine<br>Missiles                   | 11.4 | Missile Generation Probability Tables<br>From Turbine Manufacturers<br>(Including Table 3.5.1.3-1)  | Not Applicable        | Per DSRS 10.2.3, Section I and DSRS 3.5.1.3,<br>Section I.1, plants that use barriers to<br>protect essential SSCs specified in RG 1.115<br>do not have to rely on the turbine missile<br>generation probabilities, including turbine<br>rotor integrity. | Not Applicable |
|          | DSRS 3.5.1.3, Rev. 0: Turbine<br>Missiles                   | 11.5 | Inservice Inspection and Test<br>Program for Applicants Obtaining<br>Turbine From Manufacturers without<br>NRC-Approved Procedures for<br>Calculating Missile Generation<br>Probabilities | Not Applicable        | Per DSRS 10.2.3, Section I and DSRS 3.5.1.3,<br>Section I.1, plants that use barriers to<br>protect essential SSCs specified in RG 1.115<br>do not have to rely on the turbine missile<br>generation probabilities, including turbine<br>rotor integrity. | Not Applicable |
|          | DSRS 3.5.1.3, Rev. 0: Turbine<br>Missiles                   | II.6 | Protective Barriers   | Conforms              | None.   | 3.5.1.3        |
| Revision | SRP 3.5.1.4, Rev. 4: Missiles<br>Generated by Extreme Winds | II.1 | Design Basis Tornado-Generated<br>Missile Spectrum  | Conforms              | The NuScale design also includes RG 1.221<br>for Design Basis Hurricane-Generated<br>Missiles.  | 3.5.1.4        |
| sion 5   | SRP 3.5.1.4, Rev. 4: Missiles<br>Generated by Extreme Winds | 11.2 | Statistical Significance of an<br>Identified Missile by Probability   | Conforms              | None.   | 3.5.1.4        |

Section

3.5.1.4

Not Applicable

Not Applicable

Not Applicable

Table 2.0-1

Table 2.0-1

Table 2.0-1

Table 2.0-1

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3.5.3

|   |      | -  | RS) (Continued)       | )                                       | •  |
|---|------|--|-----------------------|---|----|
| SRP or DSRS Section, Rev:<br>Title                          | AC   | AC Title/Description   | Conformance<br>Status | Comments                                |    |
| SRP 3.5.1.4, Rev. 4: Missiles<br>Generated by Extreme Winds | II.3 | ldentifying Appropriate Design Basis<br>Missiles Generated by Natural<br>Phenomena | Conforms              | None.                                   |    |
| SRP 3.5.1.5, Rev 4: Site                                    | II.1 | Compliance with 10 CFR 100   | Not Applicable        | The NuScale design assumes no proximity | No |

Not Applicable

Not Applicable

Conforms

Conforms

Conforms

Conforms

Conforms

Departure

Conforms

missiles.

missiles.

hazard missiles.

hazard missiles.

hazard missiles.

hazard missiles.

hazard missiles.

None.

None.

The NuScale design assumes no proximity

The NuScale design assumes no aircraft

NuScale uses a finite element analysis for

systems from performing their function.

missiles in concrete, rather than the modified National Defense Research Council (NDRC) formula specified in Section II.1.A. In some locations perforation and scabbing is predicted. However, given the physical separation of the redundant safety-related equipment, there is no turbine missile that can prevent essential

predicting penetration distance of turbine

### Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review

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**Revision** 5

Tier 2

Aircraft)

Aircraft)

Hazards

Hazards

Hazards

Hazards

Hazards

Proximity Missiles (Except

Proximity Missiles (Except

SRP 3.5.1.6, Rev 4: Aircraft

SRP 3.5.2, Rev 3: Structures,

Generated Missiles SRP 3.5.3, Rev. 3: Barrier

Design Procedures

SRP 3.5.3, Rev. 3: Barrier

Design Procedures

Systems, and Components to

be Protected From Externally-

SRP 3.5.1.5, Rev 4: Site

II.2

II.1 and II.2

III.8.B.1

III.8.B.2

III.8.B.3

III.8.B.4

II.1.A

II.1.B

ll (no number)

Compliance with GDC 4

Postulated Site Parameters

Basis for Site Parameters

Site Parameters Included as Tier 1

Site Parameters Summary Table

Capability of SSC to Withstand the

Effects of Externally Generated

For Local Damage Prediction -

For Local Damage Prediction - Steel

Various

Information

Missiles

Concrete

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| SRP or DSRS Section, Rev: AC AC Title/Description Conformance Comments Section |                             |        |  |                       |   |                |  |
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|  | 5 Section, Rev:<br>itle     | AC     | AC Title/Description   | Conformance<br>Status | Comments  | Section        |  |
| SRP 3.5.3, Rev.<br>Design Procec   |                             | II.1.C | For Local Damage Prediction -<br>Composite sections  | Not Applicable        | This acceptance criterion specifies<br>provisions when using composite or multi-<br>element barriers. NuScale does not use<br>composite or multi-element barriers.                | Not Applicable |  |
| SRP 3.5.3, Rev.<br>Design Procec   |                             | 11.2   | For Overall Damage Prediction  | Partially Conforms    | This acceptance criterion is applicable<br>except for reference to subtier ANSI/AISC<br>N690-1994 with Supplement 2 (2004).<br>NuScale uses the 2012 version of this<br>standard. | 3.5.3          |  |
| for Protection   | oing Failures in<br>Outside | 11.1   | Separation of High and Moderate<br>Energy Fluid Systems From Essential<br>Systems/Components | Conforms              | None.   | 3.6.1          |  |
| for Protection   | oing Failures in<br>Outside | 11.2   | High and Moderate Energy Fluid<br>Systems Are Enclosed                                       | Conforms              | None.   | 3.6.1          |  |
| for Protection   | ping Failures in            | 11.3   | Cases Where Neither Physical<br>Separation Nor Protective Enclosures<br>Are Practical        | Conforms              | None.   | 3.6.1          |  |
| for Protection<br>Postulated Pip<br>Fluid Systems<br>Containment               | ping Failures in<br>Outside |        | Design Features  | Conforms              | None.   | 3.6.1          |  |
| for Protection   | oing Failures in<br>Outside | 11.5   | Effects of Postulated Failures   | Conforms              | None.   | 3.6.1          |  |

| Standard (DSRS) (Continued)   |       |  |                       |          |         |  |
|---|-------|--|-----------------------|----------|---------|--|
| SRP or DSRS Section, Rev:<br>Title  | AC    | AC Title/Description   | Conformance<br>Status | Comments | Section |  |
| SRP 3.6.2, Rev 2:<br>Determination of Rupture<br>Locations and Dynamic<br>Effects Associated with the<br>Postulated Rupture of Piping | 11.1  | Postulated Pipe Rupture Locations<br>Inside Containment                            | Conforms              | None.    | 3.6.2   |  |
| SRP 3.6.2, Rev 2:<br>Determination of Rupture<br>Locations and Dynamic<br>Effects Associated with the<br>Postulated Rupture of Piping | 11.2  | Postulated Pipe Rupture Locations<br>Outside Containment                           | Conforms              | None.    | 3.6.2   |  |
| SRP 3.6.2, Rev 2:<br>Determination of Rupture<br>Locations and Dynamic<br>Effects Associated with the<br>Postulated Rupture of Piping | 11.3  | Methods of Analysis  | Conforms              | None.    | 3.6.2   |  |
| SRP 3.6.2, Rev 2:<br>Determination of Rupture<br>Locations and Dynamic<br>Effects Associated with the<br>Postulated Rupture of Piping | III.1 | Pipe Break Criteria  | Conforms              | None.    | 3.6.2   |  |
| SRP 3.6.2, Rev 2:<br>Determination of Rupture<br>Locations and Dynamic<br>Effects Associated with the<br>Postulated Rupture of Piping | 111.2 | Dynamic Effects  | Conforms              | None.    | 3.6.2   |  |
| SRP 3.6.2, Rev 2:<br>Determination of Rupture<br>Locations and Dynamic<br>Effects Associated with the<br>Postulated Rupture of Piping | 111.3 | Assumptions for Modeling Jet<br>Impingement Forces                                 | Conforms              | None.    | 3.6.2   |  |
| SRP 3.6.2, Rev 2:<br>Determination of Rupture<br>Locations and Dynamic<br>Effects Associated with the<br>Postulated Rupture of Piping | 111.4 | Analyses of Pipe Break Dynamic<br>Effects on Mechanical Components<br>and Supports | Conforms              | None.    | 3.6.2   |  |
| SRP 3.6.3, Rev 1: Leak-Before-<br>Break Evaluation Procedures   | 11.1  | Compliance with GDC 4  | Conforms              | None.    | 3.6.3   |  |

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| SRP or DSRS Section, Rev:<br>Title                            | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section      |
|---|-------|---|-----------------------|---|--------------|
| SRP 3.6.3, Rev 1: Leak-Before-<br>Break Evaluation Procedures | 11.2  | Low Probability of Pipe Rupture   | Conforms              | None.   | 3.6.3        |
| DSRS 3.7.1, Rev. 0: Seismic<br>Design Parameters              | 11.1  | Design Ground Motion  | Conforms              | None.   | 3.7.1        |
| DSRS 3.7.1, Rev. 0: Seismic<br>Design Parameters              | 11.2  | Percentage of Critical Damping<br>Values  | Conforms              | None.   | 3.7.1        |
| DSRS 3.7.1, Rev. 0: Seismic<br>Design Parameters              | II.3  | Supporting Media for Seismic<br>Category I Structures                               | Conforms              | None.   | 3.7.1        |
| DSRS 3.7.1, Rev. 0: Seismic<br>Design Parameters              | II.4  | Review Considerations for DC and COL Applications                                   | Conforms              | None.   | 3.7.1        |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis                 | II.1  | Seismic Analysis Methods  | Conforms              | None.   | 3.7.2        |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis                 | II.2  | Natural Frequencies and Responses   | Conforms              | None.   | 3.7.2        |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis                 | II.3  | Procedures Used for Analytical<br>Modeling  | Conforms              | None.   | 3.7.2        |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis                 | II.4  | Soil-Structure Interaction  | Conforms              | None.   | 3.7.2        |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis                 | II.5  | Development of In-Structure<br>Response Spectra                                     | Conforms              | None.   | 3.7.2        |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis                 | II.6  | Three Components of Design Ground<br>Motion   | Conforms              | None.   | 3.7.2        |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis                 | II.7  | Combination of Modal Responses  | Conforms              | None.   | 3.7.2        |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis                 | 11.8  | Interaction of Non-Seismic Category I<br>Structures with Seismic Category I<br>SSCs | Conforms              | None.   | 3.7.2        |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis                 | 11.9  | Effects of Parameter Variations on<br>Floor Response Spectra                        | Conforms              | None.   | 3.7.2        |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis                 | II.10 | Use of Equivalent Vertical Static<br>Factors  | Conforms              | None.   | 3.7.2        |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis                 | II.11 | Methods Used to Account for<br>Torsional Effects                                    | Conforms              | None.   | 3.7.2        |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis                 | II.12 | Comparison of Responses   | Not Applicable        | NuScale does not perform both time history<br>analysis and response spectrum analysis in<br>its analysis of structures. | Not Applicab |

Conformance with Regulatory Criteria

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| NuScale Final Safety Analysis Report |

| SRP or DSRS Section, Rev:<br>Title                | AC    | AC Title/Description   | Conformance<br>Status | Comments                              | Section        |
|---|-------|--|-----------------------|---------------------------------------|----------------|
| DSRS 3.7.2, Rev 0: Seismic                        | II.13 | Analysis Procedure for Damping   | Conforms              | None.                                 | 3.7.1          |
| System Analysis                                   |       |  |                       |                                       | 3.7.2          |
| DSRS 3.7.2, Rev 0: Seismic<br>System Analysis     | 11.14 | Determination of Overturning<br>Moments and Sliding Forces,<br>Structure to Soil Pressures and<br>Frictional Forces for Seismic Category<br>I Structures | Conforms              | None.                                 | 3.7.2          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | 11.1  | Seismic Analysis Methods   | Conforms              | None.                                 | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.2  | Determination of Number of<br>Earthquake Cycles  | Conforms              | None.                                 | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.3  | Procedures Used for Analytical<br>Modeling   | Conforms              | None.                                 | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | 11.4  | Basis for Selection of Frequencies   | Conforms              | None.                                 | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.5  | Analysis Procedure for Damping   | Conforms              | None.                                 | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | 11.6  | Three Components of Design Ground<br>Motion  | Conforms              | None.                                 | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.7  | Combination of Modal Responses   | Conforms              | None.                                 | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | 11.8  | Interaction of Non-Seismic Category I<br>Subsystems with Seismic Category I<br>SSCs  | Conforms              | None.                                 | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | 11.9  | Multiply-Supported Equipment and<br>Components with Distinct Inputs  | Conforms              | None.                                 | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.10 | Use of Equivalent Vertical Static<br>Factors   | Conforms              | None.                                 | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | 11.11 | Torsional Effects of Eccentric Masses  | Conforms              | None.                                 | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.12 | Seismic Category I Buried Piping,<br>Conduits, and Tunnels   | Conforms              | None.                                 | 3.7.3          |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.13 | Methods for Seismic Analysis of<br>Seismic Category I Concrete Dams  | Not Applicable        | The NuScale design does not use dams. | Not Applicable |
| DSRS 3.7.3, Rev. 0: Seismic<br>Subsystem Analysis | II.14 | Methods for Seismic Analysis of<br>Above-Ground Tanks  | Conforms              | None.                                 | 3.7.3          |

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| SRP or DSRS Section, Rev:<br>Title  | AC     | AC Title/Description   | Conformance<br>Status | Comments   | Section       |
|---|--------|--|-----------------------|--|---------------|
| SRP 3.7.4, Rev 2: Seismic<br>Instrumentation  | 11.1   | Comparison with RG 1.12  |                       | There is a COL item to comply. Locations are<br>identified in conformance with RG 1.12,<br>however seismic instrumentation cannot be<br>placed inside containment. | 3.7.4         |
| SRP 3.7.4, Rev 2: Seismic<br>Instrumentation  | 11.2   | Comparison with RG 1.166   | Not Applicable        | See RG 1.166 in Table 1.9-2.   | Not Applicabl |
| SRP 3.7.4, Rev 2: Seismic<br>Instrumentation  | 11.3   | Comparison with the requirements of 10 CFR 20.1101 (ALARA)         | Not Applicable        | Identified as an expectation for COL applicants.   | Not Applicabl |
| SRP 3.8.1, Rev 4: Concrete<br>Containment   | All    | Various  | Not Applicable        | The NuScale design does not have a concrete containment.   | Not Applicabl |
| DSRS 3.8.2, Rev. 0: Steel<br>Containment  | II.1   | Description of the Containment                                     | Conforms              | None.  | 3.8.2         |
| DSRS 3.8.2, Rev. 0: Steel<br>Containment  | 11.2   | Applicable Codes, Standards, and Specifications                    | Conforms              | None.  | 3.8.2         |
| DSRS 3.8.2, Rev. 0: Steel<br>Containment  | 11.3   | Loads and Loading Combinations                                     | Conforms              | None.  | 3.8.2         |
| DSRS 3.8.2, Rev. 0: Steel<br>Containment  | 11.4   | Design and Analysis Procedures                                     | Conforms              | None.  | 3.8.2         |
| DSRS 3.8.2, Rev. 0: Steel<br>Containment  | 11.5   | Structural Acceptance Criteria                                     | Conforms              | None.  | 3.8.2         |
| DSRS 3.8.2, Rev. 0: Steel<br>Containment  | II.6   | Materials, Quality Control, and Special<br>Construction Techniques | Conforms              | None.  | 3.8.2         |
| DSRS 3.8.2, Rev. 0: Steel<br>Containment  | 11.7   | Testing and Inservice Surveillance<br>Requirements                 | Conforms              | None.  | 3.8.2         |
| SRP 3.8.3, Rev 4: Concrete and<br>Steel Internal Structures of<br>Steel or Concrete<br>Containments | All    | Various  | Not Applicable        | The NuScale containment does not have internal structures.   | Not Applicab  |
| DSRS 3.8.4, Rev. 0, Other<br>Seismic Category I Structures  | II.1   | Description of the Structures                                      | Conforms              | None.  | 3.8.4         |
| DSRS 3.8.4, Rev. 0, Other<br>Seismic Category I Structures  | 11.2   | Applicable Codes, Standards, and Specifications                    | Conforms              | None.  | 3.8.4         |
| DSRS 3.8.4, Rev. 0, Other<br>Seismic Category I Structures  | 11.3   | Loads and Load Combinations  | Conforms              | None.  | 3.8.4         |
| DSRS 3.8.4, Rev. 0, Other<br>Seismic Category I Structures  | II.3.A | Concrete Structures  | Conforms              | None.  | 3.8.4         |

| SRP or DSRS Section, Rev:     | AC     | AC Title/Description                    | Conformance    | Comments                                   | Section        |
|-------------------------------|--------|---|----------------|--|----------------|
| Title                         |        |   | Status         |  |                |
| DSRS 3.8.4, Rev. 0, Other     | II.3.B | Steel Structures                        | Conforms       | None.                                      | 3.8.4          |
| Seismic Category I Structures |        |   |                |  |                |
| DSRS 3.8.4, Rev. 0, Other     | II.4   | Design and Analysis Procedures          | Conforms       | None.                                      | 3.8.4          |
| Seismic Category I Structures |        |   |                |  |                |
| DSRS 3.8.4, Rev. 0, Other     | II.5   | Structural Acceptance Criteria          | Conforms       | None.                                      | 3.8.4          |
| Seismic Category I Structures |        |   |                |  |                |
| DSRS 3.8.4, Rev. 0, Other     | II.6   | Materials, Quality Control, and Special | Conforms       | None.                                      | 3.8.4          |
| Seismic Category I Structures |        | Construction Techniques                 |                |  |                |
| DSRS 3.8.4, Rev. 0, Other     | II.7   | Testing and Inservice Surveillance      | Conforms       | None.                                      | 3.8.4          |
| Seismic Category I Structures |        | Requirements                            |                |  |                |
| DSRS 3.8.4, Rev. 0, Other     | II.8   | Masonry Walls                           | Not Applicable | Masonry walls are not used in the NuScale  | Not Applicable |
| Seismic Category I Structures |        |   |                | design.                                    |                |
| DSRS 3.8.5, Rev. 0:           | II.1   | Description of the Foundation           | Conforms       | None.                                      | 3.8.5          |
| Foundations                   |        |   |                |  |                |
| DSRS 3.8.5, Rev. 0:           | II.2   | Applicable Codes, Standards, and        | Conforms       | None.                                      | 3.8.5          |
| Foundations                   |        | Specifications                          |                |  |                |
| DSRS 3.8.5, Rev. 0:           | II.3   | Loads and Load Combinations             | Conforms       | None.                                      | 3.8.5          |
| Foundations                   |        |   |                |  |                |
| DSRS 3.8.5, Rev. 0:           | II.4   | Design and Analysis Procedures          | Conforms       | None.                                      | 3.8.5          |
| Foundations                   |        |   |                |  |                |
| DSRS 3.8.5, Rev. 0:           | II.5   | Structural Acceptance Criteria          | Conforms       | None.                                      | 3.8.5          |
| Foundations                   |        |   |                |  |                |
| DSRS 3.8.5, Rev. 0:           | II.6   | Materials, Quality Control, and Special | Conforms       | None.                                      | 3.8.5          |
| Foundations                   |        | Construction Techniques                 |                |  |                |
| DSRS 3.8.5, Rev. 0:           | II.7   | Testing and Inservice Surveillance      | Conforms       | None.                                      | 3.8.5          |
| Foundations                   |        | Requirements                            |                |  |                |
| SRP 3.9.1, Rev 3: Special     | II.1   | Specification of Transients             | Conforms       | None.                                      | 3.9.1          |
| Topics for Mechanical         |        |   |                |  |                |
| Components                    |        |   |                |  |                |
| SRP 3.9.1, Rev 3: Special     | II.2   | Computer Programs to be Used in         | Conforms       | None.                                      | 3.9.1          |
| Topics for Mechanical         |        | Dynamic and Static Analyses             |                |  |                |
| Components                    |        |   |                |  |                |
| SRP 3.9.1, Rev 3: Special     | II.3   | Use of Experimental Stress Analysis     | Not Applicable | Experimental Stress Analysis Method is not | Not Applicable |
| Topics for Mechanical         |        | Methods in Lieu of Analytical           |                | used.                                      |                |
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Components

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| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section |
|--|------|--|-----------------------|--|---------|
| SRP 3.9.1, Rev 3: Special<br>Topics for Mechanical<br>Components                               | 11.4 | When Service Level D Limits are<br>Specified for Code Class 1 and Core<br>Support Components | Conforms              | None.  | 3.9.1   |
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components | 11.1 | Vibration, Thermal Expansion, and<br>Dynamic Effects Testing                                 | Partially Conforms    | This acceptance criterion is applicable<br>except for aspects related to test<br>performance and associated corrective<br>actions (as required), which are the<br>responsibility of the COL applicant<br>referencing the certified design. | 3.9.2   |
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components | 11.2 | Compliance with GDC 2  | Conforms              | None.  | 3.9.2   |
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components | 11.3 | Analytical Solutions to Predict<br>Vibrations of Reactor Internals for<br>Prototype Plants   | Conforms              | None.  | 3.9.2   |
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components | 11.4 | Preoperational Vibration and Stress<br>Test Program  | Conforms              | None.  | 3.9.2   |
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components | 11.5 | Structural Design Adequacy of<br>Reactor Internals and Reactor Coolant<br>Piping             | Conforms              | None.  | 3.9.2   |
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components | 11.6 | Correlation of Tests and Analyses of<br>Reactor Internals                                    | Conforms              | None.  | 3.9.2   |
| SRP 3.9.2, Rev 3: Dynamic<br>Testing and Analysis of<br>Systems, Structures, and<br>Components | 11.7 | Test Specifications for New<br>Applications  | Conforms              | None.  | 3.9.2   |

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| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|------|---|-----------------------|---|----------------|
| SRP 3.9.3, Rev 3: ASME Code<br>Class 1, 2, and 3 Components<br>and Component Supports,<br>and Core Support Structures | II.1 | Loading Combinations, System<br>Operating Transients, and Stress<br>Limits                              | Conforms              | None.   | 3.9.3          |
| Class 1, 2, and 3 Components<br>and Component Supports,<br>and Core Support Structures                                | 11.2 | Design and Installation of Pressure<br>Relief Devices   | Conforms              | None.   | 3.9.3          |
| SRP 3.9.3, Rev 3: ASME Code<br>Class 1, 2, and 3 Components<br>and Component Supports,<br>and Core Support Structures | 11.3 | Component Supports  | Not Applicable        | NRC Bulletin 88-11 applies to PWR designs<br>that incorporate a pressurizer separate from<br>the reactor pressure vessel, with a surge line<br>connecting the two. In the NuScale design,<br>the pressurizer is integral (i.e., is located<br>within) to the reactor pressure vessel: there<br>is no pressurizer surge line within which<br>thermal stratification (that is the issue of this<br>bulletin) would occur. | Not Applicable |
| SRP 3.9.4, Rev 3: Control Rod<br>Drive Systems  | II.1 | Adequacy of Descriptive Information   | Conforms              | This acceptance criterion is applicable<br>(seismic design per RG 1.29) but contains a<br>typographical error. The wording is<br>confusing because it mixes an SRP section<br>reference with a RG.  | 3.9.4          |
| SRP 3.9.4, Rev 3: Control Rod<br>Drive Systems  | 11.2 | Codes and Standards for<br>Construction   | Conforms              | None.   | 3.9.4          |
| SRP 3.9.4, Rev 3: Control Rod<br>Drive Systems  | II.3 | Load Combination Sets for Design<br>and Service Conditions Defined in<br>ASME Code Section III, NB-3113 | Conforms              | None.   | 3.9.4          |
| SRP 3.9.4, Rev 3: Control Rod<br>Drive Systems  | 11.4 | Operability Assurance Program   | Conforms              | None.   | 3.9.4          |
| SRP 3.9.5, Rev 3: Reactor<br>Pressure Vessel Internals  | II.1 | Loads, Loading Combinations, and<br>Limits for Portions Constructed to<br>ASME Code Section NG          | Conforms              | None.   | 3.9.3<br>3.9.5 |
| SRP 3.9.5, Rev 3: Reactor   | II.2 | Design and Construction of Core   | Conforms              | None.   | 3.9.3          |

Support Structures

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review

Standard (DSRS) (Continued)

**Revision 5** 

Pressure Vessel Internals

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| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section |
|--|------|---|-----------------------|---|---------|
| SRP 3.9.5, Rev 3: Reactor<br>Pressure Vessel Internals   | 11.3 | Design Criteria, Loading Conditions,<br>and Analyses for Design of Reactor<br>Internals Other Than Core Support<br>Structures | Conforms              | None.   | 3.9.2   |
| SRP 3.9.5, Rev 3: Reactor<br>Pressure Vessel Internals   | 11.4 | Deformation Limits for Reactor<br>Internals   | Conforms              | None.   | 3.9.5   |
| SRP 3.9.5, Rev 3: Reactor<br>Pressure Vessel Internals   | 11.5 | Design of Reactor Internals to<br>Accommodate Asymmetric<br>Blowdown Loads From Postulated<br>Pipe Ruptures                   | Partially Conforms    | The intent of subtier NUREG-0609 is<br>applicable but the language refers to a<br>different type of LWR and SSC conditions<br>not relevant to the NuScale design.<br>Specifically, this guidance provides<br>methodology for evaluation of loading<br>transients and structural components,<br>including containment subcompartment<br>analysis, when a double-ended guillotine<br>break of reactor coolant loop piping occurs<br>at the reactor vessel inlet. The NuScale<br>containment vessel design does not have<br>subcompartments. In addition, the NuScale<br>design does not have reactor coolant loops.<br>Notwithstanding the above, this guidance is<br>applicable to the evaluation of loading<br>transients and structural components for<br>postulated breaks of chemical and volume<br>control system (CVCS) piping and piping at<br>the reactor vent valves. | 3.9.5   |
| SRP 3.9.5, Rev 3: Reactor<br>Pressure Vessel Internals   | II.6 | Effects of Flow-Induced Vibration and<br>Acoustic Resonances (Including   | Partially Conforms    | This acceptance criterion (including<br>Appendix A) is applicable except for aspects<br>that are BWR-specific.  | 3.9.5   |
| SRP 3.9.6, Rev 3: Functional<br>Design, Qualification, and<br>Inservice Testing Programs<br>for Pumps, Valves, and<br>Dynamic Restraints | II.1 | Appendix A)<br>Functional Design and Qualification<br>of Pumps, Valves, and Dynamic<br>Restraints                             | Partially Conforms    | This acceptance criterion is applicable<br>except for aspects related to functional<br>design, qualification, and testing of safety-<br>related pumps. Safety-related pumps are<br>not used in the NuScale design.  | 3.9.6   |

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**Revision 5** 

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3.9.6

Not Applicable

| SRP or DSRS Section, Rev:  | AC   | AC Title/Description                                | Conformance        | Comments  | Section        |  |
|--|------|---|--------------------|---|----------------|--|
| Title  |      |   | Status             |   |                |  |
| SRP 3.9.6, Rev 3: Functional<br>Design, Qualification, and<br>Inservice Testing Programs<br>for Pumps, Valves, and<br>Dynamic Restraints | 11.2 | Inservice Testing Program for Pumps                 | Partially Conforms | This acceptance criterion is applicable<br>except for aspects related to inservice<br>testing of safety-related pumps. Safety-<br>related pumps are not used in the NuScale<br>design. The only pumps that fall within the<br>scope of this criterion in the NuScale design<br>are the CVCS pumps. These pumps are<br>ASME Class III because they contain reactor<br>coolant during normal operation, but they<br>serve no safety function. | 3.9.6          |  |
| SRP 3.9.6, Rev 3: Functional<br>Design, Qualification, and<br>Inservice Testing Programs<br>for Pumps, Valves, and<br>Dynamic Restraints | 11.3 | Inservice Testing Program for Valves                | Partially Conforms | Refer to Section 3.9.6.3.2 for valve testing<br>and Section 3.9.6.6 for augmented valves<br>testing program.  | 3.9.6          |  |
| SRP 3.9.6, Rev 3: Functional<br>Design, Qualification, and   | II.4 | Inservice Testing Program for<br>Dynamic Restraints | Not Applicable     | The NuScale Power Plant does not have<br>pumps or dynamic restraints that perform a   | Not Applicable |  |

Conforms

Not Applicable

specific function identified in the ASME OM

Refer to Section 3.9.6.5 for relief requests

This acceptance criterion is related to

implementation of pre-service testing,

the responsibility of the COL applicant referencing the certified design.

inservice testing and inspection, and motoroperated valve testing programs, that are

operational activities, including

and alternative authorizations to the code.

Code Subsection ISTA-1100.

### Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

1.9-68

Inservice Testing Programs

Design, Qualification, and

Inservice Testing Programs for Pumps, Valves, and Dynamic Restraints

SRP 3.9.6, Rev 3: Functional

Design, Qualification, and

for Pumps, Valves, and

Dynamic Restraints

Inservice Testing Programs

II.5

II.6

Relief Requests and Proposed

**Operational Programs** 

Alternatives

for Pumps, Valves, and

Dynamic Restraints SRP 3.9.6, Rev 3: Functional

**Revision** 5

| SRP or DSRS Sec<br>Title   | tion, Rev:           | AC   | AC Title/Description  | Conformance<br>Status | Comments   | Section       |
|--|----------------------|------|---|-----------------------|--|---------------|
| SRP 3.9.7, Rev 0: Ri:<br>Informed Inservice  | Testing              | All  | Various   | Not Applicable        | Development and implementation of a risk-<br>informed, performance-based inservice<br>testing program is the responsibility of COL<br>applicants that reference the NuScale<br>certified design and that elect to implement<br>such a program. | Not Applicabl |
| SRP 3.9.8, Rev 0: St<br>Review Plan for the<br>Risk-Informed Inse<br>Inspection of Pipin | e Review of<br>rvice | All  | Various   | Not Applicable        | Development and implementation of a risk-<br>informed, inservice inspection program for<br>piping is the responsibility of COL<br>applicants that reference the NuScale<br>certified design, and that elect to<br>implement such a program.    | Not Applicabl |
| SRP 3.10, Rev 3: Sei<br>Dynamic Qualificat<br>Mechanical and Ele<br>Equipment            | tion of<br>ectrical  | 11.1 | Qualification of Electrical Equipment<br>and Associated Supports                              | Conforms              | None.  | 3.10          |
| SRP 3.10, Rev 3: Sei<br>Dynamic Qualificat<br>Mechanical and Ele<br>Equipment            | tion of              | 11.2 | Testing of Instrumentation Described<br>in RG 1.97  | Partially Conforms    | See RG 1.97 in Table 1.9-2   | 3.11          |
| SRP 3.10, Rev 3: Sei<br>Dynamic Qualificat<br>Mechanical and Ele<br>Equipment            | tion of              | 11.3 | Experience-Based Qualification  | Not Applicable        | Experience based seismic qualification is not used.  | Not Applicabl |
| SRP 3.10, Rev 3: Sei<br>Dynamic Qualificat<br>Mechanical and Ele<br>Equipment            | tion of<br>ectrical  | 11.4 | Records   | Conforms              | The NuScale design indicates that a Records program is required and includes a COL item to maintain one.   | 3.10          |
| SRP 3.10, Rev 3: Se<br>Dynamic Qualificat<br>Mechanical and Ele<br>Equipment             | tion of<br>ectrical  | 11.5 | Qualification Program for Valves that<br>are Part of the Reactor Coolant<br>Pressure Boundary | Conforms              | None.  | 3.10          |
| SRP 3.10, Rev 3: Sei<br>Dynamic Qualificat<br>Mechanical and Ele<br>Equipment            | tion of              | 11.6 | Documentation of Qualification<br>Program   | Conforms              | None.  | 3.10          |

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| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.1 | Application of RG 1.89 for<br>Environmental Qualification Program<br>per 10 CFR 50.49  | Partially Conforms    | See RG 1.89 in Table 1.9-2.  | 3.11    |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.2 | Application of Clarification Related to<br>IEEE Std. 323 Criteria  | Conforms              | None.  | 3.11    |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.3 | Application of RG 1.63 for<br>Environmental Design and<br>Qualification of Electrical Penetration<br>Assemblies                                      | Conforms              | The portion of the guidance that endorses<br>IEEE 317-1983 is applicable. See RG 1.63<br>entry in Table 1.9-2 with respect to the<br>other aspects of RG 1.63. | 3.11.2  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.4 | Application of RG 1.73 for<br>Environmental Design and<br>Qualification of Class 1E Electric Valve<br>Operators                                      | Conforms              | None.  | 3.11.2  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.5 | Application of RG 1.89 for<br>Environmental Qualification of<br>Electrical Equipment Important to<br>Safety  | Partially Conforms    | See RG 1.89 in Table 1.9-2.  | 3.11.2  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.6 | Application of RG 1.97 for<br>Environmental Design and<br>Qualification of PostAccident<br>Monitoring Equipment                                      | Partially Conforms    | See RG 1.97 in Table 1.9-2.  | 3.11.2  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.7 | Application of RG 1.152 for<br>Environmental design and<br>qualification of computer-specific<br>requirements  | Conforms              | None.  | 3.11    |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.8 | Application of RG 1.153 for<br>Environmental design and<br>qualification of power,<br>instrumentation, and control portions<br>of the safety systems | Conforms              | None.  | 3.11    |

| SRP or DSRS Section, Rev:<br>Title   | AC    | AC Title/Description  | Conformance<br>Status | Comments   | Section        |
|--|-------|---|-----------------------|--|----------------|
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.9  | Application of RG 1.209 for<br>Environmental design and<br>qualification of safety-related<br>computer-based I&C systems in mild<br>environments  | Conforms              | None.  | 3.11           |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.10 | Application of RG 1.211 for<br>Environmental Qualification of Class<br>1E Electric Cables and Field Splices   | Conforms              | None.  | 3.11.2         |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.11 | Application of RG 1.156 for<br>Environmental Qualification of Class<br>1E Connection Assemblies   | Conforms              | None.  | 3.11.2         |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.12 | Application of RG 1.158 for<br>Environmental Qualification of Class<br>1E Lead Storage Batteries  | Not Applicable        | See RG 1.158 in Table 1.9-2.   | Not Applicable |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.13 | Application of RG 1.180 for<br>Electromagnetic and Radio-<br>Frequency Interference in Safety<br>Related I&C Equipment  | Partially Conforms    | See RG 1.180 in Table 1.9-2.   | 3.11.2         |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.14 | Application of RG 1.183 for Accident<br>Source Term Used in Environmental<br>Design and Qualification of<br>Equipment Important to Safety   | Partially Conforms    | See RG 1.183 in Table 1.9-2.   | 3.11.2         |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.15 | Application of RG 1.100 for Seismic<br>Qualification of Electrical and Active<br>Mechanical Equipment and<br>Functional Qualification of Active<br>Mechanical Equipment for Nuclear<br>Power Plants |                       | See RG 1.100 in Table 1.9-2.   | 3.11           |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.16 | Application of RG 1.204 for<br>Environmental design and<br>qualification of the lightning<br>protection system  | Not Applicable        | Lightning protection is not applicable to EQ<br>because it is associated with an external/<br>natural event. | Not Applicable |

Conformance with Regulatory Criteria

| Standard (DSRS) (Continued)  |       |   |                       |   |                |  |  |
|--|-------|---|-----------------------|---|----------------|--|--|
| SRP or DSRS Section, Rev:<br>Title   | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section        |  |  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.17 | Effects of Environmental Conditions for All Important to Safety Equipment   | Conforms              | None.   | 3.11           |  |  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.18 | Suitability of Materials, Parts, and<br>Equipment Essential to Safety-<br>Related Functions   | Conforms              | None.   | 3.11           |  |  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.19 | Qualification of Nonmetallic Parts  | Conforms              | None.   | 3.11           |  |  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.20 | Design/Purchase Specifications of<br>Equipment to Perform Under<br>Applicable Environmental Conditions  | Conforms              | None.   | 3.11           |  |  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.21 | Applicable documentation for<br>Environmental Design and<br>Qualification of Safety-Related<br>Mechanical, Electrical, and I&C<br>Equipment                               | Conforms              | None.   | 3.11           |  |  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | II.22 | Maintenance/surveillance programs<br>to provide assurance Assurance of<br>Environmental Design and<br>Qualification Status of Equipment in<br>Mild and Harsh Environments | Not Applicable        | The programs are described and maintained by the COL applicant. | Not Applicable |  |  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment | 11.23 | Operational Program<br>Implementation   | Not Applicable        | This is a COL applicant item.                                   | Not Applicable |  |  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification  | II.24 | Exposure of Organic Components on<br>Engineered Safety Features Systems   | Conforms              | None.   | 3.11           |  |  |

Tier 2

Equipment

of Mechanical and Electrical

|   | Standard (DSRS) (Continued) |  |                       |   |                |  |  |  |
|---|-----------------------------|--|-----------------------|---|----------------|--|--|--|
| SRP or DSRS Section, Rev:<br>Title  | AC                          | AC Title/Description   | Conformance<br>Status | Comments  | Section        |  |  |  |
| DSRS 3.11, Rev. 0:<br>Environmental Qualification<br>of Mechanical and Electrical<br>Equipment                                      | II.25                       | Design and Procurement<br>Specifications   | Not Applicable        | This is a COL applicant item.                   | Not Applicable |  |  |  |
| SRP 3.12, Rev 1: ASME Code<br>Class 1, 2, and 3 Piping<br>Systems, Piping Components<br>and Their Associated<br>Supports            | II.A                        | Piping Analysis Methods  | Conforms              | None.   | 3.12.3         |  |  |  |
| SRP 3.12, Rev 1: ASME Code<br>Class 1, 2, and 3 Piping<br>Systems, Piping Components<br>and Their Associated<br>Supports            | II.B                        | Piping Modeling Techniques   | Conforms              | None.   | 3.12.4         |  |  |  |
| Class 1, 2, and 3 Piping<br>Systems, Piping Components<br>and Their Associated<br>Supports  | II.C                        | Piping Stress Analysis Criteria  | Conforms              | None.   | 3.12.5         |  |  |  |
| SRP 3.12, Rev 1: ASME Code<br>Class 1, 2, and 3 Piping<br>Systems, Piping Components<br>and Their Associated<br>Supports            | II.D                        | Piping Support Design  | Conforms              | None.   | 3.12.6         |  |  |  |
| SRP 3.13, Rev. 0: Threaded<br>Fasteners - ASME Code Class<br>1, 2, and 3  | II.1                        | Design Aspects (Including Table 3.13-<br>1)                                      | Conforms              | None.   | 3.13.1         |  |  |  |
| SRP 3.13, Rev. 0: Threaded<br>Fasteners - ASME Code Class<br>1, 2, and 3  | 11.2                        | Preservice and Inservice Inspection<br>Requirements (Including Table 3.13-<br>2) | Conforms              | None.   | 3.13.2         |  |  |  |
| BTP 3-1, Rev 2: Classification<br>of Main Steam Components<br>Other Than the Reactor<br>Coolant Pressure Boundary<br>for BWR Plants | All                         |  | Not Applicable        | This guidance is applicable only to BWR plants. | Not Applicable |  |  |  |

Tier 2

Conformance with Regulatory Criteria

**Revision 5** 

1.9-73

# **NuScale Final Safety Analysis Report**

| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review |
|---|
| Standard (DSRS) (Continued)   |

| SRP or DSRS Section, Rev:<br>Title   | AC  | AC Title/Description  | Conformance<br>Status | Comments  | Section                             |
|--|-----|---|-----------------------|---|-------------------------------------|
| BTP 3-2, Rev 2: Classification<br>of BWR/6 Main Steam and<br>Feedwater Components<br>Other Than the Reactor<br>Coolant Pressure Boundary | All |   | Not Applicable        | This guidance is applicable only to BWR plants. | Not Applicable                      |
| BTP 3-3, Rev 3: Protection<br>Against Postulated Piping<br>Failures in Fluid Systems<br>Outside Containment                              | B.1 | Plant Arrangement   | Conforms              | None.   | 3.6                                 |
| BTP 3-3, Rev 3: Protection<br>Against Postulated Piping<br>Failures in Fluid Systems<br>Outside Containment                              | B.2 | Design Features   | Conforms              | None.   | 3.6                                 |
| BTP 3-3, Rev 3: Protection<br>Against Postulated Piping<br>Failures in Fluid Systems<br>Outside Containment                              | B.3 | Analyses and Effects of Postulated<br>Piping Failures       | Conforms              | None.   | 3.6                                 |
| BTP 3-3, Rev 3: Protection<br>Against Postulated Piping<br>Failures in Fluid Systems<br>Outside Containment                              | B.4 | Implementation  | Conforms              | None.   | 3.6                                 |
| BTP 3-4, Rev 2: Postulated<br>Rupture Locations in Fluid<br>System Piping Inside and<br>Outside Containment                              | B.A | High-Energy Fluid System Piping                             | Conforms              | None.   | 3.6<br>15.1<br>15.2<br>15.5<br>15.6 |
| BTP 3-4, Rev 2: Postulated<br>Rupture Locations in Fluid<br>System Piping Inside and<br>Outside Containment                              | B.B | Moderate-Energy Fluid System Piping                         | Conforms              | None.   | 3.6<br>15.1<br>15.2<br>15.5<br>15.6 |
| BTP 3-4, Rev 2: Postulated<br>Rupture Locations in Fluid<br>System Piping Inside and<br>Outside Containment                              | B.C | Type of Breaks and Leakage Cracks in<br>Fluid System Piping | Conforms              | None.   | 3.6<br>15.1<br>15.2<br>15.5<br>15.6 |

| SRP or DSRS Section, Rev:<br>Title               | AC     | AC Title/Description  | Conformance<br>Status | Comments | Section                 |
|--|--------|---|-----------------------|----------|-------------------------|
| GRP 4.2, Rev 3: Fuel System<br>Design            | II.1   | Design Bases  | Conforms              | None.    | 4.2.1                   |
| RP 4.2, Rev 3: Fuel System<br>Design             | II.1.A | Fuel System Damage  | Conforms              | None.    | 4.2.1                   |
| RP 4.2, Rev 3: Fuel System<br>Design             | II.1.B | Fuel Rod Failure  | Conforms              | None.    | 4.2.1<br>4.2.3          |
| RP 4.2, Rev 3: Fuel System<br>Design             | II.1.C | Fuel Coolability  | Conforms              | None.    | 4.2.1                   |
| RP 4.2, Rev 3: Fuel System<br>Design             | 11.2   | Description and Design Drawings   | Conforms              | None.    | 4.2.2                   |
| RP 4.2, Rev 3: Fuel System<br>Design             | II.3   | Design Evaluation   | Conforms              | None.    | 4.2.1<br>4.2.3<br>4.2.4 |
| RP 4.2, Rev 3: Fuel System<br>Design             | II.4   | Testing, Inspection, and Surveillance<br>Plans  | Conforms              | None.    | 4.2.1<br>4.2.4          |
| RP 4.2, Rev 3: Fuel System<br>Design             | Арр А  | Evaluation of Fuel Assembly<br>Structural Response to Externally<br>Applied Forces    | Conforms              | None.    | 4.2.1                   |
| RP 4.2, Rev 3: Fuel System<br>Design             | Арр В  | Interim Acceptance Criteria and<br>Guidance for the Reactivity Initiated<br>Accidents | Conforms              | None.    | 4.2.1<br>15.0.0         |
| RP 4.3, Rev 0:<br>Iuclear Design                 | II.1   | Design Limits for Power Densities and<br>Power Distributions                          | Conforms              | None.    | 4.3.1                   |
| RP 4.3, Rev 0:<br>Iuclear Design                 | 11.2   | Reactivity Coefficients   | Conforms              | None.    | 4.3.2                   |
| RP 4.3, Rev 0:<br>Iuclear Design                 | II.3   | Control Rod Patterns and Reactivity<br>Worth  | Conforms              | None.    | 4.3.2                   |
| RP 4.3, Rev 0:<br>Juclear Design                 | II.4   | Analytical Methods and Data   | Conforms              | None.    | 4.3.3                   |
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design |        | Fuel Design Limits, Core Design, and<br>Thermal Margin                                | Conforms              | None.    | 4.4.1<br>4.4.2          |
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design | II.2   | Subchannel Hydraulic Analysis Codes   | Conforms              | None.    | 4.4.4                   |
|  | II.3   | Core Oscillations and Thermal-<br>Hydraulic Instabilities                             | Conforms              | None.    | 4.4.7                   |

Conformance with Regulatory Criteria

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| SRP or DSRS Section, Rev:<br>Title  | AC    | AC Title/Description   | Conformance<br>Status | Comments   | Section                 |
|---|-------|--|-----------------------|--|-------------------------|
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design                              | 11.4  | RPV Fluid Flow Calculations  | Conforms              | None.  | 4.4.4                   |
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design                              | II.5  | Technical Specifications   | Conforms              | None.  | 4.4.3<br>4.4.6<br>16.1  |
| Hydraulic Design  | II.6  | Preoperational and Initial Test<br>Programs  | Conforms              | None.  | 4.4.5                   |
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design                              | 11.7  | Loose Parts Monitoring System  | Departure             | Low flow in primary systems precludes<br>damage from loose parts and the need for<br>loose parts monitoring system.  | 4.4.6                   |
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design                              | II.8  | Critical Heat Flux Calculations and<br>Process Monitoring                                    | Conforms              | None.  | 4.4.2<br>4.4.4<br>4.4.6 |
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design                              | 11.9  | Instrumentation and Procedures for<br>Detection and Recovery from<br>Inadequate Core Cooling | Conforms              | None.  | 4.4.6                   |
| DSRS 4.4, Rev 0: Thermal and<br>Hydraulic Design                              | II.10 | Core Stability Performance During<br>Anticipated Transient without Scram<br>Event            | Not Applicable        | Diverse RTS signals prevent an ATWS from<br>occurring. This prevents flow instabilities<br>from occurring, so this AC is not applicable<br>based on the current ATWS approach. | Not Applicabl           |
| SRP 4.5.1, Rev 3: Control Rod<br>Drive Structural Materials                   | II.1  | Materials Specifications   | Conforms              | RG 1.85 was withdrawn in 2004. Guidance was updated and incorporated into RG 1.84.   | 4.5.1                   |
| SRP 4.5.1, Rev 3: Control Rod<br>Drive Structural Materials                   | 11.2  | Austenitic Stainless Steel<br>Components   | Conforms              | The NuScale QAPD is based on ANSI/ASME<br>NQA-1-2008 with NQA-1a-2009 addenda, as<br>endorsed by RG 1.28, Rev. 4.  | 4.5.1                   |
| SRP 4.5.1, Rev 3: Control Rod<br>Drive Structural Materials                   | II.3  | Other Materials  | Conforms              | None.  | 4.5.1                   |
| Drive Structural Materials  | 11.4  | Cleaning and Cleanliness Control   | Conforms              | The NuScale QAPD is based on ANSI/ASME<br>NQA-1-2008 with NQA-1a-2009 addenda, as<br>endorsed by RG 1.28, Rev. 4.  | 4.5.1                   |
| SRP 4.5.2, Rev 3: Reactor<br>Internal and Core Support<br>Structure Materials | 11.1  | Materials  | Conforms              | None.  | 4.5.2                   |
| SRP 4.5.2, Rev 3: Reactor<br>Internal and Core Support<br>Structure Materials | 11.2  | Controls on Welding  | Conforms              | None.  | 4.5.2                   |

|   | Standard (DSRS) (Continued) |  |                       |  |                            |  |  |
|---|-----------------------------|--|-----------------------|--|----------------------------|--|--|
| SRP or DSRS Section, Rev:<br>Title  | AC                          | AC Title/Description   | Conformance<br>Status | Comments   | Section                    |  |  |
| SRP 4.5.2, Rev 3: Reactor<br>Internal and Core Support<br>Structure Materials | II.3                        | Nondestructive Examination   | Conforms              | None.  | 4.5.2                      |  |  |
| SRP 4.5.2, Rev 3:Reactor<br>Internal and Core Support<br>Structure Materials  | 11.4                        | Austenitic Stainless Steels  | Conforms              | None.  | 4.5.2                      |  |  |
| SRP 4.5.2, Rev 3: Reactor<br>Internal and Core Support<br>Structure Materials | 11.5                        | Other Materials  | Conforms              | None.  | 4.5.2                      |  |  |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System           | 11.1                        | Environmental and Dynamic Effects -<br>GDC 4                       | Conforms              | None.  | 4.6.2                      |  |  |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System           | 11.2                        | Failure Modes and Effects - GDC 23                                 | Conforms              | None.  | 4.6.2                      |  |  |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System           | 11.3                        | Single Malfunction - GDC 25  | Conforms              | None.  | 4.6.2                      |  |  |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System           | 11.4                        | Operational Control and Reliability -<br>GDC 26                    | Conforms              | NuScale does not interpret GDC 26 as<br>requiring two safety-related means of<br>reactivity control. One of the independent<br>reactivity control systems used to meet the<br>requirements of GDC 26 in the NuScale<br>design is the chemical and volume control<br>system, which is not safety-related. | 4.6.2                      |  |  |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System           | II.5                        | Combined Capability - GDC 27                                       | Departure             | The NuScale design bases conform to a<br>design-specific Principal Design Criterion<br>(PDC) in lieu of GDC 27, as reflected in<br>Section 3.1.  | 3.1<br>4.2<br>4.3<br>4.6.2 |  |  |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System           | II.6                        | Reactivity Limits - GDC 28   | Conforms              | None.  | 4.6.0.2<br>4.6.2           |  |  |
| SRP 4.6, Rev 2: Functional<br>Design of Control Rod Drive<br>System           | 11.7                        | Protection Against Anticipated<br>Operational Occurrences - GDC 29 | Conforms              | None.  | 4.6.2                      |  |  |

**Revision 5** 

1.9-77

| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review |  |  |  |  |  |  |  |   |  |
|---|--|--|--|--|--|--|--|---|--|
| Standard (DSRS) (Continued)   |  |  |  |  |  |  |  |   |  |
|   |  |  |  |  |  |  |  | - |  |

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description  | Conformance<br>Status | Comments   | Section      |
|--|------|---|-----------------------|--|--------------|
| Design of Control Rod Drive<br>System  | 11.8 | BWR Alternate Rod Injection System  | Not Applicable        | This guidance is applicable only to BWR plants.  | Not Applicat |
| BTP 4-1, Rev 3: Westinghouse<br>Constant Axial Offset Control<br>(CAOC)                  | All  | -   | Not Applicable        | This BTP is applicable only to PWR designs<br>that use the Constant Axial Offset Control<br>operating scheme. NuScale does not use<br>the Constant Axial Offset Control operating<br>scheme.       | Not Applicat |
| SRP 5.2.1.1, Rev 3:<br>Compliance with the Codes<br>and Standards Rule,<br>10 CFR 50.55a | Π    | Use of RG 1.26 to meet GDC 1 and 10 CFR 50.55a                                      | Conforms              | See RG 1.26 in Table 1.9-2.  | 5.2.1        |
| SRP 5.2.1.2, Rev 3: Applicable<br>Code Cases   | II.1 | Use of RG 1.84 to meet GDC 1 and 10 CFR 50.55a                                      | Conforms              | See RG 1.26 in Table 1.9-2.  | 5.2.1        |
| SRP 5.2.1.2, Rev 3: Applicable<br>Code Cases   |      | Use of RG 1.147 to meet GDC 1 and 10 CFR 50.55a                                     | Partially Conforms    | See RG 1.147 in Table 1.9-2.   | 5.2.1        |
| SRP 5.2.1.2, Rev 3: Applicable<br>Code Cases   | II.3 | Use of RG 1.192 to meet GDC 1 and 10 CFR 50.55a                                     | Partially Conforms    | See RG 1.192 in Table 1.9-2.   | 5.2.1        |
| SRP 5.2.2, Rev 3: Overpressure<br>Protection   | II.1 | Material Specifications   | Conforms              | None.  | 5.2.2        |
| SRP 5.2.2, Rev 3: Overpressure<br>Protection   | II.2 | Design Requirements for BWRs<br>Operating at Power                                  | Not Applicable        | This guidance is applicable only to BWR plants.  | Not Applicat |
| SRP 5.2.2, Rev 3: Overpressure<br>Protection   | 11.3 | Design Requirements for PWRs<br>Operating at Power                                  | Partially Conforms    | The overpressure analysis does not assume<br>a secondary safety-grade signal from the<br>RPS initiates the reactor trip. NuScale does<br>not have a secondary safety-grade reactor<br>trip system. | 5.2.2        |
| SRP 5.2.2, Rev 3: Overpressure<br>Protection   |      | Design Requirements for PWRs<br>Operating at Low Temperature<br>(Startup, Shutdown) | Conforms              | None.  | 5.2.2        |
| SRP 5.2.2, Rev 3: Overpressure<br>Protection   | II.5 | Testing and Inspections   | Conforms              | None.  | 5.2.2        |
| SRP 5.2.2, Rev 3: Overpressure<br>Protection   | II.6 | Technical Specifications  | Partially Conforms    | Certain subtier guidance documents<br>referenced in this acceptance criterion are<br>not applicable or only partially applicable.  | 5.2.2        |

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| Table 1       | .9-3: Confor | -                            | ndard Review Pla<br>SRS) (Continued) | an (SRP) and Design Specific Review | V |
|---------------|--------------|------------------------------|--------------------------------------|-------------------------------------|---|
| Section, Rev: | AC           | AC Title/Description         | Conformance                          | Comments                            |   |
| tle           |              |                              | Status                               |                                     |   |
| ·Overpressure | 11 7         | TMI Action Plan Requirements | Conforms                             | None                                |   |

| S        | RP or DSRS Section, Rev:<br>Title                              | AC     | AC Title/Description  | Conformance<br>Status | Comments   | Section |
|----------|--|--------|---|-----------------------|--|---------|
| Pro      | P 5.2.2, Rev 3: Overpressure<br>otection                       | 11.7   | TMI Action Plan Requirements  | Conforms              | None.  | 5.2.2   |
| Co       | P 5.2.3, Rev 3: Reactor<br>olant Pressure Boundary<br>iterials | 11.1   | Material Specifications   | Partially Conforms    | This acceptance criterion is applicable<br>except for references to subtier guidance<br>that is applicable only to BWRs.   | 5.2.3   |
| Co<br>Ma | olant Pressure Boundary<br>aterials                            | 11.2   | Compatibility of Materials with the<br>Reactor Coolant  | Partially Conforms    | This acceptance criterion is applicable<br>except for references to subtier guidance<br>that is applicable only to BWRs.   | 5.2.3   |
| Co<br>Ma | olant Pressure Boundary<br>aterials                            | 11.3   | Fabrication and Processing of Ferritic<br>Materials   | Conforms              | None.  | 5.2.3   |
| Co       | P 5.2.3, Rev 3: Reactor<br>olant Pressure Boundary<br>iterials | II.3.A | Fracture Toughness - 10 CFR 50,<br>Appendix G   | Conforms              | None.  | 5.2.3   |
| Co       | P 5.2.3, Rev 3: Reactor<br>olant Pressure Boundary<br>iterials | II.3.B | Control of Ferritic Steel Welding   | Conforms              | None.  | 5.2.3   |
| Co       | P 5.2.3, Rev 3: Reactor<br>olant Pressure Boundary<br>iterials | II.3.C | NDE of Ferritic Steel Tubular Products  | Conforms              | None.  | 5.2.3   |
| Co       | P 5.2.3, Rev 3: Reactor<br>olant Pressure Boundary<br>iterials | 11.4   | Fabrication and Processing of<br>Austenitic Stainless Steel   | Partially Conforms    | This acceptance criterion is applicable<br>except for references to subtier guidance<br>that is applicable only to BWRs or to large<br>LWRs that use nonmetallic thermal<br>insulation on reactor coolant pressure<br>boundary components.   | 5.2.3   |
| Co       | P 5.2.3, Rev 3: Reactor<br>olant Pressure Boundary<br>iterials | II.4.A | GDC 4 Compatibility of Components -<br>Measures to Avoid Sensitization in<br>Austenitic Stainless Steel             | Partially Conforms    | This acceptance criterion is applicable<br>except for references to subtier guidance<br>that is applicable only to BWRs.   | 5.2.3   |
| Co       | P 5.2.3, Rev 3: Reactor<br>olant Pressure Boundary<br>iterials | II.4.B | GDC 4 Compatibility of Components -<br>Controls to Avoid Stress Corrosion<br>Cracking in Austenitic Stainless Steel | Partially Conforms    | This acceptance criterion is applicable<br>except for references to subtier guidance<br>that is applicable only to BWRs, and to<br>subtier RG 1.37, which endorses use of NQA-<br>1-1994. The NuScale design is based on<br>NQA-1-2008 and the NQA-1a-2009<br>addenda, rather than NQA-1-1994. | 5.2.3   |

Tier 2

| SRP or DSRS Section, Rev:<br>Title   | AC     | AC Title/Description  | Conformance<br>Status | Comments   | Section        |
|--|--------|---|-----------------------|--|----------------|
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials                            | II.4.C | Compatibility of Austenitic Stainless<br>Steel Materials with Thermal<br>Insulation | Not Applicable        | This acceptance criterion is applicable only<br>to LWRs that use nonmetallic thermal<br>insulation on reactor coolant pressure<br>boundary components. NuScale does not<br>use nonmetallic thermal insulation on<br>reactor coolant pressure boundary<br>components. | Not Applicable |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials                            | II.4.D | Control of Welding of Austenitic<br>Stainless Steels                                | Partially Conforms    | This acceptance criterion is applicable<br>except for references to subtier guidance<br>that is applicable only to BWRs.   | 5.2.3          |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials                            | II.4.E | NDE of Austenitic Stainless Steel<br>Tubular Products                               | Conforms              | None.  | 5.2.3          |
| SRP 5.2.3, Rev 3: Reactor<br>Coolant Pressure Boundary<br>Materials                            | II.4.G | Operational Programs  | Not Applicable        | This acceptance criterion governs plant-<br>specific programmatic information that is<br>the responsibility of the COL applicant.  | Not Applicable |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | II.1   | System Boundary Subject to<br>Inspection  | Conforms              | None.  | 5.2.4          |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | 11.2   | Accessibility   | Conforms              | None.  | 5.2.4          |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | II.3   | Examination Categories and Methods  | Conforms              | None.  | 5.2.4          |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | 11.4   | Inspection Intervals  | Conforms              | None.  | 5.2.4          |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | 11.5   | Evaluation of Examination Results   | Conforms              | None.  | 5.2.4          |

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|  |       | Standard (D  | Standard (DSRS) (Continued) |   |                |  |  |  |  |  |
|--|-------|--|-----------------------------|---|----------------|--|--|--|--|--|
| SRP or DSRS Section, Rev:<br>Title   | AC    | AC Title/Description   | Conformance<br>Status       | Comments  | Section        |  |  |  |  |  |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | 11.6  | System Pressure Tests  | Conforms                    | None.   | 5.2.4          |  |  |  |  |  |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | 11.7  | Code Exemptions  | Conforms                    | None.   | 5.2.4          |  |  |  |  |  |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | 11.8  | Relief Requests  | Conforms                    | None.   | 5.2.4          |  |  |  |  |  |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | 11.9  | Code Cases   | Conforms                    | None.   | 5.2.4          |  |  |  |  |  |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | II.10 | Augmented ISI to Protect Against<br>Postulated Piping Failures | Conforms                    | None.   | 5.2.4          |  |  |  |  |  |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | II.11 | Other Inspection Programs                                      | Partially Conforms          | Although a boric acid control program has<br>not been established, a brief description of<br>the program is provided in the DCA.  | 5.2.4          |  |  |  |  |  |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | II.12 | Operational Programs   | Not Applicable              | This acceptance criterion governs plant-<br>specific programmatic information that is<br>the responsibility of the COL applicant. | Not Applicable |  |  |  |  |  |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | II.13 | ITAAC  | Partially Conforms          | A portion of this acceptance criterion is applicable only to COL applicants.  | 5.2.4          |  |  |  |  |  |
| DSRS 5.2.4, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Inservice Inspection and<br>Testing | II.14 | Risk Informed ISI Program                                      | Not Applicable              | This acceptance criterion governs plant-<br>specific programmatic information that is<br>the responsibility of the COL applicant. | Not Applicable |  |  |  |  |  |

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Conformance with Regulatory Criteria

| Standard (DSRS) (Continued)  |        |   |                       |          |         |  |
|--|--------|---|-----------------------|----------|---------|--|
| SRP or DSRS Section, Rev:<br>Title   | AC     | AC Title/Description  | Conformance<br>Status | Comments | Section |  |
| DSRS 5.2.5, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Leakage Detection                                 | 11.1   | Criteria to Meet GDC 2  | Conforms              | None.    | 5.2.5   |  |
| DSRS 5.2.5, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Leakage Detection                                 | 11.2   | Criteria to Meet GDC 14   | Conforms              | None.    | 5.2.5   |  |
| DSRS 5.2.5, Rev 0: Reactor<br>Coolant Pressure Boundary<br>Leakage Detection                                 | 11.3   | Criteria to Meet GDC 30   | Conforms              | None.    | 5.2.5   |  |
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | II.1   | Materials   | Conforms              | None.    | 5.3.1   |  |
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | 11.2   | Special Processes Used for<br>Manufacture and Fabrication of<br>Components                            | Conforms              | None.    | 5.3.1   |  |
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | II.3   | Special Methods for Nondestructive<br>Examination   | Conforms              | None.    | 5.3.1   |  |
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | 11.4   | Special Controls and Special<br>Processes Used for Ferritic Steels and<br>Austenitic Stainless Steels | Conforms              | None.    | 5.3.1   |  |
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | II.5   | Fracture Toughness  | Conforms              | None.    | 5.3.1   |  |
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | II.6   | Material Surveillance   | Conforms              | None.    | 5.3.1   |  |
| DSRS 5.3.1, Rev 0: Reactor<br>Vessel Materials   | 11.7   | Reactor Vessel Fasteners  | Conforms              | None.    | 5.3.1   |  |
| DSRS 5.3.2, Rev 0: Pressure-<br>Temperature Limits, Upper-<br>Shelf Energy, and Pressurized<br>Thermal Shock | II.1.A | Pressure-Temperature - Applicable<br>Regulations, Codes, and Basis<br>Documents                       | Conforms              | None.    | 5.3.2   |  |
| DSRS 5.3.2, Rev 0: Pressure-<br>Temperature Limits, Upper-<br>Shelf Energy, and Pressurized<br>Thermal Shock | II.1.B | Pressure-Temperature Requirements   | Conforms              | None.    | 5.3.2   |  |

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Conformance with Regulatory Criteria

| Standard (DSRS) (Continued)  |        |  |                       |  |                |  |
|--|--------|--|-----------------------|--|----------------|--|
| SRP or DSRS Section, Rev:<br>Title   | AC     | AC Title/Description   | Conformance<br>Status | Comments   | Section        |  |
| DSRS 5.3.2, Rev 0: Pressure-<br>Temperature Limits, Upper-<br>Shelf Energy, and Pressurized<br>Thermal Shock | II.2.A | Upper-Shelf Energy - Applicable<br>Regulations, Codes, and Basis<br>Documents        | Conforms              | None.  | 5.3.2          |  |
| DSRS 5.3.2, Rev 0: Pressure-<br>Temperature Limits, Upper-<br>Shelf Energy, and Pressurized<br>Thermal Shock | II.2.B | Upper-Shelf Energy Requirements  | Conforms              | None.  | 5.3.1<br>5.3.2 |  |
| DSRS 5.3.2, Rev 0: Pressure-<br>Temperature Limits, Upper-<br>Shelf Energy, and Pressurized<br>Thermal Shock | II.3.A | Pressurized Thermal Shock -<br>Applicable Regulations, Codes, and<br>Basis Documents | Conforms              | None.  | 5.3.2          |  |
| DSRS 5.3.2, Rev 0: Pressure-<br>Temperature Limits, Upper-<br>Shelf Energy, and Pressurized<br>Thermal Shock | II.3.B | Pressurized Thermal Shock<br>Requirements  | Conforms              | None.  | 5.3.2          |  |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity   | II.1   | Design   | Conforms              | None.  | 5.3.3          |  |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity   | 11.2   | Materials of Construction  | Conforms              | None.  | 5.3.3          |  |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity   | II.3   | Fabrication Methods  | Conforms              | None.  | 5.3.3          |  |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity   | II.4   | Inspection Requirements  | Conforms              | None.  | 5.3.3          |  |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity   | II.5   | Shipment and Installation  | Conforms              | None.  | 5.3.3          |  |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity   | II.6   | Operating Conditions   | Conforms              | None.  | 5.3.3          |  |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity   | 11.7   | Inservice Surveillance   | Conforms              | Inservice surveillance of the reactor vessel is<br>described in the DCD. However, the COL<br>applicant develops and implements the<br>reactor vessel surveillance program. | 5.3.3          |  |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity   | 11.8   | Operational Programs   | Not Applicable        | This acceptance criterion governs plant-<br>specific programmatic information that is<br>the responsibility of the COL applicant.  | Not Applicable |  |

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Conformance with Regulatory Criteria

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| SRP or DSRS Section, Rev:                            | AC   | AC Title/Description  | Conformance        | Comments   | Section        |
|--|------|---|--------------------|--|----------------|
| Title  |      |   | Status             |  |                |
| DSRS 5.3.3, Rev 0: Reactor<br>Vessel Integrity       | 11.9 | 10 CFR 52.47(b)(1) compliance   | Not Applicable     | This requirement applies to plant-specific verification and is the responsibility of the COL applicant.  | Not Applicable |
| SRP 5.4.1.1, Rev 3: Pump<br>Flywheel Integrity (PWR) | All  | Various   | Not Applicable     | This SRP section and its acceptance criteria<br>(II.1 through II.7) apply only to PWR designs<br>that use reactor coolant pumps. The<br>NuScale reactor design does not require or<br>include reactor coolant pumps. Rather, the<br>NuScale design uses passive natural<br>circulation of the primary coolant,<br>eliminating the need for reactor coolant<br>pumps. | Not Applicable |
| DSRS 5.4.2.1, Rev 0: Steam<br>Generator Materials    | II.1 | Selection, Processing, Testing, and<br>Inspection of Materials  | Conforms           | None.  | 5.4.1          |
| DSRS 5.4.2.1, Rev 0: Steam<br>Generator Materials    | II.2 | Steam Generator Design  | Conforms           | None.  | 5.4.1          |
| DSRS 5.4.2.1, Rev 0: Steam<br>Generator Materials    | II.3 | Fabrication and Processing of Ferritic<br>Materials   | Conforms           | None.  | 5.4.1          |
| DSRS 5.4.2.1, Rev 0: Steam<br>Generator Materials    | II.4 | Fabrication and Processing of<br>Austenitic Stainless Steel   | Conforms           | None.  | 5.2<br>5.4.1   |
| DSRS 5.4.2.1, Rev 0: Steam<br>Generator Materials    | II.5 | Compatibility of Materials with the<br>Primary (Reactor) and Secondary<br>Coolant and Cleanliness Control | Conforms           | None.  | 5.4.1          |
| DSRS 5.4.2.1, Rev 0: Steam<br>Generator Materials    | II.6 | Provisions for Accessing the<br>Secondary Side of the Steam<br>Generator                                  | Conforms           | None.  | 5.4.1          |
| DSRS 5.4.2.2, Rev 0: Steam<br>Generator Program      | II.1 | Steam Generator Tube Susceptibility to Degradation  | Conforms           | None.  | 5.4            |
| DSRS 5.4.2.2, Rev 0: Steam<br>Generator Program      | 11.2 | Steam Generator Monitoring<br>Program Elements  | Partially Conforms | A portion of this acceptance criterion is<br>applicable to COL applicants referencing a<br>certified design.   | 5.4.1          |
| DSRS 5.4.2.2, Rev 0: Steam<br>Generator Program      | II.3 | Steam Generator Program Elements<br>in Technical Specifications   | Partially Conforms | Certain subtier guidance documents<br>referenced in this acceptance criterion are<br>only partially applicable.  | 5.4.1          |
| DSRS 5.4.2.2, Rev 0: Steam<br>Generator Program      | II.4 | Steam Generator Tube Repair Criteria  | Conforms           | None.  | 5.4.1          |

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**Revision 5** 

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| Standard (DSRS) (Continued)   |   |   |                |  |  |  |
|---|---|---|----------------|--|--|--|
| AC Title/Description  | AC Title/Description Conformance Status |   | Section        |  |  |  |
| Steam Generator Tube Repair<br>Methods  | Conforms                                | None.   | 5.4.1          |  |  |  |
| Steam Generator Tube Preservice<br>Inspection   | Conforms                                | None.   | 5.4.1          |  |  |  |
| Periodic Tube Inspection and Testing<br>in Certified Design Technical<br>Specifications | Partially Conforms                      |   | 5.4.1          |  |  |  |
| Operational Programs  | Partially Conforms                      | This acceptance criterion governs plant-<br>specific programmatic activities that are the<br>responsibility of the COL applicant<br>referencing a certified design. | 5.4.1          |  |  |  |
| ITAAC   | Partially Conforms                      | A portion of this acceptance criterion is applicable only to COL applicants.  | 5.4.1          |  |  |  |
| Various   | Not Applicable                          | This SRP section and its acceptance criteria<br>(II.1 through II.10) apply only to BWRs.  | Not Applicable |  |  |  |
| Various   | Conforms                                | None.   | 5.4.3          |  |  |  |
| GDC 5   | Conforms                                | None.   | 5.4.3          |  |  |  |
| GDC 14  | Not Applicable                          | The DHRS is connected to the secondary<br>system and does not directly interface with<br>the RCPB.  | Not Applicable |  |  |  |
| GDC 19  | Departure                               | The NuScale design supports an exemption from GDC 19. As described in Section 3.1.2,  | 5.4.3          |  |  |  |

this GDC.

Departure

the design complies with a NuScale-specific principal design criterion (PDC) in lieu of

The NuScale design supports an exemption

from the power provisions of GDC 34. As

complies with a NuScale-specific principal design criterion in lieu of this GDC.

described in Section 3.1.4, the design

### Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

SRP or DSRS Section, Rev:

Title DSRS 5.4.2.2, Rev 0: Steam

DSRS 5.4.2.2, Rev 0: Steam

DSRS 5.4.2.2, Rev 0: Steam

DSRS 5.4.2.2, Rev 0: Steam

DSRS 5.4.2.2, Rev 0: Steam

Isolation Cooling System

Removal (DHR) System Responsibilities

Removal (DHR) System Responsibilities

Removal (DHR) System

Removal (DHR) System Responsibilities

Removal (DHR) System

Responsibilities

Responsibilities

SRP 5.4.6, Rev 4: Reactor Core All

DSRS 5.4.7, Rev 0: Decay Heat II.4

DSRS 5.4.7, Rev 0: Decay Heat II.5

DSRS 5.4.7, Rev 0: Decay Heat II.6

DSRS 5.4.7, Rev 0: Decay Heat II.7

DSRS 5.4.7, Rev 0: Decay Heat II.1 thru II.3

Generator Program

Generator Program

Generator Program

Generator Program

Generator Program

AC

II.5

11.6

II.7

11.8

11.9

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| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description              | Conformance<br>Status | Comments  | Section        |
|---|------|-----------------------------------|-----------------------|---|----------------|
| DSRS 5.4.7, Rev 0: Decay Heat<br>Removal (DHR) System<br>Responsibilities |      | GDC 54                            | Partially Conforms    | This closed-loop DHRS outside the<br>containment is directly connected to the<br>closed-loop SG system within the RPV<br>providing dual passive barriers between the<br>RCS and the reactor pool outside the NPM.<br>Breaches of this piping system outside<br>containment is not considered credible<br>because the system is a welded design with<br>a system design pressure equivalent to the<br>RPV, designed to Class 2 requirements in<br>accordance with ASME BPV Code, Section<br>III, and meets the applicable criteria of NRC<br>Branch Technical Position 3-4, Revision 2. As<br>a result, leakage detection and isolation<br>capabilities of this piping system from<br>containment are not considered important<br>to safety. | 5.4.3          |
| DSRS 5.4.7, Rev 0: Decay Heat<br>Removal (DHR) System<br>Responsibilities | 11.9 | DHRS Interface with other systems | Conforms              | None.   | 5.4.3          |
| SRP 5.4.8, Rev 3: Reactor<br>Water Cleanup System (BWR)                   | All  | Various                           | Not Applicable        | This SRP section and its acceptance criteria<br>(II.1 through II.4) apply only to BWRs.   | Not Applicable |
| SRP 5.4.11, Rev 4: Pressurizer<br>Relief Tank                             | All  | Various                           | Not Applicable        | This SRP section and its acceptance criteria<br>(II.1 and II.2) apply only to PWRs that use a<br>pressurizer relief tank. A pressurizer relief<br>tank is not used in the NuScale design. Fluid<br>relieved through the reactor coolant system<br>overpressure protection system is routed<br>directly to the containment vessel.   | Not Applicable |

| SRP or DSRS Section, Rev:<br>Title  | AC  | AC Title/Description   | Conformance<br>Status | Comments   | Section         |
|---|-----|--|-----------------------|--|-----------------|
| SRP 5.4.12, Rev 1: Reactor<br>Coolant System High Point<br>Vents  | All | Various  | Departure             | Because of the integral reactor coolant<br>system configuration, non-condensable<br>gases accumulating in the pressurizer space<br>will not interfere with core cooling during or<br>after design basis accidents. The NuScale<br>design supports an exemption from the<br>requirements of 10 CFR 50.46a related to<br>reactor coolant system high point venting,<br>as well as the substantively similar<br>requirements of 10 CFR 50.34(f)(2)(vi). | Not Applicabl   |
| SRP 5.4.13, (March 2007):<br>solation Condenser System<br>(BWR)   | All | Various  | Not Applicable        | This SRP section and its acceptance criteria<br>(II.1 through II.12) are applicable only to<br>BWRs.   | Not Applicab    |
| BTP 5-1, Rev 3: Monitoring of<br>Secondary Side Water<br>Chemistry in PWR Steam<br>Generators                                   | B.1 | Secondary Water Chemistry Program<br>Meeting Industry Guidelines   | Conforms              | None.  | 5.4.1<br>10.3.5 |
| BTP 5-1, Rev 3: Monitoring of<br>Secondary Side Water<br>Chemistry in PWR Steam<br>Generators                                   | B.2 | Sampling Schedule for Critical<br>Parameters   | Partially Conforms    | A portion of this acceptance criterion<br>governs information that is site-specific and<br>is the responsibility of the COL applicant<br>referencing the certified design.   | 5.4.1<br>10.3.5 |
| BTP 5-1, Rev 3: Monitoring of<br>Secondary Side Water<br>Chemistry in PWR Steam<br>Generators                                   | B.3 | Records  | -                     | A portion of this acceptance criterion<br>governs information that is site-specific and<br>is the responsibility of the COL applicant<br>referencing the certified design.   | 5.4.1<br>10.3.5 |
| BTP 5-1, Rev 3: Monitoring of<br>Secondary Side Water<br>Chemistry in PWR Steam<br>Generators                                   | B.4 | Program Change Evaluation and<br>Reporting   | Not Applicable        | This acceptance criterion is the responsibility of the COL applicant referencing the certified design.   | Not Applicab    |
| BTP 5-2, Rev 3:<br>Overpressurization<br>Protection of Pressurized-<br>Water Reactors While<br>Operating at Low<br>Temperatures | B.1 | System Design, Installation, and<br>Capabilities to Prevent Exceeding<br>Technical Specifications and NRC<br>Regulatory Requirements | Conforms              | None.  | 5.2.2           |

|   | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|---|--|-----------------------|---|----------------|
|   | ow-Temperature Overpressure<br>rotection Operability           | Partially Conforms    | Conforms to ASME Section XI Appendix G<br>Criteria. | 5.2.2          |
|   | ystem Designed to Withstand Single<br>Active Component Failure | Conforms              | None.   | 5.2.2          |
|   | ystem Instrumentation and Controls<br>Design                   | Conforms              | None.   | 5.2.2          |
| 9 | ystem Operability Testing                                      | Conforms              | None.   | 5.2.2<br>Ch 16 |
| ŀ | opplicable Guidance  | Conforms              | None.   | 5.2.2          |
|   | ystem Design to Withstand<br>Operating-Basis Earthquake        | Conforms              | None.   | 5.2.2          |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review

### Tier 2

SRP or DSRS Section, Rev:

Title

BTP 5-2, Rev 3:

Overpressurization

Overpressurization Protection of Pressurized-Water Reactors While Operating at Low Temperatures BTP 5-2, Rev 3:

Overpressurization

Water Reactors While Operating at Low Temperatures BTP 5-2, Rev 3:

Overpressurization Protection of Pressurized-Water Reactors While Operating at Low Temperatures BTP 5-2, Rev 3:

Overpressurization Protection of Pressurized-Water Reactors While Operating at Low Temperatures BTP 5-2, Rev 3:

Overpressurization

Operating at Low Temperatures

Protection of Pressurized-Water Reactors While

Protection of Pressurized-

Protection of Pressurized-Water Reactors While Operating at Low Temperatures BTP 5-2, Rev 3:

AC

B.2

B.3

B.4

B.5

B.6

B.7

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| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description                                       | Conformance<br>Status | Comments  | Section |
|---|------|--|-----------------------|---|---------|
| BTP 5-2, Rev 3:<br>Overpressurization<br>Protection of Pressurized-<br>Water Reactors While<br>Operating at Low<br>Temperatures | B.8  | Backup Electrical Power Source                             | Partially Conforms    | The intent of this guidance - that the low<br>temperature overpressure protection<br>(LTOP) system should not depend on the<br>availability of offsite power to perform its<br>function - applies to the NuScale design.  | 5.2.2   |
| BTP 5-2, Rev 3:<br>Overpressurization<br>Protection of Pressurized-<br>Water Reactors While<br>Operating at Low<br>Temperatures | B.9  | Analyses Considering Inadvertent<br>System Actuation       | Conforms              | None.   | 5.2.2   |
| BTP 5-2, Rev 3:<br>Overpressurization<br>Protection of Pressurized-<br>Water Reactors While<br>Operating at Low<br>Temperatures | B.10 | Interlocks to Ensure Overpressure<br>Protection            | Partially Conforms    | The intent of this acceptance criterion is<br>applicable but the criterion refers to large<br>LWR designs that provide pressure relief<br>from a low-pressure system not normally<br>connected to the primary system. In the<br>NuScale design, the LTOP system is not<br>connected to a low-pressure system.<br>However, the intent of this guidance - to<br>ensure that the LTOP system is not<br>inadvertently isolated from the primary<br>system - is applicable to the DCA. | 5.2.2   |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements  | 1    | Preservice Fracture Toughness Test<br>Requirements         | Partially Conforms    | This acceptance criterion is applicable<br>except as indicated in the comments below<br>for Acceptance Criteria 1.1 and 1.2.  | 5.3     |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements  | 1.1  | Determination of RT <sub>NDT</sub> for Vessel<br>Materials | Partially Conforms    | Portions of this acceptance criterion apply<br>only to older plants for which fracture<br>toughness testing on vessel material did<br>not include all tests necessary to determine<br>RTNDT. The rest of this guidance applies to<br>the NuScale design.  | 5.3     |
| BTP 5-3, Rev 2: Fracture<br>Toughness Requirements  | 1.2  | Estimation of Charpy V-Notch Upper<br>Shelf Energies       | Partially Conforms    | This guidance is applicable except for<br>reference to subtier NUREG-0744, which<br>applies only to operating reactors that do<br>not meet the minimum fracture toughness<br>acceptance criteria defined in this BTP 5-3.   | 5.3     |

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| Section |  |
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**NuScale Final Safety Analysis Report** 

| Shi of DShS Section, nev.    | AC  | AC IIIe/Description                | comonnance         | connents  | Section        |
|------------------------------|-----|------------------------------------|--------------------|---|----------------|
| Title                        |     |                                    | Status             |   |                |
| BTP 5-3, Rev 2: Fracture     | 1.3 | Reporting Requirements             | Conforms           | None.   | 5.3            |
| Toughness Requirements       |     |                                    |                    |   |                |
| BTP 5-3, Rev 2: Fracture     | 2   | Operating Limitations for Fracture | Conforms           | None.   | 5.3            |
| Toughness Requirements       |     | Toughness                          |                    |   |                |
| BTP 5-3, Rev 2: Fracture     | 2.1 | Pressure-Temperature Operating     | Conforms           | None.   | 5.3            |
| Toughness Requirements       |     | Limitations                        |                    |   |                |
| BTP 5-3, Rev 2: Fracture     | 2.2 | Recommended Bases for Operating    | Conforms           | None.   | 5.3            |
| Toughness Requirements       |     | Limitations                        |                    |   |                |
| BTP 5-3, Rev 2: Fracture     | 2.3 | Reporting Requirements             | Conforms           | None.   | 5.3            |
| Toughness Requirements       |     |                                    |                    |   |                |
| BTP 5-3, Rev 2: Fracture     | 3   | Inservice Surveillance of Fracture | Partially Conforms | This acceptance criterion applies except as     | 5.3            |
| Toughness Requirements       |     | Toughness                          |                    | indicated in the comments below for             |                |
|                              |     |                                    |                    | Acceptance Criteria 3.4 and 3.5.                | 3.4 and 3.5.   |
| BTP 5-3, Rev 2: Fracture     | 3.1 | Surveillance Program Requirements  | Conforms           | None.   | 5.3            |
| Toughness Requirements       |     |                                    |                    |   |                |
| BTP 5-3, Rev 2: Fracture     | 3.2 | SAR Criteria                       | Conforms           | None.   | 5.3            |
| Toughness Requirements       |     |                                    |                    |   |                |
| BTP 5-3, Rev 2: Fracture     | 3.3 | Surveillance Test Procedures       | Conforms           | None.   | 5.3            |
| Toughness Requirements       |     |                                    |                    |   |                |
| BTP 5-3, Rev 2: Fracture     | 3.4 | Reporting Criteria                 | Not Applicable     | This acceptance criterion governs plant-        | Not Applicable |
| Toughness Requirements       |     |                                    |                    | specific reporting criteria that are the        |                |
|                              |     |                                    |                    | responsibility of the COL applicant that        |                |
|                              |     |                                    |                    | references the NuScale certified design.        |                |
| BTP 5-3, Rev 2: Fracture     | 3.5 | Technical Specification Changes    | Not Applicable     | This acceptance criterion governs plant-        | Not Applicable |
| Toughness Requirements       |     |                                    |                    | specific activities that are the responsibility |                |
|                              |     |                                    |                    | of the COL applicant that references the        |                |
|                              |     |                                    |                    | NuScale certified design.                       |                |
| BTP 5-3, Rev 2: Fracture     | 4.1 | Pressurized Thermal Shock          | Conforms           | None.   | 5.3            |
| Toughness Requirements       |     | Requirements                       |                    |   |                |
| , 3                          | B.1 | Functional Requirements            | Conforms           | None.   | 5.4.3          |
| Requirements of the Residual |     |                                    |                    |   |                |
| Heat Removal System          |     |                                    |                    |   |                |
|                              | B.2 | Pressure Relief Requirements       | Conforms           | None.   | 5.4.3          |
| Requirements of the Residual |     |                                    |                    |   |                |
| Heat Removal System          |     |                                    |                    |   |                |
|                              |     |                                    |                    |   |                |

### Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

Conformance

Comments

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SRP or DSRS Section, Rev:

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**Revision 5** 

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| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review |
|---|
| Standard (DSRS) (Continued)   |

| SRP or DSRS Section, Rev:<br>Title                        | AC                                     | AC Title/Description   | Conformance<br>Status | Comments  | Section                                |
|---|--|--|-----------------------|---|--|
|   | В.3                                    | Test Requirements  | Conforms              | None.   | 5.4.3                                  |
| Requirements of the Residual<br>Heat Removal System       | B.4                                    | Operational Procedures   |                       | The procedures governed by this<br>acceptance criterion are site-specific and<br>are the responsibility of the COL applicant.   | 5.4.3                                  |
| Requirements of the Residual<br>Heat Removal System       | B.5                                    | Implementation   | Conforms              | None.   | 5.4.3                                  |
| SRP 6.1.1, Rev 2: Engineered<br>Safety Features Materials | 11.1                                   | Materials and Fabrication  | Conforms              | None.   | 6.1.1                                  |
| SRP 6.1.1, Rev 2: Engineered<br>Safety Features Materials | II.1.A                                 | Austenitic Stainless Steels  | Conforms              | None.   | 6.1.1                                  |
| SRP 6.1.1, Rev 2: Engineered<br>Safety Features Materials | II.1.B                                 | Ferritic Steel Welding   | Conforms              | None.   | 6.1.1                                  |
| SRP 6.1.1, Rev 2: Engineered<br>Safety Features Materials | 11.2                                   | Composition and Compatibility of ESF Systems Fluids  | Conforms              | This guidance is applicable except the<br>NuScale design does not provide a method<br>for post-accident pH control as addressed in<br>BTP 6-1.  | 6.1.1                                  |
| SRP 6.1.1, Rev 2: Engineered<br>Safety Features Materials | II.3                                   | Component and Systems Cleaning   | Partially Conforms    | RG 1.37 has been withdrawn by the NRC.  | 6.1.1                                  |
| Safety Features Materials                                 | 11.4                                   | Thermal Insulation   | Conforms              | None.   | 6.1.1                                  |
| Coating Systems (Paints) -<br>Organic Materials           | All                                    | Various  | Not Applicable        | This SRP section is applicable only to the<br>use of protective coatings on surfaces inside<br>the containment. The NuScale Power<br>Module design does not use protective<br>coatings inside the containment vessel. | Not Applicat                           |
| DSRS 6.2.1, Rev 0:<br>Containment Functional<br>Design    | No specific<br>requirements<br>listed. | Applicable acceptance criteria are<br>addressed in SRP 2.4.6, 2.4.10, 2.4.12,<br>3.9.3, 19.0 and DSRS 3.8.2. | SRP or DSRS.          | See SRP 2.4.6, 2.4.10, 2.4.12, 3.9.3, 19.0, and<br>DSRS 3.8.2.  | 2.4<br>3.8.2<br>3.9.3<br>6.2.1<br>19.2 |
| DSRS 6.2.1.1.A, Rev 0:<br>Containment                     | II.1                                   | Design Margin for Containment<br>Design Pressure   | Conform               | The peak containment pressure for the limiting event is less than the design pressure.  | 6.2.1                                  |

| SRP or DSRS Section, Rev:<br>Title                                     | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|--|------|--|-----------------------|---|----------------|
| DSRS 6.2.1.1.A, Rev 0:<br>Containment                                  | 11.2 | Reducing Containment Pressure<br>Following Postulated Design Basis<br>Accident                             | Conforms              | None.   | 6.2.1          |
| DSRS 6.2.1.1.A, Rev 0:<br>Containment                                  | II.3 | Containment Heat Removal<br>Capability and Design Margin - LOCA<br>Assumptions                             | Conforms              | None.   | 6.2.1<br>6.2.2 |
| DSRS 6.2.1.1.A, Rev 0:<br>Containment                                  | 11.4 | Containment Heat Removal<br>Capability and Design Margin -<br>Containment Response Analysis<br>Assumptions | Conforms              | None.   | 6.2.1<br>6.2.2 |
| DSRS 6.2.1.1.A, Rev 0:<br>Containment                                  | II.5 | Protection of Containment from<br>External Pressure Conditions   | Conforms              | None.   | 6.2.1          |
| DSRS 6.2.1.1.A, Rev 0:<br>Containment                                  | II.6 | Containment Monitoring<br>Instrumentation  | Conforms              | None.   | 6.2.1          |
| DSRS 6.2.1.1.A, Rev 0:<br>Containment                                  | 11.7 | Design of Containment Internal<br>Structures and System Components   | Conforms              | None.   | 6.2.1          |
| DSRS 6.2.1.1.A, Rev 0:<br>Containment                                  | II.8 | Evaluation of Accident Involving<br>Generated Hydrogen   | Conforms              | None.   | 6.2.1          |
| DSRS 6.2.1.1.A, Rev 0:<br>Containment                                  | 11.9 | Evaluation of an Accident on other<br>Modules  | Conforms              | None.   | 6.2.1          |
| SRP 6.2.1.1.B, Draft Rev 3: Ice<br>Condenser Containments              | All  | Various  | Not Applicable        | The NuScale design does not use an ice condenser containment.   | Not Applicable |
| SRP 6.2.1.1.C, Rev 7: Pressure<br>Suppression Type BWR<br>Containments | All  | Various  | Not Applicable        | This SRP section and its acceptance criteria<br>apply only to applicants for BWR designs<br>that involve Pressure Suppression Type<br>Containments.   | Not Applicable |
| SRP 6.2.1.2, Rev 3:<br>Subcompartment Analysis                         | All  | Various  | Not Applicable        | This SRP section and its acceptance criteria<br>(II.1 through II.4) are applicable only to LWR<br>designs that involve a containment<br>structure that houses subcompartments.<br>The NuScale containment vessel design<br>does not have subcompartments housing<br>high-energy piping as defined in this<br>guidance (or internal compartments as<br>referred to in GDC 50). | Not Applicable |

Conformance with Regulatory Criteria

| SRP or DSRS Section, Rev:   | AC   | AC Title/Description  | ription Conformance | Comments  | Section        |
|---|------|---|---------------------|---|----------------|
| Title   |      |   | Status              |   |                |
| DSRS 6.2.1.3, Rev 0: Mass and<br>Energy Release Analysis for<br>Postulated Loss-of-Coolant<br>Accidents (LOCAs) |      | Compliance with GDC 50 and<br>10 CFR 50, Appendix K < paragraph<br>I.A - Sources of Heat during the LOCA      | Departure           | The energy from metal-water reactions is<br>not included. The NuScale design supports<br>an exemption from selected portions of<br>10 CFR 50, Appendix K.   | 6.2.1          |
| DSRS 6.2.1.3, Rev 0: Mass and<br>Energy Release Analysis for<br>Postulated Loss-of-Coolant<br>Accidents (LOCAs) | II.2 | Inspections, Tests, Analyses, and<br>Acceptance Criteria (ITAAC) for<br>Design Certification Applications     | Conforms            | None.   | 6.2.1          |
| DSRS 6.2.1.3, Rev 0: Mass and<br>Energy Release Analysis for<br>Postulated Loss-of-Coolant<br>Accidents (LOCAs) |      | Inspections, Tests, Analyses, and<br>Acceptance Criteria (ITAAC) for<br>Combined License (COL) Applications   | Not Applicable      | This acceptance criterion is applicable only to COL applicants.   | Not Applica    |
| DSRS 6.2.1.4, Rev 0: Mass and<br>Energy Release Analysis for<br>Postulated Secondary System<br>Pipe Ruptures    | II.1 | Sources of Energy   | Conforms            | None.   | 6.2.1          |
| DSRS 6.2.1.4, Rev 0: Mass and<br>Energy Release Analysis for<br>Postulated Secondary System<br>Pipe Ruptures    | 11.2 | Mass and Energy Release Rate  | Conforms            | None.   | 6.2.1          |
| DSRS 6.2.1.4, Rev 0: Mass and<br>Energy Release Analysis for<br>Postulated Secondary System<br>Pipe Ruptures    |      | Single-Failure Analyses   | Conforms            | None.   | 6.2.1          |
| Containment Pressure<br>Analysis for Emergency Core<br>Cooling System Performance<br>Capability Studies         | All  | Containment Pressure Model for<br>ECCS Performance Analysis;<br>Containment Response Analyses<br>Conservatism | Not Applicable      | This SRP section and its acceptance criteria<br>are applicable only to PWRs for which a<br>postulated LOCA results in core uncovery.<br>For the NuScale reactor design, a LOCA<br>does not result in core uncovery. | Not Applica    |
| DSRS 6.2.2, Rev 0:<br>Containment Heat Removal<br>Systems   | II.1 | GDC 5, Sharing of Structures,<br>Systems, and Components  | Conforms            | None.   | 6.2.2<br>9.2.5 |

| SRP or DSRS Section, Rev:<br>Title                              | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|------|---|-----------------------|---|----------------|
| Containment Heat Removal<br>Systems                             | II.2 | GDC 38, Containment Heat Removal  | Departure             | The NuScale design supports an exemption<br>from the power provisions of GDC 38. As<br>described in Section 3.1.4, the design<br>complies with a NuScale-specific principal<br>design criterion in lieu of this GDC.                          | 6.2.2          |
| DSRS 6.2.2, Rev 0:<br>Containment Heat Removal<br>Systems       | II.3 | GDC 39, Inspection of Containment<br>Heat Removal System  | Conforms              | None.   | 6.2.2          |
| DSRS 6.2.2, Rev 0:<br>Containment Heat Removal<br>Systems       | 11.4 | GDC 40, Testing of Containment Heat<br>Removal System   | Departure             | The NuScale design does not conform to GDC 40 and the design supports an exemption.   | 3.1.4<br>6.2.2 |
| DSRS 6.2.2, Rev 0:<br>Containment Heat Removal<br>Systems       | 11.5 | 10 CFR 50.46(b)(5), long-term cooling,<br>including adequate water level (head)<br>margin RRVs), in the presence of<br>LOCA-generated and latent debris | Conforms              | None.   | 6.2.2          |
| DSRS 6.2.2, Rev 0:<br>Containment Heat Removal<br>Systems       | 11.6 | Compliance with 10 CFR 50.46(b)(5)<br>as it relates to requirements for long-<br>term cooling   | Conforms              | None.   | 6.2.2          |
| SRP 6.2.3, Rev 3: Secondary<br>Containment Functional<br>Design | All  | Various   | Not Applicable        | This SRP section and its acceptance criteria<br>(II.1 through II.4) apply only to LWR designs<br>that incorporate primary and secondary<br>containment. The NuScale containment<br>vessel design does not include a secondary<br>containment. | Not Applicab   |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System           | II.1 | Instrument Line Isolation   | Conforms              | No instrumentation process lines penetrate containment.   | 6.2.4          |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System           | 11.2 | Isolation of and Leak Detection in<br>Lines in Engineered Safety Feature (or<br>Related) Systems  | Conforms              | None.   | 6.2.4          |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System           | 11.3 | Isolation of and Leak Detection in<br>Lines in Systems Needed for Safe<br>Shutdown  | Conforms              | None.   | 6.2.4          |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System           | 11.4 | Containment Isolation Valve<br>Requirements   | Conforms              | None.   | 6.2.4          |

|   | Standard (DShS) (Continued) |   |                       |                                     |                |
|---|-----------------------------|---|-----------------------|-------------------------------------|----------------|
| SRP or DSRS Section, Rev:<br>Title                    | AC                          | AC Title/Description  | Conformance<br>Status | Comments                            | Section        |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | II.5                        | Containment Isolation Valve<br>Requirements for Engineered Safety<br>Feature (or Related) Systems | Conforms              | None.                               | 6.2.4          |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | 11.6                        | Use of Sealed-Closed Barriers in Place of Automatic Isolation Valves                              | Conforms              | None.                               | 6.2.4          |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | 11.7                        | Use of Relief Valves as Isolation Valves  | Not Applicable        | Relief valves are not used as CIVs. | Not Applicable |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | 11.8                        | Classification of Essential or<br>NonEssential Systems  | Conforms              | None.                               | 6.2.4          |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | 11.9                        | Location of Isolation Valves Outside<br>Containment   | Conforms              | None.                               | 6.2.4          |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | II.10                       | Loss of Power to Automatic Isolation<br>Valves  | Conforms              | None.                               | 6.2.4          |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System | II.11                       | Isolation Reliability   | Conforms              | None.                               | 6.2.4          |

Conforms

None.

### Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

Parameter Diversity for Initiation of

Containment Isolation

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DSRS 6.2.4, Rev 0:

System

Containment Isolation

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| SRP or DSRS Section, Rev:<br>Title                 | AC    | AC Title/Description   | Conformance<br>Status | Comments   | Section |
|--|-------|--|-----------------------|--|---------|
| SRS 6.2.4, Rev 0:<br>ontainment Isolation<br>ystem | II.13 | Radiation Monitors for Initiation of<br>Containment Isolation on Open Paths<br>to the Environs | Departure             | The containment evacuation system has the<br>potential for an open path from<br>containment to the environs but is isolated<br>upon a high containment vessel pressure<br>signal, and a low-low pressurizer level<br>signal. Any in-containment event resulting<br>in core damage or degradation also results<br>in containment isolation on low low<br>pressurizer level and high containment<br>pressure. Any event leading to core<br>damage or degradation, results in<br>containment isolation on low low<br>pressurizer level. These features provide an<br>alternative, reliable means to prevent<br>radiological release from the CES to the<br>environs, consistent with the intent of this<br>Acceptance Criterion. The NuScale design<br>supports an exemption from 50.34(f)(2)(xiv). | 6.2.4   |
| SRS 6.2.4, Rev 0:<br>ontainment Isolation<br>/stem | II.14 | Isolation Valve Closure Times  | Conforms              | None.  | 6.2.4   |
| SRS 6.2.4, Rev 0:<br>ontainment Isolation<br>vstem | II.15 | Use of Closed System Inside<br>Containment   | Conforms              | None.  | 6.2.4   |
| SRS 6.2.4, Rev 0:<br>ontainment Isolation<br>/stem | II.16 | Specific Design Criteria for<br>Containment Isolation Components                               | Conforms              | None.  | 6.2.4   |
| SRS 6.2.4, Rev 0:<br>ontainment Isolation<br>/stem | II.17 | Provisions to Allow Control Room<br>Operator Actions   | Conforms              | None.  | 6.2.4   |
| SRS 6.2.4, Rev 0:<br>ontainment Isolation<br>/stem | II.18 | Operability and Leakage Rate Testing   | Conforms              | None.  | 6.2.4   |
| SRS 6.2.4, Rev 0:<br>ontainment Isolation<br>/stem | II.19 | Reopening of Containment Isolation<br>Valves   | Conforms              | None.  | 6.2.4   |

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| SRP or DSRS Section, Rev:<br>Title                              | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section |
|---|-------|---|-----------------------|---|---------|
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System           | II.20 | Station Blackout  | Conforms              | None.   | 6.2.4   |
| DSRS 6.2.4, Rev 0:<br>Containment Isolation<br>System           | II.21 | Source Term in Radiological<br>Calculations   | Conforms              | None.   | 6.2.4   |
| DSRS 6.2.5, Rev 0:<br>Combustible Gas Control in<br>Containment | 11.1  | Analysis of Hydrogen and Oxygen<br>Concentration Control and<br>Distribution in Containment | Partially Conforms    | The NuScale design combustible gas<br>control system does not use combustible<br>gas control systems. Systems to control<br>hydrogen concentrations within<br>containment are not required because<br>combustion has no impact on CNV integrity.<br>The NuScale design supports an exemption<br>to 10 CFR 50.44(c)(2) as described in DCA<br>Part 7, section 2. The NuScale design<br>includes hydrogen and oxygen monitoring<br>equipment that is capable of continuously<br>measuring the concentration of hydrogen<br>and oxygen in the containment atmosphere<br>following a significant beyond design-basis<br>accident for accident management and<br>emergency planning. | 6.2.5   |
| DSRS 6.2.5, Rev 0:<br>Combustible Gas Control in<br>Containment | 11.2  | Equipment Survivability and Containment Structural Integrity                                | Conforms              | None.   | 6.2.5   |
| DSRS 6.2.5, Rev 0:<br>Combustible Gas Control in<br>Containment | II.3  | Ensuring a Mixed Atmosphere   | Conforms              | None.   | 6.2.5   |
| DSRS 6.2.5, Rev 0:<br>Combustible Gas Control in<br>Containment | 11.4  | Design Requirements of GDC 41   | Departure             | The NuScale design supports an exemption<br>from the power provisions of GDC 41. As<br>described in Section 3.1.4, the design<br>complies with a NuScale-specific principal<br>design criterion in lieu of this GDC.  | 6.2.5   |

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**Revision 5** 

1.9-97

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|--|------|---|-----------------------|---|----------------|
| DSRS 6.2.5, Rev 0:<br>Combustible Gas Control in<br>Containment              | 11.5 | Inspection and Test Requirements of GDC 41, GDC 42, and GDC 43            | Not Applicable        | For GDC 42 and 43, the NuScale design does<br>not include a containment atmospheric<br>cleanup system. Containment integrity is<br>assured without systems to control<br>hydrogen and oxygen concentrations<br>within containment.<br>See acceptance criterion II.4 above for GDC<br>41 compliance.   | Not Applicable |
| DSRS 6.2.5, Rev 0:<br>Combustible Gas Control in<br>Containment              | 11.6 | Containment Structural Integrity<br>Analysis                              | Conforms              | None.   | 6.2.5          |
| DSRS 6.2.6, Rev 0:<br>Containment Leakage<br>Testing                         | All  | Various   | Departure             | The NuScale design supports an exemption<br>from the containment leakage rate testing<br>at design pressure requirements of GDC 52<br>and Type A test requirements of 10 CFR 50<br>Appendix J.  | 6.2.6          |
| SRP 6.2.7, Rev 1: Fracture<br>Prevention of Containment<br>Pressure Boundary | All  | Various   | Conforms              | None.   | 6.2.7          |
| DSRS 6.3, Rev 0: Emergency<br>Core Cooling System                            | II.1 | ECCS Acceptance Criteria of<br>10 CFR 50.46                               | Conforms              | None.   | 6.3.1<br>6.3.3 |
| DSRS 6.3, Rev 0: Emergency<br>Core Cooling System                            | II.2 | Single-Failure Consideration  | Conforms              | None.   | 6.3.1          |
| DSRS 6.3, Rev 0: Emergency<br>Core Cooling System                            | II.3 | Inservice Inspection and Operability<br>Testing                           | Departure             | None.   | 6.3.2          |
| DSRS 6.3, Rev 0: Emergency<br>Core Cooling System                            | 11.4 | Combined Reactivity Control System<br>Capability and Actuation Provisions | Departure             | The guidance in this acceptance criterion<br>related to actuation signals is applicable to<br>ECCS actuation. For the requirements of<br>GDC 27, the NuScale ECCS does not perform<br>a poison addition safety function nor does it<br>provide a makeup function. The NuScale<br>design supports an exemption to GDC 27.<br>As described in Section 3.1.4, the design<br>complies with a NuScale-specific principal | 6.3.1          |

Tier 2

| Standard (DSRS) (Continued)                           |  |   |                |  |  |  |  |  |
|---|--|---|----------------|--|--|--|--|--|
| AC Title/Description                                  | AC Title/Description Conformance Comme<br>Status |   |                |  |  |  |  |  |
| Water Hammer  | Conforms   | None.   | 6.3.1          |  |  |  |  |  |
| Design of Non-Safety-Related<br>Portions of ECCS      | Conforms   | None.   | 6.3.1          |  |  |  |  |  |
| ECCS Interfaces and Shared Systems                    | Conforms   | None.   | 6.3.1          |  |  |  |  |  |
| Long Term Cooling                                     | Conforms   | None.   | 6.3.1          |  |  |  |  |  |
| ECCS Outage Times and Reports on<br>Unavailability    | Conforms   | None.   | 6.3.2          |  |  |  |  |  |
| Programmatic Requirements                             | Conforms   | None.   | 6.3.1          |  |  |  |  |  |
| Control Room Emergency Zone                           | Conforms   | None.   | 6.4            |  |  |  |  |  |
| Ventilation System Criteria                           | Conforms   | None.   | 6.4            |  |  |  |  |  |
| Pressurization Systems                                | Conforms   | None.   | 6.4            |  |  |  |  |  |
| <br>Emergency Standby Atmosphere<br>Filtration System | Not Applicable                                   | This guidance is applicable only to reactor designs that rely on emergency filtration for control room habitability during a design | Not Applicable |  |  |  |  |  |

basis accident. The NuScale control room habitability system neither relies on nor uses emergency filtration to protect

operators during accident conditions. Rather, clean air is provided using

compressed air tanks.

# Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

SRP or DSRS Section, Rev: Title DSRS 6.3, Rev 0: Emergency

Core Cooling System 
Habitability System

Habitability System

Habitability System

Habitability System

SRP 6.4, Rev 3: Control Room II.1

SRP 6.4, Rev 3: Control Room II.2

SRP 6.4, Rev 3: Control Room II.3

SRP 6.4, Rev 3: Control Room

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| SRP or DSRS Section, Rev:<br>Title  | AC     | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|---|--------|--|-----------------------|---|----------------|
| SRP 6.4, Rev 3: Control Room<br>Habitability System                           | 11.5   | Relative Location of Source and<br>Control Room  | Not Applicable        | This guidance is applicable only to reactor<br>designs that rely on the control room<br>emergency ventilation system for control<br>room habitability during a design basis<br>accident. The NuScale control room<br>habitability system uses compressed air<br>tanks as a clean air source during<br>postulated accident events. This eliminates<br>the potential for radioactive material or<br>toxic gases to enter the control room via<br>ventilation system inlets. | Not Applicable |
| SRP 6.4, Rev 3: Control Room<br>Habitability System                           | II.6.A | Dose Guidelines for Current<br>Operating Reactors That Do Not<br>Implement an Alternative Source<br>Term   | Not Applicable        | This guidance is applicable only to currently operating reactors.   | Not Applicabl  |
| SRP 6.4, Rev 3: Control Room<br>Habitability System                           | II.6.B | Dose Guidelines for New Reactors<br>and Licensees That Implement an<br>Alternative Source Term   | Conforms              | The subtier RG 1.183 is partially applicable.   | 6.4.1          |
| SRP 6.4, Rev 3: Control Room<br>Habitability System                           | 11.7   | Toxic Gas Hazards  | Partially Conforms    | Programmatic requirements are the COL applicant responsibility.   | 6.4            |
| SRP 6.5.1, Rev 4: ESF<br>Atmosphere Cleanup<br>Systems                        | 11     | First full paragraph on Page 6.5.1-6,<br>Design, Testing, and Maintenance of<br>ESF Atmosphere Cleanup System Air<br>Filtration and Adsorption Units | Not Applicable        | The NuScale Power Plant design does not<br>use engineered safety feature (ESF) filter<br>systems or ESF ventilation systems to<br>mitigate the consequences of a design basis<br>accident. In the NuScale Power Plant design<br>there is a nonsafety-related Reactor<br>Building heating ventilating and air<br>conditioning system which includes<br>filtering; however, it is not credited in the<br>dose analysis.   | Not Applicabl  |
| SRP 6.5.2, Rev 4: Containment<br>Spray as a Fission Product<br>Cleanup System | All    | Various  | Not Applicable        | This SRP section and its acceptance criteria<br>(II.1 through II.3) are applicable only to large<br>LWRs with containment spray systems. The<br>NuScale containment vessel design does<br>not incorporate a spray system.   | Not Applicabl  |

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| SRP or DSRS Section, Rev:<br>Title                                     | AC   | AC Title/Description                     | Conformance<br>Status | Comments   | Section      |
|--|------|--|-----------------------|--|--------------|
| SRP 6.5.3, Rev 3: Fission<br>Product Control Systems and<br>Structures | 11.1 | Primary Containment                      | Partially Conforms    | A portion of this acceptance criterion and its<br>subtier guidance is applicable only to LWR<br>designs that include containment fission<br>product clean-up systems. The NuScale<br>containment vessel does not contain fission<br>product clean up systems, nor does it<br>include or require pressure suppression<br>systems (e.g., suppression pools or active<br>containment heat removal systems such as<br>containment spray) that serve a fission<br>product removal/dose mitigation function.<br>Rather, fission product control is inherent in<br>the passive design of the NuScale Power<br>Module, wherein the compact containment<br>vessel is submerged in the reactor pool.<br>Therefore, the aspects of this guidance<br>related to these systems are not applicable<br>to the DCA. This guidance is applicable to<br>the review of certain NuScale containment<br>parameters and design features, such as<br>design leakage rate and systems leakage<br>prior to containment isolation. | 6.5.3        |
| SRP 6.5.3, Rev 3: Fission<br>Product Control Systems and<br>Structures | 11.2 | Secondary Containment                    | Not Applicable        | This acceptance criterion is applicable only<br>to LWRs that incorporate both a primary<br>and secondary containment. The NuScale<br>containment vessel design does not include<br>a secondary containment.  | Not Applicab |
| SRP 6.5.3, Rev 3: Fission<br>Product Control Systems and<br>Structures | 11.4 | Other Fission Product Control<br>Systems | Not Applicable        | The only credited ESF fission product<br>control system in the NuScale Power Plant<br>design is the containment vessel in<br>conjunction with the containment isolation<br>valves and passive containment isolation<br>barriers.   | Not Applicab |

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| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review |  |  |  |  |
|---|--|--|--|--|
| Standard (DSRS) (Continued)   |  |  |  |  |

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section                       |
|---|------|--|-----------------------|---|-------------------------------|
| Condenser as a Fission<br>Product Cleanup System                                      | All  | Various  | Not Applicable        | This SRP section and its acceptance criteria<br>are applicable only to applicants for plant<br>designs that involve ice condenser<br>containments. The NuScale reactor design<br>does not use an ice condenser containment.   | Not Applicat                  |
| SRP 6.5.5, Rev 1: Pressure<br>Suppression Pool as a Fission<br>Product Cleanup System | All  | Various  | Not Applicable        | This SRP section and its acceptance criteria<br>are applicable only to large LWRs that credit<br>a pressure suppression pool for fission<br>product scrubbing and retention (i.e.,<br>BWRs). The NuScale reactor design does not<br>credit or use a suppression pool. | Not Applicat                  |
| Inspection and Testing of<br>Class 2 and 3 Components                                 | 11.1 | Components Subject to Inspection                               | Conforms              | None.   | 6.6.1                         |
| Inspection and Testing of<br>Class 2 and 3 Components                                 | 11.2 | Accessibility  | Conforms              | None.   | 6.6.2                         |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components   | 11.3 | Examination Categories and Methods                             | Conforms              | None.   | 6.6.3                         |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components   | 11.4 | Inspection Intervals   | Conforms              | None.   | 6.6.4                         |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components   | 11.5 | Evaluation of Examination Results                              | Conforms              | None.   | 6.6.5                         |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components   | 11.6 | System Pressure Tests  | Conforms              | None.   | 6.6.7                         |
| Inspection and Testing of<br>Class 2 and 3 Components                                 | 11.7 | Structural Supports  | Conforms              | None.   | 6.6.1<br>6.6.5<br>Table 6.6-1 |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components   | 11.8 | Augmented ISI to Protect Against<br>Postulated Piping Failures | Conforms              | None.   | 6.6.8                         |

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| SRP or DSRS Section, Rev:<br>Title   | AC    | AC Title/Description                      | Conformance<br>Status | Comments   | Section        |
|--|-------|---|-----------------------|--|----------------|
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components        | 11.9  | Code Exemptions                           | Conforms              | None.  | 6.6            |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components        | II.10 | Relief Requests                           | Conforms              | None.  | 6.6            |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components        | II.11 | Code Cases                                | Conforms              | None.  | 6.6            |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components        | II.12 | Operational Programs                      | Not Applicable        | The operational program and<br>implementation milestones governed by<br>this acceptance criterion are the<br>responsibility of the COL applicant.  | Not Applicable |
| DSRS 6.6, Rev 0: Inservice<br>Inspection and Testing of<br>Class 2 and 3 Components        | II.13 | Risk Informed ISI Program                 | Not Applicable        | None.  | Not Applicable |
| SRP 6.7, Draft Rev 3: Main<br>Steam Isolation Valve<br>Leakage Control System<br>(BWR)     | All   | Various                                   | Not Applicable        | This SRP section and its acceptance criteria are applicable only to BWRs.  | Not Applicable |
| BTP 6-1, (March 2007): pH for<br>Emergency Coolant Water for<br>Pressurized Water Reactors |       | Minimum pH for Emergency Coolant<br>Water | Conforms              | This acceptance criterion is applicable but<br>certain language in BTP 6-1, which would<br>be applied by Acceptance Criterion B.1,<br>refers to SSC that are not in the NuScale<br>design. Specifically, the NuScale design<br>does not use a containment spray system or<br>a sump. However, during ECCS operation,<br>ECCS water does collect inside the NuScale<br>containment vessel for recirculation back to<br>the reactor core, and thus the intent of this<br>acceptance criterion is applicable to the<br>DCA. | 6.1.1          |

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| SRP or DSRS Section, Rev:<br>Title   | AC                    | AC Title/Description  | Conformance<br>Status | Comments   | Section        |
|--|-----------------------|---|-----------------------|--|----------------|
| BTP 6-1, (March 2007): pH for<br>Emergency Coolant Water for<br>Pressurized Water Reactors | B.2                   | Spray Water pH and Water Chemistry<br>Requirements for Fission Product<br>Removal | Partially Conforms    | The intent of a portion of this acceptance<br>criterion is applicable but the specific<br>language refers to SSC that are not in the<br>NuScale design. Specifically the NuScale<br>design does not use a containment spray<br>system or a sump. However, during ECCS<br>operation, ECCS water does collect inside<br>the NuScale containment vessel for<br>recirculation back to the reactor core, and<br>thus the pH guideline contained in this<br>acceptance criterion is applicable to the<br>DCA.                  | 6.2.2          |
| BTP 6-1, (March 2007): pH for<br>Emergency Coolant Water for<br>Pressurized Water Reactors | B.3                   | Hydrogen Generation from<br>Aluminum Corrosion                                    | Conforms              | This acceptance criterion is applicable but<br>certain language in BTP 6-1, which would<br>be applied by Acceptance Criterion B.3,<br>refers to SSC that are not in the NuScale<br>design. Specifically, the NuScale design<br>does not use a containment spray system or<br>a sump. However, during ECCS operation,<br>ECCS water does collect inside the NuScale<br>containment vessel for recirculation back to<br>the reactor core, and thus the intent of this<br>acceptance criterion is applicable to the<br>DCA. | 6.3.2          |
| Containment Pressure Model<br>for PWR ECCS Performance                                     | All (B.1 thru<br>B.3) | Various   | Not Applicable        | This guidance is applicable only to PWRs for<br>which a postulated LOCA results in core<br>uncovery. For the NuScale design, a LOCA<br>does not result in core uncovery.   | Not Applicable |
| BTP 6-3, Rev 3: Determination<br>of Bypass Leakage Paths in<br>Dual Containment Plants     | All                   | Various   | Not Applicable        | These acceptance criteria (B.1 through B.9)<br>are applicable only to large LWRs that<br>incorporate both a primary and secondary<br>containment. The NuScale containment<br>vessel design does not include a secondary<br>containment.  | Not Applicable |

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# Conformance with Regulatory Criteria

# Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific ReviewStandard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC                    | AC Title/Description      | Conformance<br>Status | Comments  | Section                      |
|---|-----------------------|---------------------------|-----------------------|---|------------------------------|
| BTP 6-4, Rev 3: Containment<br>Purging During Normal Plant<br>Operations  | All (B.1 thru<br>B.5) | Various                   | Not Applicable        | This guidance pertains to containment<br>purge systems used to vent containment<br>directly to the environs. While the NuScale<br>containment vessel design includes an<br>evacuation system, it serves a different<br>purpose than a purge system, and includes<br>features that provide suitable means to<br>prevent radiological release to the environs<br>(see DSRS 6.2.4, AC II.13). (The NuScale<br>containment vessel evacuation system<br>valve closure times are addressed under<br>SRP Section 6.2.4.) | Not Applicable               |
| BTP 6-5, Rev 3: Currently the<br>Responsibility of Reactor<br>Systems Piping From the<br>RWST (or BWST) and<br>Containment Sump(s) to the<br>Safety Injection Pumps | All                   | Various                   | Not Applicable        | This guidance is applicable only to LWR<br>ECCS designs that rely on safety injection<br>pumps and refueling (or borated) water<br>storage tanks. The NuScale ECCS design<br>does not use pumps or refueling water<br>storage tanks (or equivalent).  | Not Applicabl                |
| Instrumentation and Controls<br>- Introduction and Overview<br>of Review Process  | All                   | Various                   | Conforms              | This DSRS section provides a general<br>description of the process for reviewing I&C<br>systems that is applicable to the DCA.<br>However, this guidance does not contain<br>specific acceptance criteria. Specific<br>acceptance criteria for SRP Chapter 7 are<br>provided in the individual SRP Chapter 7<br>sections and are summarized in SRP Section<br>7.1, SRP Table 7-1, and SRP Appendix 7.1-A.   | 7.0                          |
| DSRS Appendix 7.0-A, Rev 0:<br>I&C - Hazard Analysis  | -                     | I&C - Hazard Analysis     | Conforms              | None.   | 7.1.8                        |
| DSRS Appendix 7.0-B, Rev 0:<br>I&C - System Architecture  | -                     | I&C - System Architecture | Conforms              | None.   | 7.0.3<br>7.0.4<br>7.1<br>7.2 |
| DSRS Appendix 7.0-C, Rev 0:<br>I&C - Simplicity   | -                     | I&C - Simplicity          | Conforms              | None.   | 7.1.6<br>7.1.7<br>7.1.8      |

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| SRP or DSRS Section, Rev:<br>Title                        | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section |
|---|------|--|-----------------------|---|---------|
| DSRS 7.1.1, Rev 0:  | All  | Specific SRP Acceptance Criteria   | Conforms              | None.   | 7.1     |
| Fundamental Design<br>Principles                          |      | Applicable to I&C Systems Important to Safety are Listed in Table 7.0-1. |                       |   | 7.1.1   |
| DSRS 7.1.2, Rev 0:  | II.1 | Ensure compliance to current version                                     | Conforms              | None.   | 7.1.2   |
| Independence  |      | of RG 1.75   |                       |   |         |
| DSRS 7.1.2, Rev 0:<br>Independence                        | 11.2 | Ensure compliance to current version of RG 1.152                         | Conforms              | None.   | 7.1.2   |
| DSRS 7.1.3, Rev 0:<br>Redundancy                          | -    | Conformance with RG 1.53   | Conforms              | None.   | 7.1.3   |
| DSRS 7.1.4, Rev 0:<br>Predictability and<br>Repeatability | -    | Predictability and Repeatability   | Conforms              | None.   | 7.1.4   |
| DSRS 7.1.5, Rev 0: Diversity<br>and Defense in Depth      | II.1 | Methods for performing D3 analyses of reactor protection systems         | Conforms              | The D3 assessment of the NuScale I&C<br>design is consistent with the guidelines in<br>NUREG/CR-6303.   | 7.1.5   |
| DSRS 7.1.5, Rev 0: Diversity<br>and Defense in Depth      | 11.2 | SECY-93-087  | Conforms              | Conformance to the applicable regulatory<br>guidance from the staff requirement<br>memorandum to SECY-93-087 is<br>summarized in Section 7.1.5. | 7.1.5   |
| DSRS 7.1.5, Rev 0: Diversity                              | II.3 | GL 85-06   | Conforms              | Conformance to 10 CFR 50.62 is  | 7.1.1   |
| and Defense in Depth                                      |      |  |                       | summarized in Section 7.1.6. I&C systems  | 7.1.5   |
|   |      |  |                       | are designed to the quality assurance program described in Section 17.5.  | 7.1.6   |
| DSRS 7.1.5, Rev 0: Diversity<br>and Defense in Depth      | 11.4 | Conformance to RG 1.53   | Conforms              | None.   | 7.1.5   |
| DSRS 7.1.5, Rev 0: Diversity<br>and Defense in Depth      | II.5 | Conformance to RG 1.62   | Conforms              | See RG 1.62 in Table 1.9-2.   | 7.1.5   |
| DSRS 7.1.5, Rev 0: Diversity                              | II.6 | Conformance to IEEE Std. 7-4.3.2   | Conforms              | None.   | 7.1.1   |
| and Defense in Depth                                      |      |  |                       |   | 7.1.2   |
|   |      |  |                       |   | 7.1.5   |
| DSRS 7.2.1 Rev. 0: Quality                                | II.1 | Conformance to RG 1.28   | Conforms              | See RG 1.28 in Table 1.9-2.   | 7.2.1   |
| DSRS 7.2.1 Rev. 0: Quality                                | II.2 | Conformance to RG 1.152  | Conforms              | See RG 1.152 in Table 1.9-2.  | 7.2.1   |
| DSRS 7.2.1 Rev. 0: Quality                                | II.3 | Conformance to RG 1.168  | Partially Conforms    | See RG 1.168 in Table 1.9-2.  | 7.2.1   |
| DSRS 7.2.1 Rev. 0: Quality                                | II.4 | Conformance to RG 1.169  | Partially Conforms    | See RG 1.169 in Table 1.9-2.  | 7.2.1   |
| DSRS 7.2.1 Rev. 0: Quality                                | II.5 | Conformance to RG 1.170  | Partially Conforms    | See RG 1.170 in Table 1.9-2.  | 7.2.1   |
| DSRS 7.2.1 Rev. 0: Quality                                | II.6 | Conformance to RG 1.171  | Partially Conforms    | See RG 1.171 in Table 1.9-2.  | 7.2.1   |

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| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description             | Conformance<br>Status | Comments  | Sectio |
|--|------|----------------------------------|-----------------------|---|--------|
| DSRS 7.2.1 Rev. 0: Quality   | II.7 | Conformance to RG 1.172          | Partially Conforms    | See RG 1.172 in Table 1.9-2.  | 7.2.1  |
| DSRS 7.2.1 Rev. 0: Quality   | II.8 | Conformance to RG 1.173          | Partially Conforms    | See RG 1.173 in Table 1.9-2.  | 7.2.1  |
| DSRS 7.2.2, Rev 0: Equipment<br>Qualification  | II.1 | Conformance to IEEE Std 7- 4.3.2 | Conforms              | Digital I&C safety systems conform to the<br>guidance in Section 5.4 of IEEE Std 7- 4.3.2-<br>2003, IEEE Standard Criteria for Digital<br>Computers in Safety Systems of Nuclear<br>Power Generating Stations, as endorsed<br>(with identified exceptions and<br>clarifications) by RG 1.152, Rev. 3. | 7.2.2  |
| DSRS 7.2.2, Rev 0: Equipment<br>Qualification  | 11.2 | Conformance to RG 1.209          | Conforms              | None.   | 7.2.2  |
| DSRS 7.2.2, Rev 0: Equipment<br>Qualification  | 11.3 | Conformance to RG 1.151          | Partially Conforms    | See RG 1.151 in Table 1.9-2.  | 7.2.2  |
| DSRS 7.2.2, Rev 0: Equipment<br>Qualification  | 11.4 | Conformance to RG 1.180          | Partially Conforms    | See RG 1.180 in Table 1.9-2.  | 7.2.2  |
| DSRS 7.2.2, Rev 0: Equipment<br>Qualification  | II.5 | Conformance to RG 1.204          | Partially Conforms    | See RG 1.204 in Table 1.9-2.  | 7.2.2  |
| DSRS 7.2.3, Rev 0: Reliability,<br>Integrity, and Completion of<br>Protective Action | 11.1 | Conformance to IEEE Std 7-4.3.2  | Conforms              | Digital I&C safety systems conform to the<br>reliability, integrity, and completion of<br>protective action guidance in Sections 5.5,<br>and 5.15 of IEEE Std 7-4.3.2-2003, as<br>endorsed by RG 1.152 Rev. 3.  | 7.2.3  |
| DSRS 7.2.4, Rev 0: Operating<br>and Maintenance Bypasses                             | II.1 | Conformance to RG 1.47           | Conforms              | None.   | 7.2.4  |
| DSRS 7.2.5, Rev 0: Interlocks  | 11.1 | Conformance to IEEE Std 7-4.3.2  | Conforms              | For computer-based interlocks, the<br>components and system conform to the<br>guidance for digital computers in IEEE Std 7-<br>4.3.2, as endorsed (with identified<br>exceptions and clarifications) by RG 1.152<br>Rev. 3.   | 7.2.5  |
| DSRS 7.2.6, Rev 0: Derivation of System Inputs                                       | All  | Various                          | Conforms              | There are no specific DSRS acceptance criteria in this section.   | 7.2.6  |
| DSRS 7.2.7, Rev 0: Setpoints   | II.1 | Conformance to RG 1.105          | Partially Conforms    | See RG 1.105 in Table 1.9-2.  | 7.2.7  |

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description                          | Conformance<br>Status | Comments   | Section                   |
|---|------|---|-----------------------|--|---------------------------|
| DSRS 7.2.7, Rev 0: Setpoints  | 11.2 | NRC Regulatory Issue Summary (RIS)<br>2006-17 | Conforms              | The setpoint methodology conforms to<br>ISA-67.04.01-2006,<br>Setpoints for Nuclear Safety-Related<br>Instrumentation, which addresses the issues<br>identified in RIS 2006-17.  | 7.2.7                     |
| DSRS 7.2.7, Rev 0: Setpoints  | II.3 | Generic Letter (GL) 91-04                     | Conforms              | The guidance of GL 91-04 is applicable to<br>the setpoint methodology as described in<br>TR-616-49121, NuScale Instrument Setpoint<br>Methodology Technical Report (Ref. 7.2-27).  | 7.2.7                     |
| DSRS 7.2.8, Rev 0: Auxiliary<br>Features  | All  | Various                                       | Conforms              | There are no specific DSRS acceptance criteria in this section.  | 7.2.8                     |
| DSRS 7.2.9, Rev 0: Control of<br>Access, Identification, and<br>Repair                        | II.1 | Conformance to IEEE Std 7-4.3.2               | Conforms              | Digital I&C safety systems and components<br>conform to the identification guidance in<br>Section 5.11 of IEEE Std 7-4.3.2-2003.   | 7.2.9                     |
| DSRS 7.2.9, Rev 0: Control of<br>Access, Identification, and<br>Repair                        | 11.2 | Conformance to RG 1.75                        | Conforms              | None.  | 7.2.9                     |
| DSRS 7.2.10, Rev 0:<br>Interaction Between Sense<br>and Command Features and<br>Other Systems | All  | Varies  | Conforms              | There are no specific DSRS acceptance<br>criteria in this section. However, the<br>guidance provided is used to review the<br>acceptability of the information associated<br>with interaction between sense and<br>command features and other systems. | 7.2.10                    |
| DSRS 7.2.11, Rev 0: Multi-Unit<br>Stations  | 11.1 | Conformance to RG 1.53                        | Conforms              | None.  | 7.2.11                    |
| DSRS 7.2.12, Rev 0: Automatic<br>and Manual Controls  | II.1 | Conformance to RG 1.62                        | Conforms              | See RG 1.62 in Table 1.9-2.  | 7.2.12                    |
| DSRS 7.2.13, Rev 0: Displays<br>and Monitoring  | II.1 | Conformance to RG 1.97                        | Partially Conforms    | See RG 1.97 in Table 1.9-2.  | 7.2.13                    |
| DSRS 7.2.13, Rev 0: Displays<br>and Monitoring  | 11.2 | Conformance to RG 1.47                        | Conforms              | None.  | 7.2.4<br>7.2.13<br>7.2.15 |
| DSRS 7.2.13, Rev 0: Displays<br>and Monitoring  | 11.3 | SECY-93-087                                   | Conforms              | The main control room and remote<br>shutdown station are designed to maintain<br>alarm system reliability in accordance with<br>item II.T of SECY-93-087.  | 7.2.13                    |

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Section

7.2.14

7.2.15

7.2.15

8.1.4

8.2.2

8.3.1

8.3.2

8.4

Not Applicable

8.2.3

8.2.3

8.2.3

8.2.3

guidance provided is used to review the acceptability of information associated with

Digital I&C safety systems and components

DSRS Table 8-1 provides a matrix of the NRC

requirements, guidance, and Commission

policy documents, and industry codes and

standards that are applied as acceptance

criteria and guidance to the review of the

8.3.1, 8.3.2, and 8.4. Some of these documents are not relevant or are only partially relevant to the NuScale design.

Conformance with GDC 5 is the

described in Section 8.2.2.

from GDC 33.

responsibility of the COL applicant as

The NuScale design supports an exemption

from GDC 17 that includes the associated

requirements for the offsite power system.

The NuScale design supports an exemption

The NuScale design supports an exemption

NuScale complies with a set of principal

design criteria in lieu of these GDC.

from GDC 18 that includes the associated requirements for the offsite power system.

electrical systems described in Sections 8.2,

human factors considerations.

See RG 1.118 in Table 1.9-2.

4.3.2-2003.

conform to the guidance related to capability for test and calibration in Sections 5.7, 5.5.2, and 5.5.3 of IEEE Std 7-

| lapie   | Table 1.9-3: Conformance with NOREG-0800, Standard Review Plan (SRP) and Design Specific Review<br>Standard (DSRS) (Continued) |                      |                       |   |   |  |  |  |  |  |
|---|--|----------------------|-----------------------|---|---|--|--|--|--|--|
| SRP or DSRS Section, Rev:<br>Title                  | AC   | AC Title/Description | Conformance<br>Status | Comments  | S |  |  |  |  |  |
| DSRS 7.2.14, Rev 0: Human<br>Factors Considerations | All  | Various              | Conforms              | There are no specific DSRS acceptance<br>criteria in this section. However, the |   |  |  |  |  |  |

Conforms

Partially Conforms

Partially Conforms

Not Applicable

Departure

Departure

Departure

Departure

Conformance to IEEE Std 7-4.3.2

Contained in SRP Sections 8.2, 8.3.1,

8.3.2, and 8.4 (summarized in Table 8-

Conformance to RG 1.118

Compliance with GDC 5

Compliance with GDC 17

Compliance with GDC 18

Compliance with GDC 33

and 44

Compliance with GDCs 34, 35, 38, 41,

II (No Number) Specific SRP Acceptance Criteria

1)

with NUPEC 0800 Standard Boyiow Plan (SPB) and Dosign Specific Poviow

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DSRS 7.2.15, Rev 0: Capability II.1

DSRS 7.2.15, Rev 0: Capability II.2

DSRS 8.2, Rev 0: Offsite Power II.1

DSRS 8.2, Rev 0: Offsite Power II.2

DSRS 8.2, Rev 0: Offsite Power II.3

DSRS 8.2, Rev 0: Offsite Power II.4

DSRS 8.2, Rev 0: Offsite Power II.4

for Test and Calibration

for Test and Calibration DSRS 8.1, Rev 0: Electric

Power - Introduction

System

System

System

System

System

| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review |  |
|---|--|
| Standard (DSRS) (Continued)   |  |

| SRP or DSRS Section, Rev:<br>Title              | AC             | AC Title/Description                             | Conformance<br>Status | Comments  | Section        |
|---|----------------|--|-----------------------|---|----------------|
| DSRS 8.2, Rev 0: Offsite Power<br>System        | 11.5           | Compliance with 10 CFR 50.63 -<br>Passive Design | Conforms              | The details regarding conformance with<br>10 CFR 50.63 are described in Section 8.4,<br>Station Blackout.   | 8.2.3<br>8.4   |
| DSRS 8.2, Rev 0: Offsite Power<br>System        | 11.6           | Compliance with 10 CFR 50.65(a)(4)               | Not Applicable        | Development of the maintenance rule<br>(10 CFR 50.65) program is the responsibility<br>of the COL applicant referencing the<br>certified design.  | Not Applicable |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | 11.1           | Compliance with GDC 2                            | Conforms              | Onsite AC power systems conform to GDC 2 to the extent described in Section 8.3.1.2.1.  | 8.1.4<br>8.3.1 |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | 11.2           | Compliance with GDC 4                            | Conforms              | Onsite AC power systems conform to GDC 4 to the extent described in Section 8.3.1.2.2.  | 8.1.4<br>8.3.1 |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | 11.3           | Compliance with GDC 5                            | Partially Conforms    | Onsite AC power systems conform to GDC 5 to the extent described in Section 8.3.1.  | 8.1.4<br>8.3.1 |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | 11.4           | Compliance with GDC 17                           | Departure             | The NuScale design supports an exemption<br>from GDC 17 that includes the associated<br>requirements for the onsite AC power<br>system.   | 8.3.1          |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | 11.5           | Compliance with GDC 18                           | Departure             | The NuScale design supports an exemption<br>from GDC 18 that includes the associated<br>requirements for the onsite AC power<br>system.   | 8.1.4<br>8.3.1 |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | II (No Number) | Compliance with GDC 33                           | Departure             | The NuScale design supports an exemption from GDC 33.   | 8.1.4<br>8.3.1 |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | ll (No Number) | Compliance with GDCs 34, 35, 38, 41, and 44      | Departure             | NuScale complies with a set of principal design criteria in lieu of these GDC.  | 8.1.4<br>8.3.1 |
| Systems (Onsite)                                | 11.6           | Compliance with GDC 50                           | Conforms              | The electrical design requirements<br>associated with GDC 50 for electrical<br>penetration assemblies (EPAs) are included<br>in Section 8.3.  | 8.1<br>8.3     |
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | 11.7           | Compliance with 10 CFR 50.65(a)(4)               | Not Applicable        | Development of the maintenance rule<br>(10 CFR 50.65) program including the<br>identification of SSC that require<br>assessment per 10 CFR 50.65(a)(4) is the<br>responsibility of the COL applicant<br>referencing the certified design. |                |

# Conformance with Regulatory Criteria

# Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title              | AC             | AC Title/Description                       | Conformance<br>Status | Comments   | Section       |
|---|----------------|--|-----------------------|--|---------------|
| DSRS 8.3.1, Rev 0: AC Power<br>Systems (Onsite) | II.8           | Compliance with 10 CFR 50.55a(h)           | Not Applicable        | No onsite electrical AC power system<br>equipment is required to conform to<br>10 CFR 50.55a(h) and IEEE Std. 603-1991.                      | Not Applicabl |
| DSRS 8.3.1, Rev 0: AC<br>Power Systems (Onsite) | 11.9           | Compliance with 10 CFR 52.47(b)(1)         | Conforms              | None.  | 8.1<br>8.3    |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | ll (No Number) | Compliance with GDC 2                      | Conforms              | None.  | 8.3.2         |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | ll (No Number) | Compliance with GDC 4                      | Conforms              | None.  | 8.3.2         |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | ll (No Number) | Compliance with GDC 5                      | Conforms              | None.  | 8.3.2         |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | ll (No Number) | Compliance with GDC 17                     | Departure             | The NuScale design supports an exemption<br>from GDC 17 that includes the associated<br>requirements for the onsite DC power<br>systems.     | 8.3.2         |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | ll (No Number) | Compliance with GDC 18                     | Departure             | The NuScale design supports an exemption<br>from GDC 18 that includes the associated<br>requirements for the onsite DC power<br>systems.     | 8.3.2         |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | ll (No Number) | Compliance with GDC 33                     | Departure             | The NuScale design supports an exemption from GDC 33.  | 8.3.2         |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | ll (No Number) | Compliance with GDC 34, 35, 38, 41, and 44 | Departure             | Nuscale complies with a set of principal design criteria in lieu of these GDC.   | 8.3.2         |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | ll (No Number) | Compliance with GDC 50                     | Conforms              | The electrical design requirements<br>associated with GDC 50 for electrical<br>penetration assemblies (EPAs) are included<br>in Section 8.3. | 8.1<br>8.3    |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | 11.1           | Conformance with RG 1.32                   | Partially Conforms    | As it applies to certain aspects of the EDSS design.   | 8.3.2         |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | 11.2           | Conformance with RG 1.75                   | Conforms              | As it applies to certain aspects of the EDSS design.   | 8.3.2         |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | II.3           | Conformance with RG 1.81                   | Partially Conforms    | As it applies to certain aspects of the EDSS design.   | 8.3.2         |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite) | 11.4           | Conformance with RG 1.118                  | Partially Conforms    | As it applies to certain aspects of the EDSS design.   | 8.3.2         |

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| SRP or DSRS Section, Rev:<br>Title  | AC                    | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|---|-----------------------|--|-----------------------|---|----------------|
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite)   | II.5                  | Conformance with RG 1.153  | Partially Conforms    | As it applies to certain aspects of the EDSS design.  | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite)   | II.6                  | Conformance with RG 1.153  |                       | As it applies to certain aspects of the EDSS design.  | 8.3.2          |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite)   | 11.7                  | Conformance with RG 1.63   | Partially Conforms    | See RG 1.63 in Table 1.9-2.   | 8.1<br>8.3.2   |
| DSRS 8.3.2, Rev 0: DC Power<br>Systems (Onsite)   | 11.8                  | Conformance with RG 1.160  | Not Applicable        | Development of the maintenance rule (10<br>CFR 50.65) program including the<br>identification of SSC that require<br>assessment per 10 CFR 50.65(a)(4) is the<br>responsibility of the COL applicant<br>referencing the certified design.   | 8.3.2          |
| DSRS 8.4, Rev 0: Station<br>Blackout  | II.1                  | Compliance with 10 CFR 50.63 and the guidelines of RG 1.155                    | Partially Conforms    | None.   | 8.4            |
| DSRS 8.4, Rev 0: Station<br>Blackout  | 11.2                  | Use of Alternate AC Power Sources<br>and RTNSS for Plants of Passive<br>Design | Partially Conforms    | As described in Section 8.4, all safety-<br>related functions can be performed without<br>reliance on AC power for 72 hours after an<br>SBO event. As described in Section 19.3, a<br>RTNSS process has been implemented.<br>Consequently, the Alternate AC Power<br>Source is not applicable to the NuScale<br>design. | 8.4<br>19.3    |
| DSRS 8.4, Rev 0: Station<br>Blackout  | 11.3                  | Independence of SBO-related power sources                                      | Partially Conforms    | Although DC power supplies are not<br>required to meet the SBO mitigation<br>requirements of 10 CFR 50.63, the<br>independence of SBO related power<br>supplies (EDSS) is described in Section 8.3.   | 8.3.2<br>8.4.3 |
| SRP Appendix 8-A, Rev1:<br>General Agenda, Station Site<br>Visits                                 | All                   | Various  | Not Applicable        | This SRP appendix governs staff visits to<br>plant sites as part of licensing reviews<br>during the operating or COL stage.   | Not Applicable |
| SRP BTP 8-1, Rev 3:<br>Requirements on Motor-<br>Operated Valves in the ECCS<br>Accumulator Lines | All (B.1 thru<br>B.4) | Various  | Not Applicable        | The NuScale design does not use safety<br>injection tanks (or equivalent) in response<br>to a design basis accident. Design and<br>operation of the NuScale ECCS also do not<br>involve motor-operated valves.  | Not Applicable |

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|   | Standard (DSRS) (Continued) |  |                       |  |                |  |  |  |  |
|---|-----------------------------|--|-----------------------|--|----------------|--|--|--|--|
| SRP or DSRS Section, Rev:<br>Title  | AC                          | AC Title/Description   | Conformance<br>Status | Comments   | Section        |  |  |  |  |
| SRP BTP 8-2, Rev 3: Use of<br>Diesel-Generator Sets for<br>Peaking  | В.                          | Use of Onsite Emergency Power<br>Diesel-Generator Sets for Purposes<br>Other Than Supplying Standby Power<br>is Prohibited   | Conforms              | The backup diesel generators are used only<br>for supplying standby power to designated<br>loads when needed, and are not<br>interconnected with other AC power<br>sources except for short periods for the<br>purpose of load testing.  | 8.1.1<br>8.3.1 |  |  |  |  |
| SRP BTP 8-3, Rev 3: Stability of<br>Offsite Power Systems   | B.1                         | Grid Reliability   | Not Applicable        | The analysis of grid stability is the<br>responsibility of the COL applicant that<br>references the NuScale design certification.  | Not Applicable |  |  |  |  |
| SRP BTP 8-3, Rev 3: Stability of<br>Offsite Power Systems   | B.2                         | Grid Capacity  | Not Applicable        | The analysis of grid stability is the<br>responsibility of the COL applicant that<br>references the NuScale design certification.  | Not Applicable |  |  |  |  |
| SRP BTP 8-4, Rev 3:<br>Application of the Single<br>Failure Criterion to Manually<br>Controlled Electrically<br>Operated Valves           | All (B.1<br>through B.5)    | Various  | Not Applicable        | BTP 8-4 establishes the acceptability of<br>disconnecting power to electrical<br>components of a fluid system as one means<br>of designing against a single failure that<br>might cause an undesirable component<br>action. Removal of electric power from<br>safety-related valves is not used in the<br>design as a means of satisfying the single<br>failure criterion. | Not Applicable |  |  |  |  |
| SRP BTP 8-5, Rev 3:<br>Supplemental Guidance for<br>Bypass and Inoperable Status<br>Indication for Engineered<br>Safety Features Systems  | All (B.1 thru<br>B.6)       | Design Criteria Reflecting Importance<br>of Providing Accurate Information to<br>the Operator and Reducing the<br>Possibility of Adversely Affecting<br>Monitored Safety Systems | Not Applicable        | This BTP does not apply to NuScale electric<br>power systems as these systems are not<br>engineered safety features and are not<br>relied on to support engineered safety<br>features.   | Not Applicable |  |  |  |  |
| SRP BTP 8-6, Rev 3: Adequacy<br>of Station Electric Distribution<br>System Voltages   |                             | Criteria for evaluating voltage<br>protection for the offsite power<br>system to assure proper operation<br>and sequencing of Class 1E loads                                     | Not Applicable        | For the NuScale design, the offsite power<br>system does not supply power to Class 1E<br>loads and does not support safety-related<br>functions.   | Not Applicable |  |  |  |  |
| SRP BTP 8-7, Rev 3: Criteria for<br>Alarms and Indications<br>Associated with Diesel-<br>Generator Unit Bypassed and<br>Inoperable Status | All                         | Design Criteria Reflecting Importance<br>of Providing Accurate Information to<br>the Operator and Reducing the<br>Possibility of Adversely Affecting<br>Monitored Safety Systems | Not Applicable        | The NuScale plant does not require or<br>include safety-related emergency diesel<br>generators.  | Not Applicable |  |  |  |  |

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| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section                       |
|--|------|---|-----------------------|---|-------------------------------|
| SRP BTP 8-8, (Feb 2012):<br>Onsite (Emergency Diesel<br>Generators) and Offsite<br>Power Sources Allowed<br>Outage Time Extensions | All  | Various   | Not Applicable        | With the nonreliance on AC power for<br>safety-related functions, the operating<br>restrictions (i.e., Technical Specifications<br>Allowed Outage Times) for inoperable AC<br>power sources specified in this guidance are<br>not appropriate to apply. | Not Applicable                |
| SRP BTP 8-9, Rev. 0: Open<br>Phase Conditions in Electric<br>Power System  | B.1  | Electrical system design to address open phase condition                | Partially Conforms    | None.   | 8.2.3                         |
| SRP BTP 8-9, Rev. 0: Open<br>Phase Conditions in Electric<br>Power System  | B.2  | Criteria for evaluating open phase conditions for active plant designs  | Not Applicable        | Not applicable to passive plant designs.  | Not Applicable                |
| SRP BTP 8-9, Rev. 0: Open<br>Phase Conditions in Electric<br>Power System  | B.3  | Criteria for evaluating open phase conditions for passive plant designs | Partially Conforms    | None.   | 8.2.3                         |
| SRP 9.1.1, Rev 3: Criticality<br>Safety of Fresh and Spent<br>Fuel Storage and Handling  | 11.1 | Specific Criteria to Meet GDC 62  | Conforms              | None.   | 9.1.1.3<br>9.1.1.1            |
| DSRS 9.1.2, Rev 0: New and<br>Spent Fuel Storage   | 11.1 | Specific Criteria to Meet GDC 2   | Conforms              | None.   | 9.1.2.1<br>9.1.2.3            |
| DSRS 9.1.2, Rev 0: New and<br>Spent Fuel Storage   | 11.2 | Specific Criteria to Meet GDC 4   | Conforms              | None.   | 9.1.2.1<br>9.1.2.3            |
| DSRS 9.1.2, Rev 0: New and<br>Spent Fuel Storage   | 11.3 | Specific Criteria to Meet GDC 5   | Conforms              | None.   | 9.1.2.1<br>9.1.2.3            |
| DSRS 9.1.2, Rev 0: New and<br>Spent Fuel Storage   | 11.4 | Specific Criteria to Meet GDC 61  | Conforms              | An ESF ventilation system is not required (see RG 1.52).  | 9.1.2.1<br>9.1.2.3            |
| DSRS 9.1.2, Rev 0: New and<br>Spent Fuel Storage   | II.5 | Specific Criteria to Meet GDC 63  | Conforms              | None.   | 9.1.2.1<br>9.1.2.3<br>9.1.2.5 |
| DSRS 9.1.2, Rev 0: New and<br>Spent Fuel Storage   | II.6 | Specific Criteria to Meet<br>10 CFR 20.1101(b)                          | Conforms              | None.   | 9.1.2.1<br>9.1.2.2<br>9.1.2.3 |
| DSRS 9.1.2, Rev 0: New and<br>Spent Fuel Storage   | II.7 | Criticality Monitors and Subcriticality<br>Margin                       | Conforms              | None.   | 9.1.1                         |

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| Standard (DSNS) (Continued)        |      |                                  |                       |  |         |  |  |  |
|------------------------------------|------|----------------------------------|-----------------------|--|---------|--|--|--|
| SRP or DSRS Section, Rev:<br>Title | AC   | AC Title/Description             | Conformance<br>Status | Comments                                     | Section |  |  |  |
| OSRS 9.1.3, Rev 0: Spent Fuel      | II.1 | Specific Criteria to Meet GDC 2  | Partially Conforms    |  | 9.1.3.1 |  |  |  |
| Pool Cooling and Cleanup           |      |                                  |                       | normal makeup water supply system and its    | 9.1.3.2 |  |  |  |
| ystem                              |      |                                  |                       | source are not seismic Category I and the    | 9.1.3.3 |  |  |  |
|                                    |      |                                  |                       | system is not designed to Quality Group C    |         |  |  |  |
|                                    |      |                                  |                       | per RG 1.26. The ultimate heat sink (UHS)    |         |  |  |  |
|                                    |      |                                  |                       | system is a seismic Category I supply system |         |  |  |  |
|                                    |      |                                  |                       | and source for spent fuel cooling and        |         |  |  |  |
|                                    |      |                                  |                       | shielding for accident conditions. A UHS     |         |  |  |  |
|                                    |      |                                  |                       | makeup supply line is designed to Quality    |         |  |  |  |
|                                    |      |                                  |                       | Group C and seismic Category I               |         |  |  |  |
|                                    |      |                                  |                       | requirements. (2) An ESF ventilation system  |         |  |  |  |
|                                    |      |                                  |                       | is not required (see RG 1.52).               |         |  |  |  |
|                                    | II.2 | Specific Criteria to Meet GDC 4  | Partially Conforms    | This design conforms except that: (1) The    | 9.1.3.1 |  |  |  |
| Pool Cooling and Cleanup           |      |                                  |                       | normal makeup water supply system and its    | 9.1.3.3 |  |  |  |
| System                             |      |                                  |                       | source are not designed to accommodate       |         |  |  |  |
|                                    |      |                                  |                       | the effects of postulated accidents. The UHS |         |  |  |  |
|                                    |      |                                  |                       | system is the supply system and source for   |         |  |  |  |
|                                    |      |                                  |                       | spent fuel cooling and shielding that are    |         |  |  |  |
|                                    |      |                                  |                       | designed to accommodate the effects of       |         |  |  |  |
|                                    |      |                                  |                       | postulated accidents. A UHS makeup supply    |         |  |  |  |
|                                    |      |                                  |                       | line is designed to meet GDC 4. (2) An ESF   |         |  |  |  |
|                                    |      |                                  |                       | ventilation system is not required (see RG   |         |  |  |  |
|                                    |      |                                  |                       | 1.52).                                       |         |  |  |  |
|                                    | II.3 | Specific Criteria to Meet GDC 5  | Conforms              | None.  | 9.1.3.1 |  |  |  |
| Pool Cooling and Cleanup           |      |                                  |                       |  | 9.1.3.3 |  |  |  |
| System                             | 11.4 | Specific Criteria to Meet CDC (1 | Conforme              | Neg  | 0121    |  |  |  |
| DSRS 9.1.3, Rev 0: Spent Fuel      | 11.4 | Specific Criteria to Meet GDC 61 | Conforms              | None.  | 9.1.3.1 |  |  |  |
| Pool Cooling and Cleanup           |      |                                  |                       |  | 9.1.3.2 |  |  |  |
| System                             |      |                                  |                       | N1   |         |  |  |  |
| DSRS 9.1.3, Rev 0: Spent Fuel      | 11.5 | Specific Criteria to Meet GDC 63 | Conforms              | None.  | 9.1.3.1 |  |  |  |
| Pool Cooling and Cleanup           |      |                                  |                       |  | 9.1.3.5 |  |  |  |
| System                             |      |                                  |                       |  |         |  |  |  |
| DSRS 9.1.3, Rev 0: Spent Fuel      | 11.6 | Specific Criteria to Meet        | Conforms              | None.  | 9.1.3.1 |  |  |  |
| Pool Cooling and Cleanup           |      | 10 CFR 20.1101(b)                |                       |  | 9.1.3.3 |  |  |  |
| System                             |      |                                  |                       |  |         |  |  |  |

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| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description                           | Conformance<br>Status | Comments  | Section        |
|--|------|--|-----------------------|---|----------------|
| DSRS 9.1.3, Rev 0: Spent Fuel<br>Pool Cooling and Cleanup<br>System            | 11.7 | ITAAC for Design Certification<br>Applications | Conforms              | None.   | 14.3           |
| DSRS 9.1.3, Rev 0: Spent Fuel<br>Pool Cooling and Cleanup<br>System            | 11.8 | ITAAC for Combined License<br>Applications     | Not Applicable        | This acceptance criterion is applicable only to COL applicants. | Not Applicable |
| SRP 9.1.4, Rev 4: Light Load<br>Handling System and<br>Refueling Cavity Design | II.1 | Specific Criteria to Meet GDC 2                | Conforms              | None.   | 9.1.4          |
| SRP 9.1.4, Rev 4: Light Load<br>Handling System and<br>Refueling Cavity Design | 11.2 | Specific Criteria to Meet GDC 5                | Conforms              | None.   | 9.1.4          |
| SRP 9.1.4, Rev 4: Light Load<br>Handling System and<br>Refueling Cavity Design | II.3 | Specific Criteria to Meet GDC 61               | Conforms              | None.   | 9.1.4          |
| SRP 9.1.4, Rev 4: Light Load<br>Handling System and<br>Refueling Cavity Design | 11.4 | Specific Criteria to Meet GDC 62               | Conforms              | None.   | 9.1.4          |
| SRP 9.1.5, Rev 1: Overhead<br>Heavy Load Handling<br>Systems                   | II.1 | Specific Criteria to Meet GDC 1                | Conforms              | None.   | 9.1.5          |
| SRP 9.1.5, Rev 1: Overhead<br>Heavy Load Handling<br>Systems                   | 11.2 | Specific Criteria to Meet GDC 2                | Conforms              | None.   | 9.1.5          |
| SRP 9.1.5, Rev 1: Overhead<br>Heavy Load Handling<br>Systems                   | II.3 | Specific Criteria to Meet GDC 4                | Conforms              | None.   | 9.1.5          |
| SRP 9.1.5, Rev 1: Overhead<br>Heavy Load Handling<br>Systems                   | 11.4 | Specific Criteria to Meet GDC 5                | Conforms              | None.   | 9.1.5          |

# Tier 2

1.9-116

**Revision 5** 

**NuScale Final Safety Analysis Report** 

| SRP or DSRS Section, Rev:<br>Title                | AC   | AC Title/Description                                      | Conformance<br>Status | Comments  | Section  |
|---|------|---|-----------------------|---|--|
| SRP 9.2.1, Rev 5: Station<br>Service Water System | II.1 | Protection Against Natural<br>Phenomena (GDC 2)           | Conforms              | The NuScale site cooling water system<br>(SCWS) does not provide essential cooling<br>to safety-related SSC and is not safety-<br>related or important-to-safety. The<br>applicability of GDC 2 to the SCWS reviewed<br>under this acceptance criterion is limited to<br>aspects ensuring that a failure of the<br>nonsafety-related SCWS does not result in<br>an adverse effect on a Seismic Category I<br>SSC. For the NuScale design, this is provided<br>by the design and construction of the<br>nonsafety related SCWS to meet the<br>provisions of RG 1.29, Staff Regulatory<br>Guidance C.1.i. | 9.2.7<br>(Used for Site<br>Cooling Water<br>System (SCWS)) |
| SRP 9.2.1, Rev 5: Station<br>Service Water System | 11.2 | Environmental and Dynamic Effects<br>(GDC 4)              | Partially Conforms    | The NuScale site cooling water system does<br>not provide essential cooling to safety-<br>related SSC and is not considered safety-<br>related or risk-significant. The applicability<br>of GDC 4 to the NuScale cooling water<br>system reviewed under this acceptance<br>criterion is limited to aspects ensuring that<br>a failure of the nonsafety-related SSC does<br>not result in an adverse effect on a safety-<br>related SSC.   | 9.2.7<br>(Used for Site<br>Cooling Water<br>System (SCWS)) |
| SRP 9.2.1, Rev 5: Station<br>Service Water System | 11.3 | Sharing of Structures, Systems, and<br>Components (GDC 5) | Conforms              | The NuScale site cooling water system does<br>not provide essential cooling to safety-<br>related SSC and are not safety-related or<br>risk-significant. The design and layout of<br>these systems satisfy GDC 5. Specifically,<br>sharing of the site cooling water system<br>between units has no reasonable likelihood<br>of adversely affecting essential SSC and<br>associated safety functions.   | 9.2.7<br>(Used for Site<br>Cooling Water<br>System (SCWS)) |
| SRP 9.2.1, Rev 5: Station<br>Service Water System | 11.4 | Cooling Water System (GDC 44)                             | Not Applicable        | The site cooling water system does not<br>serve a safety-related cooling or accident<br>mitigation function.  | Not Applicable   |

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1.9-117

| SRP or DSRS Section, Rev:<br>Title                             | AC   | AC Title/Description                              | Conformance<br>Status | Comments  | Section        |
|--|------|---|-----------------------|---|----------------|
| SRP 9.2.1, Rev 5: Station<br>Service Water System              | 11.5 | Cooling Water System Inspection<br>(GDC 45)       | Not Applicable        | The site cooling water system does not<br>serve a safety-related cooling or accident<br>mitigation function.  | Not Applicable |
| SRP 9.2.1, Rev 5: Station<br>Service Water System              | 11.6 | Cooling Water System Testing (GDC 46)             | Not Applicable        | The site cooling water system does not<br>serve a safety-related cooling or accident<br>mitigation function.  | Not Applicable |
| SRP 9.2.2, Rev 4: Reactor<br>Auxiliary Cooling Water<br>System | 11.1 | Protection Against Natural<br>Phenomena           | Partially Conforms    | The system function contemplated by this<br>SRP criterion is applicable to the NuScale<br>reactor component cooling water (RCCW)<br>system. This criterion is based on RG 1.29<br>position C.1 for safety-related portions, and<br>position C.2 for nonsafety-related portions.<br>Position C.1 is not applicable since the<br>RCCW is not safety related. The NuScale<br>RCCW complies with position C.2 in that the<br>SSCs whose structural failure could affect<br>the operability of safety-related SSCs are<br>designed as Seismic Category II. | 9.2.2          |
| SRP 9.2.2, Rev 4: Reactor<br>Auxiliary Cooling Water<br>System | 11.2 | Environmental and Dynamic Effects                 | Partially Conforms    | Additional information pertaining to impact<br>of environmental and dynamic effects is<br>provided in Sections 3.5 and 3.6.   | 9.2.2          |
| SRP 9.2.2, Rev 4: Reactor<br>Auxiliary Cooling Water<br>System | 11.3 | Sharing of Structures, Systems, and<br>Components | Not Applicable        | See comment above for Acceptance<br>Criterion II.1.   | Not Applicable |
| SRP 9.2.2, Rev 4: Reactor<br>Auxiliary Cooling Water<br>System | 11.4 | Cooling Water System                              | Not Applicable        | See comment above for Acceptance<br>Criterion II.1.   | Not Applicable |
| SRP 9.2.2, Rev 4: Reactor<br>Auxiliary Cooling Water<br>System | 11.5 | Cooling Water System Inspection                   | Not Applicable        | See comment above for Acceptance<br>Criterion II.1.   | Not Applicable |
| SRP 9.2.2, Rev 4: Reactor<br>Auxiliary Cooling Water<br>System | II.6 | Cooling Water System Testing                      | Not Applicable        | See comment above for Acceptance<br>Criterion II.1.   | Not Applicable |

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**Revision 5** 

|   |      | Standard (D.  | SRS) (Continued)      |  |         |
|---|------|---|-----------------------|--|---------|
| SRP or DSRS Section, Rev:<br>Title                      | AC   | AC Title/Description  | Conformance<br>Status | Comments   | Sectior |
| SRP 9.2.4, Rev 3: Potable and<br>Sanitary Water Systems | 11.1 | Control of Releases of Radioactive<br>Materials to the PWSW | Partially Conforms    | The NuScale potable and sanitary water<br>systems do not interface with systems<br>potentially containing radioactivity. The<br>NuScale potable and sanitary water systems<br>are designed such that failure will not result<br>in flooding or other adverse impacts on<br>essential SSC.  | 9.2.4   |
| SRP 9.2.5, Rev 3: Ultimate<br>Heat Sink                 | 11.1 | Protection Against Natural<br>Phenomena                     | Partially Conforms    | Since RG 1.27 is not applicable to the<br>NuScale design, compliance with GDC 2 is<br>demonstrated by adherence to RG 1.13,<br>Regulatory Positions C.1 and C.2. The<br>NuScale UHS provides both spent fuel<br>cooling and containment heat removal, and<br>is protected from natural phenomena and<br>site-related events by the Seismic Category I<br>RXB structure and with a Seismic Category I<br>emergency makeup line. | 9.2.5   |
| SRP 9.2.5, Rev 3: Ultimate<br>Heat Sink                 | II.2 | Sharing of Structures, Systems, and<br>Components           | Conforms              | None.  | 9.2.5   |
| SRP 9.2.5, Rev 3: Ultimate<br>Heat Sink                 | 11.3 | Cooling Water System  | Partially Conforms    | This acceptance criterion is applicable<br>except for aspects related to the use of<br>fiberglass piping (see RG 1.72). The NuScale<br>design does not use fiberglass piping.  | 9.2.5   |
| SRP 9.2.5, Rev 3: Ultimate<br>Heat Sink                 | II.4 | Cooling Water System Inspection                             | Conforms              | None.  | 9.2.5   |
| SRP 9.2.5, Rev 3: Ultimate<br>Heat Sink                 | II.5 | Cooling Water System Testing                                | Conforms              | None.  | 9.2.5   |

Section

| Shr of DShS Section, Rev.       | AC   | AC IIIe/Description                    | Comormance     | Comments                                      | Section        |
|---------------------------------|------|--|----------------|---|----------------|
| Title                           |      |  | Status         |   |                |
| SRP 9.2.6, Rev 3: Condensate    | II.1 | Protection Against Natural             | Conforms       | The NuScale design's condensate storage       | 9.2.6          |
| Storage Facilities              |      | Phenomena                              |                | system is neither safety-related nor risk-    | 10.4.7         |
| I                               |      |  |                | significant. The condensate storage systems   |                |
|                                 |      |  |                | and components are located outside the        |                |
|                                 |      |  |                | Seismic Category I Reactor Building. The      |                |
|                                 |      |  |                | effects of discharging water from a           |                |
|                                 |      |  |                | condensate storage facility failure have no   |                |
|                                 |      |  |                | reasonable potential to adversely impact      |                |
| 1                               |      |  |                | the operation of safety-related systems or    |                |
| 1                               |      |  |                | safe operation of the plant.                  |                |
| 1                               |      |  |                | Consistent with Staff Regulatory Guidance     |                |
| 1                               |      |  |                | C.1.i of RG 1.29, no portion of the NuScale   |                |
|                                 |      |  |                | condensate storage system requires design     |                |
|                                 |      |  |                | and construction to withstand the safe-       |                |
|                                 |      |  |                | shutdown earthquake to prevent a failure      |                |
|                                 |      |  |                | that could adversely affect a Seismic         |                |
|                                 |      |  |                | Category I SSC.                               |                |
| SRP 9.2.6, Rev 3: Condensate    | 11.2 | Environmental and Dynamic Effects      | Conforms       | None.   | 9.2.6          |
| Storage Facilities              |      | Design Basis                           |                |   | 10.4.7         |
| SRP 9.2.6, Rev 3: Condensate    | II.3 | Sharing of Structures, Systems, and    | Conforms       | Sharing of the condensate storage facilities  | 9.2.6          |
| Storage Facilities              |      | Components                             |                | does not impair the ability of safety-related | 10.4.7         |
|                                 |      |  |                | or risk-significant SSC to perform their      |                |
|                                 |      |  |                | safety functions.                             |                |
| SRP 9.2.6, Rev 3: Condensate    | 11.4 | Control of Radioactive Releases to the | Conforms       | None.   | 9.2.6          |
| Storage Facilities              |      | Environment                            |                |   | 10.4.7         |
| SRP 9.2.6, Rev 3: Condensate    | II.5 | 10 CFR 20.1406 Compliance              | Conforms       | None.   | 9.2.6          |
| Storage Facilities              |      |  |                |   | 10.4.7         |
| SRP 9.2.7, Rev 0: Chilled Water | II.1 | Quality Standards and Records          | Not Applicable | The NuScale CHWS does not perform safety      | Not Applicable |
| System                          |      |  |                | or containment isolation functions.           |                |
| SRP 9.2.7, Rev 0: Chilled Water | II.2 | Protection Against Natural             | Conforms       | This criterion is based on RG 1.29. The CHWS  | 9.2.8          |
| System                          |      | Phenomena                              |                | is not classified as Seismic Category I. The  |                |
|                                 |      |  |                | CHWS complies with Staff Regulatory           |                |
|                                 |      |  |                | Guidance C.1.i in that the SSC whose failure  |                |
|                                 |      |  |                | could adversely affect Seismic Category I     |                |
|                                 |      |  |                | SSC are designed as Seismic Category II.      |                |
| SRP 9.2.7, Rev 0: Chilled Water | 11.3 | Environmental and Dynamic Effects      | Conforms       | None.   | 9.2.8          |
| System                          |      |  |                |   |                |
|                                 |      |  |                |   |                |

# Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific ReviewStandard (DSRS) (Continued)

Conformance

Comments

AC Title/Description

Tier 2

1.9-120

**Revision 5** 

SRP or DSRS Section, Rev:

AC

| SRP or DSRS Section, Rev:<br>Title         | AC   | AC Title/Description                              | Conformance<br>Status | Comments   | Section      |
|--|------|---|-----------------------|--|--------------|
| SRP 9.2.7, Rev 0: Chilled Water<br>System  |      | Sharing of Structures, Systems, and<br>Components | Not Applicable        | The NuScale CHWS is a nonsafety-system<br>and does not perform safety functions.   | Not Applicab |
| SRP 9.2.7, Rev 0: Chilled Water<br>System  | II.5 | Cooling Water System                              | Not Applicable        | The NuScale CHWS is a nonsafety-system and does not perform safety functions.  | Not Applicab |
| SRP 9.2.7, Rev 0: Chilled Water<br>System  | II.6 | Cooling Water System Inspection                   | Not Applicable        | The NuScale CHWS is a nonsafety-system and does not perform safety functions.  | Not Applicab |
| SRP 9.2.7, Rev 0: Chilled Water<br>System  | II.7 | Cooling Water System Testing                      | Not Applicable        | The NuScale CHWS is a nonsafety-system and does not perform safety functions.  | Not Applicat |
| SRP 9.2.7, Rev 0: Chilled Water<br>System  | 11.8 | Minimization of Contamination                     | Conforms              | The CHWS is at a higher pressure than the<br>LRWS and GRWS where the systems<br>interface, precluding introduction of<br>radioactive contaminants into the CHWS.   | 9.2.8        |
| SRP 9.3.1, Rev 2: Compressed<br>Air System | II.1 | Specific Criteria to Meet GDC 1                   | Not Applicable        | NuScale compressed air systems are non-<br>safety, non-risk-significant systems.   | Not Applicat |
| SRP 9.3.1, Rev 2: Compressed<br>Air System | 11.2 | Specific Criteria to Meet GDC 2                   | Not Applicable        | NuScale compressed air systems are non-<br>safety, non-risk-significant systems.   | Not Applicat |
| SRP 9.3.1, Rev 2: Compressed<br>Air System | II.3 | Specific Criteria to Meet GDC 5                   | Conforms              | None.  | 9.3.1        |
| SRP 9.3.1, Rev 2: Compressed<br>Air System | 11.4 | Specific Criteria to Meet 10 CFR 50.63            | Partially Conforms    | The intent of this acceptance criterion and<br>its subtier guidance - to maintain the ability<br>to withstand and recover from a SBO lasting<br>a specified minimum duration - are<br>applicable. However, language that refers<br>to reactor plant designs such as large LWRs<br>is not relevant to the NuScale plant design.<br>The NuScale plant design meets the intent<br>of this guidance with its passive design and<br>reduced reliance on AC power to cope with<br>design-basis events. Specifically,<br>compressed air is not required to achieve<br>core cooling in the event of a station<br>blackout in the NuScale design. | 9.3.1<br>8.4 |

| SRP or DSRS Section, Rev:<br>Title   | AC  | AC Title/Description  | Conformance<br>Status | Comments   | Section      |
|--|---|---|-----------------------|--|--------------|
| SRP 9.3.2, Rev 3: Process and<br>Post-Accident Sampling<br>Systems                                     | 11.1  | Sampling Capability   |                       | This acceptance criterion is applicable<br>except for aspects that are BWR-specific, or<br>not part of the NuScale design (e.g.,<br>refueling water storage tank, pressurizer<br>relief tank, and containment sump). | 9.3.2        |
| SRP 9.3.2, Rev 3: Process and<br>Post-Accident Sampling<br>Systems                                     | 11.2  | Technical Specifications  | Not Applicable        | This was addressed in NRC-approved TSTF<br>366-A and is no longer applicable.  | Not Applicab |
| SRP 9.3.2, Rev 3: Process and<br>Post-Accident Sampling<br>Systems                                     | II.3  | Process Sampling System Functional<br>Design  | Conforms              | None.  | 9.3.2        |
| SRP 9.3.2, Rev 3: Process and<br>Post-Accident Sampling<br>Systems                                     | 11.4  | Seismic Design and Quality Group<br>Classification  | Conforms              | None.  | 9.3.2        |
| SRP 9.3.3, Rev 3: Equipment<br>and Floor Drainage System   | II.1  | Protection Against Natural<br>Phenomena   | Conforms              | None.  | 9.3.3        |
| SRP 9.3.3, Rev 3: Equipment<br>and Floor Drainage System   | II.2  | Environmental and Dynamic Effects   | Conforms              | None.  | 9.3.3        |
| SRP 9.3.3, Rev 3: Equipment<br>and Floor Drainage System   | II.3  | Control of Releases of Radioactive<br>Material to the Environment   | Conforms              | No portions of the NuScale drain system penetrate the containment barrier.   | 9.3.3        |
| DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | II.1  | CVCS Functional Performance during<br>Adverse Environmental Phenomena;<br>Pumping Capacity; and defense-in-<br>depth RCS makeup | Partially Conforms    | The only CVCS safety-related function precludes inadvertent boron dilution of the reactor coolant system.  | 9.3.4        |
| DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | Adverse Environmental Phenomena;<br>Pumping Capacity; and defense-in-<br>depth RCS makeupprecludes inadvertent boron dilution of the<br>reactor coolant system.II.2Single Failure Criteria and GDC 5ConformsThe single-failure criteria apply only to the<br>two safety-related demineralized water<br>isolation valves provided to preclude an<br>inadvertent boron dilution of the reactor<br>coolant system. | 9.3.4   |                       |  |              |
| DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | 11.3  | Minimization of contamination   | Conforms              | None.  | 9.3.4        |

Tier 2

Section

| Table 1.                  | .9-3: Confor | -                    | ndard Review Pla<br>SRS) (Continued) | n (SRP) and Design Specific Review | ' |
|---------------------------|--------------|----------------------|--------------------------------------|------------------------------------|---|
| SRP or DSRS Section, Rev: | AC           | AC Title/Description | Conformance                          | Comments                           |   |

Status

|            | inte   |      |  |                    |  |                |
|------------|--|------|--|--------------------|--|----------------|
|            | DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | 11.4 | Components of the RCPB, quality<br>classification and seismic design<br>classification | Conforms           | The CVCS is located outside the RCPB.  | 9.3.4          |
|            | DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | 11.5 | Chemical and Volume Control System<br>Design and Arrangement                           | Conforms           | None.  | 9.3.4          |
|            | and Volume Control System<br>(PWR) (Including Boron<br>Recovery System)                                | 11.6 | Detection of Reactor Coolant Leakage<br>Outside Containment                            | Conforms           | None.  | 9.3.4          |
| 1.9-123    | DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | 11.7 | Prevention of CVCS Holdup Tank Wall<br>Buckling/Failure; CVCS Venting and<br>Draining  | Partially Conforms | A portion of this acceptance criterion is<br>applicable but the specific language refers<br>to CVCS designs that are not relevant to the<br>NuScale design. The NuScale CVCS design<br>does not have holdup tanks that are subject<br>to the vacuum conditions in subtier Bulletin<br>80-05. The last sentence of this acceptance<br>criterion is applicable to the NuScale CVCS<br>design, which will include appropriate<br>venting and draining capability. | 9.3.4          |
|            | DSRS 9.3.4, Rev 0: Chemical<br>and Volume Control System<br>(PWR) (Including Boron<br>Recovery System) | 11.8 | ITAAC  | Conforms           | None.  | 9.3.4<br>14.3  |
|            | SRP 9.3.5, Rev 3: Standby<br>Liquid Control System (BWR)   | All  | Various  | Not Applicable     | This SRP section and its acceptance criteria are applicable only to BWRs.  | Not Applicable |
|            | DSRS 9.3.6, Rev 0:<br>Containment Evacuation and<br>Flooding Systems                                   | 11.1 | GDC 2  | Conforms           | None.  | 9.3.6          |
| Revision 5 | DSRS 9.3.6, Rev 0:<br>Containment Evacuation and<br>Flooding Systems                                   | 11.2 | GDC 60   | Conforms           | None.  | 9.3.6          |

Tier 2

Title

| NuScal   |   |
|----------|---|
| le Final |   |
| Safety   |   |
| Analysi  | • |
| s Report | ) |

| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Revi | ew |
|---|----|
| Standard (DSRS) (Continued)   |    |

| SRP or DSRS Section, Rev:<br>Title                                   | AC   | AC Title/Description  | Conformance<br>Status | Comments   | Section |
|--|------|---|-----------------------|--|---------|
| DSRS 9.3.6, Rev 0:<br>Containment Evacuation and<br>Flooding Systems | 11.3 | TMI 10 CFR 50.34(f)   | Conforms              | None.  | 9.3.6   |
| SRP 9.4.1, Rev 3: Control<br>Room Area Ventilation<br>System         | 11.1 | Protection Against Natural<br>Phenomena                           | Conforms              | None.  | 9.4.1   |
| SRP 9.4.1, Rev 3: Control<br>Room Area Ventilation<br>System         | 11.2 | Environmental and Dynamic Effects                                 | Conforms              | None.  | 9.4.1   |
| SRP 9.4.1, Rev 3: Control<br>Room Area Ventilation<br>System         | II.3 | Sharing of Structures, Systems, and<br>Components                 | Conforms              | Operation of the CRVS is part of normal<br>plant operations. Up to 12 Modules<br>modules share the same control room.  | 9.4.1   |
| SRP 9.4.1, Rev 3: Control<br>Room Area Ventilation<br>System         | 11.4 | Control Room  | Conforms              | None.  | 9.4.1   |
| SRP 9.4.1, Rev 3: Control<br>Room Area Ventilation<br>System         | 11.5 | Control of Releases of Radioactive<br>Material to the Environment | Conforms              | This acceptance criterion is applicable<br>except for aspects related to ESF<br>atmosphere cleanup systems. The NuScale<br>control room habitability system neither<br>relies on nor uses emergency filtration to<br>protect operators during accident<br>conditions. Rather, clean air is provided<br>using compressed air tanks. | 9.4.1   |

| SRP or DSRS Section, Rev:<br>Title                           | AC   | AC Title/Description                  | Conformance<br>Status | Comments   | Section |
|--|------|---------------------------------------|-----------------------|--|---------|
| SRP 9.4.1, Rev 3: Control<br>Room Area Ventilation<br>System | 11.6 | Loss of All Alternating Current Power | Conforms              | The intent of this acceptance criterion and<br>its subtier guidance - to maintain the ability<br>to withstand and recover from a station<br>blackout (SBO) lasting a specified minimum<br>duration - is applicable. However, much of<br>the specific language refers to reactor plant<br>designs such as large LWRs, and is not<br>relevant to the NuScale plant design. The<br>NuScale plant design meets the intent of<br>this guidance with its passive design and<br>reduced reliance on AC power to cope with<br>design basis events. Consistent with<br>Commission policy, this coping capability<br>eliminates safety benefit a typical large LWR<br>gains by having an alternate AC power<br>source (e.g., gas turbine generator) for<br>station blackout. Moreover, and specific to<br>this SRP Section 9.4.1 acceptance criterion,<br>the control room habitability system<br>(Section 6.4) relies on compressed air tanks<br>to pressurize the control room and<br>the surrounding walls, ceiling, and structure<br>act as a passive heat sink to maintain the<br>environment within acceptable conditions<br>in the event of an SBO. | 9.4.1   |
| SRP 9.4.2, Rev 3: Spent Fuel<br>Pool Area Ventilation System | II.1 | Compliance with GDC 2                 | Conforms              | None.  | 9.4.2   |
| SRP 9.4.2, Rev 3: Spent Fuel<br>Pool Area Ventilation System | 11.2 | Compliance with GDC 5                 | Conforms              | None.  | 9.4.2   |
| SRP 9.4.2, Rev 3: Spent Fuel<br>Pool Area Ventilation System | 11.3 | Compliance with GDC 60                | Conforms              | This acceptance criterion is applicable<br>except for aspects related to ESF<br>atmosphere cleanup systems (see RG 1.52).  | 9.4.2   |

Tier 2

1.9-125

| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review |
|---|
| Standard (DSRS) (Continued)   |

| SRP or DSRS Section, Rev:<br>Title                                     | AC                      | AC Title/Description                     | Conformance<br>Status | Comments   | Section       |
|--|-------------------------|--|-----------------------|--|---------------|
| Pool Area Ventilation System   | 11.4                    | Compliance with GDC 61                   | Conforms              | This acceptance criterion is applicable<br>except for aspects related to ESF<br>atmosphere cleanup systems, as described<br>in the comment above for RG 1.52 subtier to<br>Acceptance Criterion II.3.  | 9.4.2         |
| SRP 9.4.3, Rev 3: Auxiliary and<br>Radwaste Area Ventilation<br>System | II.1                    | Compliance with GDC 2                    | Conforms              | None.  | 9.4.3         |
| SRP 9.4.3, Rev 3: Auxiliary and<br>Radwaste Area Ventilation<br>System | II.2                    | Compliance with GDC 5                    | Conforms              | None.  | 9.4.3         |
| SRP 9.4.3, Rev 3: Auxiliary and<br>Radwaste Area Ventilation<br>System | II.3                    | Compliance with GDC 60                   | Not Applicable        | The RWBV system does not filter exhaust.<br>Exhaust is filtered by the RBV system.   | Not Applicabl |
| SRP 9.4.4, Rev 3: Turbine Area<br>Ventilation System                   | All (ll.1 thru<br>ll.3) | Compliance with GDC 2, GDC 5, and GDC 60 | Not Applicable        | This SRP section and its acceptance criteria<br>(II.1 through II.3) are applicable only to LWR<br>designs that rely on the turbine area<br>ventilation system, or portions thereof, to<br>fulfill safety-related or risk-significant<br>functions. The NuScale Turbine Building<br>HVAC system (TBVS) is not relied on to<br>control airborne radioactivity<br>concentrations in the Turbine Building and<br>gaseous effluents during normal operations<br>(including anticipated operational<br>occurrences) and after accidents that result<br>in a radioactive material release.<br>Furthermore, there are no requirements for<br>TBVS performance needed to preclude<br>adverse effects on safety-related functions<br>during conditions of plant operation. | Not Applicabl |

| SRP or DSRS Section, Rev:<br>Title                                   | AC   | AC Title/Description  | Conformance<br>Status | Comments   | Section       |
|--|------|---|-----------------------|--|---------------|
| SRP 9.4.5, Rev 3: Engineered<br>Safety Feature Ventilation<br>System | All  | Various   | Not Applicable        | This SRP Section addresses ESF ventilation<br>systems designed for fission product<br>removal in a post-design basis accident<br>environment. The NuScale design does not<br>rely on ESF ventilation systems to mitigate<br>the consequences of a design basis<br>accident. Nonsafety-related normal<br>ventilation systems provide atmosphere<br>cleanup capability, as necessary, that meets<br>the design, testing, and maintenance<br>guidelines specified in RG 1.140. These<br>systems are not credited for meeting<br>applicable offsite dose limits. | Not Applicabl |
| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program                       | 11.1 | Fire Protection Probabilistic Risk<br>Assessment (Including Appendix C)                 | Not Applicable        | Development and implementation of a risk-<br>informed, performance-based fire<br>protection program is the responsibility of<br>COL applicants that reference the NuScale<br>design, and that elect to implement the<br>provisions of 10 CFR 50.48(c).   | Not Applicabl |
| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program                       | 11.2 | Fire Protection Program<br>Considerations for License Renewal<br>(Including Appendix B) | Not Applicable        | This acceptance criterion is applicable only to reactor licensees seeking license renewal.   | Not Applicabl |
| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program                       | 11.3 | NRC Staff Positions and Guidelines on<br>Fire Protection                                | Partially Conforms    | This acceptance criterion is applicable<br>except NuScale will use the current year<br>subtier documents.  | 9.5.1         |
| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program                       | 11.4 | Fire Protection for Permanently<br>Shutdown and Decommissioning<br>Reactor Plants       | Not Applicable        | This acceptance criterion (RG 1.191) is<br>applicable only to reactor licensees that<br>have submitted the necessary certifications<br>for license termination under 10 CFR 50.82.   | Not Applicabl |

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| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section                               |
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| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program  | 11.5 | Fire Protection Program for New<br>Reactor Combined License<br>Applications            | Partially Conforms    | This acceptance criterion and its subtier<br>guidance apply to COL applicants under<br>10 CFR 52. COL applicants referencing a<br>certified design are responsible for<br>implementing this guidance.<br>Notwithstanding the above, NuScale, as an<br>applicant for a design certification,<br>considers this guidance to be applicable to<br>the design certification application to the<br>extent necessary to ensure that the COL<br>applicant can satisfy this guidance.   | 9.5.1<br>Appendix 9A                  |
| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program  | 11.6 | Enhanced Fire Protection Criteria for<br>New Reactor Designs (Including<br>Appendix A) | Partially Conforms    | The enhanced fire protection criteria for<br>new reactor designs specify passive<br>separation of redundant trains as the<br>preferred approach to ensure safe-<br>shutdown capability. Due to the modular<br>nature and small size of the NuScale Power<br>Module, it is not feasible in all instances to<br>provide installed passive separation of<br>redundant trains. When train separation is<br>not feasible, fire protection for redundant<br>shutdown systems is employed to ensure,<br>to the extent practicable, such that one<br>shutdown division will be free of fire<br>damage. | 9.5.1<br>Table 9.5.1-2<br>Appendix 9A |
| SRP 9.5.1.1, Rev 0: Fire<br>Protection Program  | 11.7 | Operational Program and Proposed<br>Implementation Milestones                          | Not Applicable        | This acceptance criterion is the responsibility of the COL applicant.  | Not Applicable                        |
| SRP 9.5.1.2, Rev 0: Risk-<br>Informed, Performance-<br>Based Fire Protection<br>Program | All  | Various  | Not Applicable        | Development and implementation of a risk-<br>informed, performance-based fire<br>protection program is the responsibility of<br>COL applicants that reference the NuScale<br>design, and that elect to implement the<br>provisions of 10 CFR 50.48(c).   | Not Applicable                        |
| DSRS 9.5.2, Rev 0:<br>Communication Systems   | 11.1 | Emergency Facilities and Equipment   | Partially Conforms    | This acceptance criterion is the responsibility of the COL applicant.  | 9.5.2                                 |

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|   | Standard (DSRS) (Continued) |   |                       |   |                |  |  |
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| SRP or DSRS Section, Rev:<br>Title          | AC                          | AC Title/Description  | Conformance<br>Status | Comments  | Section        |  |  |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | 11.2                        | Onsite Technical Support Center and<br>Operational Support Center                                       | Partially Conforms    | The NuScale standard plant design will<br>include provisions for an onsite technical<br>support center and an onsite operational<br>support center as specified by<br>10 CFR 50.34(f)(2)(xxv) and this acceptance<br>criterion. Communication systems serving<br>these facilities in support of emergency<br>response are the responsibility of the COL<br>applicant.   | 9.5.2          |  |  |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | 11.3                        | Emergency Facilities and Equipment<br>for Meeting 10 CFR 52.47(a)(8)                                    | Partially Conforms    | The NuScale design includes provisions for<br>design-specific emergency facilities (i.e.,<br>pertain to design features, facilities,<br>functions, and equipment that are<br>technically relevant to the NuScale standard<br>plant design), consistent with<br>10 CFR 50.47(a)(8) and this acceptance<br>criterion. Communication systems and<br>equipment serving these facilities in<br>support of emergency response are the<br>responsibility of the COL applicant. | 9.5.2          |  |  |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | 11.4                        | Design, Fabrication, Erection,<br>Construction, Testing, and Inspection<br>of SSC to Meet 10 CFR 50.55a | Not Applicable        | None.   | Not Applicable |  |  |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | 11.5                        | ΙΤΑΑΟ   | Conforms              | The aspects of this acceptance criterion<br>within the scope of the NuScale design are<br>applicable to the DCA. Aspects related to<br>site-specific design, fabrication, erection,<br>construction, testing, and inspection of SSC,<br>and maintenance of records for activities<br>throughout the life of the facility, are the<br>responsibility of the COL applicant<br>referencing the certified design.   | Ch 14          |  |  |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.6                        | ITAAC for a COL applicant   | Not Applicable        | COL applicant responsibility to prepare<br>COL-specific ITAAC.  | Not Applicable |  |  |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | 11.7                        | Compliance with GDC 1   | Conforms              | Site-specific scope is the responsibility of the COL applicant referencing the certified design.  | 9.5.2          |  |  |

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**Revision 5** 

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| SRP or DSRS Section, Rev:                   | AC    | AC Title/Description  | Conformance    | Comments   | Section        |
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| Title                                       |       | Ac mie/Description  | Status         | comments   | Section        |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | 11.8  | Compliance with GDC 2   | Conforms       | None.  | 9.5.2          |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | 11.9  | Compliance with GDC 3   | Conforms       | None.  | 9.5.2          |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.10 | Compliance with GDC 4   | Conforms       | None.  | 9.5.2          |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | Ш.11  | Compliance with GDC 19  | Departure      | The NuScale design supports an exemption<br>from GDC 19. As described in Section 3.1.2,<br>the design complies with a NuScale-specific<br>principal design criterion (PDC) in lieu of<br>this GDC. Design documents meet<br>requirements of PDC-19 for ensuring that<br>communication equipment is provided at<br>appropriate locations inside the control<br>room with the capability to support all<br>normal and emergency operations,<br>including intra-plant communications and<br>plant to emergency facilities and off-site<br>communication requirements even in the<br>event of a single failure within a<br>communication subsystem or the loss of the<br>normal power source. The design addresses<br>control room can maintain communications<br>with site and offsite entities during normal<br>and accident conditions. | 9.5.2          |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | II.12 | Compliance with<br>10 CFR 73.45(e)(2)(iii),<br>10 CFR 73.45(g)(4)(i), and<br>10 CFR 73.45(g)(4)(ii) | Not Applicable | This acceptance criterion is applicable only<br>to licensees subject to 10 CFR 73.45 and the<br>general performance requirements of<br>10 CFR 73.20. The NuScale design does not<br>reprocess spent fuel or use or transport<br>special nuclear material.  | Not Applicable |

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| SRP or DSRS Section, Rev:<br>Title          | AC    | AC Title/Description                         | Conformance<br>Status | Comments  | Section                                 |
|---|-------|--|-----------------------|---|---|
| DSRS 9.5.2, Rev 0:<br>Communication Systems | 11.13 | Compliance with 10 CFR 73.46(f)              | Conforms              | Site-specific, programmatic aspects of<br>physical security communication systems<br>are the responsibility of the COL applicant<br>referencing the certified design. Aspects of<br>this acceptance criterion related to the<br>physical design of the power reactor and<br>communication systems are within the<br>scope of the certified design and are<br>applicable to the DCA. | 9.5.2                                   |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | 11.14 | Compliance with<br>10 CFR 73.55(e)(9)(vi)(B) | Conforms              | Site-specific, programmatic aspects of<br>physical security communication systems<br>are the responsibility of the COL applicant<br>referencing the NuScale design. Aspects of<br>this acceptance criterion that are related to<br>the physical design of the power reactor<br>and communication systems within the<br>scope of the certified design are applicable<br>to the DCA.  | 13.6 (via Security<br>Technical Report) |
| DSRS 9.5.2, Rev 0:<br>Communication Systems | 11.15 | Compliance with 10 CFR 73.55(j)              | Partially Conforms    | Design focus pertains to addressing<br>requirements for physical protection of<br>licensed activities in nuclear power reactors<br>against radiological sabotage and the<br>communication requirements necessary for<br>this protection. Elements of this design fall<br>under the COL applicant and are addressed<br>as part of the facility physical security plan.               | 13.6                                    |
| SRP 9.5.3, Rev 3: Lighting<br>Systems       | 11.1  | Integrated Design of the System              | Conforms              | None.   | 9.5.3                                   |
| SRP 9.5.3, Rev 3: Lighting<br>Systems       | II.2  | Emergency Lighting System(s)                 | Conforms              | None.   | 9.5.3                                   |
| SRP 9.5.3, Rev 3: Lighting<br>Systems       | II.3  | Lighting Levels                              | Conforms              | None.   | 9.5.3                                   |

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| SRP or DSRS Section, Rev:<br>Title   | AC                      | AC Title/Description   | Conformance<br>Status | Comments   | Section        |
|--|-------------------------|--|-----------------------|--|----------------|
| SRP 9.5.4, Rev 3: Emergency<br>Diesel Engine Fuel Oil Storage<br>and Transfer System     | All (ll.1 thru<br>ll.4) | Compliance with GDC 2, GDC 4, GDC 5, and GDC 17  | Not Applicable        | The NuScale plant design does not require<br>or include safety-related emergency diesel<br>generators that are subject to this SRP<br>section. No AC or DC power is relied upon<br>for the performance of NuScale plant safety<br>functions. | Not Applicable |
| Diesel Engine Cooling Water<br>System  | All (ll.1 thru<br>ll.7) | Compliance with GDC 2, GDC 4, GDC<br>5, GDC 17, GDC 44, GDC 45, and GDC<br>46  | Not Applicable        | The NuScale plant design does not require<br>or include safety-related emergency diesel<br>generators that are subject to this SRP<br>section. No AC or DC power is relied upon<br>for the performance of NuScale plant safety<br>functions. | Not Applicable |
| SRP 9.5.6, Rev 3: Emergency<br>Diesel Engine Starting System                             | All (ll.1 thru<br>ll.4) | Compliance with GDC 2, GDC 4, GDC 5, and GDC 17  | Not Applicable        | The NuScale plant design does not require<br>or include safety-related emergency diesel<br>generators that are subject to this SRP<br>section. No AC or DC power is relied upon<br>for the performance of NuScale plant safety<br>functions. | Not Applicable |
| SRP 9.5.7, Rev 3: Emergency<br>Diesel Engine Lubrication<br>System                       | All (ll.1 thru<br>ll.4) | Compliance with GDC 2, GDC 4, GDC 5, and GDC 17  | Not Applicable        | The NuScale plant design does not require<br>or include safety-related emergency diesel<br>generators that are subject to this SRP<br>section. No AC or DC power is relied upon<br>for the performance of NuScale plant safety<br>functions. | Not Applicable |
| SRP 9.5.8, Rev 3: Emergency<br>Diesel Engine Combustion Air<br>Intake and Exhaust System | All (ll.1 thru<br>ll.4) | Compliance with GDC 2, GDC 4, GDC 5, and GDC 17  | Not Applicable        | The NuScale plant design does not require<br>or include safety-related emergency diesel<br>generators that are subject to this SRP<br>section. No AC or DC power is relied upon<br>for the performance of NuScale plant safety<br>functions. | Not Applicable |
| SRP 10.2, Rev 3: Turbine<br>Generator  | II.1                    | Protect SSC important to safety from<br>the effects of turbine missiles with a<br>turbine overspeed protection system<br>(GDC 4) | Not Applicable        | The NuScale plant design relies on the use<br>of barriers for the protection of SSCs<br>important to safety from the effects of<br>turbine missiles.   | Not Applicable |

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|  |      | Standard (DS  | RS) (Continued        | )   |                |
|--|------|---|-----------------------|---|----------------|
| SRP or DSRS Section, Rev:<br>Title             | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
| SRP 10.2, Rev 3: Turbine<br>Generator          | 11.2 | Inservice Inspection covering valves essential for overspeed protection.          | Not Applicable        | The NuScale plant design relies on the use<br>of barriers for the protection of SSCs<br>important to safety from the effects of<br>turbine missiles.  | Not Applicable |
| SRP 10.2, Rev 3: Turbine<br>Generator          | II.3 | Prevention of Adverse Effects on<br>Safety-Related SSC in the Turbine<br>Building | Not Applicable        | There are no safety-related SSC in the Turbine Building.  | Not Applicable |
| DSRS 10.2.3, Rev 0: Turbine<br>Rotor Integrity | 11.1 | Materials Selection   | Not Applicable        | Per DSRS 10.2.3, Section I and DSRS 3.5.1.3,<br>Section I.1, plants that use barriers to<br>protect essential SSCs specified in RG 1.115<br>do not have to rely on the turbine missile<br>generation probabilities, including turbine<br>rotor integrity. | Not Applicable |
| DSRS 10.2.3, Rev 0: Turbine<br>Rotor Integrity | 11.2 | Fracture Toughness  | Not Applicable        | Per DSRS 10.2.3, Section I and DSRS 3.5.1.3,<br>Section I.1, plants that use barriers to<br>protect essential SSCs specified in RG 1.115<br>do not have to rely on the turbine missile<br>generation probabilities, including turbine<br>rotor integrity. | Not Applicable |
| DSRS 10.2.3, Rev 0: Turbine<br>Rotor Integrity | 11.3 | Pre-Service Inspection  | Not Applicable        | Per DSRS 10.2.3, Section I and DSRS 3.5.1.3,<br>Section I.1, plants that use barriers to<br>protect essential SSCs specified in RG 1.115<br>do not have to rely on the turbine missile<br>generation probabilities, including turbine<br>rotor integrity. | Not Applicable |
| DSRS 10.2.3, Rev 0: Turbine<br>Rotor Integrity | II.4 | Turbine Rotor Design  | Not Applicable        | Per DSRS 10.2.3, Section I and DSRS 3.5.1.3,<br>Section I.1, plants that use barriers to<br>protect essential SSCs specified in RG 1.115<br>do not have to rely on the turbine missile<br>generation probabilities, including turbine<br>rotor integrity. | Not Applicable |
| DSRS 10.2.3, Rev 0: Turbine<br>Rotor Integrity | II.5 | Inservice Inspection  | Not Applicable        | Per DSRS 10.2.3, Section I and DSRS 3.5.1.3,<br>Section I.1, plants that use barriers to<br>protect essential SSCs specified in RG 1.115<br>do not have to rely on the turbine missile<br>generation probabilities, including turbine<br>rotor integrity. | Not Applicable |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review

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| SRP or DSRS Section, Rev:<br>Title                         | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|--|------|--|-----------------------|---|----------------|
| DSRS 10.2.3, Rev 0: Turbine<br>Rotor Integrity             | 11.6 | 10 CFR 52.47(b)(1) ITAAC   | Not Applicable        | Per DSRS 10.2.3, Section I and DSRS 3.5.1.3,<br>Section I.1, plants that use barriers to<br>protect essential SSCs specified in RG 1.115<br>do not have to rely on the turbine missile<br>generation probabilities, including turbine<br>rotor integrity.   | Not Applicable |
| DSRS 10.3, Rev 0: Main Steam<br>Supply System              | 11.1 | Protection against natural<br>phenomena (GDC 2)  | Conforms              | The NuScale main steam system (MSS) is not<br>safety-related, but the portion of the system<br>downstream of the main steam isolation<br>valves (MSIV) inside the RXB includes the<br>secondary MSIVs which act as backup to the<br>MSIVs. Functionality is ensured by the<br>design and construction of the MSS to the<br>provisions of RG 1.29, Staff Regulatory<br>Guidance C.1.i and C.2. | 10.3.1         |
| DSRS 10.3, Rev 0: Main Steam<br>Supply System              | 11.2 | Protection of SSC important to safety<br>from the effects of turbine missiles<br>(GDC 4)                         | Conforms              | The NuScale MSS is not safety-related or<br>risk-significant. Thus, the applicability of<br>GDC 4 to the NuScale MSS reviewed under<br>this acceptance criterion is limited to<br>aspects ensuring that a failure of the<br>nonsafety-related SSC does not result in an<br>adverse effect on a safety-related SSC.  | 10.3.1         |
| DSRS 10.3, Rev 0: Main Steam<br>Supply System              | II.3 | Shared SSC important to safety<br>perform required safety functions<br>(GDC 5)                                   | Conforms              | None.   | 10.3.1         |
| DSRS 10.3, Rev 0: Main Steam<br>Supply System              | 11.4 | MSS is capable of supporting core<br>cooling or safe-shutdown (non-DBA)<br>in the event of an SBO (10 CFR 50.63) | Partially Conforms    | The intent of this acceptance criterion and<br>its subtier guidance is applicable. The<br>NuScale plant design meets the intent of<br>this guidance with its passive design and<br>reduced reliance on AC power to cope with<br>design basis events.  | 10.3.1         |
| DSRS 10.3, Rev 0: Main Steam<br>Supply System              | 11.5 | Protection of Important-to-Safety SSC<br>from Tornado Missiles (RG 1.117,<br>Appendix Positions 2 and 4)         | Conforms              | None.   | 10.3.1         |
| SRP 10.3.6, Rev 3: Steam and<br>Feedwater System Materials | II.1 | Materials Selection and Fabrication of<br>Class 2 and 3 Components   | Not Applicable        | The NuScale design contains no Class 2 or 3 components.   | Not Applicabl  |

| SRP or DSRS Section, Rev:<br>Title                        | AC   | AC Title/Description  | Conformance<br>Status | Comments  | Section      |
|---|------|---|-----------------------|---|--------------|
| Feedwater System Materials                                | 11.2 | Fracture Toughness of Class 2 and 3<br>Components   | Not Applicable        | The NuScale design contains no Class 2 or 3 components.   | Not Applical |
| SRP 10.4.1, Rev 3: Main<br>Condensers                     | II.1 | Prevent excessive releases of<br>radioactivity to the environment<br>(GDC 60)   | Conforms              | None.   | 10.4.1       |
| SRP 10.4.2, Rev 3: Main<br>Condenser Evacuation<br>System | 11.1 | Prevent excessive releases of<br>radioactivity to the environment<br>(GDC 60)   | Conforms              | None.   | 10.4.2       |
| SRP 10.4.3, Rev 3: Turbine<br>Gland Seal                  | 11.1 | Prevent excessive releases of<br>radioactivity to the environment<br>(GDC 60)   | Conforms              | None.   | 10.4.3       |
| SRP 10.4.4, Rev 3: Turbine<br>Bypass System               | II.1 | Piping Failures (GDC 4)   | Conforms              | None.   | 10.4.4       |
| SRP 10.4.4, Rev 3: Turbine<br>Bypass System               | 11.2 | Residual Heat Removal (GDC 34)  | Departure             | The NuScale design supports an exemption<br>from the power provisions of GDC 34. As<br>described in Section 3.1.4, the design<br>complies with a NuScale-specific principal<br>design criterion in lieu of this GDC.  | 10.4.4       |
| SRP 10.4.4, Rev 3: Turbine<br>Bypass System               | II.3 | MSIV Alternate Leakage Path   | Not Applicable        | BWR only.   | Not Applical |
| Water System  | II.1 | Flooding of SSC important to safety<br>(GDC 4)  | Conforms              | None.   | 10.4.5       |
| SRP 10.4.6, Rev 3: Condensate<br>Cleanup System           |      | Maintain direct cycle BWR plant water<br>quality to avoid corrosion-induced<br>failure of the reactor coolant pressure<br>boundary (GDC 14) | Not Applicable        | BWR only.   | Not Applical |
| SRP 10.4.6, Rev 3: Condensate<br>Cleanup System           | 11.2 | Maintain indirect cycle PWR water<br>quality to avoid corrosion-induced<br>failure of the reactor coolant pressure<br>boundary (GDC 14)     | Conforms              | In the NuScale SG design, the primary water<br>is outside the steam generator tubes, the<br>secondary water is inside the tubes, and<br>there is no SG blowdown so the secondary<br>chemistry requirements for the NuScale<br>design differ from those outlined in the<br>referenced EPRI report. | 10.4.6       |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | 11.1 | Seismic Events (GDC 2)  | Conforms              | None.   | 10.4.7       |

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| SRP or DSRS Section, Rev:                                 | AC   | AC Title/Description                                      | Conformance        | Comments  | Section        |
|---|------|---|--------------------|---|----------------|
| Title   |      |   | Status             |   |                |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | 11.2 | Fluid Instabilities (GDC 4)                               | Partially Conforms | The intent of this acceptance criterion and<br>its subtier guidance - to satisfy GDC 4<br>related to protecting SSC from fluid flow<br>instability effects such as water hammer - is<br>applicable. However, much of the specific<br>language in the subtier guidance refers to<br>reactor plant designs such as large LWRs,<br>and is not relevant to the NuScale plant<br>design. | 10.4.7         |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | 11.3 | Sharing of Structures, Systems, and<br>Components (GDC 5) | Conforms           | None.   | 10.4.7         |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | 11.4 | Heat Removal Capability (GDC 44)                          | Not Applicable     | The CFWS is not a system used to transfer heat to an ultimate heat sink.  | Not Applicable |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | 11.5 | Inspection (GDC 45)                                       | Not Applicable     | The CFWS is not a system used to transfer heat to an ultimate heat sink.  | Not Applicable |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | 11.6 | Testing (GDC 46)  | Not Applicable     | The CFWS is not a system used to transfer heat to an ultimate heat sink.  | Not Applicable |
| DSRS 10.4.7, Rev 0:<br>Condensate and Feedwater<br>System | 11.7 | Flow Accelerated Corrosion                                | Conforms           | None.   | 10.4.7         |
| SRP 10.4.8, Rev 3: Steam<br>Generator Blowdown System     | All  | Various   | Not Applicable     | The NuScale steam generator design does not use a blowdown system.  | Not Applicable |
| SRP 10.4.9, Rev 3: Auxiliary<br>Feedwater System (PWR)    | All  | Various   | Not Applicable     | The NuScale design neither requires nor<br>uses an auxiliary feedwater system. The<br>NuScale decay heat removal system (DHRS)<br>performs some functions similar to an<br>auxiliary feedwater system. However,<br>compared to an auxiliary feedwater system,<br>the DHRS differs in its design, operation,<br>and relationship to the small break LOCA<br>plant response.          | Not Applicable |

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**Revision 5** 

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| SRP or DSRS Section, Rev:<br>Title  | AC                    | AC Title/Description   | Conformance<br>Status | Comments   | Section        |
|---|-----------------------|--|-----------------------|--|----------------|
| BTP 10-1, Rev 3: Design<br>Guidelines for Auxiliary<br>Feedwater System Pump<br>Drive and Power Supply<br>Diversity for Pressurized<br>Water Reactor Plants | All                   | Design Guidelines for Auxiliary<br>Feedwater System Pump Drive and<br>Power Supply Diversity | Not Applicable        | This guidance is applicable only to large<br>PWRs that use Auxiliary Feedwater (AFW)<br>system pumps powered by electrical and<br>steam sources. The NuScale DHRS fulfills a<br>similar function as the AFW system at a<br>large PWR. The NuScale DHRS design does<br>not use pumps: it operates via passive<br>natural circulation.   | Not Applicable |
| BTP 10-2, Rev 4: Design<br>Guidelines for Avoiding<br>Water Hammers in Steam<br>Generators  | TFSGD B.1 thru<br>B.4 | Top-Feed Steam Generator Designs   | Not Applicable        | The NuScale plant design does not use a top-feed steam generator design.   | Not Applicable |
| BTP 10-2, Rev 4: Design<br>Guidelines for Avoiding<br>Water Hammers in Steam<br>Generators  | PSGD B.1 thru<br>B.4  | Preheat Steam Generator Designs  | Not Applicable        | The NuScale plant design does not use a preheat steam generator design.  | Not Applicable |
| BTP 10-2, Rev 4: Design<br>Guidelines for Avoiding<br>Water Hammers in Steam<br>Generators  | OTSGD B.1             | Once-Through Steam Generator<br>Designs - Auxiliary Feedwater Supply                         | Not Applicable        | This acceptance criterion is applicable only<br>to large PWRs that use a once-through<br>steam generator design. The NuScale plant<br>design does not involve an AFW system as<br>would be found at a typical large LWR, but<br>does include the DHRS that fulfills a similar<br>function as a typical AFW system. However,<br>the NuScale steam generator design<br>precludes potential water hammer issues<br>without providing DHRS water through an<br>externally mounted supply top discharge<br>header as is prescribed by this acceptance<br>criterion. | Not Applicable |
| BTP 10-2, Rev 4: Design<br>Guidelines for Avoiding<br>Water Hammers in Steam<br>Generators  | OTSGD B.2             | Once-Through Steam Generator<br>Designs - Tests and Test Procedures                          | Conforms              | None.  | 5.4.1          |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms   | II.1                  | RG 1.110   | Partially Conforms    | See RG 1.110 in Table 1.9-2.   | 11.1           |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms   | II.2                  | RG 1.112   | Partially Conforms    | See RG 1.112 in Table 1.9-2.   | 11.1           |

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| DSRS 11.1, Rev 0: Coolant<br>Source Terms | II.3  | RG 1.140  | Partially Conforms | RG 1.140 in Table 1.9-2.  | 11.1           |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | II.4  | DC/COL-ISG-5  | Not Applicable     | The NuScale design is similar to large PWRs<br>in the existing fleet for effluent release<br>calculations. However, an alternate<br>methodology is used because the existing<br>PWRGALE code was developed in the 1980s<br>for evaluation of the PWR reactors of that<br>time and does not address the NuScale<br>plant design.   | Not Applicable |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | II.5  | normal operation and AOO sources of radioactive liquid and gaseous effluents  | Conforms           | None.   | 11.1           |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | 11.6  | Release rates should be developed<br>using methods that are consistent<br>with NUREG-0017, PWR-GALE86, or<br>ANSI/ANS 18.1-1999 | Partially Conforms | The NuScale design is similar to large PWRs<br>in the existing fleet for effluent release<br>calculations. However, an alternate<br>methodology is used because the existing<br>PWRGALE code was developed in the 1980s<br>for evaluation of the large PWR reactors of<br>that time and does not address the NuScale<br>plant design. Some aspects of ANSI/ANS<br>18.1 are used for the coolant source terms. | 11.1           |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | 11.7  | Decontamination factors used to reduce gaseous effluent releases to the environment   | Partially Conforms | Decontamination factors are consistent<br>with the NuScale Technical Report, Effluent<br>Release (GALE Replacement) Methodology<br>and Results, Revision 0 (TR-1116-52065).   | 11.1           |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | 11.8  | Decontamination factors applied to reduce liquid effluent releases to the environment   | Partially Conforms | Decontamination factors are consistent<br>with the NuScale Technical Report, Effluent<br>Release (GALE Replacement) Methodology<br>and Results, Revision 0 (TR-1116-52065).   | 11.1           |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | 11.9  | RWMS system augmentations used in cost-benefit calculations are consistent with the guidance of RG 1.110                        | Partially Conforms | See RG 1.110 in Table 1.9-2.  | 11.1           |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms | II.10 | Primary and secondary coolant<br>source terms, used in characterizing<br>liquid and gaseous effluents                           | Conforms           | None.   | 11.2<br>11.3   |

### Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

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| SRP or DSRS Section, Rev:<br>Title                  | AC    | AC Title/Description   | Conformance<br>Status | Comments  | Section              |
|---|-------|--|-----------------------|---|----------------------|
| DSRS 11.1, Rev 0: Coolant<br>Source Terms           | II.11 | If neutron activation products are expected in reactor pool water and secondary coolant                      | Conforms              | None.   | 11.1                 |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms           | 11.12 | 10 CFR 50.34(b)(3), 10 CFR 50.34a,<br>and 10 CFR 52.79(a)(3).  | Partially Conforms    | The NuScale design is similar to large PWRs<br>in the existing fleet for effluent release<br>calculations. However, an alternate<br>methodology is used because the existing<br>PWRGALE code was developed in the 1980s<br>for evaluation of the large PWR reactors of<br>that time and does not address the NuScale<br>plant design. | 11.1                 |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms           | 11.13 | The design basis coolant source term<br>is based on a combination of<br>assumptions of failed fuel fractions | Partially Conforms    | The design basis coolant source term for<br>NuScale is partially based on a failed fuel<br>fraction much less than 0.25 percent, which<br>is described in NuScale's Technical Report,<br>Effluent Release (GALE Replacement)<br>Methodology and Results, Revision 0<br>(TR-1116-52065).   | 11.1                 |
| DSRS 11.1, Rev 0: Coolant<br>Source Terms           | II.14 | calculational technique or any source term parameter   | Conforms              | None.   | 11.1                 |
| DSRS 11.2, Rev 0: Liquid<br>Waste Management System | II.1  | Capability to Meet Dose Design<br>Objectives   | Partially Conforms    | This acceptance criterion is applicable<br>except for aspects that are related to<br>performance of a site-specific cost-benefit<br>analysis, which is the responsibility of the<br>COL applicant.  | 11.2.3               |
| DSRS 11.2, Rev 0: Liquid<br>Waste Management System | 11.2  | Design for Anticipated Processing<br>Requirements  | Conforms              | None.   | 11.2.2               |
| DSRS 11.2, Rev 0: Liquid<br>Waste Management System | II.3  | Seismic Design of Structures Housing<br>Liquid Waste Management System<br>Components                         | Conforms              | None.   | 11.2.2               |
| DSRS 11.2, Rev 0: Liquid<br>Waste Management System | II.4  | Provisions to Control Leakage and<br>Facilitate Operation and Maintenance                                    | Conforms              | None.   | 11.2.2               |
| DSRS 11.2, Rev 0: Liquid<br>Waste Management System | 11.5  | Automatic control features   | Conforms              | None.   | 11.2<br>11.5<br>11.6 |

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| SRP or DSRS Section, Rev:<br>Title                   | AC    | AC Title/Description  | Conformance<br>Status | Comments   | Section   |
|--|-------|---|-----------------------|--|---|
| DSRS 11.2, Rev 0: Liquid<br>Waste Management System  | 11.6  | Exhaust ventilation system  | Conforms              | None.  | 11.3  |
| DSRS 11.2, Rev 0: Liquid<br>Waste Management System  | 11.7  | Criteria for Early Site Permit<br>Applications  | Not Applicable        | This acceptance criterion is applicable only to applicants for an early site permit.   | Not Applicable  |
| DSRS 11.3, Rev 0: Gaseous<br>Waste Management System | 11.1  | Capability to Meet Dose Design<br>Objectives  | Partially Conforms    | This acceptance criterion is applicable<br>except for aspects that are related to<br>performance of a site-specific cost-benefit<br>analysis, which is the responsibility of the<br>COL applicant. | 11.3.1<br>11.3.2<br>11.3.3<br>11.3.4                                      |
| DSRS 11.3, Rev 0: Gaseous<br>Waste Management System | II.2  | Design for Anticipated Processing<br>Requirements   | Conforms              | None.  | 11.3.2  |
| DSRS 11.3, Rev 0: Gaseous<br>Waste Management System | 11.3  | Seismic Design and Quality Group<br>Classification of Components and<br>Structures Housing Gaseous Waste<br>Management System | Conforms              | None.  | 11.3.1  |
| DSRS 11.3, Rev 0: Gaseous<br>Waste Management System | 11.4  | Features to Minimize Contamination,<br>Facilitate Decommissioning, and<br>Minimize Generation of Radwaste                     | Partially Conforms    | This acceptance criterion is applicable<br>except for aspects that govern site-specific<br>activities that are the responsibility of the<br>COL applicant.   | 11.3.2  |
| DSRS 11.3, Rev 0: Gaseous<br>Waste Management System | II.5  | Design, Testing, and Maintenance of<br>HEPA Filters and Charcoal Adsorbers  | Conforms              | None.  | 11.3.1<br>11.3.4  |
| DSRS 11.3, Rev 0: Gaseous<br>Waste Management System | 11.6  | Automatic control features  | Conforms              | None.  | 11.3.7  |
| DSRS 11.3, Rev 0: Gaseous<br>Waste Management System | II.7  | Design to Withstand Effects of<br>Hydrogen Explosion  | Conforms              | None.  | 11.3.2  |
| DSRS 11.3, Rev 0: Gaseous<br>Waste Management System | 11.8  | Postulated Leakage or Failure of a<br>Waste Gas Storage Tank or Offgas<br>Charcoal Delay Bed                                  | Conforms              | None.  | 11.3.3  |
| DSRS 11.3, Rev 0: Gaseous<br>Waste Management System | 11.9  | Criteria for Early Site Permit<br>Applications  | Not Applicable        | This acceptance criterion is applicable only to applicants for an early site permit.   | Not Applicabl   |
| DSRS 11.3, Rev 0: Gaseous<br>Waste Management System | II.10 | Relevant RGs, ISG, and BTP  | Partially Conforms    | As described above in acceptance criteria<br>II.1, II.3, II.4, II.5, and II.8.   | As listed above<br>acceptance crite<br>II.1, II.3, II.4, II.5, a<br>II.8. |

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| SRP or DSRS Section, Rev:<br>Title                 | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section   |
|--|------|--|-----------------------|--|---|
| DSRS 11.4, Rev 0: Solid Waste<br>Management System |      | Design Parameters Based on<br>Expected Radionuclide Distributions<br>and Concentrations  | Conforms              | None.  | Table 11.4-1<br>Table 11.4-5 thru<br>Table 11.4-9 |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | 11.2 | Sizing of Processing Equipment   | Conforms              | None.  | 11.4.2  |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | 11.3 | Liquid and Wet Waste Stabilization in<br>Accordance with Process Control<br>Program  | Partially Conforms    | This acceptance criterion is applicable<br>except for aspects related to development<br>and implementation of a Process Control<br>Program (PCP), which is the responsibility of<br>the COL applicant. | 11.4.2  |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | 11.4 | Stabilization of Other Forms of Wet<br>Waste in Accordance with Process<br>Control Program   | Not Applicable        | The development and implementation of a PCP is the responsibility of the COL applicant.  | Not Applicable                                    |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | 11.5 | Design Objectives, Design Criteria,<br>Treatment Methods, Expected<br>Effluent Releases, Monitoring and<br>Control Instrumentation Setpoints | Not Applicable        | The development and implementation of a PCP and ODCM are the responsibility of the COL applicant.  | Not Applicable                                    |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | 11.6 | Waste Containers, Shipping Casks,<br>and Waste Packaging   | Partially Conforms    | This guidance is applicable to design<br>certification except for site-specific,<br>programmatic and operational aspects that<br>are the responsibility of the COL applicant.                          | 11.4.1<br>11.4.2                                  |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | 11.7 | Onsite Waste Storage Facilities  | Partially Conforms    | This guidance is applicable to design<br>certification except for site-specific,<br>programmatic and operational aspects that<br>are the responsibility of the COL applicant.                          | 11.4.1<br>11.4.2                                  |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | 11.8 | Seismic Design and Quality Group<br>Classification of Components and<br>Structures Housing Solid Waste<br>Management System                  | Conforms              | None.  | 3.8<br>11.4.1<br>Table 11.4-1<br>11.4.2           |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | 11.9 | Provisions to Control Leakage and<br>Facilitate Operation and Maintenance  | Partially Conforms    | This acceptance criterion is applicable<br>except for aspects that govern site-specific<br>activities that are the responsibility of the<br>COL applicant.   | 11.4.1<br>11.4.3<br>12.3                          |

Standard (DSRS) (Continued)

### Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review

Tier 2

| SRP or DSRS Section, Rev:<br>Title                 | AC    | AC Title/Description  | Conformance<br>Status | Comments   | Section                  |
|--|-------|---|-----------------------|--|--------------------------|
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.10 | Features to Minimize Contamination,<br>Facilitate Decommissioning, and<br>Minimize Generation of Radwaste | Partially Conforms    | This acceptance criterion is applicable<br>except for aspects that govern site-specific<br>activities that are the responsibility of the<br>COL applicant.   | 11.4.1<br>11.4.3<br>12.3 |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System |       | Storage Facility Design for Long Term<br>Onsite Storage (Including Appendix<br>11.4A)                     | Not Applicable        | The NuScale design has no long term<br>storage facility for solid radioactive waste.<br>This is a COL applicant responsibility.  | Not Applicabl            |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System |       | Class A, B, C - Processing and<br>Disposing of Liquid, Wet, and Dry<br>Solid Wastes                       |                       | This acceptance criterion governs site-<br>specific, programmatic aspects of the PCP<br>development and implementation that are<br>the responsibility of the COL applicant. This<br>guidance is applicable to the extent<br>necessary to ensure that the COL applicant<br>referencing the certified design can satisfy<br>the guidance.  | 11.4.2<br>11.4.3         |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.13 | Greater than Class C - Processing and<br>Disposing of Liquid, Wet, and Dry<br>Solid Wastes                | Partially Conforms    | This acceptance criterion governs site-<br>specific, programmatic aspects of the PCP<br>development and implementation that are<br>the responsibility of the COL applicant. This<br>guidance is applicable to the extent<br>necessary to ensure that the COL applicant<br>referencing the certified design can satisfy<br>the guidance.  | 11.4.1<br>11.4.2         |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.14 | Processing and Disposing of Mixed<br>Wastes   |                       | This acceptance criterion governs site-<br>specific, programmatic aspects of PCP<br>implementation (specific to mixed waste<br>processing and disposal) that are the<br>responsibility of the COL applicant. This<br>guidance is applicable to the extent<br>necessary to ensure that the COL applicant<br>referencing the certified design can satisfy<br>the guidance contained therein. | 11.4.2                   |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System | II.15 | All Effluent Releases Associated with Operation of the SWMS   | Partially Conforms    | This acceptance criterion is applicable<br>except for site specific, programmatic<br>aspects that are the responsibility of the<br>COL applicant.  | 11.4.2                   |

### Tier 2

| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review |
|---|
| Standard (DSRS) (Continued)   |

| SRP or DSRS Section, Rev:<br>Title   | AC    | AC Title/Description  | Conformance<br>Status | Comments   | Section                       |
|--|-------|---|-----------------------|--|-------------------------------|
| DSRS 11.4, Rev 0: Solid Waste<br>Management System   | II.16 | Operational Programs  | Not Applicable        | The information governed by this acceptance criterion is site-specific and is the responsibility of the COL applicant.   | Not Applicable                |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System   | II.17 | Automatic control features  | Not Applicable        |  | Not Applicable                |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System   | II.18 | Design of exhaust ventilation systems   | Conforms              | None.  | 11.4.2                        |
| DSRS 11.4, Rev 0: Solid Waste<br>Management System   | II.19 | Seismic design of structures housing<br>SWMS  | Conforms              | None.  | 11.4.1                        |
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems | II.1  | Installation of Instrumentation and<br>Monitoring Equipment and Sampling<br>and Analyses of Normal and Potential<br>Effluent Pathways       | Partially Conforms    | This acceptance criterion is applicable<br>except for certain aspects of its subtier<br>guidance (see RG 1.21, RG 1.33, RG 1.97, RG<br>4.1, RG 4.15, and BTP 7-10).  | 9.3.2<br>11.2<br>11.3<br>11.5 |
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems |       | Instrumentation and Monitoring<br>Equipment and Sampling and<br>Analysis of Radioactive Waste Process<br>Systems (Including Appendix 11.5A) |                       | This acceptance criterion is applicable<br>except for certain aspects of its subtier<br>guidance (see RG 1.21, RG 1.33, RG 1.97, RG<br>4.15, RG 4.21 and BTP 7-10). Administrative<br>and procedural controls are COL applicant<br>responsibility. | 9.3.2<br>11.5<br>12.3.4       |
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems |       | Provisions for Administrative and<br>Procedural Controls (Including<br>Appendix 11.5A)  |                       | This acceptance criterion is applicable<br>except for certain aspects of its subtier<br>guidance (see RG 1.21, RG 1.33, RG 1.97 and<br>RG 4.15). Administrative and procedural<br>controls are COL applicant responsibility.                       | 9.3.2<br>11.5<br>12.3         |
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems |       | Monitoring, Sampling, and Analyses<br>of All Identified Gaseous Effluent<br>Release Paths (Including Appendix<br>11.5A)                     |                       | This acceptance criterion is applicable<br>except for certain aspects of its subtier<br>guidance (see RG 1.97 and BTP 7-10).<br>Administrative and procedural controls are<br>COL applicant responsibility.  | 11.5                          |
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems | 11.5  | Monitoring, Sampling, and Analysis of<br>All Identified Liquid Effluent Release<br>Paths  | Partially Conforms    | This acceptance criterion is applicable<br>except for the administrative and<br>procedural controls that are the COL<br>applicant's responsibility.  | 11.5                          |

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|--|------|---|--------------------|--|-----------------------|
| Title  |      |   | Status             |  |                       |
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems   | 11.6 | Operational Programs  | Not Applicable     | The information governed by this acceptance criterion is site-specific and is the responsibility of the COL applicant.   | Not Applicable        |
| DSRS 11.5, Rev 0: Process and<br>Effluent Radiological<br>Monitoring Instrumentation<br>and Sampling Systems   | 11.7 | Descriptions of design features and<br>instrumentation used in primary and<br>secondary coolant system leakage<br>detection | Conforms           | None.  | 11.5                  |
| DSRS 11.6, Rev 0: Guidance<br>on Instrumentation and<br>Control Design Features for<br>Process and Effluent<br>Radiological Monitoring, and<br>Area Radiation and Airborne<br>Radioactivity Monitoring | II.1 | Installation of instrumentation or sampling equipment   | Conforms           | None.  | 11.5<br>11.6          |
| DSRS 11.6, Rev 0: Guidance<br>on Instrumentation and<br>Control Design Features for<br>Process and Effluent<br>Radiological Monitoring, and<br>Area Radiation and Airborne<br>Radioactivity Monitoring | 11.2 | Gaseous and liquid release points<br>should be monitored  | Conforms           | None.  | 11.5<br>11.6          |
| DSRS 11.6, Rev 0: Guidance<br>on Instrumentation and<br>Control Design Features for<br>Process and Effluent<br>Radiological Monitoring, and<br>Area Radiation and Airborne<br>Radioactivity Monitoring | 11.3 | Radiation exposure rates and<br>airborne concentration monitoring<br>locations and sampling points                          | Conforms           | None.  | 11.6<br>12.3          |
| DSRS 11.6, Rev 0: Guidance<br>on Instrumentation and<br>Control Design Features for<br>Process and Effluent<br>Radiological Monitoring, and<br>Area Radiation and Airborne                             | 11.4 | Compliance with GDC 63 & 64 via<br>post-TMI action plan items   | Partially Conforms | This acceptance criterion is applicable<br>except for aspects of its subtier regulation<br>10 CFR 50.34(f)(2)(xxvi) that address testing<br>and operational programs, which are a COL<br>applicant responsibility. | 9.3.2<br>11.5<br>11.6 |

### Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

Conformance

Comments

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Tier 2

SRP or DSRS Section, Rev:

**Revision 5** 

Radioactivity Monitoring

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| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review<br>Standard (DSRS) (Continued) |      |                                   |                       |          |    |  |  |  |  |  |
|--|------|-----------------------------------|-----------------------|----------|----|--|--|--|--|--|
| SRS Section, Rev:<br>Title   | AC   | AC Title/Description              | Conformance<br>Status | Comments | Se |  |  |  |  |  |
| Rev 0: Guidance<br>entation and<br>sign Features for   | 11.5 | Ensure samples are representative | Conforms              | None.    | 9  |  |  |  |  |  |

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| SRP   | or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section                                 |
|---|---|------|--|-----------------------|---|---|
| on Ins<br>Contr<br>Proce<br>Radio<br>Area I         | 11.6, Rev 0: Guidance<br>strumentation and<br>rol Design Features for<br>ess and Effluent<br>ological Monitoring, and<br>Radiation and Airborne<br>pactivity Monitoring | 11.5 | Ensure samples are representative  | Conforms              | None.   | 9.3.2<br>11.6                           |
| DSRS<br>on Ins<br>Contr<br>Proce<br>Radio<br>Area I | , ,   | 11.6 | Describe process used to develop,<br>review, verify, validate and audit<br>digital computer software.                      | Partially Conforms    | This acceptance criterion is applicable<br>except for site-specific, programmatic<br>aspects regarding software reviews, which<br>are the COL applicant's responsibility. | 11.6<br>Ch 17                           |
| on Ins<br>Contr<br>Proce<br>Radio<br>Area I         | 11.6, Rev 0: Guidance<br>strumentation and<br>rol Design Features for<br>ess and Effluent<br>ological Monitoring, and<br>Radiation and Airborne<br>pactivity Monitoring | 11.7 | RETS/SREC and ODCM established setpoints.  | Not Applicable        | The RETS/SREC and ODCM are COL applicant responsibilities.  | Not Applicable                          |
| on Ins<br>Contr<br>Proce<br>Radio<br>Area I         | 11.6, Rev 0: Guidance<br>strumentation and<br>rol Design Features for<br>ess and Effluent<br>ological Monitoring, and<br>Radiation and Airborne<br>pactivity Monitoring | 11.8 | Compliance with 10 CFR 20.1406 via<br>RG 4.21, NEI 97-06, 08-08A and 07-07.  | Partially Conforms    | See RG 4.21 in Table 1.9-2.   | 11.5<br>11.6<br>12.3.6                  |
| on Ins<br>Contr<br>Proce<br>Radio<br>Area I         | 11.6, Rev 0: Guidance<br>strumentation and<br>rol Design Features for<br>ess and Effluent<br>ological Monitoring, and<br>Radiation and Airborne<br>pactivity Monitoring | 11.9 | Description of design features and<br>instrumentation used in primary and<br>secondary coolant system leakage<br>detection | Conforms              | None.   | 5.2.5<br>9.3.4<br>9.3.6<br>11.5<br>11.6 |

Tier 2

| Standard (DSRS) (Continued)  |       |   |                       |  |                               |
|--|-------|---|-----------------------|--|-------------------------------|
| SRP or DSRS Section, Rev:<br>Title   | AC    | AC Title/Description  | Conformance<br>Status | Comments   | Section                       |
| DSRS 11.6, Rev 0: Guidance<br>on Instrumentation and<br>Control Design Features for<br>Process and Effluent<br>Radiological Monitoring, and<br>Area Radiation and Airborne<br>Radioactivity Monitoring | II.10 | Additional information on operating experience  | Conforms              | None.  | 11.5<br>11.6<br>12.3          |
| DSRS 11.6, Rev 0: Guidance<br>on Instrumentation and<br>Control Design Features for<br>Process and Effluent<br>Radiological Monitoring, and<br>Area Radiation and Airborne<br>Radioactivity Monitoring | 11.11 | Radiation monitoring and sampling<br>conformance to Tech Specs, Initial<br>Test Program, and ITAAC. | Conforms              | None.  | 13.4<br>14.2<br>14.3<br>Ch 16 |
| DSRS 11.6, Rev 0: Guidance<br>on Instrumentation and<br>Control Design Features for<br>Process and Effluent<br>Radiological Monitoring, and<br>Area Radiation and Airborne<br>Radioactivity Monitoring | II.12 | Describe the types and ranges of radiation monitoring equipment                                     | Conforms              | None.  | 11.5<br>11.6<br>12.3          |
| DSRS 11.6, Rev 0: Guidance<br>on Instrumentation and<br>Control Design Features for<br>Process and Effluent<br>Radiological Monitoring, and<br>Area Radiation and Airborne<br>Radioactivity Monitoring | II.13 | Reactor fuel storage area monitors  | Conforms              | None.  | 7.2<br>11.5<br>11.6<br>12.3.4 |
| BTP 11-3, Rev 4: Design<br>Guidance for Solid<br>Radioactive Waste<br>Management Systems   | B.1   | Processing Requirements   | Conforms              | This guidance is applicable except for<br>aspects related to PCP development and<br>implementation that are applicable to COL<br>applicants. | 11.4.1<br>11.4.2              |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review

### Tier 2

Radioactivity Monitoring BTP 11-3, Rev 4: Design Guidance for Solid **Radioactive Waste** Management Systems Installed in Light-Water-Cooled Nuclear Power

**Reactor Plants** 

**Revision 5** 

1.9-146

**NuScale Final Safety Analysis Report** 

**Conformance with Regulatory Criteria** 

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| SRP or DSRS Section, Rev:<br>Title  | AC  | AC Title/Description                                 | Conformance<br>Status | Comments   | Section          |
|---|-----|--|-----------------------|--|------------------|
| BTP 11-3, Rev 4: Design<br>Guidance for Solid<br>Radioactive Waste<br>Management Systems<br>Installed in Light-Water-<br>Cooled Nuclear Power<br>Reactor Plants | B.2 | Assurance of Complete Stabilization<br>or Dewatering | Not Applicable        | This guidance is related to PCP<br>development and implementation that are<br>applicable to COL applicants.  | Not Applicabl    |
| BTP 11-3, Rev 4: Design<br>Guidance for Solid<br>Radioactive Waste<br>Management Systems<br>Installed in Light-Water-<br>Cooled Nuclear Power<br>Reactor Plants | B.3 | Waste Storage  | Conforms              | None.  | 11.4.1<br>11.4.2 |
| BTP 11-3, Rev 4: Design<br>Guidance for Solid<br>Radioactive Waste<br>Management Systems<br>Installed in Light-Water-<br>Cooled Nuclear Power<br>Reactor Plants | B.4 | Portable Solid Waste Systems                         | Partially Conforms    | This guidance is applicable except for<br>aspects related to control and use of<br>portable solid radwaste processing<br>equipment that are applicable to COL<br>applicants. | 11.4.1<br>11.4.2 |
| BTP 11-3, Rev 4: Design<br>Guidance for Solid<br>Radioactive Waste<br>Management Systems<br>Installed in Light-Water-<br>Cooled Nuclear Power<br>Reactor Plants | B.5 | Additional Design Features                           | Partially Conforms    | This guidance is applicable except for<br>aspects related to PCP development and<br>implementation that are applicable to COL<br>applicants.                                 | 11.4.2           |
| Radioactive Releases Due to a<br>Waste Gas System Leak or<br>Failure  | B.1 | Waste Gas System Leak or Failure<br>Analysis         | Partially Conforms    | This acceptance criterion is applicable<br>except for aspects that are BWR-specific or<br>are site-specific.   | 11.3.1<br>11.3.3 |
| BTP 11-5, Rev 4: Postulated<br>Radioactive Releases Due to a<br>Waste Gas System Leak or<br>Failure   | B.2 | Staff Method for Analysis                            | Conforms              | None.  | 11.3.3           |

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section        |
|---|------|--|-----------------------|--|----------------|
| BTP 11-6, Rev 4: Postulated<br>Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures                | B.1  | Failure Mechanism and Radioactivity<br>Releases                        | Not Applicable        | COL applicant.   | Not Applicable |
| BTP 11-6, Rev. 4: Postulated<br>Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures               | B.2  | Mitigating Design Features   | Not Applicable        | COL applicant.   | Not Applicabl  |
| Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures   | B.3  | Radioactive Source Term  | Partially conforms    | This acceptance criterion is applicable<br>except for aspects that are BWR-specific or<br>are related to site-specific activities that are<br>the responsibility of the COL applicant. | 11.2.3         |
| Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures   | B.4  | Calculations of Transport Capabilities in Groundwater or Surface Water | Not Applicable        | The development of representative site<br>parameters under this acceptance criterion<br>(and SRP Section 2.4.13) is site-specific and<br>applicable to COL applicant.                  | Not Applicabl  |
| BTP 11-6, Rev 4: Postulated<br>Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures                | B.5  | Exposure Scenarios and Acceptance<br>Criteria                          | Not Applicable        | The development of representative site<br>parameters under this acceptance criterion<br>is site-specific and applicable to COL<br>applicant.   | Not Applicabl  |
| BTP 11-6, Rev 4: Postulated<br>Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures                | B.6  | SRP Dose Acceptance Criteria   | Not Applicable        | This acceptance criterion is the responsibility of the COL applicant.  | Not Applicabl  |
| BTP 11-6, (Rev 4): Postulated<br>Radioactive Releases Due to<br>Liquid-Containing Tank<br>Failures              | B.7  | Specifications on Tank Waste<br>Radioactivity Concentration Levels     | Not Applicable        | Compliance with this guidance is the responsibility of the COL applicant.  | Not Applicabl  |
| SRP 12.1, Rev 4: Assuring That<br>Occupational Radiation<br>Exposures Are As Low As Is<br>Reasonably Achievable |      | Policy Considerations  | Partially Conforms    | These site-specific aspects are the responsibility of the COL applicant referencing the certified design.  | 12.1.1         |
| SRP 12.1, Rev 4: Assuring That<br>Occupational Radiation<br>Exposures Are As Low As Is<br>Reasonably Achievable | 11.2 | Design Considerations  | Conforms              | None.  | 12.1.2         |

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description                        | Conformance<br>Status | Comments   | Section                      |
|---|------|---|-----------------------|--|------------------------------|
| SRP 12.1, Rev 4: Assuring That<br>Occupational Radiation<br>Exposures Are As Low As Is<br>Reasonably Achievable |      | Operational Considerations                  | Not Applicable        | This guidance governs site-specific<br>operational programs, plans, and<br>procedures that are the responsibility of the<br>COL applicant.   | Not Applicabl                |
| SRP 12.1, Rev 4: Assuring That<br>Occupational Radiation<br>Exposures Are As Low As Is<br>Reasonably Achievable | 11.4 | Radiation Protection Considerations         | Not Applicable        | See comment above for Acceptance<br>Criterion II.3.  | Not Applicab                 |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | II.1 | RG 1.183                                    | Partially Conforms    | See RG 1.183 in Table 1.9-2.   | 12.2.1                       |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | 11.2 | RG 1.7                                      | Not Applicable        | See RG 1.7 in Table 1.9-2.<br>There is no radiation source created from<br>the determination of gaseous<br>concentrations in containment following an<br>accident (such as sample lines outside<br>containment). | Not Applicab                 |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | II.3 | RG 1.112                                    | Partially Conforms    | See RG 1.112 in Table 1.9-2.   | 12.2.1                       |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | 11.4 | NUREG-0737, Task Action Plan Item<br>II.B.2 | Conforms              | None.  | 12.3<br>12.4                 |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | II.5 | ANSI/ANS Standard 18.1                      | Conforms              | None.  | 11.1                         |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | II.6 | Radiation Sources for 10 CFR 50.49<br>(EQ)  | Conforms              | None.  | 12.2<br>Ch 3                 |
| DSRS 12.2, Rev 0: Radiation<br>Sources  | 11.7 | RG 1.143                                    | Partially Conforms    | See RG 1.143 in Table 1.9-2.   | 11.2<br>11.3<br>11.4<br>11.6 |
| Sources   | II.8 | RG 1.26, RG 1.29 and RG 1.117               | Conforms              | None.  | 3.2                          |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features   | 11.1 | RG 1.7                                      | Not Applicable        | See RG 1.7 in Table 1.9-2.<br>There is no radiation field created from the<br>determination of gaseous concentrations in<br>containment following an accident (such as<br>sample lines outside containment).     | Not Applicab                 |

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| SRP or DSRS Section, Rev:<br>Title                                | AC    | AC Title/Description      | Conformance<br>Status | Comments                     | Section          |
|---|-------|---------------------------|-----------------------|------------------------------|------------------|
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | 11.2  | RG 1.52                   | Not Applicable        | See RG 1.52 in Table 1.9-2.  | Not Applicable   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.3  | RG 1.69                   | Partially Conforms    | See RG 1.69 in Table 1.9-2.  | 12.3.2           |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.4  | RG 1.97                   | Partially Conforms    | See RG 1.97 in Table 1.9-2.  | 7.2.13<br>12.3.4 |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | 11.5  | RG 1.183                  | Partially Conforms    | See RG 1.183 in Table 1.9-2. | 12.2             |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.6  | RG 8.2                    | Not Applicable        | See RG 8.2 in Table 1.9-2.   | Not Applicable   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | 11.7  | RG 8.8                    | Partially Conforms    | See RG 8.8 in Table 1.9-2.   | 12.3.1           |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | 11.8  | RG 8.10                   | Not Applicable        | See RG 8.10 in Table 1.9-2.  | Not Applicable   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | 11.9  | RG 8.15                   | Not Applicable        | See RG 8.15 in Table 1.9-2.  | Not Applicable   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.10 | RG 8.19                   | Conforms              | None.                        | 12.4             |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.11 | RG 8.25                   | Not Applicable        | See RG 8.25 in Table 1.9-2.  | Not Applicable   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.12 | RG 8.38                   | Partially Conforms    | See RG 8.38 in Table 1.9-2.  | 12.3.1           |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.13 | ANSI/ANS/HPSSC-6.8.1-1981 | Conforms              | None.                        | 12.3.4           |

| Table   | 1.9-3: Conf |   | ndard Review Pla<br>RS) (Continued) | an (SRP) and Design Specific Review   |         |
|---|-------------|---|-------------------------------------|---|---------|
| SRP or DSRS Section, Rev:<br>Title                                | AC          | AC Title/Description  | Conformance<br>Status               | Comments  | Section |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.14       | ANSI/HPS N13.1-2011   | Conforms                            | None.   | 12.3.4  |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.15       | ANSI/ANS-6.4-2006   | Conforms                            | None.   | 12.3.2  |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.16       | Memo from Larry W. Camper to David<br>B. Matthews and Elmo E. Collins dated<br>10-10-2006 | Partially Conforms                  | The portion of this guidance that pertains to the design phase is applicable to the DCA.  | 12.3.4  |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.17       | RG 1.140  | Partially Conforms                  | See RG 1.140 in Table 1.9-2.  | 12.3.3  |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.18       | RG 1.89   | Partially Conforms                  | See RG 1.89 in Table 1.9-2.   | 3.11    |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.19       | RG 4.21   | Partially Conforms                  | See RG 4.21 in Table 1.9-2.   | 12.3.6  |
| DSRS 12.3-12.4, Radiation<br>Protection Design Features           | II.20       | RG 1.45   | Partially Conforms                  | See RG 1.45 in Table 1.9-2.   | 5.2.5   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | II.21       | NEI 97-06   | Conforms                            | None.   | Ch 5    |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | 11.22       | RG 1.143  | Partially Conforms                  | See RG 1.143 in Table 1.9-2.  | Ch 11   |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | 11.23       | BTP 11-3 and SECY-94-198  | Partially Conforms                  | These guidance documents are not<br>applicable to the DCA so far as they address<br>the addition of supplemental extended LLW<br>storage and the development of a PCP. This<br>is a COL applicant responsibility. | 11.4    |
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features | 11.24       | RG 1.97   | Partially Conforms                  | See RG 1.97 in Table 1.9-2.   | 12.3.4  |

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Conformance with Regulatory Criteria

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| SRP or DSRS Section, Rev:<br>Title  | AC    | AC Title/Description  | Conformance<br>Status | Comments  | Section      |
|---|-------|---|-----------------------|---|--------------|
| DSRS 12.3-12.4, Rev 0:<br>Radiation Protection Design<br>Features                               | 11.25 | RG 1.12   | Partially Conforms    | See RG 1.12 in Table 1.9-2.   | 12.3.1       |
| DSRS 12.5, Rev 0: Operational<br>Radiation Protection Program                                   |       | Various   | Not Applicable        | This guidance governs operational<br>programs, procedures, facilities and<br>organization that are site-specific, and are<br>the responsibility of the COL applicant<br>referencing the certified design. | Not Applicab |
| SRP 13.1.1, Rev 5:<br>Management and Technical<br>Support Organization                          | All   | General and Specific Requirements   | Not Applicable        | COL applicant.  | Not Applicab |
| SRP 13.1.2 - 13.1.3, Rev 6:<br>Operating Organization   | All   | Operating Organization  | Not Applicable        | COL applicant.  | Not Applicab |
| SRP 13.2.1, Rev 3: Reactor<br>Operator Requalification<br>Program; Reactor Operator<br>Training | All   | General and Specific Requirements   | Not Applicable        | COL applicant.  | Not Applicab |
| SRP 13.2.2, Rev 3: Non-<br>Licensed Plant Staff Training  | All   | Various   | Not Applicable        | COL applicant.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.1  | Meeting the Standards of<br>10 CFR 50.47(b); Conduct of Full<br>Participation Exercise per 10 CFR 50,<br>Appendix E | Not Applicable        | COL applicant.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning  | 11.2  | Onsite and Offsite Emergency<br>Response Plans  | Not Applicable        | COL applicant.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.3  | Emergency Classification and Action<br>Level Scheme   | Not Applicable        | COL applicant.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning  | 11.4  | Meteorological Criteria   | Not Applicable        | COL applicant.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning  | 11.5  | Upgrading Emergency Response<br>Facilities  | Not Applicable        | There are no proposed changes to existing emergency response facilities.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.6  | Alerting and Notifications  | Not Applicable        | COL applicant.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning  | 11.7  | Protective Action Recommendations   | Not Applicable        | COL applicant.  | Not Applicab |

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| SRP or DSRS Section, Rev:<br>Title     | AC    | AC Title/Description   | Conformance<br>Status | Comments   | Section      |
|--|-------|--|-----------------------|--|--------------|
| SRP 13.3, Rev 3: Emergency<br>Planning | 11.8  | Alternatives to NUREG-0654/FEMA-<br>REP-1, Rev 1,  | Not Applicable        | COL applicant.   | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning | 11.9  | State, Tribal, and Local Government<br>Planning and Preparedness                                 | Not Applicable        | COL applicant.   | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.10 | Emergency Planning Zones   | Not Applicable        | COL applicant.   | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning | 11.11 | Evacuation Time Estimates  | Not Applicable        | COL applicant.   | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.12 | Emergency Response Data System   | Partially Conforms    | The NuScale design includes an emergency response data system. Site-specific aspects are the responsibility of the COL applicant that references the NuScale certified design. | 13.3         |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.13 | Acceptability of Emergency Plans   | Not Applicable        | COL applicant.   | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.14 | Offsite Emergency Planning When<br>Local Governments Decline to<br>Participate                   | Not Applicable        | COL applicant.   | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.15 | Early Site Permit Criteria - Physical<br>Characteristics Unique to Proposed<br>Site              | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.16 | Early Site Permit Criteria - Preliminary<br>Analysis of Evacuation Times                         | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.17 | Physical Characteristics Unique to<br>Proposed Site  | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.18 | Copies of Letters of Agreement or<br>Other Certifications  | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.19 | Emergency Preparedness Information<br>and Plans Associated with Early Site<br>Permit Application | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.20 | Complete and Integrated Emergency<br>Plans Associated with Early Site<br>Permit Application      | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning | II.21 | ITAAC Associated with Early Site<br>Permit Application   | Not Applicable        | Guidance applies to early site permit applicants.  | Not Applicab |
| SRP 13.3, Rev 3: Emergency<br>Planning | 11.22 | ITAAC Associated with Design<br>Certification Application  | Not Applicable        | Emergency planning ITAAC are not part of the NuScale DCA.  | Not Applicab |

| SRP or DSRS Section, Rev:<br>Title  | AC             | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
|---|----------------|---|-----------------------|---|----------------|
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.23          | ITAAC Associated with Combined<br>License Application                                       | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning  | 11.24          | Generic Emergency Planning ITAAC  | Not Applicable        | COL applicant.  | Not Applicable |
| SRP 13.3, Rev 3: Emergency<br>Planning  | 11.25          | Design and Implementation of<br>Emergency Response Facilities                               | Partially Conforms    | The NuScale design includes a technical<br>support center. The operational support<br>center and the emergency operations<br>facility are the responsibility of the COL<br>applicant that references the NuScale<br>certified design. | 13.3           |
| SRP 13.3, Rev 3: Emergency<br>Planning  | 11.26          | Safety Parameter Display System   | Conforms              | Safety parameter displays are provided in<br>the technical support center. The<br>emergency operations facility is the<br>responsibility of the COL applicant that<br>references the NuScale design certification.                    | 13.3           |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.27          | Reactor Coolant System and<br>Containment Sampling  | Departure             | The NuScale design supports an exemption from 10 CFR 50.34(f)(2)(viii).   | 9.3.2          |
| SRP 13.3, Rev 3: Emergency<br>Planning  | 11.28          | Containment Monitoring and<br>Continuous Sampling from Potential<br>Accident Release Points | Partially Conforms    | Programmatic aspects of containment and effluent monitoring are the responsibility of the COL applicant.  | 9.3.2, 11.5    |
| SRP 13.3, Rev 3: Emergency<br>Planning  | 11.29          | NRC Notifications and<br>Communications   | Not Applicable        | COL applicant.  | Not Applicabl  |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.30          | Generic Communications and<br>Commission Orders Pertaining to<br>Emergency Planning         | Not Applicable        | COL applicant.  | Not Applicabl  |
| SRP 13.3, Rev 3: Emergency<br>Planning  | II.31          | Operational Programs  | Not Applicable        | COL applicant.  | Not Applicabl  |
| SRP 13.4, Rev 3: Operational<br>Programs  | Not Applicable | Various (Including Attachment,<br>Sample FSAR Table 13.4-x)                                 | Not Applicable        | There are no specific requirements for this SRP section.  | Not Applicabl  |
| SRP 13.5.1.1, Rev 1:<br>Administrative Procedures -<br>General                    | All            | Various   | Not Applicable        | COL applicant.  | Not Applicabl  |
| SRP 13.5.1.2, Draft Rev 0:<br>Administrative Procedures -<br>Initial Test Program | All            | Various   | Not Applicable        | Draft SRP section was never finalized.<br>Content was subsumed into SRP Section<br>14.2.  | Not Applicabl  |

Tier 2

| SRP or DSRS Section, Rev:<br>Title  | AC             | AC Title/Description                                | Conformance<br>Status | Comments   | Section                                 |
|---|----------------|---|-----------------------|--|---|
| SRP 13.5.2.1, Rev 2: Operating<br>and Emergency Operating<br>Procedures                             | All            | Various   | Not Applicable        | COL applicant.   | Not Applicable                          |
| SRP 13.5.2.2, Draft Rev 0:<br>Maintenance and Other<br>Operating Procedures                         | All            | Various   | Not Applicable        | NRC never finalized guidance in this SRP.<br>Instead, applicable guidance was relocated<br>to SRP 17.5.  | Not Applicable                          |
| Security - Combined License<br>and Operating Reactors   | All            | Various   | Not Applicable        | COL applicant.   | Not Applicable                          |
| Security - Design Certification   | All            | Various   | Conforms              | Applicable for the physical security<br>elements within the certified design<br>boundary of the NuScale plant.   | 13.6.2 (via Securit<br>Technical Report |
| SRP 13.6.3, Rev 1: Physical<br>Security - Early Site Permit   | All            | Various   | Not Applicable        | ESP applicant.   | Not Applicable                          |
| SRP 13.6.4, Rev 1: Access<br>Authorization  | ll (no number) | 10 CFR 73.56  | Not Applicable        | COL applicant.   | Not Applicable                          |
| SRP 13.6.6, Rev 0: Cyber<br>Security Plan   | All            | Various   | Not Applicable        | COL applicant.   | Not Applicable                          |
| SRP 13.7.1, Rev 0: Fitness for<br>Duty (Operational)  | All            | Various   | Not Applicable        | COL applicant.   | Not Applicable                          |
| SRP 13.7.2, Rev 0: Fitness for<br>Duty (Construction)   | All            | Various   | Not Applicable        | COL applicant.   | Not Applicable                          |
| DSRS 14.2, Rev 0: Initial Plant<br>Test Program - Design<br>Certification and New COL<br>applicants |                | Summary of Test Program and<br>Objectives           | Conforms              | None.  | 14.2                                    |
| DSRS 14.2, Rev 0: Initial Plant<br>Test Program - Design<br>Certification and New COL<br>applicants |                | Test Programs Conformance with<br>Regulatory Guides | Conforms              | None.  | 14.2                                    |
| DSRS 14.2, Rev 0: Initial Plant<br>Test Program - Design<br>Certification and New COL<br>applicants | 11.3           | Initial Test Program Administrative<br>Procedures   | Partially Conforms    | Subheading DC Applicant, Items A through<br>D, are applicable to the DCA. Subheading<br>COL/OL applicants, Items A through H, are<br>applicable only to COL applicant. | 14.2                                    |

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description  | Conformance<br>Status | Comments   | Section      |
|---|------|---|-----------------------|--|--------------|
| DSRS 14.2, Rev 0: Initial Plant<br>Test Program - Design<br>Certification and New COL<br>applicants                         |      | Initial Startup Tests   | Partially Conforms    | Subheading DC Applicant, Item A, is<br>applicable to the DCA. Subheading COL/OL<br>applicants, Items A and B, are applicable to<br>COL applicants.                 | 14.2         |
| DSRS 14.2, Rev 0: Initial Plant<br>Test Program - Design<br>Certification and New COL<br>applicants                         | 11.5 | Individual Test Descriptions/<br>Abstracts                              | Conforms              | None.  | 14.2         |
| DSRS 14.2, Rev 0: Initial Plant<br>Test Program - Design<br>Certification and New COL<br>applicants                         | 11.6 | Initial Test Program Acceptance<br>Criteria                             | Partially Conforms    | Subheading DC Applicant, Items A through<br>C, are applicable to the DCA. Subheading<br>COL/OL applicants, Items A through C, are<br>applicable to COL applicants. | 14.2         |
| SRP 14.2.1, (August 2006):<br>Generic Guidelines for<br>Extended Power Uprate<br>Testing Programs                           | All  | Various   | Not Applicable        | This SRP section is applicable only to extended power uprate license amendment requests.   | Not Applicab |
| SRP 14.3, (March 2007):<br>Inspections, Tests, Analyses,<br>and Acceptance Criteria   | 11.1 | Acceptability of the Scope of ITAAC                                     | Partially Conforms    | A portion of this acceptance criterion is applicable to COL applicants.  | 14.3         |
| SRP 14.3, (March 2007):<br>Inspections, Tests, Analyses,<br>and Acceptance Criteria   | II.2 | Specific Acceptance Criteria for ITAAC<br>Specified in SRP Section 14.3 | Conforms              | None.  | 14.3         |
| SRP 14.3.2, Rev 0: Structural<br>and Systems Engineering -<br>Inspections, Tests, Analyses,<br>and Acceptance Criteria      | All  | Various   | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3.  | Not Applicab |
| SRP 14.3.3, (March 2007):<br>Piping Systems and<br>Components - Inspections,<br>Tests, Analyses, and<br>Acceptance Criteria | All  | Various   | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3.  | Not Applicab |
| SRP 14.3.4, Rev 0: Reactor<br>Systems - Inspections, Tests,<br>Analyses, and Acceptance<br>Criteria                         | All  | Various   | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3.  | Not Applicab |

Tier 2

| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review |  |
|---|--|
| Standard (DSRS) (Continued)   |  |

| SRP or DSRS Section, Rev:<br>Title   | AC  | AC Title/Description                       | Conformance<br>Status | Comments   | Section        |
|--|-----|--|-----------------------|--|----------------|
| DSRS 14.3.5, Rev 0:<br>Instrumentation and Controls<br>- Inspections, Tests, Analyses,<br>and Acceptance Criteria    | All | Various                                    | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3.  | Not Applicable |
|  | All | Various                                    | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3.  | Not Applicabl  |
| SRP 14.3.7, Rev 0: Plant<br>Systems - Inspections, Tests,<br>Analyses, and Acceptance<br>Criteria                    | All | Various                                    | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3.  | Not Applicabl  |
| Protection - Inspections,<br>Tests, Analyses, and<br>Acceptance Criteria   | All | Various                                    | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3.  | Not Applicabl  |
| SRP 14.3.9, (March 2007):<br>Human Factors Engineering -<br>Inspections, Tests, Analyses,<br>and Acceptance Criteria | All | Various                                    | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3.  | Not Applicabl  |
| SRP 14.3.10, (March 2007):<br>Emergency Planning -<br>Inspections, Tests, Analyses,<br>and Acceptance Criteria       | All | Various                                    | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3.  | Not Applicabl  |
| SRP 14.3.11, (March 2007):<br>Containment Systems -<br>Inspections, Tests, Analyses,<br>and Acceptance Criteria      | All | Various                                    | Not Applicable        | Methodology for developing ITAAC is provided in SRP 14.3.  | Not Applicabl  |
| Security Hardware -<br>Inspections, Tests, Analyses,<br>and Acceptance Criteria                                      | All | Various                                    | Partially Conforms    | The COL applicant addresses Physical<br>Security Hardware ITAAC outside of the<br>nuclear island and structures. | 14.3.12        |
| DSRS 15.0, Rev 0: Introduction<br>- Transient and Accident<br>Analyses   | 1.1 | Categorization of Transients and Accidents | Conforms              | None.  | 15.0           |

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Section

# Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued) SRP or DSRS Section, Rev: AC AC Title/Description Conformance Status Title Standard (DSRS) Status Status

Title DSRS 15.0, Rev 0: Introduction I.2 Categorization According to Partially Conforms Events that have been historically classified 15.0 - Transient and Accident Frequency of Occurrence as AOOs are not analyzed for frequency of Analyses occurrence. Some events that have an IE frequency are also deterministically classified as AOOs. DSRS 15.0, Rev 0: Introduction I.3 Categorization According to Type Conforms None. 15.0 - Transient and Accident Analyses DSRS 15.0, Rev 0: Introduction I.4.A Analysis Acceptance Criteria for AOOs Conforms None. 15.0.0 - Transient and Accident Analyses DSRS 15.0, Rev 0: Introduction I.4.B Analysis Acceptance Criteria for IEs Partially Conforms The guidance is applicable except for 4.B.ii 15.0.0 and Postulated Accidents and 4.B.iv. CHF, not DNBR, is used to Transient and Accident determine the thermal margin for the fuel Analyses cladding. LOCA acceptance criteria uses an acceptance criterion that is more restrictive than the temperature limit of 2,200 degrees F. DSRS 15.0, Rev 0: Introduction 1.5 Plant Characteristics Considered in None. Conforms 15.0 - Transient and Accident the Safety Evaluation Analyses DSRS 15.0, Rev 0: Introduction I.6 Assumed Protection and Safety Conforms None. 15.0 - Transient and Accident Systems Actions Analyses DSRS 15.0, Rev 0: Introduction I.7 Evaluation of Individual Initiating Conforms 15.0 None. - Transient and Accident Events Analyses DSRS 15.0, Rev 0: Introduction I.8.A Identification of Causes and Conforms None. 15.0 - Transient and Accident Frequency Classification Analyses DSRS 15.0, Rev 0: Introduction I.8.B Sequence of Events and Systems Partially Conforms This acceptance criterion is applicable 15.0 Operation - Transient and Accident except for Item B.vi, which is applicable to Analyses COL applicants.

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**Revision** 5

| SRP or DSRS Section, Rev:<br>Title  | AC             | AC Title/Description   | Conformance<br>Status | Comments  | Section        |
|---|----------------|--|-----------------------|---|----------------|
| DSRS 15.0, Rev 0: Introduction<br>- Transient and Accident<br>Analyses                    | I.8.C          | Core, System, and Barrier<br>Performance   | Partially Conforms    | The guidance is applicable except for<br>aspects that are BWR-specific. NuScale<br>evaluates critical heat flux (CHF), which is<br>more applicable to the NuScale design than<br>DNBR.  | 15.0.2         |
| SRP 15.0.1, Rev 0: Radiological<br>Consequence Analyses Using<br>Alternative Source Terms | ll (No number) | First full paragraph and 6 bullets on<br>Page 15.0.1-6, Compliance with<br>Specific Provisions of NUREG-0737                   | Not Applicable        | The NuScale design uses a modified version<br>of the alternative source term (AST)<br>methodology to evaluate radiological<br>consequences of accidents.  | Not Applicable |
| SRP 15.0.1, Rev 0: Radiological<br>Consequence Analyses Using<br>Alternative Source Terms | ll (No number) | Last paragraph on Page 15.0.1-6 and<br>Table 1, Exposure Criteria for<br>Radiological Consequences of Design<br>Basis Accident | Not Applicable        | The NuScale design utilizes a modified version of the AST methodology to evaluate radiological consequences of accidents.   | Not Applicable |
| SRP 15.0.2, (March 2007):<br>Review of Transient and<br>Accident Analysis Methods         | 11.1           | Evaluation Model   | Departure             | The NuScale design supports an exemption<br>from selected portions of 10 CFR 50<br>Appendix K. NuScale ECCS evaluation<br>models for LOCAs only address technically<br>relevant features required by Appendix K.                                    | 15.0.2         |
| SRP 15.0.2, (March 2007):<br>Review of Transient and<br>Accident Analysis Methods         | 11.2           | Accident Scenario Identification<br>Process  | Conforms              | None.   | 15.0.2         |
| SRP 15.0.2, (March 2007):<br>Review of Transient and<br>Accident Analysis Methods         | 11.3           | Code Assessment  | Departure             | The NuScale design supports an exemption<br>from selected portions of 10 CFR 50<br>Appendix K. NuScale ECCS evaluation<br>models for LOCAs only assess the<br>technically relevant features required by<br>Appendix K and TMI Action Item II.K3.30. | 15.0.2         |
| SRP 15.0.2, (March 2007):<br>Review of Transient and<br>Accident Analysis Methods         | 11.4           | Uncertainty Analysis   | Conforms              | Non-LOCA methods use sensitivity analyses<br>or bounding values to determine input<br>parameters.   | 15.0.2         |
| SRP 15.0.2, (March 2007):<br>Review of Transient and<br>Accident Analysis Methods         | 11.5           | Quality Assurance Plan   | Conforms              | None.   | 15.0.2         |

Tier 2

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|                 | Section       |  |
|-----------------|---------------|--|
|                 | 15.0.3        |  |
|                 | 6.4           |  |
|                 | 9.4           |  |
|                 | 13.3          |  |
|                 | 15.0.3        |  |
| C               | 15.0.3        |  |
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|                 | 15.1.1-15.1.4 |  |
|                 |               |  |

SRP or DSRS Section, Rev:

AC

#### Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

Conformance

Comments

AC Title/Description

| Title                        |   |  | Chature   | Connents   | Dection  |
|------------------------------|---|--|---|--|--|
|                              |   |  |   |  | 45.0.0   |
|                              |   | <b>.</b> .   | Conforms  | None.  | 15.0.3   |
|                              |   | Postulated DBAs (includes Table 1)   |   |  |  |
|                              |   |  |   |  |  |
| 5                            |   |  |   |  |  |
|                              |   | -  | Conforms  | None.  | 6.4  |
|                              |   | Habitability   |   |  | 9.4  |
|                              |   |  |   |  | 13.3   |
| he NuScale SMR Design        |   |  |   |  | 15.0.3   |
| DSRS 15.0.3, Rev 0: Design   | II.3  | Technical Support Center   | Partially Conforms  | Dose acceptance criterion met for TSC  | 15.0.3   |
| Basis Accident Radiological  |   | Radiological Habitability  |   | when AC power is available. TSC function is  |  |
| Consequence Analyses for     |   |  |   | transferred to the main control room when  |  |
| he NuScale SMR Design        |   |  |   | AC is not available.   |  |
| OSRS 15.1.1-15.1.4, Rev 0:   | II.1  | Identify Limiting Increase in Heat   | Conforms  | None.  | 15.1.1-15.1.4  |
| Decrease in Feedwater        | Basic Objective   | Removal Events   |   |  |  |
| emperature, Increase in      | -   |  |   |  |  |
| eedwater Flow, Increase in   |   |  |   |  |  |
| steam Flow, and Inadvertent  |   |  |   |  |  |
| Opening of the Turbine       |   |  |   |  |  |
| Sypass System or Inadvertent |   |  |   |  |  |
| Operation of the Decay Heat  |   |  |   |  |  |
| Removal System               |   |  |   |  |  |
| OSRS 15.1.1-15.1.4, Rev 0:   | 11.2  | Verify Fuel Damage and System  | Conforms  | None.  | 15.1.1-15.1.4  |
| Decrease in Feedwater        | Basic Objective   | Pressure Criteria are Met for Limiting   |   |  |  |
| emperature, Increase in      | -   | Event.   |   |  |  |
| eedwater Flow, Increase in   |   |  |   |  |  |
| team Flow, and Inadvertent   |   |  |   |  |  |
|                              |   |  |   |  |  |
|                              |   |  |   |  |  |
|                              |   |  |   |  |  |
|                              |   |  |   |  |  |
|                              | Decrease in Feedwater<br>Femperature, Increase in<br>Feedwater Flow, Increase in<br>Steam Flow, and Inadvertent<br>Opening of the Turbine<br>Bypass System or Inadvertent<br>Operation of the Decay Heat<br>Removal System<br>DSRS 15.1.1-15.1.4, Rev 0:<br>Decrease in Feedwater<br>Femperature, Increase in<br>Feedwater Flow, Increase in<br>Steam Flow, and Inadvertent<br>Opening of the Turbine | DSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR DesignII.1DSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR DesignII.2DSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR DesignII.3DSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR DesignII.3DSRS 15.0.3, Rev 0: Design<br>DSRS 15.1.1-15.1.4, Rev 0:<br>Decrease in Feedwater<br>Temperature, Increase in<br>Steam Flow, and Inadvertent<br>Dpening of the Turbine<br>Bypass System or Inadvertent<br>Decrease in Feedwater<br>Feedwater Flow, Increase in<br>Steam Flow, and Inadvertent<br>Decrease in Feedwater<br>Feedwater Flow, Increase in<br>Steam Flow, and Inadvertent<br>Decrease in Feedwater<br>Feedwater Flow, Increase in<br>Steam Flow, and Inadvertent<br>Decrease in Feedwater<br>Femperature, Increase in<br>Feedwater Flow, and Inadvertent<br>Dpening of the Turbine<br>Bypass System or Inadvertent<br>Dpening of the Turbine<br>Bypass System or Inadvertent<br> | DSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR DesignII.1Offsite Radiological Consequences of<br>Postulated DBAs (includes Table 1)DSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR DesignII.2Control Room Radiological<br>HabitabilityDSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR DesignII.3Technical Support Center<br>Radiological HabitabilityDSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR DesignII.3Technical Support Center<br>Radiological HabitabilityDSRS 15.1.1-15.1.4, Rev 0:<br>Decrease in Feedwater<br>Feedwater Flow, and Inadvertent<br>Opening of the Turbine<br>Bypass System or Inadvertent<br>Decrease in Feedwater<br>Feedwater Flow, Increase in<br>Feedwater br>Femperature, Increase in<br>Feedwater Flow, Increase in<br>Feedwater Flow, Increase in<br>Feedwater Flow, and Inadvertent<br>Dpening of the Turbine<br>Bypass System or Inadvertent<br>Dpening of the Decay HeatVerify Fuel Damage and System<br>Pressure Criteria are Met for Limiting<br>Event.Descrease in Feedwater<br>Feedwater Flow, and Inadvertent<br>Dpening of the Ducbine<br>Bypass | DSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR DesignII.1Offsite Radiological Consequences of<br>Postulated DBAs (includes Table 1)ConformsDSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR DesignII.2Control Room Radiological<br>HabitabilityConformsDSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR DesignII.3Technical Support Center<br>Radiological HabitabilityPartially ConformsDSRS 15.1.1-15.1.4, Rev 0:<br>Decrease in Feedwater<br>Feedwater Flow, and Inadvertent<br>Deration of the Ducy Heat<br>Removal SystemII.1Identify Limiting Increase in Heat<br>Removal EventsConformsDSRS 15.1.1-15.1.4, Rev 0:<br>Desrease in Feedwater<br>Feedwater Flow, Increase in<br>Team Flow, and Inadvertent<br>Dperation of the Ducy Heat<br>Removal SystemII.2Verify Fuel Damage and System<br>Pressure Criteria are Met for Limiting<br>Event.ConformsDecrease in Feedwater<br>Femperature, Increase in<br>Team Flow, and Inadvertent<br>Dpening of the Turbine<br>Basic ObjectiveVerify Fuel Damage and System<br>Pressure Criteria are Met for Limiting<br>Event.ConformsDecrease in Feedwater<br>Femperature, Increase in<br>Team Flow, and Inadvertent<br>Dpening of the Turbine<br>System or Inadvertent<br>Dpening of the Turb | DSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR Design<br>DSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Control Room Radiological<br>Control Room Radiological<br>Consequence Analyses for<br>the NuScale SMR Design<br>DSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR Design<br>DSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR Design<br>DSRS 15.0.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR Design<br>DSRS 15.1.3, Rev 0: Design<br>Basis Accident Radiological<br>Consequence Analyses for<br>the NuScale SMR Design<br>DSRS 15.1.5.14, Rev 0:<br>DSRS 15.1.5.14, Rev 0:<br>DSRS 15.1.15.14, Rev 0:<br>DSRS 15.1.15.14, Rev 0:<br>DSRS 15.1.145.14, Rev 0:<br>Decrease in Feedwater<br>Temperature, Increase in<br>Steam Flow, and Inadvertent<br>Operation of the Decay Heat<br>Temperature, Increase in<br>Sear Flow, and Inadvertent<br>Operation of the Decay Heat     II.2<br>Verify Fuel Damage and System<br>Event.     Conforms     None.       DSRS 15.1.145.14, Rev 0:<br>Decrease in Feedwater<br>Femperature, Increase in<br>Steam Flow, and Inadvertent<br>Operation of the Decay Heat     II.2<br>Basic Objective<br>Pressure Criteria are Met for Limiting<br>Event.     Conforms     None. |

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| SRP or DSRS Section, Rev:<br>Title | AC        | AC Title/Description               | Conformance<br>Status | Comments                                   | Section       |
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| DSRS 15.1.1-15.1.4, Rev 0:         | II.1      | System Pressure                    | Conforms              | None.                                      | 15.1.1-15.1.4 |
| Decrease in Feedwater              | Specific  |                                    |                       |  |               |
| Temperature, Increase in           | Criterion |                                    |                       |  |               |
| Feedwater Flow, Increase in        |           |                                    |                       |  |               |
| Steam Flow, and Inadvertent        |           |                                    |                       |  |               |
| Opening of the Turbine             |           |                                    |                       |  |               |
| Bypass System or Inadvertent       |           |                                    |                       |  |               |
| Operation of the Decay Heat        |           |                                    |                       |  |               |
| Removal System                     |           |                                    |                       |  |               |
| DSRS 15.1.1-15.1.4, Rev 0:         | II.2      | MCHFR Remains Above 95/95 Limit    | Conforms              | NuScale has determined that critical heat  | 15.1.1-15.1.4 |
| Decrease in Feedwater              | Specific  |                                    |                       | flux more accurately describes plant       |               |
| Temperature, Increase in           | Criterion |                                    |                       | phenomena than departure from nucleate     |               |
| Feedwater Flow, Increase in        |           |                                    |                       | boiling.                                   |               |
| Steam Flow, and Inadvertent        |           |                                    |                       |  |               |
| Opening of the Turbine             |           |                                    |                       |  |               |
| Bypass System or Inadvertent       |           |                                    |                       |  |               |
| Operation of the Decay Heat        |           |                                    |                       |  |               |
| Removal System                     |           |                                    |                       |  |               |
| DSRS 15.1.1-15.1.4, Rev 0:         | II.3      | AOOs Should Not Generate More      | Conforms              | NuScale events are classified by AOO, IE,  | 15.1.1-15.1.4 |
| Decrease in Feedwater              | Specific  | Serious Condition                  |                       | accident, and special event, but will      |               |
| Temperature, Increase in           | Criterion |                                    |                       | conform with SRP requirement that          |               |
| Feedwater Flow, Increase in        |           |                                    |                       | incidents of moderate frequency should not |               |
| Steam Flow, and Inadvertent        |           |                                    |                       | generate a more serious plant condition    |               |
| Opening of the Turbine             |           |                                    |                       | without other faults occurring             |               |
| Bypass System or Inadvertent       |           |                                    |                       | independently.                             |               |
| Operation of the Decay Heat        |           |                                    |                       |  |               |
| Removal System                     |           |                                    |                       |  |               |
| DSRS 15.1.1-15.1.4, Rev 0:         | II.4      | Instrument Spans and Setpoints use | Conforms              | None.                                      | 15.1.1-15.1.4 |
| Decrease in Feedwater              | Specific  | RG 1.105                           |                       |  |               |
| Temperature, Increase in           | Criterion |                                    |                       |  |               |
| Feedwater Flow, Increase in        |           |                                    |                       |  |               |
| Steam Flow, and Inadvertent        |           |                                    |                       |  |               |
| Opening of the Turbine             |           |                                    |                       |  |               |
| Bypass System or Inadvertent       |           |                                    |                       |  |               |
| Operation of the Decay Heat        |           |                                    |                       |  |               |
| Removal System                     |           |                                    |                       |  |               |

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|  | Standard (DSRS) (Continued)   |                                  |                       |          |               |  |  |  |
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| SRP or DSRS Section, Rev:<br>Title   | AC                            | AC Title/Description             | Conformance<br>Status | Comments | Section       |  |  |  |
| DSRS 15.1.1-15.1.4, Rev 0:<br>Decrease in Feedwater<br>Temperature, Increase in<br>Feedwater Flow, Increase in<br>Steam Flow, and Inadvertent<br>Opening of the Turbine<br>Bypass System or Inadvertent<br>Operation of the Decay Heat<br>Removal System | II.5<br>Specific<br>Criterion | ldentify Limiting Single Failure | Conforms              | None.    | 15.1.1-15.1.4 |  |  |  |
| DSRS 15.1.1-15.1.4, Rev 0:<br>Decrease in Feedwater<br>Temperature, Increase in<br>Feedwater Flow, Increase in<br>Steam Flow, and Inadvertent<br>Opening of the Turbine<br>Bypass System or Inadvertent<br>Operation of the Decay Heat<br>Removal System |                               | Initial Power Level is 102%      | Conforms              | None.    | 15.1.1-15.1.4 |  |  |  |
| DSRS 15.1.1-15.1.4, Rev 0:<br>Decrease in Feedwater<br>Temperature, Increase in<br>Feedwater Flow, Increase in<br>Steam Flow, and Inadvertent<br>Opening of the Turbine<br>Bypass System or Inadvertent<br>Operation of the Decay Heat<br>Removal System |                               | Conservative Scram Used          | Conforms              | None.    | 15.1.1-15.1.4 |  |  |  |
| DSRS 15.1.1-15.1.4, Rev 0:<br>Decrease in Feedwater<br>Temperature, Increase in<br>Feedwater Flow, Increase in<br>Steam Flow, and Inadvertent<br>Opening of the Turbine<br>Bypass System or Inadvertent<br>Operation of the Decay Heat<br>Removal System | II.3 Analytical<br>Parameters | Core Burnup                      | Conforms              | None.    | 15.1.1-15.1.4 |  |  |  |

Conformance with Regulatory Criteria

**Revision 5** 

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| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review |
|---|
| Standard (DSRS) (Continued)   |
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| SRP or DSRS Section, Rev:<br>Title   | AC                            | AC Title/Description   | Conformance<br>Status | Comments  | Section       |
|--|-------------------------------|--|-----------------------|---|---------------|
| DSRS 15.1.1-15.1.4, Rev 0:<br>Decrease in Feedwater<br>Temperature, Increase in<br>Feedwater Flow, Increase in<br>Steam Flow, and Inadvertent<br>Opening of the Turbine<br>Bypass System or Inadvertent<br>Operation of the Decay Heat<br>Removal System | II.4 Analytical<br>Parameters | Setpoint Inaccuracies use guidance in<br>RG 1.105                                    | Conforms              | None.   | 15.1.1-15.1.4 |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment   | ll.1<br>Specific<br>Criteria  | Reactor Coolant and Main Steam<br>System Pressure                                    | Conforms              | None.   | 15.1.5        |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment   | ll.2<br>Specific<br>Criteria  | Evaluation of Core Damage Potential  | Conforms              | NuScale has determined that critical heat<br>flux more accurately describes plant<br>phenomena than departure from nucleate<br>boiling. | 15.1.5        |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment   | ll.3<br>Specific<br>Criteria  | Radiological Criteria for Steam Line<br>Breaks                                       | Conforms              | None.   | 15.1.5        |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment   | ll.4<br>Specific<br>Criteria  | Safety-Related Classification and<br>Auto-Initiation of Decay Heat<br>Removal System | Conforms              | None.   | 15.1.5        |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment   | II.1<br>Assumptions           | Initial Power Level and Plant<br>Operating Mode                                      | Conforms              | None.   | 15.1.5        |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment   | II.2<br>Assumptions           | Loss of Offsite Power  | Conforms              | None.   | 15.1.5        |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment   | II.3<br>Assumptions           | Postulated Steam Line Break Effects  | Conforms              | None.   | 15.1.5        |
| DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment   | II.4<br>Assumptions           | Worst Case Failure of Single Active<br>Component                                     | Conforms              | None.   | 15.1.5        |

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|         | SRP or DSRS Section, Rev:<br>Title  | AC                   | AC Title/Description  | Conformance<br>Status | Comments  | Section        |
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|         | DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment                            | II.5<br>Assumptions  | Maximum-Worth Rod Fully<br>Withdrawn                                | Conforms              | None.   | 15.1.5         |
|         | DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment                            | II.6<br>Assumptions  | Core Burnup   | Conforms              | None.   | 15.1.5         |
|         | DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment                            | II.7<br>Assumptions  | Initial Core Flow   | Conforms              | NuScale has determined that critical heat<br>flux more accurately describes plant<br>phenomena than departure from nucleate<br>boiling.   | 15.1.5         |
|         | DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment                            | II.8<br>Assumptions  | Postulated Failure of Non-Seismic<br>Main Steam Line                | Conforms              | None.   | 15.1.5         |
| 1.9-    | DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment                            | II.9<br>Assumptions  | Postulated Failure of Seismic Main<br>Steam Line                    | Conforms              | None.   | 15.1.5         |
| 1 9-164 | DSRS 15.1.5, Rev 0: Steam<br>System Piping Failures Inside<br>and Outside of Containment                            | II.10<br>Assumptions | Limiting Consequence Assessment<br>When Operator Action is Credited | Not Applicable        | Operator Action is not required to mitigate the consequences of a steam line break.   | Not Applicable |
|         | SRP 15.1.5.A, Rev 2:<br>Radiological Consequences<br>of Main Steam Line Failures<br>Outside Containment of a<br>PWR | All                  | Various   | Partially Conforms    | Per SRP Section 15.0.3, Section I, Areas of<br>Review, Item 10 under subheading Review<br>Interfaces, for the review of design<br>certification applications, SRP Section 15.0.3<br>supersedes the radiological analyses,<br>assumptions, acceptance criteria, and<br>methodologies identified in this SRP<br>Section 15.1.5, Appendix A. Provisions<br>related to the nonradiological analyses<br>aspects of this SRP Section 15.1.5, Appendix<br>A, remain applicable to the DCA. | 15.0.3         |

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| SRP or DSRS Section, Rev:<br>Title  | AC                        | AC Title/Description  | Conformance<br>Status | Comments  | Section       |
|---|---------------------------|---|-----------------------|---|---------------|
| SRP 15.1.5.A, Rev 2:<br>Radiological Consequences<br>of Main Steam Line Failures<br>Outside Containment of a<br>PWR | ll (No number)            | First full paragraph and Items 1 and 2<br>on Page 15.1.5-11, Exposure<br>Guidelines for Calculated Doses  | Partially Conforms    | The part of this guidance specifying the<br>calculation of radiological consequences of<br>a postulated main steam line break outside<br>containment is applicable to the DCA.<br>However, per SRP Section 15.0.3, Section I,<br>Areas of Review, Item 10.A under<br>subheading Review Interfaces, the part of<br>this acceptance criterion that specifies<br>radiological acceptance criteria is<br>superseded by SRP Section 15.0.3.                    | 15.0.3        |
| SRP 15.1.5.A, Rev 2:<br>Radiological Consequences<br>of Main Steam Line Failures<br>Outside Containment of a<br>PWR | ll (No number)            | First full paragraph following Items 1<br>and 2 on Page 15.1.5-11,<br>Methodology and Assumptions for<br>Calculating Radiological<br>Consequences                     | Not Applicable        | This acceptance criterion specifies<br>radiological analysis methodology and<br>assumptions that are superseded by SRP<br>Section 15.0.3.   | Not Applicabl |
| SRP 15.1.5.A, Rev 2:<br>Radiological Consequences<br>of Main Steam Line Failures<br>Outside Containment of a<br>PWR | ll (No number)            | Second full paragraph following<br>Items 1 and 2 on Page 15.1.5-11,<br>Technical Specifications for Assumed<br>Iodine Activity and Primary-to-<br>Secondary Leak Rate | Partially Conforms    | The part of this guidance related to the<br>required technical specification for primary<br>and secondary coolant iodine activity and<br>primary-to-secondary leak rate is applicable<br>to the DCA. However, per SRP Section<br>15.0.3, Section I, Areas of Review, Item 10.A<br>under subheading Review Interfaces, the<br>part of this acceptance criterion that<br>specifies radiological acceptance criteria is<br>superseded by SRP Section 15.0.3. | 15.0.3        |
| DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum   | ll.1 Specific<br>Criteria | Reactor Coolant Pressure  | Conforms              | None.   | 15.1.6        |
| DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum   | II.2 Specific<br>Criteria | Cladding Integrity  | Conforms              | None.   | 15.1.6        |
| DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum   | II.3 Specific<br>Criteria | AOOs Should Not Generate More<br>Serious Condition  | Conforms              | None.   | 15.1.6        |
| DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum   | II.4 Specific<br>Criteria | Instruments Spans and Setpoints use RG 1.105  | Conforms              | None.   | 15.1.6        |
| DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum   | ll.5 Specific<br>Criteria | Identify Limiting Single Failure  | Conforms              | None.   | 15.1.6        |

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| er 2 | Standard (DSRS) (Continued)  |                               |   |                       |  |               |  |  |
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|      | SRP or DSRS Section, Rev:<br>Title   | AC                            | AC Title/Description  | Conformance<br>Status | Comments   | Section       |  |  |
|      | DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum  | II.1 Analytical<br>Parameters | Initial Power Level is 102%                                     | Conforms              | None.  | 15.1.6        |  |  |
|      | DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum  | II.2 Analytical<br>Parameters | Conservative Scram Used   | Conforms              | None.  | 15.1.6        |  |  |
|      | DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum  | II.3 Analytical<br>Parameters | Core Burnup   | Conforms              | None.  | 15.1.6        |  |  |
|      | DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum  | II.4 Analytical<br>Parameters | Maximize Heat Transfer from RCS to Containment and Reactor Pool | Conforms              | None.  | 15.1.6        |  |  |
|      | DSRS 15.1.6, Rev 0: Loss of<br>Containment Vacuum  | II.5 Analytical<br>Parameters | Setpoint Inaccuracies use Guidance in RG 1.105                  | Conforms              | None.  | 15.1.6        |  |  |
|      | DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) | 11.1                          | Basic Objectives - Initiating Events                            | Conforms              | None.  | 15.2.1-15.2.5 |  |  |
|      | DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) | 11.2                          | Specific Criteria for Events of<br>Moderate Frequency           | Partially Conforms    | The NuScale design does not have a steam pressure regulator. | 15.2.1-15.2.5 |  |  |
|      | DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) | II.2.A                        | Reactor Coolant System and Main<br>Steam System Pressures       | Conforms              | None.  | 15.2.1-15.2.5 |  |  |

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| SRP or DSRS Section, Rev:  | AC     | AC Title/Description   | Comments              | Section  |               |
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| Title  |        |  | Conformance<br>Status |  |               |
| DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) |        | Fuel Cladding Integrity  | Conforms              | The NuScale design does not have a steam pressure regulator. | 15.2.1-15.2.5 |
| DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) |        | Incidents of Moderate Frequency  | Conforms              | None.  | 15.2.1-15.2.5 |
| DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) | II.2.D | Instrument Setpoints - Impact on<br>Plant Response   | Conforms              | None.  | 15.2.1-15.2.5 |
| DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) |        | Most Limiting Plant System Single<br>Failure   | Conforms              | None.  | 15.2.1-15.2.5 |
| DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) | II.2.F | Performance of Nonsafety-Related<br>Systems and Single Failures of Active<br>and Passive Systems | Conforms              | None.  | 15.2.1-15.2.5 |

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| SRP or DSRS Section, Rev:<br>Title   | AC     | AC Title/Description   | Conformance<br>Status | Comments | Section       |
|--|--------|--|-----------------------|----------|---------------|
| DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) | 11.3   | Analytical Model   | Conforms              | None.    | 15.2.1-15.2.5 |
| Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed)                               | II.3.A | Values of Parameters Used in<br>Analytical Model - Initial Power Level<br>and Modes of Operation                   | Conforms              | None.    | 15.2.1-15.2.5 |
| DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) | II.3.B | Values of Parameters Used in<br>Analytical Model - Scram<br>Characteristics  | Conforms              | None.    | 15.2.1-15.2.5 |
| DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) | II.3.C | Values of Parameters Used in<br>Analytical Model - Core Burnup   | Conforms              | None.    | 15.2.1-15.2.5 |
| DSRS 15.2.1-15.2.5, Rev 0:<br>Loss of External Load; Turbine<br>Trip; Loss of Condenser<br>Vacuum; Closure of Main<br>Steam Isolation Valve; and<br>Steam Pressure Regulator<br>Failure (Closed) | II.3.D | Values of Parameters Used in<br>Analytical Model - Instrumentation<br>Setpoints for Mitigating System<br>Actuation | Conforms              | None.    | 15.2.1-15.2.5 |

1.9-168

| Table 1  | .9-3: Conf |   | dard Review P<br>RS) (Continued | lan (SRP) and Design Specific Review<br>)  |         |
|--|------------|---|---------------------------------|--|---------|
| SRP or DSRS Section, Rev:<br>Title   | AC         | AC Title/Description  | Conformance<br>Status           | Comments   | Section |
| DSRS 15.2.6, Rev 0: Loss of<br>Nonemergency AC Power to<br>the Station Auxiliaries         | 11.1       | Reactor Coolant and Main Steam<br>System Pressures  | Conforms                        | None.  | 15.2.6  |
| DSRS 15.2.6, Rev 0: Loss of<br>Nonemergency AC Power to<br>the Station Auxiliaries         | 11.2       | Fuel Cladding Integrity   | Conforms                        | None.  | 15.2.6  |
| DSRS 15.2.6, Rev 0: Loss of<br>Nonemergency AC Power to<br>the Station Auxiliaries         | 11.3       | Incidents of Moderate Frequency   | Conforms                        | NuScale categorizes events as AOO and IE.  | 15.2.6  |
| DSRS 15.2.6, Rev 0: Loss of<br>Nonemergency AC Power to<br>the Station Auxiliaries         | 11.4       | Requirements of GDC 10 and GDC 15   | Conforms                        | None.  | 15.2.6  |
| DSRS 15.2.6, Rev 0: Loss of<br>Nonemergency AC Power to<br>the Station Auxiliaries         | 11.5       | Most Limiting Plant System Single<br>Failure  | Conforms                        | None.  | 15.2.6  |
| DSRS 15.2.6, Rev 0: Loss of<br>Nonemergency AC Power to<br>the Station Auxiliaries         | II.5 A-D   | Analysis of Loss of AC Power -<br>Analytical Model and Methods,<br>conservative assumptions and RG<br>1.105 | Conforms                        | None.  | 15.2.6  |
| DSRS 15.2.7, Rev 0: Loss of<br>Normal Feedwater Flow                                       | II.1       | Fuel and System Pressure Parameters<br>met  | Conforms                        | None.  | 15.2.7  |
| DSRS 15.2.7, Rev 0: Loss of<br>Normal Feedwater Flow                                       | 11.2       | Events of Moderate Frequency  | Conforms                        | NuScale categorizes events as AOO and IE.  | 15.2.7  |
| DSRS 15.2.7, Rev 0: Loss of<br>Normal Feedwater Flow                                       | II.3       | Analytical Model and Methods  | Conforms                        | None.  | 15.2.7  |
| DSRS 15.2.8, Rev 0: Feedwater<br>System Pipe Break Inside and<br>Outside Containment (PWR) | 11.1       | Reactor Coolant System and Main<br>Steam System Pressures   | Conforms                        | None.  | 15.2.8  |
| DSRS 15.2.8, Rev 0: Feedwater<br>System Pipe Break Inside and<br>Outside Containment (PWR) | 11.2       | Evaluation of Core Damage Potential   | Conforms                        | For slower reactivity insertions, NuScale<br>uses a heat generation rate limit to ensure<br>that fuel centerline melting limits are met. | 15.2.8  |
| DSRS 15.2.8, Rev 0: Feedwater<br>System Pipe Break Inside and<br>Outside Containment (PWR) | 11.3       | Calculated Site Boundary Doses  | Conforms                        | None.  | 15.2.8  |

**Revision 5** 

1.9-169

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| SRP or DSRS Section,<br>Title   | Rev: AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section        |
|---|-----------|--|-----------------------|--|----------------|
| DSRS 15.2.8, Rev 0: Feedv<br>System Pipe Break Inside<br>Outside Containment (P   | e and     | DHRS must be safety grade and<br>automatically initiated when<br>required. | Conforms              | None.  | 15.2.8         |
| DSRS 15.2.8, Rev 0: Feedv<br>System Pipe Break Inside<br>Outside Containment (P   | e and     | Assumptions for Initial Plant<br>Conditions and Postulated Failures        | Conforms              | None.  | 15.2.8         |
| SRP 15.3.1-15.3.2, Rev 2:<br>of Forced Reactor Coolar<br>Flow Including Trip of Pu<br>Motor and Flow Controll<br>Malfunctions | nt<br>ump | Various  | Not Applicable        | Applicable only to LWR designs that rely on<br>forced reactor coolant flow for core cooling.<br>The NuScale design uses passive natural<br>circulation of the primary coolant,<br>eliminating the need for reactor coolant<br>pumps.                             | Not Applicable |
| SRP 15.3.3-15.3.4, Rev 2:<br>Reactor Coolant Pump R<br>Seizure and Reactor Coo<br>Pump Shaft Break                            | lotor     | Various  | Not Applicable        | Section 15.3.3 - 15.3.4 are applicable only to<br>LWR designs that rely on forced reactor<br>coolant flow for core cooling. The NuScale<br>design uses passive natural circulation of<br>the primary coolant, eliminating the need<br>for reactor coolant pumps. | Not Applicable |
| SRP 15.4.1, Rev 3:<br>Uncontrolled Control Ro<br>Assembly Withdrawal Fr<br>Subcritical or Low Power<br>Startup Condition      | om a      | Thermal Margin Limits  | Conforms              | Critical heat flux (CHF) is more appropriate<br>terminology for NuScale phenomena than<br>departure from nucleate boiling (DNBR).  | 15.4.1         |
| SRP 15.4.1, Rev 3:<br>Uncontrolled Control Ro<br>Assembly Withdrawal Fr<br>Subcritical or Low Power<br>Startup Condition      | om a      | Fuel Centerline Temperatures   | Conforms              | For slower reactivity insertions, NuScale<br>uses a heat generation rate limit to ensure<br>that fuel centerline melting limits are met.   | 15.4.1         |
| SRP 15.4.1, Rev 3:<br>Uncontrolled Control Ro<br>Assembly Withdrawal Fr<br>Subcritical or Low Power<br>Startup Condition      | om a      | Uniform Cladding Strain  | Not Applicable        | The SRP states that this criterion applies to<br>BWRs. NuScale uses the 95/95 MCHFR<br>approach to ensure no cladding or fuel<br>failures.   | Not Applicable |
| SRP 15.4.2, Rev 3:<br>Uncontrolled Control Ro<br>Assembly Withdrawal at<br>Power  |           | Thermal Margin Limits  | Conforms              | CHF is more appropriate terminology for<br>NuScale phenomenon than DNBR.   | 15.4.2         |

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| SRP or DSRS Section, Rev:<br>Title  | AC     | AC Title/Description         | Conformance<br>Status | Comments   | Section      |
|---|--------|------------------------------|-----------------------|--|--------------|
| SRP 15.4.2, Rev 3:<br>Uncontrolled Control Rod<br>Assembly Withdrawal at<br>Power   | II.1.B | Fuel Centerline Temperatures | Conforms              | For slower reactivity insertions, NuScale<br>uses a heat generation rate limit to ensure<br>that fuel centerline melting limits are met.   | 15.4.2       |
| SRP 15.4.2, Rev 3:<br>Uncontrolled Control Rod<br>Assembly Withdrawal at<br>Power   | II.1.C | Uniform Cladding Strain      | Not Applicable        | The SRP states that this criterion applies to<br>BWRs. NuScale uses the 95/95 MCHFR<br>approach to ensure no cladding or fuel<br>failures.   | Not Applicat |
| SRP 15.4.3, Rev 3: Control Rod<br>Misoperation (System<br>Malfunction or Operator<br>Error)   |        | Thermal Margin Limits        | Conforms              | CHF is more appropriate terminology for<br>NuScale phenomenon than DNBR.   | 15.4.3       |
| SRP 15.4.3, Rev 3: Control Rod<br>Misoperation (System<br>Malfunction or Operator<br>Error)   |        | Fuel Centerline Temperatures | Conforms              | For slower reactivity insertions, NuScale<br>uses a heat generation rate limit to meet<br>fuel centerline melting limits.  | 15.4.3       |
| SRP 15.4.3, Rev 3: Control Rod<br>Misoperation (System<br>Malfunction or Operator<br>Error)   | II.3   | Uniform Cladding Strain      | Conforms              | None.  | 15.4.3       |
| SRP 15.4.4-15.4.5, Rev 2:<br>Startup of an Inactive Loop or<br>Recirculation Loop at an<br>ncorrect Temperature, and<br>Flow Controller Malfunction<br>Causing an Increase in BWR<br>Core Flow Rate | II.A   | RCS and MSS Pressures        | Not Applicable        | This guidance is not applicable because the<br>specific language refers to PWR designs that<br>use forced reactor coolant flow and have<br>reactor coolant loops and pumps. The<br>NuScale design does not require or include<br>reactor coolant pumps. The potential for a<br>postulated startup reactivity accident (e.g.,<br>initiated by abnormal startup sequence) has<br>been identified as an event requiring<br>consideration for the NuScale reactor<br>design. The guidance for these AOOs is<br>available in DSRS 15.4.6 - Inadvertent<br>Decrease in Boron Concentration in the<br>Reactor Coolant System (PWR). | Not Applicat |

Tier 2

| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description         | Conformance<br>Status | Comments   | Section        |
|--|------|------------------------------|-----------------------|--|----------------|
|  | II.B | Fuel Thermal Limits          | Not Applicable        | This guidance is not applicable because the<br>specific language refers to PWR designs that<br>use forced reactor coolant flow and have<br>reactor coolant loops and pumps. The<br>NuScale design does not require or include<br>reactor coolant pumps. The potential for a<br>postulated startup reactivity accident (e.g.,<br>initiated by abnormal startup sequence) has<br>been identified as an event requiring<br>consideration for the NuScale reactor<br>design. The guidance for these AOOs is<br>available in DSRS 15.4.6 - Inadvertent<br>Decrease in Boron Concentration in the<br>Reactor Coolant System (PWR). | Not Applicable |
| SRP 15.4.4-15.4.5, Rev 2:<br>Startup of an Inactive Loop or<br>Recirculation Loop at an<br>Incorrect Temperature, and<br>Flow Controller Malfunction<br>Causing an Increase in BWR<br>Core Flow Rate | II.C | Events of Moderate Frequency | Not Applicable        | This guidance is not applicable because the<br>specific language refers to PWR designs that<br>use forced reactor coolant flow and have<br>reactor coolant loops and pumps. The<br>NuScale design does not require or include<br>reactor coolant pumps. The potential for a<br>postulated startup reactivity accident (e.g.,<br>initiated by abnormal startup sequence) has<br>been identified as an event requiring<br>consideration for the NuScale reactor<br>design. The guidance for these AOOs is<br>available in DSRS 15.4.6 - Inadvertent<br>Decrease in Boron Concentration in the<br>Reactor Coolant System (PWR). | Not Applicable |

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| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description | Conformance<br>Status | Comments   | Section        |
|--|------|----------------------|-----------------------|--|----------------|
| SRP 15.4.4-15.4.5, Rev 2:<br>Startup of an Inactive Loop or<br>Recirculation Loop at an<br>Incorrect Temperature, and<br>Flow Controller Malfunction<br>Causing an Increase in BWR<br>Core Flow Rate | II.D | Instrument Setpoints | Not Applicable        | This guidance is not applicable because the specific language refers to PWR designs that use forced reactor coolant flow and have reactor coolant loops and pumps. The NuScale design does not require or include reactor coolant pumps. The potential for a postulated startup reactivity accident (e.g., initiated by abnormal startup sequence) has been identified as an event requiring consideration for the NuScale reactor design. The guidance for these AOOs is available in DSRS 15.4.6 - Inadvertent Decrease in Boron Concentration in the Reactor Coolant System (PWR).  | Not Applicable |
| SRP 15.4.4-15.4.5, Rev 2:<br>Startup of an Inactive Loop or<br>Recirculation Loop at an<br>Incorrect Temperature, and<br>Flow Controller Malfunction<br>Causing an Increase in BWR<br>Core Flow Rate | II.E | Single Failure       | Not Applicable        | This guidance is not applicable because the<br>specific language refers to PWR designs that<br>use forced reactor coolant flow and have<br>reactor coolant loops and pumps. The<br>NuScale design does not require or include<br>reactor coolant pumps. The potential for a<br>postulated startup reactivity accident (e.g.,<br>initiated by abnormal startup sequence) has<br>been identified as an event requiring<br>consideration for the NuScale reactor<br>design. The guidance for these AOOs is<br>available in DSRS 15.4.6 - Inadvertent<br>Decrease in Boron Concentration in the<br>Reactor Coolant System (PWR). | Not Applicable |

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| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description                                    | Conformance<br>Status | Comments   | Section        |
|---|------|---|-----------------------|--|----------------|
| SRP 15.4.4-15.4.5, Rev 2:<br>Startup of an Inactive Loop or<br>Recirculation Loop at an<br>ncorrect Temperature, and<br>Flow Controller Malfunction<br>Causing an Increase in BWR<br>Core Flow Rate | II.F | Non-Safety Systems                                      | Not Applicable        | This guidance is not applicable because the<br>specific language refers to PWR designs that<br>use forced reactor coolant flow and have<br>reactor coolant loops and pumps. The<br>NuScale design does not require or include<br>reactor coolant pumps. The potential for a<br>postulated startup reactivity accident (e.g.,<br>initiated by abnormal startup sequence) has<br>been identified as an event requiring<br>consideration for the NuScale reactor<br>design. The guidance for these AOOs is<br>available in DSRS 15.4.6 - Inadvertent<br>Decrease in Boron Concentration in the<br>Reactor Coolant System (PWR). | Not Applicable |
| SRP 15.4.6, Rev 2: Inadvertent<br>Decrease in Boron<br>Concentration in the Reactor<br>Coolant System (PWR)   | II.1 | Reactor Coolant and Main Steam<br>System Pressures      | Conforms              | None.  | 15.4.6         |
| SRP 15.4.6, Rev 2: Inadvertent<br>Decrease in Boron<br>Concentration in the Reactor<br>Coolant System (PWR)   | 11.2 | Fuel Cladding Integrity                                 | Conforms              | CHF is more appropriate terminology for<br>NuScale phenomenon.   | 15.4.6         |
| SRP 15.4.6, Rev 2: Inadvertent<br>Decrease in Boron<br>Concentration in the Reactor<br>Coolant System (PWR)   |      | Incidents of Moderate Frequency                         | Conforms              | None.  | 15.4.6         |
| SRP 15.4.6, Rev 2: Inadvertent<br>Decrease in Boron<br>Concentration in the Reactor<br>Coolant System (PWR)   |      | Minimum Time Intervals for Required<br>Operator Actions | Not Applicable        | Operator action is not required to mitigate an inadvertent boron dilution event.   | Not Applicable |
| SRP 15.4.6, Rev 2: Inadvertent<br>Decrease in Boron<br>Concentration in the Reactor<br>Coolant System (PWR)   | 11.5 | Analysis Model, Methods, and<br>Assumptions             | Conforms              | None.  | 15.4.6         |

Tier 2

| SRP or DSRS Section, Rev:<br>Title   | AC             | AC Title/Description   | Conformance<br>Status | Comments   | Section |
|--|----------------|--|-----------------------|--|---------|
| SRP 15.4.7, Rev 2: Inadvertent<br>Loading and Operation of a<br>Fuel Assembly in an Improper<br>Position |                | Provision in Plant Operating<br>Procedures Requiring<br>Instrumentation to Detect Fuel<br>Loading Errors           | Conforms              | None.  | 15.4.7  |
| SRP 15.4.7, Rev 2: Inadvertent<br>Loading and Operation of a<br>Fuel Assembly in an Improper<br>Position |                | Offsite Radiological Consequences  | Conforms              | Safety analysis demonstrates that there are no fuel failures.  | 15.4.7  |
| SRP 15.4.8, Rev 3: Spectrum of<br>Rod Ejection Accidents (PWR)   | II.1           | Availability of Monitoring<br>Instrumentation  | Conforms              | None.  | 15.4.8  |
| SRP 15.4.8, Rev 3: Spectrum of<br>Rod Ejection Accidents (PWR)   | II.2           | Effects of Postulated Reactivity<br>Accidents  | Conforms              | None.  | 15.4.8  |
| SRP 15.4.8, Rev 3: Spectrum of<br>Rod Ejection Accidents (PWR)   | II.3           | Radiation Dose Limits  | Conforms              | None.  | 15.4.8  |
| SRP 15.4.8.A, Rev 1:<br>Radiological Consequences<br>of a Control Rod Ejection<br>Accident (PWR)         | All            | Various  | Partially Conforms    | Per SRP Section 15.0.3, Section I, Areas of<br>Review, Item 10 under subheading Review<br>Interfaces, for the review of design<br>certification applications, SRP Section 15.0.3<br>supersedes the radiological analyses,<br>assumptions, acceptance criteria, and<br>methodologies identified in SRP Section<br>15.4.8, Appendix A. Provisions related to the<br>nonradiological analyses aspects of this SRP<br>Section 15.4.8, Appendix A, apply to the<br>DCA. | 15.0.3  |
| SRP 15.4.8.A, Rev 1:<br>Radiological Consequences<br>of a Control Rod Ejection                           | ll (No number) | First paragraph of Section II (bottom<br>of page 15.4.8-5 and top of page<br>15.4.8-6) - Acceptability of Site and | Partially Conforms    | The part of this guidance specifying the<br>calculation of radiological consequences of<br>a postulated control rod ejection accident is   | 15.0.3  |

applicable to the DCA. However, per SRP

Section 15.0.3, Section I, Areas of Review, Item 10.C under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological acceptance criteria is superseded by SRP

Section 15.0.3.

Dose Mitigating ESF

Tier 2

**Revision 5** 

Accident (PWR)

1.9-175

| Ň          |  |                | Standard (DS   | RS) (Continued)       |   |                       |
|------------|--|----------------|--|-----------------------|---|-----------------------|
|            | SRP or DSRS Section, Rev:<br>Title   | AC             | AC Title/Description   | Conformance<br>Status | Comments  | Section               |
|            | SRP 15.4.8.A, Rev 1:<br>Radiological Consequences<br>of a Control Rod Ejection<br>Accident (PWR)                               | ll (No number) | First full paragraph on page 15.4.8-6)<br>- Technical Specification for Primary-<br>to-Secondary Leak Rate   | Partially Conforms    | The part of this guidance related to the<br>required technical specification for primary-<br>to-secondary leak rate is applicable to the<br>DCA. However, per SRP Section 15.0.3,<br>Section I, Areas of Review, Item 10.C under<br>subheading Review Interfaces, the part of<br>this acceptance criterion that specifies<br>radiological acceptance criteria is<br>superseded by SRP Section 15.0.3. | 15.0.3                |
|            | SRP 15.4.8.A, Rev 1:<br>Radiological Consequences<br>of a Control Rod Ejection<br>Accident (PWR)                               | ll (No number) | Second full paragraph on page<br>15.4.8-6) - Dose Model  | Not Applicable        | Per SRP Section 15.0.3, Section I, Areas of<br>Review, Item 10.C under subheading<br>Review Interfaces, this acceptance criterion<br>specifies radiological acceptance criteria<br>and assumptions that are superseded by<br>SRP Section 15.0.3.  | Not Applicable        |
| 1.9-176    | SRP 15.4.9, Rev 3: Spectrum of<br>Rod Drop Accidents (BWR)   | All            | -  | Not Applicable        | This SRP section and its acceptance criteria<br>(II.1 through II.3) are applicable only to<br>BWRs.   | Not Applicable        |
|            | SRP 15.4.9.A, Draft Rev 3:<br>Radiological Consequences<br>of Control Rod Drop Accident<br>(BWR)                               | All            | -  | Not Applicable        | This SRP section and its acceptance criteria are applicable only to BWRs.   | Not Applicable        |
|            | DSRS 15.5.1-15.5.2, Rev 0:<br>Chemical and Volume<br>Control System Malfunction<br>That Increases Reactor<br>Coolant Inventory | 11.1           | The frequency classification for this event is an AOO.   | Conforms              | None.   | 15.0<br>15.5.1-15.5.2 |
|            | DSRS 15.5.1-15.5.2, Rev 0:<br>Chemical and Volume<br>Control System Malfunction<br>That Increases Reactor<br>Coolant Inventory | 11.2           | The sequence of events, from<br>initiation until a stabilized condition<br>is reached including assumptions for<br>equipment that operates, fails to<br>operate or requires operator action. | Conforms              | None.   | 15.5.1-15.5.2         |
| Revision 5 | DSRS 15.5.1-15.5.2, Rev 0:<br>Chemical and Volume<br>Control System Malfunction<br>That Increases Reactor<br>Coolant Inventory | 11.3           | Evaluation Model must be an approved model or be justified.  | Conforms              | None.   | 15.5.1-15.5.2         |

| Standard (DSRS) (Continued) |  |                       |  |                  |  |  |
|-----------------------------|--|-----------------------|--|------------------|--|--|
|                             | AC Title/Description   | Conformance<br>Status | Comments   | Section          |  |  |
|                             | Input Parameters and Initial<br>Conditions - Initial Power Level   | Conforms              | None.  | 15.5.1-15.5.2    |  |  |
|                             | Input Parameters and Initial<br>Conditions - Scram Characteristics | Conforms              | None.  | 15.5.1-15.5.2    |  |  |
|                             | Input Parameters and Initial<br>Conditions - Core Burnup           | Conforms              | None.  | 15.5.1-15.5.2    |  |  |
|                             | Various  | Partially Conforms    | This guidance is only applicable to LWRs<br>that are designed with power-operated<br>pressurizer relief valves. The NuScale design<br>does not use power-operated relief valves<br>(PORVs), which have the potential to open<br>inadvertently. Rather, the NuScale design<br>uses springloaded ASME code safety relief<br>valves, which do not have the PORVs<br>vulnerability to inadvertent operation. | 15.6.1<br>15.6.6 |  |  |

However, a mechanical failure of the reactor

safety valve (RSV) is bounded by an inadvertent ECCS valve actuation, analyzed

in Section 15.6.6.

#### Tier 2

1.9-177

SRP or DSRS Section, Rev:

Title DSRS 15.5.1-15.5.2, Rev 0:

Control System Malfunction That Increases Reactor Coolant Inventory DSRS 15.5.1-15.5.2, Rev 0:

Control System Malfunction That Increases Reactor Coolant Inventory DSRS 15.5.1-15.5.2, Rev 0:

Control System Malfunction That Increases Reactor Coolant Inventory

Opening of a PWR Pressurizer Relief Valve or a BWR Pressure

SRP 15.6.1, Rev 2: Inadvertent All

Chemical and Volume

Chemical and Volume

Chemical and Volume

**Relief Valve** 

AC

II.4.A

II.4.B

II.4.C

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#### Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review

| SRP or DSRS Section, Rev:<br>Title  | AC | AC Title/Description   | Conformance<br>Status | Comments  | Section          |
|---|----|--|-----------------------|---|------------------|
|   |    | Penultimate paragraph of Section II<br>on page 15.6.22 - Acceptability of Site<br>and Dose Mitigating ESF Systems                                      | Partially Conforms    | The part of this guidance specifying the calculation of radiological consequences of a postulated failure outside containment of a small reactor coolant line is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.E under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological acceptance criteria is superseded by SRP Section 15.0.3. | 15.0.3<br>15.6.2 |
| SRP 15.6.2, Rev 2: Radiological<br>Consequences of the Failure<br>of Small Lines Carrying<br>Primary Coolant Outside<br>Containment |    | Last paragraph of Section II on page<br>15.6.22 - Plant-Specific Technical<br>Specifications for Primary Coolant<br>System lodine Activity             | Partially Conforms    | The part of this guidance related to the<br>required technical specification for primary<br>coolant iodine activity is applicable to the<br>DCA. However, per SRP Section 15.0.3,<br>Section I, Areas of Review, Item 10.E under<br>subheading Review Interfaces, the part of<br>this acceptance criterion that specifies<br>radiological acceptance criteria is<br>superseded by SRP Section 15.0.3.                         | 15.0.3<br>15.6.2 |
| SRP 15.6.3, Rev 2: Radiological<br>Consequences of Steam<br>Generator Tube Failure (PWR)  |    | First paragraph and Items (1) and (2)<br>of Section II on page 15.6.32 -<br>Acceptability of Site and Dose<br>Mitigating ESF Systems                   | Partially Conforms    | The part of this guidance specifying the calculation of radiological consequences of a postulated steam generator tube failure is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.F under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological acceptance criteria is superseded by SRP Section 15.0.3.                                | 15.0.3<br>15.6.3 |
| SRP 15.6.3, Rev 2: Radiological<br>Consequences of Steam<br>Generator Tube Failure (PWR)  |    | First sentence of the last paragraph of<br>Section II on page 15.6.32 -<br>Methodology and Assumptions for<br>Calculating Radiological<br>Consequences | Not Applicable        | This acceptance criterion specifies<br>radiological analysis methodology and<br>assumptions that are superseded by SRP<br>Section 15.0.3.   | Not Applicabl    |

Tier 2

1.9-178

| Standard (DSRS) (Continued)  |      |  |                       |  |                  |  |  |
|--|------|--|-----------------------|--|------------------|--|--|
| SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section          |  |  |
| SRP 15.6.3, Rev 2: Radiologica<br>Consequences of Steam<br>Generator Tube Failure (PWR   |      | Last two sentences of the last<br>paragraph of Section II on page<br>15.6.32 - Plant-Specific Technical<br>Specifications for Primary and<br>Secondary Coolant System Iodine<br>Activity | Partially Conforms    | The part of this guidance related to the<br>required technical specification for primary<br>and secondary coolant iodine activity is<br>applicable to the DCA. However, per SRP<br>Section 15.0.3, Section I, Areas of Review,<br>Item 10.F under subheading Review<br>Interfaces, the part of this acceptance<br>criterion that specifies radiological<br>acceptance criteria is superseded by SRP<br>Section 15.0.3. | 15.0.3<br>15.6.3 |  |  |
| SRP 15.6.4, Rev 2: Radiologica<br>Consequences of Main Stean<br>Line Failure Outside<br>Containment (BWR)  |      | -  | Not Applicable        | This SRP section and its acceptance criteria are applicable only to BWRs.  | Not Applicabl    |  |  |
| DSRS 15.6.5, Rev 0: Loss-of-<br>Coolant Accidents Resulting<br>from Spectrum of Postulated<br>Piping Breaks within the<br>Reactor Coolant Pressure<br>Boundary | 11.1 | Evaluation of ECCS Performance   | Departure             | The NuScale design supports an exemption<br>from selected portions of 10 CFR 50<br>Appendix K. The features of Appendix K<br>requirements that are technically relevant<br>to the NuScale design are included in the<br>Appendix K analysis of small break LOCAs.  | 15.6.5           |  |  |
| DSRS 15.6.5, Rev 0: Loss-of-<br>Coolant Accidents Resulting<br>from Spectrum of Postulated<br>Piping Breaks within the<br>Reactor Coolant Pressure<br>Boundary | 11.2 | Radiological Consequences of Most<br>Severe LOCA   | Conforms              | Per SRP Section 15.0.3, Section I, Areas of<br>Review, Item 10 under subheading Review<br>Interfaces, for the review of design<br>certification applications, SRP Section 15.0.3<br>supersedes the radiological analyses,<br>assumptions, acceptance criteria, and<br>methodologies identified in this SRP<br>Section 15.6.5.  | 15.6.5           |  |  |
| DSRS 15.6.5, Rev 0: Loss-of-<br>Coolant Accidents Resulting<br>from Spectrum of Postulated<br>Piping Breaks within the<br>Reactor Coolant Pressure<br>Boundary | 11.3 | TMI Action Plan Requirements   | Conforms              | None.  | 15.6.5           |  |  |

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| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review |
|---|
| Standard (DSRS) (Continued)   |
|   |

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description   | Conformance<br>Status | Comments   | Section          |
|---|------|--|-----------------------|--|------------------|
| Coolant Accidents Resulting<br>from Spectrum of Postulated<br>Piping Breaks within the<br>Reactor Coolant Pressure<br>Boundary  | 11.4 | Programmatic Requirements  | Conforms              | None.  | 15.6.5           |
| SRP 15.6.5.A, Rev 1:<br>Radiological Consequences<br>of a Design Basis Loss-of-<br>Coolant Accident Including<br>Containment Leakage<br>Contribution                                | 11.1 | Calculated Doses and Containment<br>Leakage Contribution                       | Partially Conforms    | The part of this guidance specifying the calculation of radiological consequences of a hypothetical LOCA is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.H under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological acceptance criteria is superseded by SRP Section 15.0.3. A portion of this guidance is applicable only to BWRs.              | 15.0.3<br>15.6.5 |
| SRP 15.6.5.A, Rev 1:<br>Radiological Consequences<br>of a Design Basis Loss-of-<br>Coolant Accident Including<br>Containment Leakage<br>Contribution                                | 11.2 | Model for and Calculation of Post-<br>LOCA Containment Leakage<br>Contribution | Partially Conforms    | The part of this guidance specifying the calculation of post LOCA containment leakage contribution is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.H under subheading Review Interfaces, the part of this Acceptance Criterion that specifies radiological acceptance criteria and analysis model is superseded by SRP Section 15.0.3. A portion of this guidance is applicable only to BWRs. | 15.0.3<br>15.6.5 |
| SRP 15.6.5.B, Rev 1:<br>Radiological Consequences<br>of a Design Basis Loss-of-<br>Coolant Accident: Leakage<br>From Engineered Safety<br>Feature Components Outside<br>Containment | 11.1 | ESF System Leakage Assumptions   | Conforms              | None.  | 15.0.3<br>15.6.5 |

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description                         | Conformance<br>Status | Comments   | Section          |
|---|------|--|-----------------------|--|------------------|
| SRP 15.6.5.B, Rev 1:<br>Radiological Consequences<br>of a Design Basis Loss-of-<br>Coolant Accident: Leakage<br>From Engineered Safety<br>Feature Components Outside<br>Containment | 11.2 | Calculation of Radiological<br>Consequences  | Partially Conforms    | The part of this guidance specifying the calculation of radiological consequences of postulated leakage is applicable to the DCA. However, per SRP Section 15.0.3, Section I, Areas of Review, Item 10.H under subheading Review Interfaces, the part of this acceptance criterion that specifies radiological analyses, assumptions, acceptance criteria, and methodologies is superseded by SRP Section 15.0.3.  | 15.0.3<br>15.6.5 |
| SRP 15.6.5.B, Rev 1:<br>Radiological Consequences<br>of a Design Basis Loss-of-<br>Coolant Accident: Leakage<br>From Engineered Safety<br>Feature Components Outside<br>Containment | 11.3 | Combining Radiological<br>Consequences       | Partially Conforms    | The part of this guidance specifying that<br>radiological consequences from ESF<br>component leakage should be combined<br>with consequences from other fission<br>product release paths is applicable to the<br>DCA. However, per SRP Section 15.0.3,<br>Section I, Areas of Review, Item 10.H under<br>subheading Review Interfaces, the part of<br>this acceptance criterion that specifies<br>radiological acceptance criteria is<br>superseded by SRP Section 15.0.3. A portion<br>of this guidance is applicable only to BWRs. | 15.0.3<br>15.6.5 |
| SRP 15.6.5.D, Rev 1:<br>Radiological Consequences<br>of a Design Basis Loss-of-<br>Coolant Accident: Leakage<br>From Main Steam Isolation<br>Valve Leakage Control<br>System (BWR)  | All  | Various                                      | Not Applicable        | This SRP section and its acceptance criteria are applicable only to BWRs.  | Not Applicab     |
| DSRS 15.6.6, Rev 0:<br>Inadvertent Operation of<br>ECCS   | 11.1 | RCS pressure below 110 percent design value. | Conforms              | None.  | 15.6.6           |
|   | 11.2 | Maintain minimum DNBR.                       | Conforms              | NuScale evaluated CHF as it is more<br>appropriate than DNBR for the NuScale<br>design.  | 15.6.6           |

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| )   | Standard (DSRS) (Continued)  |      |  |                       |   |                |  |  |
|-----|--|------|--|-----------------------|---|----------------|--|--|
|     | SRP or DSRS Section, Rev:<br>Title   | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section        |  |  |
| h   | DSRS 15.6.6, Rev 0:<br>nadvertent Operation of<br>ECCS                       | 11.3 | An AOO should not develop more<br>serious plant condition without other<br>faults occurring independently. | Conforms              | None.   | 15.6.6         |  |  |
| R   | RP 15.7.3, Rev 2: Radioactive<br>Release from a Subsystem or<br>Component    | All  | Various  | Partially Conforms    | The technical content has been relocated to<br>Branch Technical Position 11-6, which is<br>referenced in Section 11.2.  | 11.2           |  |  |
| C   | RP 15.7.4, Rev 1: Radiological<br>Consequences of Fuel<br>Handling Accidents | II.1 | Acceptability of Site and Dose<br>Mitigating ESF Systems   | Not Applicable        | This acceptance criterion specifies<br>radiological analysis acceptance criteria<br>that are superseded by SRP Section 15.0.3.  | Not Applicable |  |  |
| C H | RP 15.7.4, Rev 1: Radiological<br>Consequences of Fuel<br>landling Accidents |      | Radioactivity Control Features of Fuel<br>Storage and Handling Systems                                     | Partially Conforms    | The portion of this acceptance criterion<br>related to fuel storage and handling<br>systems inside the Fuel Building is<br>applicable to those systems inside the<br>NuScale Reactor Building. The portion of<br>this acceptance criterion related to fuel<br>storage and handling systems inside<br>containment is applicable only to large LWR<br>designs that incorporate a containment<br>building housing numerous plant SSC. The<br>NuScale design does not use a containment<br>building. Rather, each NPM has its own<br>compact steel containment vessel. This<br>containment vessel does not contain fuel<br>storage and handling systems. Thus, the<br>portion of this acceptance criterion related<br>to fuel storage and handling systems inside<br>containment is not applicable. | 15.7.4         |  |  |
| 0   | RP 15.7.4, Rev 1: Radiological<br>Consequences of Fuel<br>landling Accidents | 11.3 | Dose Model and Modeling<br>Assumptions   | Not Applicable        | This acceptance criterion specifies<br>radiological analysis methodology and<br>assumptions that are superseded by SRP<br>Section 15.0.3.   | Not Applicable |  |  |

Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

15.7.4

| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review<br>Standard (DSRS) (Continued) |      |                               |                |   |                |
|--|------|-------------------------------|----------------|---|----------------|
| SRP or DSRS Section, Rev:  | AC   | AC Title/Description          | Conformance    | Comments                                | Section        |
| Title  |      |                               | Status         |   |                |
| SRP 15.7.4, Rev 1: Radiological  | 11.4 | ESF Grade Atmosphere Clean-Up | Not Applicable | The NuScale design does not rely on ESF | Not Applicable |

Partially Conforms

ventilation systems to mitigate the

accident, and receive no credit in the determination of the radiological consequences of an accident.

The intent of this acceptance criterion is

to LWR designs that incorporate a containment building within which fuel handling operations are performed. The NuScale design does not use a containment building. Rather, each NPM has its own

compact steel containment vessel

applicable but the specific language refers

immediately surrounding the reactor vessel. The containment design provisions of this guidance for fuel handling operations inside containment are not relevant to the NuScale containment vessel design. However, the intent of this acceptance criterion is appropriate to apply to the NuScale Reactor Building, where the

operating NPMs reside in the reactor pool

and fuel handling operations are

performed.

consequences of a design basis accident. Nonsafety-related normal ventilation systems provide atmosphere cleanup capability, as necessary, that meets the design, testing, and maintenance guidelines in RG 1.140. These systems provide appropriate containment, confinement, and filtering to limit releases of airborne radioactivity to the environment during normal operations, anticipated operational occurrences, and postulated accident conditions. However, these systems are not required following an

System in Spent Fuel Storage Area

Radiation Detection in Containment

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**Revision 5** 

Tier 2

Consequences of Fuel

SRP 15.7.4, Rev 1: Radiological II.5

Consequences of Fuel

Handling Accidents

Handling Accidents

| SRP or DSRS Section, Rev:<br>Title                   | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section      |
|--|------|--|-----------------------|---|--------------|
|  | All  | Various  | Partially Conforms    | One of the principal functions of the   | 15.7.5       |
| Cask Drop Accidents                                  |      |  |                       | NuScale reactor building crane (RBC) is to<br>move spent fuel casks in the Reactor<br>Building refueling area. The RBC system<br>design conforms to the single-failure-proof<br>guidelines of NUREG-0612 so that any<br>credible failure of a single component will<br>not result in the loss of capability to stop<br>and hold a critical load. The single-failure-<br>proof crane precludes the need to perform   | 15.7.6       |
|  |      |  |                       | load drop evaluations and as a result no<br>accident analysis has been performed to<br>assess radiological consequences of a spent<br>fuel cask drop accident or a NPM drop<br>accident.  |              |
| Cask Drop Accidents                                  | 11.1 | Acceptability of Site and Dose<br>Mitigating ESF Systems               | Not Applicable        | The RBC system design conforms to the<br>single-failure-proof guidelines of NUREG-<br>0612 so that any credible failure of a single<br>component will not result in the loss of<br>capability to stop and hold a critical load.<br>The single-failure-proof crane precludes the<br>need to perform load drop evaluations and<br>as a result no accident analysis has been<br>performed to assess radiological<br>consequences of a spent fuel cask drop<br>accident or a NPM drop accident. | Not Applicab |
| SRP 15.7.5, Rev 2: Spent Fuel<br>Cask Drop Accidents | 11.2 | Radioactivity Control Features of Fuel<br>Storage and Handling Systems | Not Applicable        | The RBC system design conforms to the<br>single-failure-proof guidelines of NUREG-<br>0612 so that any credible failure of a single<br>component will not result in the loss of<br>capability to stop and hold a critical load.<br>The single-failure-proof crane precludes the<br>need to perform load drop evaluations and<br>as a result no accident analysis has been<br>performed to assess radiological<br>consequences of a spent fuel cask drop<br>accident or a NPM drop accident. | Not Applicab |

Tier 2

|  |      |  |                       | -   |                  |
|--|------|--|-----------------------|---|------------------|
| SRP or DSRS Section, Rev:<br>Title                       | AC   | AC Title/Description   | Conformance<br>Status | Comments  | Section          |
| SRP 15.7.5, Rev 2: Spent Fuel<br>Cask Drop Accidents     | 11.3 | Dose Model and Modeling<br>Assumptions                             | Not Applicable        | The RBC system design conforms to the<br>single-failure-proof guidelines of NUREG-<br>0612 so that any credible failure of a single<br>component will not result in the loss of<br>capability to stop and hold a critical load.<br>The single-failure-proof crane precludes the<br>need to perform load drop evaluations and<br>as a result no accident analysis has been<br>performed to assess radiological<br>consequences of a spent fuel cask drop<br>accident or a NPM drop accident. | Not Applicable   |
| SRP 15.7.5, Rev 2: Spent Fuel<br>Cask Drop Accidents     | 11.4 | ESF Grade Atmosphere Clean-Up<br>System in Spent Fuel Storage Area | Not Applicable        | The RBC system design conforms to the<br>single-failure-proof guidelines of NUREG-<br>0612 so that any credible failure of a single<br>component will not result in the loss of<br>capability to stop and hold a critical load.<br>The single-failure-proof crane precludes the<br>need to perform load drop evaluations and<br>as a result no accident analysis has been<br>performed to assess radiological<br>consequences of a spent fuel cask drop<br>accident or a NPM drop accident. | Not Applicable   |
| SRP 15.7.5, Rev 2: Spent Fuel<br>Cask Drop Accidents     | 11.5 | Plant Design Features Eliminating<br>Need for Calculation          | Partially Conforms    | The RBC system design conforms to the<br>single-failure-proof guidelines of NUREG-<br>0612 so that any credible failure of a single<br>component will not result in the loss of<br>capability to stop and hold a critical load.<br>The single-failure-proof crane precludes the<br>need to perform load drop evaluations and<br>as a result no accident analysis has been<br>performed to assess radiological<br>consequences of a spent fuel cask drop<br>accident or a NPM drop accident. | 15.7.5<br>15.7.6 |
| SRP 15.8, Rev 2: Anticipated<br>Transients without Scram | II.1 | Acceptance Criteria for Boiling Water<br>Reactors (BWRs)           | Not Applicable        | This guidance is only applicable to BWRs.   | Not Applicable   |
| SRP 15.8, Rev 2: Anticipated<br>Transients without Scram | II.2 | Acceptance Criteria for Pressurized<br>Water Reactors (PWRs)       | Not Applicable        | NuScale is characterized as an evolutionary plant (See the acceptance criteria in II.3).  | Not Applicable   |

Tier 2

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| SRP or DSRS Section, Rev:<br>Title  | AC        | AC Title/Description  | Conformance<br>Status | Comments   | Section        |
|---|-----------|---|-----------------------|--|----------------|
| SRP 15.8, Rev 2: Anticipated<br>Transients without Scram                      | II.3.A.i  | Provide a diverse scram system  | Partially Conforms    | The NuScale design relies on diversity<br>within the module protection system (MPS)<br>to reduce the risk associated with ATWS<br>events. Internal diversity within the MPS is a<br>simpler approach and meets the intent of<br>the diverse scram elements of the ATWS<br>Rule.  | 15.8           |
| SRP 15.8, Rev 2: Anticipated<br>Transients without Scram                      | II.3.A.ii | or, Demonstrate that the ATWS event consequences are acceptable   | Not Applicable        | As discussed in the comment above for<br>Acceptance Criteria II.3.A.i, the NuScale<br>design relies on diversity within the RPS to<br>reduce the risk associated with ATWS<br>events.  | Not Applicable |
| SRP 15.8, Rev 2: Anticipated<br>Transients without Scram                      | II.3.B    | Required Equipment Does Not Apply<br>to Design  | Conforms              | As discussed above in the comment for<br>Acceptance Criteria II.2, the design features<br>required by 10 CFR 50.62(C)(1) either do not<br>apply to the NuScale design or are not<br>required to reduce the risk from ATWS<br>events. Internal diversity within the MPS is a<br>simpler approach to addressing the diverse<br>scram elements of the ATWS Rule and<br>acceptance criteria II.3.A.ii. and II.3.C(2) for<br>evolutionary plants. | 15.8           |
| SRP 15.8, Rev 2: Anticipated<br>Transients without Scram                      | II.3.C    | Analysis Demonstrating the Failure<br>Probability of Failing the ATWS<br>Success Criteria is Sufficiently Small | Partially Conforms    | NuScale conforms to the second criterion<br>option of reducing the probability of a<br>failure to scram. This is achieved with a<br>diverse RPS instead of a diverse scram<br>system as discussed above.   | 15.8           |
| DSRS 15.9.A, Rev 0: Thermal<br>Hydraulic Stability Review<br>Responsibilities | 11.1      | No requirements   | -                     | None.  | -              |
| DSRS 15.9.A, Rev 0: Thermal<br>Hydraulic Stability Review<br>Responsibilities | 11.2      | Meeting Requirements of GDC 12  | Conforms              | None.  | 4.4.7          |
| DSRS 15.9.A, Rev 0: Thermal<br>Hydraulic Stability Review<br>Responsibilities | 11.3      | Detect and suppress system criteria<br>for demonstrating acceptable<br>consequences of stability                | Not Applicable        | Reactor trip signals prevent violation of CHF<br>limits before flow instabilities can develop.   | Not Applicable |

Tier 2

1.9-186

Not Applicable

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Not Applicable

4.4.7

Not Applicable

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| Table 1                            | .9-3: Confor |                      | andard Review Pla<br>DSRS) (Continued) | an (SRP) and Design Specific Review | 1       |
|------------------------------------|--------------|----------------------|--|-------------------------------------|---------|
| SRP or DSRS Section, Rev:<br>Title | AC           | AC Title/Description | Conformance<br>Status                  | Comments                            | Section |

Not Applicable

Not Applicable

Partially Conforms

Not Applicable

Conforms

Conforms

Partially Conforms

Exclusion zone option is not used in the

Partially Conforms Existing reactor trip signals provide an

NuScale design. Reactor trip signals prevent

violation of CHF limits before unstable flow oscillations can develop. Protective action occurs prior to development of oscillation.

exclusion zone that prevents violation of

SAFDL limits from other causes, which is already more limiting than the exclusion zone needed to preclude flow instabilities.

employed. Existing technical specifications

RTS system trips reactor prior to conditions

Stabilities are not detected and suppressed.

RTS system trips reactor prior to conditions

Stabilities are not detected and suppressed.

Reactor trip signals prevent violation of CHF

limits before flow instabilities can develop.

No unique monitoring is required to detect

RTS system is used instead of a D&S. RTS

occurs prior to conditions that could initiate

Detect and Suppress options are not

for RTS provide controls on allowable unavailabilities of protective trips. Backup

that could initiate flow instabilities.

that could initiate flow instabilities.

options are not required.

hydraulic instabilities.

None.

instabilities.

Detect and Suppress Method:

methodology

Exclusion zone and buffer region

Detect and Suppress Method Trip of

Backup options if licensing solutions

reactor before SAFDL violation

declared inoperable

Criteria to determine the

GDC 20

systems.

SAFDLs

acceptability of the D&S System

Detect and Suppress system to

monitor process variables and

functionality should be

demonstrated by analysis.

Ensure plant is free from other

D&S System extremely high

event of an AOO.

probability of functioning in the

instability modes that could violate

Stability-related instrumentation

compliance with the requirements of

1.9-187

**Revision 5** 

Tier 2

DSRS 15.9.A, Rev 0: Thermal

DSRS 15.9.A. Rev 0: Thermal

DSRS 15.9.A, Rev 0: Thermal

DSRS 15.9.A. Rev 0: Thermal

Hydraulic Stability Review

Responsibilities

Responsibilities

Responsibilities

Responsibilities

Responsibilities

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Responsibilities

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| SRP or DSRS Section, Rev:<br>Title | AC      | AC Title/Description              | Conformance<br>Status | Comments  | Section |
|------------------------------------|---------|-----------------------------------|-----------------------|---|---------|
| DSRS 16.0, Rev. 0: Technical       | All (No | Acceptance Criteria for Technical | Partially Conforms    | This DSRS section and its acceptance criteria   | Ch 16   |
| Specifications                     | Number) | Specifications                    |                       | is applicable but much of the specific          |         |
|                                    |         |                                   |                       | language refers to existing LWR technical       |         |
|                                    |         |                                   |                       | specifications or to plant-specific technical   |         |
|                                    |         |                                   |                       | specifications to be developed by a COL         |         |
|                                    |         |                                   |                       | applicant. For the latter, the DCA contains     |         |
|                                    |         |                                   |                       | COL information items, as appropriate, that     |         |
|                                    |         |                                   |                       | describe the required development of            |         |
|                                    |         |                                   |                       | plant-specific technical specifications that is |         |
|                                    |         |                                   |                       | deferred to the COL applicant referencing       |         |
|                                    |         |                                   |                       | the NuScale design. Notwithstanding the         |         |
|                                    |         |                                   |                       | above, pursuant to 10 CFR 52.47(a)(11) and      |         |
|                                    |         |                                   |                       | consistent with DSRS 16.0, the DCA contains     |         |
|                                    |         |                                   |                       | proposed technical specifications that are      |         |
|                                    |         |                                   |                       | prepared in accordance with 10 CFR 50.36        |         |
|                                    |         |                                   |                       | and 10 CFR 50.36a. The improved standard        |         |
|                                    |         |                                   |                       | technical specification guidance for LWRs       |         |
|                                    |         |                                   |                       | specified in this DSRS - NUREGs-1430            |         |
|                                    |         |                                   |                       | through -1434, and NUREG-2194 - were            |         |
|                                    |         |                                   |                       | utilized to the extent appropriate and          |         |
|                                    |         |                                   |                       | practicable. Additionally, the Technical        |         |
|                                    |         |                                   |                       | Specifications Task Force "Writer's Guide for   |         |
|                                    |         |                                   |                       | Plant-Specific Improved Technical               |         |
|                                    |         |                                   |                       | Specifications," TSTF-GG-05-01, Revision 1,     |         |
|                                    |         |                                   |                       | August 2010 was used to draft the               |         |
|                                    |         |                                   |                       | specifications.                                 |         |
|                                    |         |                                   |                       | There are a number of technical and             |         |
|                                    |         |                                   |                       | editorial differences between the NuScale       |         |
|                                    |         |                                   |                       | proposed technical specifications and those     |         |
|                                    |         |                                   |                       | presented in the improved standard              |         |
|                                    |         |                                   |                       | technical specifications. Consistent with this  |         |
|                                    |         |                                   |                       | DSRS 16.0, technical justification for such     |         |
|                                    |         |                                   |                       | differences is provided.                        |         |

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| SRP or   | DSRS Section, Rev:<br>Title                                  | AC   | AC Title/Description               | Conformance<br>Status | Comments   | Section       |
|----------|--|------|------------------------------------|-----------------------|--|---------------|
|          | , Rev 1: Risk-Informed<br>Making: Technical<br>itions        | II.1 | Traditional Engineering Guidelines | Partially Conforms    | This guidance is for revisions being made to<br>existing technical specifications (TS),<br>presumably including deviation from<br>generic or any applicable standard TS. The<br>discussion provided was considered in the<br>development of the NuScale TS, however<br>the specific content is not applicable to<br>development of new generic TS as a part of<br>a DCA.   | 16.1.1        |
|          | , Rev 1: Risk-Informed<br>Making: Technical<br>itions        | 11.2 | Probabilistic Guidelines           | Partially Conforms    | This guidance applies to revisions being<br>made to existing TS, including deviation<br>from generic or applicable standard TS. The<br>discussion provided was considered in the<br>development of the NuScale TS, however<br>the specific content is not applicable to<br>development of new generic TS as a part of<br>a DCA.  | 16.1.1        |
| Assuranc | , Rev 2: Quality<br>ce During the Design<br>struction Phases | All  | Various                            | Not Applicable        | This guidance is applicable only to existing<br>NRC-approved QA Programs that are based<br>on ANSI N45.2 and its daughter standards.<br>The NuScale QA Program Description<br>(QAPD) is based on NQA-1-2008 and the<br>NQA-1a-2009 addenda, as endorsed in RG<br>1.28, Rev 4. Since the issuance of SRP<br>Section 17.1, the NRC has issued SRP<br>Section 17.5 (based on NQA-1) for the<br>review of QAPDs for new reactor applicants<br>- including applicants for design<br>certification - under 10 CFR 52. Accordingly,<br>SRP Section 17.5 (rather than SRP Section<br>17.1) is the appropriate guidance to be<br>applied to the NuScale QAPD. | Not Applicabl |
| Assuranc | , Rev 2: Quality<br>ce During the<br>ns Phase                | All  | Various                            | Not Applicable        | This guidance is applicable only to existing<br>NRC-approved operational QA Programs<br>that are based on ANSI N45.2 and its<br>daughter standards.  | Not Applicabl |

Tier 2

# Conformance with Regulatory Criteria

## Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description            | Conformance<br>Status | Comments  | Section        |
|---|------|---------------------------------|-----------------------|---|----------------|
| SRP 17.3, Rev 0: Quality<br>Assurance Program<br>Description  | All  | Various                         | Not Applicable        | This guidance is applicable only to existing<br>NRC-approved QA Programs. Since the<br>issuance of this SRP section, the NRC has<br>issued SRP Section 17.5 for the review of<br>QAPDs for new reactor applicants -<br>including applicants for design certification<br>- under 10 CFR 52. Accordingly, SRP Section<br>17.5 (rather than SRP Section 17.3) is the<br>appropriate guidance to be applied to the<br>QAPD incorporated into the DCA. | Not Applicable |
| SRP 17.4, Rev 1: Reliability<br>Assurance Program (RAP)   | II.A | Design Certification            | Conforms              | None.   | 17.4           |
| SRP 17.4, Rev 1: Reliability<br>Assurance Program (RAP)   | II.B | COL Applicant                   | Not Applicable        | This acceptance criterion is applicable only to COL applicants.   | Not Applicable |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.A | Organization                    | Partially Conforms    | The onsite, offsite, operational, and<br>maintenance organizational elements of<br>Item II.A.3 are the responsibility of the COL<br>applicant referencing the certified design.   | 17.5           |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.B | Quality Assurance Program       | Partially Conforms    | The provisions for site-specific and<br>operational phase of the quality assurance<br>program are not applicable to the NuScale<br>QA program to be applied during the<br>design certification phase, and are to be<br>addressed within the operational QA<br>program developed and maintained by the<br>COL applicant referencing the certified<br>design.   | 17.5           |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.C | Design Control and Verification | Conforms              | None.   | 17.5           |

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| SRP or DSRS Section, Rev:        | AC   | AC Title/Description            | Conformance        | Comments                                     | Section |
|----------------------------------|------|---------------------------------|--------------------|--|---------|
| Title                            |      |                                 | Status             |  |         |
| SRP 17.5, Rev 1: Quality         | II.D | Procurement Document Control    | Conforms           | None.  | 17.5    |
| Assurance Program                |      |                                 |                    |  |         |
| Description - Design             |      |                                 |                    |  |         |
| Certification, Early Site Permit |      |                                 |                    |  |         |
| and New COL applicants           |      |                                 |                    |  |         |
| SRP 17.5, Rev 1: Quality         | II.E | Instructions, Procedures, and   | Conforms           | None.  | 17.5    |
| Assurance Program                |      | Drawings (Controlled Documents) |                    |  |         |
| Description - Design             |      |                                 |                    |  |         |
| Certification, Early Site Permit |      |                                 |                    |  |         |
| and New COL applicants           |      |                                 |                    |  |         |
| SRP 17.5, Rev 1: Quality         | II.F | Document Control                | Partially Conforms | The site-specific and operational provisions | 17.5    |
| Assurance Program                |      |                                 |                    | of document control are the responsibility   |         |

Conforms

Not Applicable

Not Applicable

Partially Conforms

Control of Purchased Material,

Identification and Control of

Control of Special Processes

Inspection

Materials, Parts, and Components

Equipment, and Services

of the COL applicant referencing the

This acceptance criterion governs activities

applicant referencing the certified design.

This acceptance criterion governs activities

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modification, etc. are the responsibility of the COL applicant referencing the certified

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certified design.

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#### Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review Standard (DSRS) (Continued)

Tier 2

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Description - Design

Certification, Early Site Permit

Certification, Early Site Permit and New COL applicants SRP 17.5, Rev 1: Quality

Certification, Early Site Permit and New COL applicants

Certification, Early Site Permit and New COL applicants SRP 17.5, Rev 1: Quality

Certification, Early Site Permit

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II.J

and New COL applicants

SRP 17.5, Rev 1: Quality

Assurance Program

Description - Design

Assurance Program

Description - Design

SRP 17.5, Rev 1: Quality

Assurance Program

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and New COL applicants

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| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description                             | Conformance<br>Status | Comments  | Section       |
|---|------|--|-----------------------|---|---------------|
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.K | Test Control                                     | Conforms              | None.   | 17.5          |
| Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants                             | II.L | Control of Measuring and Test<br>Equipment       | Conforms              | None.   | 17.5          |
| Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants                             | II.M | Handling, Storage, and Shipping                  | Not Applicable        | This acceptance criterion governs activities<br>that are the responsibility of the COL<br>applicant referencing the certified design. | Not Applicabl |
| Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants                             | II.N | Inspection, Test, and Operating<br>Status        | Not Applicable        | This acceptance criterion governs activities<br>that are the responsibility of the COL<br>applicant referencing the certified design. | Not Applicabl |
| Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants                             | 11.0 | Nonconforming Materials, Parts, or<br>Components | Conforms              | None.   | 17.5          |
| Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants                             | II.P | Corrective Action                                | Conforms              | None.   | 17.5          |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.Q | Quality Assurance Records                        | Conforms              | None.   | 17.5          |

## Tier 2

|   |      | Standard (DS   | RS) (Continued        | )   |                |
|---|------|--|-----------------------|---|----------------|
| SRP or DSRS Section, Rev:<br>Title  | AC   | AC Title/Description                                       | Conformance<br>Status | Comments  | Section        |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.R | Audits   | Conforms              | None.   | 17.5           |
|   | II.S | Training and Qualification Criteria                        | Conforms              | None.   | 17.5           |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.T | Training and Qualification -<br>Inspection and Test        | Conforms              | None.   | 17.5           |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.U | Nonsafety-Related SSC Quality<br>Controls                  | Conforms              | None.   | 17.5           |
| SRP 17.5, Rev 1: Quality<br>Assurance Program<br>Description - Design<br>Certification, Early Site Permit<br>and New COL applicants | II.V | Quality Assurance Program<br>Commitments                   | Conforms              | None.   | 17.5           |
| SRP 17.6, Rev 2: Maintenance<br>Rule  | All  | Various  | Not Applicable        | This SRP section and its acceptance criteria<br>govern a site-specific operational program<br>that is the responsibility of the COL<br>applicant. | Not Applicabl  |
| SRP 18.0, Rev 2: Human<br>Factors Engineering   | II.A | Review of the HFE Aspects of a New Plant                   | Conforms              | None.   | 18.1 thru 18.1 |
|   | II.B | Review of the HFE Aspects of Control<br>Room Modifications | Not Applicable        | This acceptance criterion is applicable to existing reactor licensees that request NRC approval of control room modifications.                    | Not Applicabl  |

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**Revision 5** 

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| SRP or DSRS Section, Rev:<br>Title   | AC    | AC Title/Description   | Conformance<br>Status | Comments   | Section               |
|--|-------|--|-----------------------|--|-----------------------|
| SRP 18.0, Rev 2: Human<br>Factors Engineering  | II.C  | Review of the HFE Aspects of<br>Modifications Affecting Risk<br>Important Human Actions                              | Not Applicable        | This acceptance criterion is applicable to<br>existing reactor licensees that request NRC<br>approval of plant changes that affect<br>important human actions. | Not Applicable        |
| SRP Appendix 18-A, Rev 0:<br>Crediting Manual Operator<br>Actions in Diversity and<br>Defense-in-Depth (D3)<br>Analyses  | C.1.B | Review Criteria for Phase 1 (Analysis)   | Conforms              | This appendix supersedes DI&C ISG-05,<br>Section 3, "Crediting Manual Operator<br>Actions in Diversity and Defense-in-Depth<br>(D3) Analyses".                 | 7.1.5<br>18.4<br>18.6 |
| SRP Appendix 18-A, Rev 0:<br>Crediting Manual Operator<br>Actions in Diversity and<br>Defense-in-Depth (D3)<br>Analyses  | C.2.B | Review Criteria for Phase 2<br>(Preliminary Validation)  | Conforms              | This appendix supersedes DI&C ISG-05,<br>Section 3, "Crediting Manual Operator<br>Actions in Diversity and Defense-in-Depth<br>(D3) Analyses".                 | 7.1.5<br>18.4<br>18.6 |
| SRP Appendix 18-A, Rev 0:<br>Crediting Manual Operator<br>Actions in Diversity and<br>Defense-in-Depth (D3)<br>Analyses  | C.3.B | Review Criteria for Phase 3<br>(Integrated System Validation)  | Conforms              | This appendix supersedes DI&C ISG-05,<br>Section 3, "Crediting Manual Operator<br>Actions in Diversity and Defense-in-Depth<br>(D3) Analyses".                 | 7.1.5<br>18.4<br>18.6 |
| SRP Appendix 18-A, Rev 0:<br>Crediting Manual Operator<br>Actions in Diversity and<br>Defense-in-Depth (D3)<br>Analyses  | C.4.B | Review Criteria for Phase 3<br>(Maintaining Long-Term Integrity of<br>Credited Manual Actions in the D3<br>Analysis) | Conforms              | This appendix supersedes DI&C ISG-05,<br>Section 3, "Crediting Manual Operator<br>Actions in Diversity and Defense-in-Depth<br>(D3) Analyses".                 | 7.1.5<br>18.4<br>18.6 |
| SRP 19.0, Rev 3: Probabilistic<br>Risk Assessment and Severe<br>Accident Evaluation for New<br>Reactors  | All   | Various  | Partially Conforms    | Evaluation of site-specific hazards and PRA update are COL applicant responsibility.   | 19.0<br>19.1<br>19.2  |
| SRP 19.1, Rev 3: Determining<br>The Technical Adequacy of<br>Probabilistic Risk Assessment<br>For Risk-Informed License<br>Amendment Requests After<br>Initial Fuel Load | All   | Various  | Not Applicable        | Applicable to PRAs used by a licensee to<br>support license amendments for an<br>operating reactor.  | Not Applicable        |

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| Table 1.9-3: Conformance with NUREG-0800, Standard Review Plan (SRP) and Design Specific Review |
|---|
| Standard (DSRS) (Continued)   |

| SRP or DSRS Section, Rev:<br>Title   | AC  | AC Title/Description | Conformance<br>Status | Comments  | Section        |
|--|-----|----------------------|-----------------------|---|----------------|
| SRP 19.2, Initial Issuance:<br>Review of Risk Information<br>Used to Support Permanent<br>PlantSpecific Changes to the<br>Licensing Basis: General<br>Guidance | All | Various              | Not Applicable        | Applicable to licensees, plant-specific proposals for changes to the licensing basis.   | Not Applicable |
| SRP 19.3, Rev 0: Regulatory<br>Treatment of Non-Safety<br>Systems for Passive Advanced<br>Light Water Reactors   | All | Various              | Conforms              | None.   | 19.3           |
| SRP 19.4, Rev 0: Strategies<br>and Guidance to Address<br>Loss-of-Large Areas of the<br>Plant Due to Explosions and<br>Fires                                   | All | Various              | Partially Conforms    | Applicable with the exception of<br>acceptance criterion II.17 Boiling Water<br>Reactor: Containment Venting and Vessel<br>Flooding (Item B.2.e) which is a BWR specific<br>criterion and acceptance criterion II.20 SFP<br>Mitigative Measures. The SFP mitigating<br>measure is not required by NEI 06-12 and<br>includes a statement that this mitigation<br>strategy is not required if the SFP is below<br>grade and cannot be drained. The NuScale<br>SFP is below grade and cannot be drained. | 19.4<br>20     |
| SRP 19.5, Rev 0: Adequacy of<br>Design features and<br>functional capabilities<br>identified and described for<br>withstanding Aircraft Impacts                | All | Various              | Conforms              | None.   | 19.5           |

| ISG Section/ Title  | AC  | AC Title / Description   | Conformance<br>Status | Comments  | Section        |
|---|-----|--|-----------------------|---|----------------|
| DC/COL-ISG-1: Seismic<br>Issues of High Frequency<br>Ground Motion  | 1   | Seismic Issues addressed in this<br>Interim Staff Guidance   | -                     | This section points out to the guidance provided in Sections 2, 3, 4, and 5.  | 3.7            |
| DC/COL-ISG-1  | 2   | Ground Motion Definitions  | Conforms              | The definitions provided in Section 3.7 are consistent.   | 3.7            |
| DC/COL-ISG-1  | 3   | Staff Guidance/Position on the<br>Definitions of Safe-Shutdown<br>and Operating-Basis<br>Earthquakes, Use of Various<br>Ground Motions, Seismic<br>Instrumentation and Operating-<br>Basis Earthquake Exceedance | Conforms              | The CSDRS (and CSDRs-HF) is effectively the SSE for the DCA. The OBE is specified as 1/3 of the CSDRS thus does not require any analysis in the DCA. There are COL items for the applicant to ensure the GMRS is enveloped and to have a seismic monitoring program with responses following an OBE exceedance. | 3.7            |
| DC/COL-ISG-1  | 4   | Staff Guidance/Position on<br>Addressing HF Ground Motion<br>Evaluations   | Conforms              | The NuScale design includes a high frequency CSDRS.   | 3.7            |
| DC/COL-ISG-1  | 5   | Staff Comments on the Industry<br>Draft White Paper on Testing of<br>Dynamic Soil Properties for<br>Nuclear Power Plant Combined<br>License Applications and<br>Guidance on Information for<br>Review            | Partially Conforms    | This discusses laboratory analysis of the site-specific soil<br>column. The FSAR includes COL items for the applicant to<br>develop site-specific information.  | 2.5            |
| Qualifications of Applicants<br>For Combined License<br>Applications  | All | Various  | Not Applicable        | This ISG is applicable to COL applicants.   | Not Applicabl  |
| DC/COL-ISG-3: Probabilistic<br>Risk Assessment Information<br>to Support Design<br>Certification and Combined<br>License Applications | All | Various  | Not Applicable        | Guidance concerning the review of PRA information and<br>severe accident assessments submitted to support DC and<br>COL applications has been incorporated into SRP 19.0, Rev<br>3.   | Not Applicable |
| DC/COL-ISG-4: Definition of<br>Construction and on Limited<br>Work Authorizations   | All | Various  | Not Applicable        | This ISG is applicable to all ESP and COL applicants requesting authorization to perform limited work activities or considering preconstruction activities.   | Not Applicable |

#### Table ith Interim Staff Guidance (ISG) . ~

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Conformance with Regulatory Criteria

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| ISG Section/ Title  | AC                            | AC Title / Description  | Conformance<br>Status | Comments  | Section           |
|---|-------------------------------|---|-----------------------|---|-------------------|
| DC/COL-ISG-5: GALE86 Code<br>for Calculation of Routine<br>Radioactive Releases in<br>Gaseous and Liquid Effluents<br>to Support Design<br>Certification and Combined<br>License Applications | All                           | Five paragraphs under heading<br>Final Interim Staff Guidance on<br>Page 3 - Acceptability of GALE86  | Not Applicable        | The NuScale design is similar to large PWRs in the existing<br>fleet for effluent release calculations. However, an<br>alternate methodology is necessary because the existing<br>PWRGALE code was developed in the 1980s for evaluation<br>of the large PWR reactors of that time and does not<br>address the NuScale plant design.  | Not Applicable    |
| DC/COL-ISG-6: Evaluation<br>and Acceptance Criteria for<br>10 CFR 20.1406 to Support<br>Design Certification and<br>Combined License<br>Applications  | Bullets 1 thru<br>6 (p 3 & 4) | Acceptance Criteria -<br>Compliance with RG 4.21  | Partially Conforms    | This guidance refers to Attachment C. The correct<br>reference is Attachment B. This guidance is applicable,<br>except for the portions that relate to site-specific,<br>operational aspects that are the responsibility of the COL<br>applicant referencing the NuScale design. The aspects of<br>this guidance that pertain to design features, facilities,<br>functions, and equipment that are technically relevant to<br>the NuScale standard plant design are applicable to the<br>DCA. | 12.3.6            |
| DC/COL-ISG-7: Assessment<br>of Normal and Extreme<br>Winter Precipitation Loads<br>on the Roofs of Seismic<br>Category I Structures   | All                           | Normal and Extreme Winter<br>Precipitation Events and their<br>Resulting Live Roof Loads  | Conforms              | Section 3.4 identifies parameter specified for the Extreme<br>and Normal winter precipitation events. These values are<br>used in the structural analysis in 3.8. The COL applicant<br>needs to determine site-specific information to compare<br>to the design parameters. That determination is<br>performed in Section 2.3.  | 2.3<br>3.4<br>3.8 |
| DC/COL-ISG-8: Necessary<br>Content of Plant-Specific<br>Technical Specifications  | para 1 (p4)                   | First paragraph under heading<br>Final Interim Staff Guidance,<br>specifying identification and<br>timing of resolution of generic<br>technical specification COL<br>action items | Conforms              | None.   | Ch 16             |
| DC/COL-ISG-8  | para 2-4 (p. 4<br>& 5)        | Second, third, and fourth<br>paragraphs under heading Final<br>Interim Staff Guidance,<br>specifying compliance options<br>for COL applicants                                     | Not Applicable        | This portion of the ISG is applicable only to COL applicants.   | Not Applicable    |
| DC/COL-ISG-10: Review of<br>Evaluation to Address<br>Adverse Flow Effects in<br>Equipment Other Than<br>Reactor Internals   | All                           | Final paragraph on Page 1 -<br>Review of Adverse Flow Effects   | Partially Conforms    | This guidance is applicable except for aspects that are<br>BWR-specific.  | 3.9.5             |

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| ISG Section/ Title  | AC  | AC Title / Description  | Conformance<br>Status | Comments   | Section     |
|---|-----|---|-----------------------|--|-------------|
| DC/COL-ISG-11: Finalizing<br>Licensing-basis Information  | All | Licensing-Basis Information<br>Freeze Point; Changes That<br>Should Not be Considered for<br>Deferral |                       | This guidance is applicable except for aspects that are applicable only to COL applicants or early site permit.  |             |
| DC/COL-ISG-13: NUREG-<br>0800 Standard Review Plan<br>Section 11.2 and Branch<br>Technical Position 11-6<br>Assessing the Consequences<br>of an Accidental Release of<br>Radioactive Materials from<br>Liquid Waste Tanks for<br>Combined License<br>Applications Submitted<br>under 10 CFR Part 52 | 1   | Failure Mechanism and<br>Radioactivity Releases   |                       | Site-specific aspects that are the responsibility of the COL applicant.  | 11.2.3      |
| DC/COL-ISG-13   | 2   | Mitigating Design Features  |                       | This guidance is applicable except for site-specific aspects that are the responsibility of the COL applicant.   | 11.2.3      |
| DC/COL-ISG-13   | 3   | Radioactive Source Term<br>(Including Attachment A)   |                       | Site-specific aspects are the responsibility of the COL applicant.   | 11.2.3      |
| DC/COL-ISG-13   | 4   | Calculations of Transport<br>Capabilities in Ground Water or<br>Surface Water                         |                       | This acceptance criterion governs site-specific calculations that are the responsibility of the COL applicant referencing the certified design.  |             |
| DC/COL-ISG-13   | 5   | Exposure Scenarios and<br>Acceptance Criteria   | Not Applicable        | This acceptance criterion governs analysis modeling using<br>site-specific hydrogeological data, site characteristics, and<br>radiological analysis; as such, this guidance is the<br>responsibility of the COL applicant referencing the<br>certified design. | Not Applica |
| DC/COL-ISG-13   | 6   | SRP Dose Acceptance Criteria  |                       | Site-specific aspects are the responsibility of the COL applicant.   | 11.2.3      |
| DC/COL-ISG-13   | 7   | Specifications on Tank Waste<br>Radioactivity Concentration<br>Levels                                 |                       | Site-specific aspects (e.g., development and implementation of the ODCM) are the responsibility of the COL applicant.  | 11.2.2      |
| DC/COL-ISG-13   | 8   | Evaluation Findings for<br>Combined License Reviews   | Not Applicable        | This acceptance criterion is explicitly directed towards the review of combined license applications.  | Not Applica |

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| 01 0     | ISG Section/ Title  | AC                 | AC Title / Description  | Conformance<br>Status | Comments   | Section        |
|----------|---|--------------------|---|-----------------------|--|----------------|
|          | Ground Water Flow and<br>Transport of Accidental<br>Radionuclide Releases   | All                | Area of Review; Review<br>Interfaces; Regulatory<br>Requirements; Onsite<br>Hydrogeological<br>Characterization; Contamination<br>Source and Receptor Location;<br>Groundwater Modeling and<br>Pathway Prediction; and<br>Radioactive Consequence<br>Analysis |                       | As a supplement to SRP Sections 2.4.12 and 2.4.13, this<br>guidance governs site-specific hydrogeological data, site<br>characteristics, and radiological analysis aspects that are<br>the responsibility of the COL applicant referencing the<br>certified design.  | Not Applicable |
|          | ESP/DC/COL-ISG-15: Post-<br>Combined License<br>Commitments   | No Num (p4-<br>11) | New Section C.III.4.3 to Replace<br>Section C.III.4.3 of RG 1.206   | Not Applicable        | This guidance is for COL applicants.   | Not Applicable |
| 10100    | ESP/DC/COL-ISG-15   | No Num<br>(p11-23) | Anticipated NRC Revisions of<br>NUREG0800, SRP Chapter 1.0  |                       | The portions of this guidance that apply to the DCA<br>include discussion concerning COL action items and COL<br>information items and not using the term "COL holder<br>item." COL action items are identified throughout the<br>FSAR.  | Ch 1           |
| 8        | DC/COL-ISG-16: Compliance<br>with 10 CFR 50.54(hh)(2) and<br>10 CFR 52.80(d)  | All                | -   |                       | Requirements in 10 CFR 50.54(hh)(2) were moved to<br>10 CFR 50.155(b)(2).10 CFR 50.54(hh)(2) is not applicable<br>to design certification applicants; however 10 CFR 52.80(d)<br>requires COL applicants to include a description of the<br>equipment upon which mitigating strategies rely to<br>comply with 10 CFR 50.155(b)(2) to maintain or restore<br>core cooling, containment, and SFP cooling capabilities.                   | Not Applicable |
|          | DC/COL-ISG-17: Ensuring<br>Hazard-Consistent Seismic<br>Input for Site Response and<br>Soil Structure Interaction<br>Analyses | All                | -   | Not Applicable        | This ISG is applicable to the review of seismic design<br>information submitted to support combined license (COL)<br>applications.   | Not Applicable |
| Devision | DC/COL-ISG-19: Gas<br>Accumulation Issues in<br>Safety Related Systems  | All                | Various   |                       | This guidance is applicable only to reactor plant designs<br>for which operation of emergency core cooling, residual<br>heat removal, and containment spray systems relies on<br>pumps (i.e. forced circulation). The NuScale emergency<br>core cooling and decay heat removal systems (the NuScale<br>design does not include a containment spray system)<br>operate via natural circulation, and do not require or<br>include pumps. | Not Applicable |

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Section

Not Applicable

Comments

Guidance concerning the performance of a SMA

| Implementation of a<br>Probabilistic Risk<br>Assessment-Based Seismic<br>Margin Analysis for New<br>Reactors   |     |  |                | submitted to support DC and COL applications has been incorporated into SRP 19.0, Rev 3.  |                   |
|--|-----|--|----------------|---|-------------------|
| DC/COL-ISG-21: Review of<br>Nuclear Power Plant Designs<br>using a Gas Turbine Driven<br>Standby Emergency<br>Alternating Current Power<br>System        | All | Guidance for Emergency Gas<br>Turbine Generators (Including<br>Attachment 1) | Not Applicable | This guidance is applicable only to nuclear power plants<br>that use a gas turbine-driven standby emergency AC<br>power system - in lieu of emergency diesel generators - to<br>supply power to safety-related or risk-significant<br>equipment for operational events and during postulated<br>accident conditions. The NuScale design uses onsite<br>backup diesel generators instead of gas turbine<br>generators. However, regardless of the type of standby AC<br>generation used in the NuScale design, the onsite standby<br>AC generation source and the onsite AC distribution<br>system it serves are not safety-related, nor are they relied<br>upon to fulfill safety functions during the first 72 hours<br>following a design basis accident. | Not Applicable    |
| DC/COL-ISG-22: Impact of<br>Construction (Under a<br>Combined License) of New<br>Nuclear Power Plant Units<br>on Operating Units at Multi-<br>Unit Sites | All | Various  | Not Applicable | This ISG is applicable to COL applicants.   | Not Applicable    |
| DC/COL-ISG-24:<br>Implementation of RG 1.221<br>on Design-Basis Hurricane<br>and Hurricane Missiles  | All | Various  | Conforms       | Section 2.0 establishes requirements for<br>hurricane wind speed and missile spectra<br>"consistent with guidance in Regulatory<br>1.221, R0." Specific design requirements<br>are established in Sections 3.3.2 and 3.5.1.4.   | 2.0<br>3.3<br>3.5 |
| DC/COL-ISG-25: Changes<br>during Construction Under<br>Title 10 of the Code of<br>Federal Regulations Part 52  | All | Various  | Not Applicable | This ISG is applicable to 10 CFR Part 52, COL licensees with<br>Changes during Construction license condition.  | Not Applicable    |
| DC/COL-ISG-26:<br>Environmental Issues<br>Associated with New<br>Reactors  | All | Various  | Not Applicable | This ISG is applicable to the review of ESP and COL<br>applications, including those applicants requesting a<br>limited work authorization.   | Not Applicable    |

#### Table 1.9-4: Conformance with Interim Staff Guidance (ISG) (Continued)

Not Applicable

Conformance

Status

Tier 2

1.9-200

**Revision 5** 

ISG Section/ Title

DC/COL-ISG-20:

AC

Various

All

AC Title / Description

| ISG Section/ Title   | AC      | AC Title / Description  | Conformance<br>Status | Comments   | Section        |
|--|---------|---|-----------------------|--|----------------|
| DC/COL-ISG-27: Specific<br>Environmental Guidance for<br>Light Water Small Modular<br>Reactor  | All     | Various   | Not Applicable        | This ISG is applicable to the review of ESP, LWA, OL, CP,<br>and COL applications for light water SMR reactor<br>technologies.   | Not Applicable |
| DC/COL-ISG-28: Assessing<br>the Technical Adequacy of<br>the Advanced Light-Water<br>Reactor Probabilistic Risk<br>Assessment for the Design<br>Certification Application and<br>Combined License<br>Application | All     | Various   | Conforms              | Provides guidance for DC and COL<br>applicants to conform to PRA Standard.   | 19.1           |
| Digital I&C-ISG-01: Cyber<br>Security  | 5.      | Staff Position  | Not Applicable        |  | Not Applicable |
| Digital I&C-ISG-02: Diversity<br>and Defense-in-Depth (D3)   | 1 and 2 | Adequate Diversity and Manual<br>Operator Actions - Staff Position<br>(Pages 2 and 3) | Not Applicable        | Digital I&C-ISG-02 is not applicable to the NuScale DCA.<br>See DSRS 7.1.5 in Table 1.9-3 which provides information<br>on the Diversity and Defense-in-Depth review.  | Not Applicable |
| Digital I&C-ISG-02   | 3       | BTP 7-19 Position 4 Challenges -<br>Staff Position (Page 6)                           | Not Applicable        | Digital I&C-ISG-02 is not applicable to the NuScale DCA.<br>See DSRS 7.1.5 in Table 1.9-3 which provides information<br>on the Diversity and Defense-in-Depth review.  | Not Applicable |
| Digital I&C-ISG-02   | 4       | Effects of Common Cause Failure<br>(CCF) - Staff Position (Pages 8<br>and 9)          | Not Applicable        | Digital I&C-ISG-02 is not applicable to the NuScale DCA.<br>See DSRS 7.1.5 in Table 1.9-3 which provides information<br>on the Diversity and Defense-in-Depth review.  | Not Applicable |
| Digital I&C-ISG-02   | 6       | Echelons of Defense - Staff<br>Position (Page 12)                                     | Not Applicable        | Digital I&C-ISG-02 is not applicable to the NuScale<br>application. See DSRS 7.1.5 in Table 1.9-3 which provides<br>information on Diversity and Defense-in-Depth review.  | Not Applicable |
| Digital I&C-ISG-02   | 7       | Single Failure - Staff Position<br>(Page 14)  | Not Applicable        | Digital I&C-ISG-02 is not applicable to the NuScale<br>application. See DSRS 7.1.5 in Table 1.9-3 which provides<br>information on Diversity and Defense-in-Depth review.  | Not Applicable |
| Digital I&C-ISG-03: Risk-<br>Informed Digital<br>Instrumentation and<br>Controls   | 4       | Staff Position  | Not Applicable        | Digital I&C-ISG-03 is not applicable to the NuScale DCA.<br>See DSRS 7.0 in Table 1.9-3 which provides an overview of<br>the I&C review process.   | Not Applicable |
| Digital I&C-ISG-04: Highly<br>Integrated Control Rooms &<br>Digital Communication<br>Systems   | 1       | Interdivisional Communications<br>- Staff Position (Pages 4 through<br>8)             | Not Applicable        | Digital I&C-ISG-04 is not applicable to the NuScale DCA;<br>however, it is addressed as part of topical report NuScale<br>Power, LLC, TR-1015-18653-P-A, "Design of the Highly<br>Integrated Protection System Platform Topical Report." | Not Applicable |

NuScale Final Safety Analysis Report

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| ISG Section/ Title   | AC    | AC Title / Description  | Conformance<br>Status | Comments   | Section        |
|--|-------|---|-----------------------|--|----------------|
| Digital I&C-ISG-04   | 2     | Command Prioritization - Staff<br>Position (Pages 8 through 10)   | Not Applicable        | Digital I&C-ISG-04 is not applicable to the NuScale DCA;<br>however, it is addressed as part of topical report NuScale<br>Power, LLC, TR-1015-18653-A, "Design of the Highly<br>Integrated Protection System Platform Topical Report." | Not Applicable |
| Digital I&C-ISG-04   | 3     | Multidivisional Control and<br>Display Stations - Staff Position<br>(Pages 11 through 16)                         | Not Applicable        | Digital I&C-ISG-04 is not applicable to the NuScale DCA;<br>however, it is addressed as part of topical report NuScale<br>Power, LLC, TR-1015-18653-A, "Design of the Highly<br>Integrated Protection System Platform Topical Report." | Not Applicable |
| Digital I&C-ISG-04   | 3.1   | Independence and Isolation<br>(Pages 11 through 13)   | Not Applicable        | Digital I&C-ISG-04 is not applicable to the NuScale DCA;<br>however, it is addressed as part of topical report NuScale<br>Power, LLC, TR-1015-18653-A, "Design of the Highly<br>Integrated Protection System Platform Topical Report." | Not Applicable |
| Digital I&C-ISG-04   | 3.2   | Human Factors Considerations<br>(Pages 13 through 15)   | Not Applicable        | Digital I&C-ISG-04 is not applicable to the NuScale DCA;<br>however, it is addressed as part of topical report NuScale<br>Power, LLC, TR-1015-18653-A, "Design of the Highly<br>Integrated Protection System Platform Topical Report." | Not Applicable |
| Digital I&C-ISG-04   | 3.3   | Diversity and Defense-in-Depth<br>(D3) Considerations (Page 15)   | Not Applicable        | Digital I&C-ISG-04 is not applicable to the NuScale DCA;<br>however, it is addressed as part of topical report NuScale<br>Power, LLC, TR-1015-18653-A, "Design of the Highly<br>Integrated Protection System Platform Topical Report." | Not Applicable |
| Digital I&C-ISG-05: Highly<br>ntegrated Control Rooms -<br>Human Factors | 1     | Computer-Based Procedures -<br>Staff Position (Pages 3 through<br>7)  | Partially Conforms    | This position is applicable except for site-specific operational elements of subtier NUREG-0899 that are the responsibility of the COL applicant.  | 18.7           |
| Digital I&C-ISG-05   | 2     | Minimum Inventory - Staff<br>Position (Pages 9 through 11)  | Partially Conforms    | This acceptance criterion is applicable except for the application of certain subtier guidance.  | 18.7           |
| Digital I&C-ISG-05   | 3     | Crediting Manual Operator<br>Actions in Diversity and Defense-<br>In-Depth (D3) Analyses (Pages<br>13 through 21) | Not Applicable        | This acceptance criterion is superseded by Chapter 18<br>Appendix 18-A.  | Not Applicable |
| Digital I&C-ISG-05   | 3.1.B | Phase 1: Analysis - Review<br>Criteria (Pages 15 through 16)  | Not Applicable        | This acceptance criterion is superseded by Chapter 18<br>Appendix 18-A Criterion 1.B.  | Not Applicable |
| Digital I&C-ISG-05   | 3.2.B | Phase 2: Preliminary Validation -<br>Review Criteria (Page 18)  | Not Applicable        | This acceptance criterion is superseded by Chapter 18<br>Appendix 18-A Criterion 2.B.  | Not Applicable |
| Digital I&C-ISG-05   | 3.3.B | Phase 3: Integrated System<br>Validation - Review Criteria  | Not Applicable        | This acceptance criterion is superseded by Chapter 18<br>Appendix 18-A.Criterion 3.B.  | Not Applicable |

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| ISG Section/ Title   | AC    | AC Title / Description  | Conformance<br>Status | Comments  | Section        |
|--|-------|---|-----------------------|---|----------------|
| Digital I&C-ISG-05   | 3.4.B | Phase 4: Maintaining Long-Term<br>Integrity of Credited Manual<br>Actions in the D3 Analysis -<br>Review Criteria (Page 21) | Not Applicable        | This acceptance criterion is superseded by Chapter 18<br>Appendix 18-A.Criterion 4.B.   | Not Applicable |
| Digital I&C-ISG-06: Licensing<br>Process   | All   | Various   | Not Applicable        | This guidance is for review of requests for licensing basis changes from existing licensees to implement digital I&C upgrades.  | Not Applicable |
| Digital I&C-ISG-07: Fuel<br>Cycle Facilities   | All   | Various   | Not Applicable        | This guidance is for review of proposed measures for<br>protecting digital I&C equipment used as items relied on<br>for safety (IROFS) at fuel cycle facilities from unintentional<br>digital events.   | Not Applicable |
| NSIR/DPR-ISG-01:<br>Emergency Planning for<br>Nuclear Power Plants   | All   | Various   | Not Applicable        | This guidance governs site-specific programmatic and design aspects of emergency planning that are the responsibility of the COL applicant referencing the NuScale design.  | Not Applicable |
| NSIR/DPR-ISG-02:<br>Emergency Planning<br>Exemption Requests for<br>Decommissioning Nuclear<br>Power Plants                    | All   | Various   | Not Applicable        | Applicable to license holder during decommissioning activities.   | Not Applicable |
| NSIR/DPR-ISG-03: Review of<br>Security Exemptions/License<br>Amendment Requests for<br>Decommissioning Nuclear<br>Power Plants | All   | Various   | Not Applicable        | Applicable to license holder during decommissioning activities.   | Not Applicable |
| JLD-ISG-12-01, Rev 1:<br>Compliance with Order EA-<br>12-049 Concerning<br>Mitigation Strategies                               | All   | Various   | Not Applicable        | This ISG is applicable to holders of, and applicants for,<br>operating licenses, construction permits, and combined<br>licenses.  | Not Applicable |
| JLD-ISG-12-03, Rev 1:<br>Compliance with Order EA-<br>12-051 Concerning Spent<br>Fuel Pool Instrumentation                     | All   | Various   | Not Applicable        | This ISG is applicable to holders of, and applicants for<br>operating licenses, construction permits, and combined<br>licenses. Pool monitoring instrumentation that is capable<br>of monitoring and providing indication of beyond design<br>basis events (i.e., instrumentation that can monitor a wide<br>range of spent fuel pool levels) is part of the NuScale<br>design. | Not Applicable |

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| ISG Section/ Title  | AC  | AC Title / Description  | Conformance<br>Status | Comments  | Section      |
|---|-----|-------------------------|-----------------------|---|--------------|
| JLD-ISG-12-04, Draft:<br>Performing a Seismic Margin<br>Assessment in Response to<br>the March 2012 Request for<br>Information Letter   | All | Various                 | Not Applicable        | This ISG is for response to the March 2012 50.54(f) request<br>for information letter. DC/COL-ISG-020 remains the NRC's<br>current guidance for application of an SMA to new<br>reactors licensing.   |              |
| JLD-ISG-12-05, Draft:<br>Performance of an<br>Integrated Assessment for<br>Flooding   | All | Various                 | Not Applicable        | This ISG is for response to the March 2012 50.54(f) request for information letter.   | Not Applicab |
| JLD-ISG-12-06, Draft:<br>Performing a Tsunami,<br>Surge, or Seiche Hazard<br>Assessment   | All | Various                 | Not Applicable        | This ISG is for response to the March 2012 50.54(f) request for information letter.   | Not Applicab |
| JLD-ISG-13-01, Draft:<br>Estimating Flooding Hazards<br>due to Dam Failure  | All | Various                 | Not Applicable        | The information in this guidance is site-specific and is the responsibility of the COL applicant.   | Not Applicab |
| JLD-ISG-2015-01, Revision 0:<br>Compliance with Phase 2 of<br>Order EA-13-109, Order<br>Modifying Licenses with<br>Regard to Reliable Hardened<br>Containment Vents Capable<br>of Operation under Severe<br>Accident Conditions |     | Various                 | Not Applicable        | This ISG is applicable to BWR licensees with Mark I and<br>Mark II containments.  | Not Applicab |
| DSS-ISG-2010-01   | 1   | Fuel Assembly Selection | Conforms              | One fuel assembly design is used in the criticality analysis.   | 9.1.1        |
| DSS-ISG-2010-01   | 2   | Depletion Analysis      | Conforms              | The analysis does not take credit for burnup.   | 9.1.1        |
| DSS-ISG-2010-01   | 3a  | Axial Burnup Profile    | Conforms              | The analysis does not take credit for burnup.   | 9.1.1        |
| DSS-ISG-2010-01   | 3b  | Rack Model              | Conforms              | The rack model analysis is appropriate for conditions.  | 9.1.1        |
| DSS-ISG-2010-01   | 3с  | Interfaces              | Conforms              | The analysis does not take credit for zoning or a loading pattern.  | 9.1.1        |
| DSS-ISG-2010-01   | 3d  | Normal Conditions       | Conforms              | The analysis considers the presence of an additional<br>assembly alongside the fuel storage racks. Due to the<br>spacing and the large number of assemblies in the base<br>analysis model, there is no statistically significant increase<br>in reactivity. | 9.1.1        |

Conformance with Regulatory Criteria

| ISG Section/ Title | AC | AC Title / Description   | Conformance<br>Status | Comments   | Section |
|--------------------|----|--------------------------|-----------------------|--|---------|
| DSS-ISG-2010-01    | Зе | Accident Conditions      | Conforms              | The analysis considers fuel handling accidents, rack<br>damage consistent with postulated accidents and full<br>boron dilution. All analyses are within the limits<br>established for normal conditions. | 9.1.1   |
| DSS-ISG-2010-01    | 4a | Area of Applicability    | Conforms              | The analysis considers area of applicability in the code validation.   | 9.1.1   |
| DSS-ISG-2010-01    | 4b | Trend Analysis           | Conforms              | The analysis includes a trend analysis in the code validation.   | 9.1.1   |
| DSS-ISG-2010-01    | 4c | Statistical Treatment    | Conforms              | The analysis includes both a bias term and an uncertainty derived from the code validation.  | 9.1.1   |
| DSS-ISG-2010-01    | 4d | Lumped Fission Products  | Conforms              | The analysis does not take credit for burnup.  | 9.1.1   |
| DSS-ISG-2010-01    | 4e | Code-to-Code Comparisons | Conforms              |  | 9.1.1   |
| DSS-ISG-2010-01    | 5a | Precedents               | Conforms              | The analysis does not rely upon cited precedents.  | 9.1.1   |
| DSS-ISG-2010-01    | 5b | References               | Conforms              | Cited references are publicly available and are referenced in SFP criticality analyses.  | 9.1.1   |
| DSS-ISG-2010-01    | 5c | Assumptions              | Conforms              | Assumptions used in the analysis are either observably conservative or are justified in the presentation of the assumption.  | 9.1.1   |

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| ltem             | Regulation Description / Title                     | Conformance<br>Status | Comments  | Section        |
|------------------|--|-----------------------|---|----------------|
| 50.34(f)(1)(i)   | Perform a plant/site-specific probabilistic risk   | Partially Conforms    | Design certification will address reliability of core and | 19.0           |
|                  | assessment, the aim of which is to seek such       |                       | containment heat removal systems, with an update          | 19.1           |
|                  | improvements in the reliability of core and        |                       | required by COL applicant to reflect site-specific        | 19.2           |
|                  | containment heat removal systems as are            |                       | conditions.   |                |
|                  | significant and practical and do not impact        |                       |   |                |
|                  | excessively on the plant (II.B.8)                  |                       |   |                |
| 50.34(f)(1)(ii)  | Perform an evaluation of the proposed auxiliary    | Not Applicable        | This rule requires an evaluation of proposed PWR          | Not Applicable |
|                  | feedwater system (II.E.1.1)                        |                       | auxiliary feedwater (AFW) systems. The NuScale plant      |                |
|                  |  |                       | design does have an AFW system like a typical LWR.        |                |
|                  |  |                       | Neither the literal language nor the intent of this rule  |                |
|                  |  |                       | applies to the NuScale design.                            |                |
| 50.34(f)(1)(iii) | Perform an evaluation of the potential for and     | Not Applicable        | The NuScale reactor design differs from large PWRs        | Not Applicable |
|                  | impact of reactor coolant pump seal damage         |                       | because the NuScale design does not require or            |                |
|                  | following small-break LOCA (II.K.2.16 and          |                       | include reactor coolant pumps. Rather, the NuScale        |                |
|                  | II.K.3.25)   |                       | design uses passive natural circulation of the primary    |                |
|                  |  |                       | coolant, eliminating the need for reactor coolant         |                |
|                  |  |                       | pumps.  |                |
| 50.34(f)(1)(iv)  | Perform an analysis of the probability of a small- | Not Applicable        | This guidance is applicable only to PWRs that are         | Not Applicable |
|                  | break LOCA caused by a stuck-open power-           |                       | designed with power-operated pressurizer relief           |                |
|                  | operated relief valve (PORV) (II.K.3.2)            |                       | valves. The NuScale design does not use power-            |                |
|                  |  |                       | operated relief valves.                                   |                |
| 50.34(f)(1)(v)   | Perform an evaluation of the safety effectiveness  | Not Applicable        | This requirement applies only to BWRs.                    | Not Applicable |
|                  | of providing for separation of high pressure       |                       |   |                |
|                  | coolant injection and reactor core isolation       |                       |   |                |
|                  | cooling system initiation levels (II.K.3.13)       |                       |   |                |
| 50.34(f)(1)(vi)  | Perform a study to identify practicable system     | Not Applicable        | This requirement applies only to BWRs. Regardless, the    | Not Applicable |
|                  | modifications that would reduce challenges and     |                       | issue contemplated by this requirement was related to     |                |
|                  | failures of relief valves (II.K.3.16)              |                       | power-operated relief valves. The NuScale design does     |                |
|                  |  |                       | not use power-operated relief valves.                     |                |
| 50.34(f)(1)(vii) | Perform a feasibility and risk assessment study to | Not Applicable        | This requirement applies only to BWRs.                    | Not Applicable |
|                  | determine the optimum automatic                    |                       |   |                |
|                  | depressurization system design modifications       |                       |   |                |
|                  | that would eliminate the need for manual           |                       |   |                |
|                  | activation (II.K.3.18)                             |                       |   |                |

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| ltem              | Regulation Description / Title   | Conformance<br>Status | Comments  | Section                 |
|-------------------|--|-----------------------|---|-------------------------|
| 50.34(f)(1)(viii) | Perform a study of the effect on all core-cooling<br>modes under accident conditions of designing<br>the core spray and low pressure coolant injection<br>systems (II.K.3.21)  | Not Applicable        | This requirement applies only to BWRs.  | Not Applicable          |
| 50.34(f)(1)(ix)   | Perform a study to determine the need for<br>additional space cooling to ensure reliable long-<br>term operation of the high pressure coolant<br>injection and reactor core isolation cooling<br>systems (II.K.3.24)   | Not Applicable        | This requirement applies only to BWRs.  | Not Applicable          |
| 50.34(f)(1)(x)    | Perform a study to ensure that the Automatic<br>Depressurization System, valves, accumulators,<br>and associated equipment and instrumentation<br>will be capable of performing their intended<br>functions (II.K.3.28)  | Not Applicable        | This requirement applies only to BWRs.  | Not Applicable          |
| 50.34(f)(1)(xi)   | Provide an evaluation of depressurization methods (II.K.3.45)  | Not Applicable        | This requirement applies only to BWRs.  | Not Applicable          |
| 50.34(f)(1)(xii)  | Perform an evaluation of alternative hydrogen control systems  | Not Applicable        | Pursuant to 10 CFR 52.47(a)(8) and 10 CFR 50.34(f),<br>paragraph (f)(1)(xii) is excluded from the information<br>required to be included in an application for a design<br>certification.         | Not Applicable          |
| 50.34(f)(2)(i)    | Provide simulator capability that correctly models<br>the control room and includes the capability to<br>simulate small-break LOCAs(I.A.4.2)   | Not Applicable        | Provisions for simulator capability are the responsibility of the COL applicant referencing the certified design.   | Not Applicable          |
| 50.34(f)(2)(ii)   | Establish a program to improve plant procedures,<br>with the program scope to include emergency<br>procedures, reliability analyses, human factors<br>engineering, crisis management, operator<br>training, and coordination with INPO and other<br>industry efforts (I.C.9) | Not Applicable        | The plant procedure improvement program specified<br>by this requirement (and development of plant<br>procedures) is the responsibility of the COL applicant<br>referencing the certified design. | Not Applicable          |
| 50.34(f)(2)(iii)  | Provide, for Commission review, a control room<br>design that reflects state-of-the-art human factor<br>principles prior to committing to fabrication or<br>revision of fabricated control room panels and<br>layouts (I.D.1)  | Conforms              | None.   | 18.7                    |
| 50.34(f)(2)(iv)   | Provide a plant safety parameter display console<br>(I.D.2)  | Conforms              | The NuScale safety display and indication system is<br>integrated into the control room human-system<br>interface design rather than having a separate console.                                   | 7.1<br>7.2.13<br>18.7.2 |

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| ltem              | Regulation Description / Title   | Conformance<br>Status | Comments  | Section                |
|-------------------|--|-----------------------|---|------------------------|
| 50.34(f)(2)(v)    | Provide for automatic indication of the bypassed and operable status of safety systems (I.D.3)   | Conforms              | None.   | 7.1<br>7.2.4<br>7.2.13 |
| 50.34(f)(2)(vi)   | Provide the capability of high point venting of<br>noncondensible gases from the reactor coolant<br>system, and other systems that may be required<br>to maintain adequate core cooling. Systems to<br>achieve this capability shall be capable of being<br>operated from the control room and their<br>operation shall not lead to an unacceptable<br>increase in the probability of loss-of-coolant<br>accident or an unacceptable challenge to<br>containment integrity. (II.B.1) | Departure             | The venting of noncondensible gases is unnecessary to ensure long term core cooling capability.   | 5.4.4                  |
| 50.34(f)(2)(vii)  | Perform radiation and shielding design reviews of<br>spaces around systems that may, as a result of an<br>accident, contain accident source term<br>radioactive materials, and design as necessary to<br>permit adequate access (II.B.2)   | Conforms              | The NuScale design does not contain vital areas, as<br>defined by NUREG-0737, Item II.B.2, other than the<br>areas for initiating combustible gas monitoring, main<br>control room and technical support center. Protection<br>of necessary equipment from radiation is reasonably<br>assured through demonstrating equipment<br>survivability.   | 12.4<br>19.2           |
| 50.34(f)(2)(viii) | Provide capability to promptly obtain and<br>analyze samples from the reactor coolant system<br>and containment that may contain accident<br>source term radioactive materials (II.B.3)  | Departure             | The NuScale design does not rely on primary coolant<br>or containment samples to assess the extent of<br>potential core damage. The NuScale design relies upon<br>radiation monitors under the bioshield and core exit<br>temperature indications for this assessment. The<br>NuScale design supports an exemption from<br>10 CFR 50.34(f)(2)(viii) design criterion for obtaining<br>and analyzing post-accident samples of the reactor<br>coolant system and containment without exceeding<br>prescribed radiation dose limits. | 9.3.2<br>11.5<br>12.4  |
| 50.34(f)(2)(ix)   | Provide a system for hydrogen control that can<br>safely accommodate hydrogen generated by the<br>equivalent of a 100% fuel-clad metal water<br>reaction (II.B.8)  | Not Applicable        | Pursuant to 10 CFR 52.47(a)(8) and 10 CFR 50.34(f),<br>Paragraph (f)(2)(ix) is excluded from the information<br>required to be included in an application for a design<br>certification.  | Not Applicabl          |

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| ł | ltem            | Regulation Description / Title   | Conformance        | Comments   | Section                       |
|---|-----------------|--|--------------------|--|-------------------------------|
|   |                 |  | Status             |  |                               |
|   | 50.34(f)(2)(x)  | Provide a test program and associated model<br>development, and conduct tests to qualify<br>reactor coolant system relief and safety valves<br>and, for PWRs, PORV block valves (II.D.1) | Partially Conforms | This requirement is applicable to the DCA except for<br>aspects specifying PORV block valve testing and<br>consideration of ATWS conditions in the testing<br>program. The NuScale design does not use<br>power-operated relief valves. The ATWS provision is<br>not technically relevant to the NuScale design. This<br>aspect of the regulation relates to reactor designs that<br>rely on the relief and safety valves to mitigate the<br>consequences of an ATWS event. The NuScale design<br>supports an exemption from 10 CFR 50.62(c)(1)<br>because the NuScale design relies on protection<br>system diversity to prevent an ATWS, rather than<br>design features to mitigate the condition. As a result,<br>the module response to an ATWS is not analyzed in<br>FSAR Section 15.8, such that the performance of the<br>relief and safety valves is not relied upon to meet the<br>ATWS safety criteria. Therefore, consideration of ATWS<br>conditions in the relief and safety valve test program is<br>not necessary to ensure acceptable performance. | 5.2.2                         |
|   | 50.34(f)(2)(xi) | Provide direct indication of relief and safety valve<br>position (open or closed) in the control room<br>(II.D.3)  | Conforms           | None.  | 5.2<br>6.3.1<br>7.1<br>7.2.13 |

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1.9-209

| ltem             | Regulation Description / Title  | Conformance<br>Status | Comments  | Section                 |
|------------------|---|-----------------------|---|-------------------------|
| 0.34(f)(2)(xii)  | Provide automatic and manual auxiliary<br>feedwater (AFW) system initiation, and provide<br>AFW system flow indication in the control room<br>(II.E.1.2)  | Not Applicable        | The NuScale design does not have an AFW system as<br>would be found at a typical LWR. Also, while the DHRS<br>performs some of the functions of an AFW system at a<br>PWR, the NuScale DHRS is designed for NuScale-<br>specific transients and system characteristics, and its<br>actuation and indication is designed accordingly.<br>Specifically with regard to the portion of this<br>requirement specifying control room flow indication,<br>the DHRS operation involves passive natural<br>circulation flow, with flow characteristics that vary with<br>system conditions, which makes DHRS flow a less<br>useful measurement for the NuScale design. Control<br>room indication for system parameters other than<br>DHRS flow are more appropriate to ensure operators<br>have the information necessary to adequately monitor<br>DHRS operation and reactor core cooling. These<br>parameters include DHRS pressure, valve position<br>indication, and reactor coolant system pressure and<br>temperature. 10 CFR 50.34(f)(2)(xii) is not considered<br>applicable to the NuScale DHRS. Because the language<br>and intent of 10 CFR 50.34(f)(1)(ii) do not apply, the<br>requirement is not applicable to the NuScale design.<br>An exemption would be unnecessary because<br>10 CFR 50.34(f)(1)(ii) only applies to the technically<br>relevant portions of the TMI requirements. | Not Applicable          |
| 0.34(f)(2)(xiii) | Provide pressurizer heater power supply and<br>associated motive and control power interfaces<br>sufficient to establish and maintain natural<br>circulation in hot standby conditions with only<br>onsite power available (II.E.3.1) | Departure             | The NuScale design equivalent to hot standby<br>condition as stated in 10 CFR 50.34(f)(2)(xiii) is hot<br>shutdown condition. The NuScale design does not rely<br>on pressurizer heaters to establish and maintain<br>natural circulation in hot shutdown conditions.   | 5.4.5<br>8.3.1<br>8.3.2 |

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| ltem                | Regulation Description / Title                     | Conformance<br>Status | Comments  | Sectior |
|---------------------|--|-----------------------|---|---------|
| 50.34(f)(2)(xiv)    | Provide containment isolation systems that (A)     | Departure             | The NuScale design conforms to 50.34(f)(2)(xiv)(A), (B),    | 5.2.5   |
| 50.34(f)(2)(xiv)(A) | ensure all non-essential systems are isolated      |                       | (C), and (D). NuScale is requesting an exemption to TMI     | 6.2.4   |
| 50.34(f)(2)(xiv)(B) | automatically; (B) ensure each non-essential       |                       | requirement 10 CFR 50.34(f)(2)(xiv)(E). For                 | 7.1.5   |
| 50.34(f)(2)(xiv)(C) | penetration (except instrument lines) have two     |                       | 50.34(f)(2)(xiv)(D), the high containment pressure          | 7.2.13  |
| 50.34(f)(2)(xiv)(D) | isolation barriers in series; (C) do not result in |                       | analytical limit is above the highest allowable             | 9.3.6   |
| 50.34(f)(2)(xiv)(E) | reopening of the containment isolation valves on   |                       | containment pressure for leak detection operability.        | 19.2    |
|                     | resetting of the isolation signal; (D) use a       |                       | Therefore, the set point for initiating containment         |         |
|                     | containment set point pressure for initiating      |                       | isolation is compatible with normal operation.              |         |
|                     | containment isolation as low as is compatible      |                       | Additionally, the containment high pressure analytical      |         |
|                     | with normal operation; and (E) include automatic   |                       | limit is subatmospheric, therefore, any pressure            |         |
|                     | closing on a high radiation signal for all systems |                       | setpoint up to and including the analytical limit will      |         |
|                     | that provide a path to the environs (II.E.4.2)     |                       | prevent a release to the environs. For 50.34(f)(2)(xiv)(E), |         |
|                     |  |                       | the NuScale design differs from that of a traditional       |         |
|                     |  |                       | large water reactor design of a TMI-era vintage             |         |
|                     |  |                       | because reactor core uncovery, and resulting core           |         |
|                     |  |                       | damage, cannot occur without reaching the low low           |         |
|                     |  |                       | pressurizer level containment isolation setpoint. The       |         |
|                     |  |                       | pressurizer is an integral part of the reactor vessel,      |         |
|                     |  |                       | located well above the reactor core, and not                |         |
|                     |  |                       | connected to the reactor core by piping. Design basis       |         |
|                     |  |                       | events meet their thermal and hydraulic acceptance          |         |
|                     |  |                       | criteria without reliance on isolating the CES on a high    |         |
|                     |  |                       | radiation signal. No design basis event results in          |         |
|                     |  |                       | degraded or damaged core conditions. Section 19.2           |         |
|                     |  |                       | analyses demonstrate severe accident conditions, with       |         |
|                     |  |                       | resultant core damage, also result in generation of         |         |
|                     |  |                       | reliable containment isolation signals, without reliance    |         |
|                     |  |                       | on isolation on high containment radiation. An in-          |         |
|                     |  |                       | containment event resulting in core damage or               |         |
|                     |  |                       | degradation also results in containment isolation on        |         |
|                     |  |                       | low low pressurizer level and high containment              |         |
|                     |  |                       | pressure. An event that leads to core damage or             |         |
|                     |  |                       | degradation also results in containment isolation on        |         |
|                     |  |                       | low low pressurizer level. These features provide a         |         |
|                     |  |                       | reliable alternative means to prevent radiological          |         |
|                     |  |                       | release from the CES to the environs.                       |         |

| ltem               | Regulation Description / Title  | Conformance<br>Status | Comments   | Section   |
|--------------------|---|-----------------------|--|---|
| 50.34(f)(2)(xv)    | Capability for containment purging/venting<br>designed to minimize the purging time<br>consistent with as low as reasonably achievable<br>(ALARA) (II.E.4.4)  | Not Applicable        | The NuScale containment vessel is smaller than a typical containment building, does not contain sub-<br>compartments and does not does not require or incorporate a purge or venting system function as contemplated by this requirement. Personnel access during reactor operation is not needed. In addition, the NuScale ECCS design does not include pumps, and does not involve a typical PWR ECCS recirculation mode where ECCS pump performance relies on containment pressure. Thus purge or vent capability as prescribed by 10 CFR 50.34(f)(2)(xv) is neither required nor included in the NuScale design. | Not Applicabl                                       |
| 50.34(f)(2)(xvi)   | Establish design criterion for the allowable<br>number of actuation cycles of the ECCS and<br>reactor protection system with the expected<br>occurrence rates of severe overcooling events<br>(II.E.5.1)  | Not Applicable        | This requirement applies only to Babcock and Wilcox<br>(B&W) designs. Based on NUREG-0933, this<br>applicability was the result of unique sensitivity that<br>B&W reactor designs exhibited to secondary system<br>transients (both undercooling and overcooling<br>events). The NuScale design does not exhibit such<br>sensitivity.  | Not Applicabl                                       |
| 50.34(f)(2)(xvii)  | Provide instrumentation to measure, record, and<br>readout in the control room: (A) containment<br>pressure, (B) containment water level, (C)<br>containment hydrogen concentration, (D)<br>containment radiation intensity (high level), and<br>(E) noble gas effluents at all potential, accident<br>release points. Provide for continuous sampling<br>of radioactive iodines and particulates in gaseous<br>effluents from all potential accident release<br>points, and for onsite capability to analyze and<br>measure these samples (II.F.1) | Conforms              | None.  | 6.2.1<br>7.1.1<br>7.2.13<br>9.3.2<br>11.5<br>12.3.4 |
| 50.34(f)(2)(xviii) | Provide instruments that provide in the control<br>room an unambiguous indication of inadequate<br>core cooling (II.F.2)  | Conforms              | None.  | 4.3.2<br>6.3<br>7.0.4<br>7.2.13                     |

| ltem               | Regulation Description / Title   | Conformance<br>Status | Comments   | Section        |
|--------------------|--|-----------------------|--|----------------|
| 50.34(f)(2)(xix)   | Provide instrumentation adequate for   | Conforms              | None.  | 7.1.1          |
|                    | monitoring plant conditions following an   |                       |  | 7.1.2          |
|                    | accident that includes core damage (II.F.3)  |                       |  | 7.2.13         |
|                    |  |                       |  | 19.2           |
| 50.34(f)(2)(xx)    | Provide power supplies for pressurizer relief  | Departure             | The requirements of 10 CFR 50.34(f)(2)(xx) for power   | 5.4.5          |
|                    | valves, block valves, and level indicators (II.G.1)  |                       | supplies for pressurizer relief valves and block valves  | 7.2.13         |
|                    |  |                       | are not technically relevant to the NuScale design. The  | 8.1.4          |
|                    |  |                       | NuScale design supports an exemption from the  | 8.3.1          |
|                    |  |                       | portions of 10 CFR 50.34(f)(2)(xx) related to pressurizer level indicators.  | 8.3.2          |
| 50.34(f)(2)(xxi)   | Design auxiliary heat removal systems such that<br>necessary automatic and manual actions can be<br>taken to ensure proper functioning when the<br>main feedwater system is not operable (II.K.1.22) | Not Applicable        | This requirement applies only to BWR designs.  | Not Applicable |
| 50.34(f)(2)(xxii)  | Perform a failure modes and effects analysis of<br>the integrated control system (ICS) to include<br>consideration of failures and effects of input and<br>output signals to the ICS (II.K.2.9)      | Not Applicable        | This requirement explicitly states its applicability only<br>to B&W plant designs. This applicability reflects aspects<br>of the B&W ICS design that were identified following<br>the TMI incident as design/reliability deficiencies, and<br>are not pertinent to the NuScale design. | Not Applicable |
| 50.34(f)(2)(xxiii) | Provide, as part of the reactor protection system,<br>an anticipatory reactor trip that would be<br>actuated on loss of main feedwater and on<br>turbine trip (II.K.2.10)                            | Not Applicable        | This requirement applies only to B&W plant designs.  | Not Applicable |
| 50.34(f)(2)(xxiv)  | Provide the capability to record reactor vessel<br>water level in one location on recorders that meet<br>normal post-accident recording requirements<br>(II.K.3.23)                                  | Not Applicable        | This requirement applies only to BWR designs.  | Not Applicable |
| 50.34(f)(2)(xxv)   | Provide an onsite Technical Support Center and<br>onsite Operational Support Center (III.A.1.2)  | Partially Conforms    | None.  | 13.3           |
| 50.34(f)(2)(xxvi)  | Provide for leakage control and detection in the   | Partially Conforms    | This requirement is applicable to the DCA to the extent  | 5.4            |
|                    | design of systems outside containment that   |                       | it is relevant to the standard plant design. Aspects of  | 6.3.1          |
|                    | contain (or might contain) accident source term  |                       | this requirement that are pertinent to testing and   | 9.3.2          |
|                    | radioactive materials (III.D.1.1)  |                       | operational programs are the responsibility of the COL applicant.  | 9.3.4          |
| 50.34(f)(2)(xxvii) | Provide for monitoring of in-plant radiation and   | Conforms              | None.  | 11.5           |
|                    | airborne radioactivity (III.D.3.3)   |                       |  | 11.6           |
|                    |  |                       |  | 12.3.4         |

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| ltem                | Regulation Description / Title   | Conformance<br>Status | Comments   | Section                  |
|---------------------|--|-----------------------|--|--------------------------|
| 50.34(f)(2)(xxviii) | Evaluate potential pathways for radioactivity and<br>radiation that may lead to control room<br>habitability problems under accident conditions<br>resulting in an accident source term release<br>(III.D.3.4) | Conforms              | None.  | 6.4.1<br>6.4.4<br>15.0.3 |
| 50.34(f)(3)(i)      | Provide administrative procedures for evaluating operating, design, and construction experience (I.C.5)  | Not Applicable        | This requirement is the responsibility of the COL applicant.   | Not Applicabl            |
| 50.34(f)(3)(ii)     | Ensure that the QA list required by Criterion II in<br>Appendix B to 10 CFR 50 includes all SSC<br>important to safety (I.F.1)   | Conforms              | None.  | 3.2<br>17.4              |
| 50.34(f)(3)(iii)    | Establish a QA Program based on the specified considerations (I.F.2)   | Partially Conforms    | This requirement is applicable to the DCA to the extent<br>it is relevant to design activities in support of the DCA.<br>Aspects of this rule specifying QA program<br>requirements for site-specific design and analysis,<br>operational programs, as-built documentation, and<br>construction and installation are the responsibility of<br>the COL applicant.   | 17.5                     |
| 50.34(f)(3)(iv)     | Provide one or more dedicated containment<br>penetrations, equivalent in size to a single 3-foot-<br>diameter opening (II.B.8)   | Departure             | This requirement is not technically relevant to the<br>NuScale design. This TMI requirement is based on<br>traditional large LWR containment designs and the<br>potential, as of the time of the requirement, need for<br>future containment venting systems to accommodate<br>severe accidents. The NuScale containment vessel<br>design differs from a typical LWR containment<br>structure because of its high-pressure capability. A 3-<br>foot opening relative to the NuScale containment is<br>unnecessary. As discussed in Section 6.2.1.1.1, the<br>calculated peak containment for design basis events<br>remains less than the CNV internal design pressure. As<br>discussed in Section 19.2.3, peak containment<br>pressures do not challenge containment integrity for<br>any analyzed severe accident progression. (Refer to<br>TR-0716-50424, Section 2.8). | 6.2<br>19.2              |
| 50.34(f)(3)(v)      | Preliminary Design Information - Containment<br>Integrity (II.B.8)   | Not Applicable        | Pursuant to 10 CFR 52.47(a)(8) and 10 CFR 50.34(f),<br>paragraph (f)(3)(v) is excluded from the information<br>required to be included in an application for a design<br>certification.  | Not Applicab             |

| ltem             | Regulation Description / Title  | Conformance<br>Status | Comments  | Section        |  |
|------------------|---|-----------------------|---|----------------|--|
| 50.34(f)(3)(vi)  | For plant designs with external hydrogen<br>recombiners, provide redundant dedicated<br>containment penetrations (II.E.4.1) | Not Applicable        | The NuScale design does not have external hydrogen recombiners.                             | Not Applicable |  |
| 50.34(f)(3)(vii) | Provide a description of the management plan for design and construction activities (II.J.3.1)                              | Not Applicable        | This requirement is applicable only to applicants and holders of reactor facility licenses. | Not Applicable |  |
| Issue 191        | Assessment of Debris Accumulation on PWR<br>Sump Performance  | Conforms              | None.   | 6.3<br>18.2.3  |  |
| Issue 193        | Boiling Water Reactor Emergency Cooling Water<br>System (ECCS) Suction Concerns   | Not Applicable        | This Issue is specific to boiling water reactors.   | Not Applicable |  |
| lssue 199        | Implications of Updated Probabilistic Seismic<br>Hazard Estimates in Central and Eastern U.S. on<br>Existing Plants         | Not Applicable        | This is applicable to currently-operating plants.   | Not Applicable |  |
| Issue 204        | Flooding of Nuclear Power Plant Sites Following<br>Upstream Dam Failures  | Not Applicable        | The information governed by this guidance is site-<br>specific.                             | Not Applicable |  |

| Doc ID                 | Title  | Conformance<br>Status | Comments  | Section                  |
|------------------------|--|-----------------------|---|--------------------------|
| Generic Letter 88-14   | Instrument Air Supply System Problems Affecting<br>Safety-Related Equipment  | Conforms              | The IAS furnishes both instrument and service air. IAS moisture separators and dryer packages ensure that the instrument air supplied is dry in accordance with the quality standards of ANS/ISA S7.3-R1981.  | 9.3.1                    |
| Generic Letter 88-15   | Electric Power Systems - Inadequate Control Over<br>Design Processes   | Partially Conforms    | Portions relevant to the NuScale passive plant design are considered in the design of electrical systems.   | 8.1.4<br>8.3.1<br>8.3.2  |
| Generic Letter 91-06   | Resolution of Generic Issue A30, Adequacy Of<br>Safety-Related DC Power Supplies Pursuant to<br>10 CFR 50.54(f)            | Partially Conforms    | No safety-related DC systems; however, relevant portions are considered in the design of the non-Class 1E EDSS.   | 8.1.4<br>8.3.2           |
| Generic Letter 96-01   | Testing of Safety-Related Logic Circuits   | Conforms              | None.   | 7.2.2<br>7.2.15<br>8.1.4 |
| Generic Letter 2006-02 | Grid Reliability and the Impact on Plant Risk and the Operability of Offsite Power   | Not Applicable        | The NuScale Power Plant design does not rely on<br>offsite power for safety-related or risk-significant<br>functions. Grid stability studies are the responsibility<br>of a COL applicant that references the NuScale design<br>certification.  | Not Applicable           |
| Generic Letter 2007-01 | Inaccessible or Underground Power Cable Failures<br>that Disable Accident Mitigation Systems or Cause<br>Plant Transients. | Partially Conforms    | As described in Chapter 8, the electrical power<br>systems do not include power cables that provide<br>power to equipment with risk-significant or<br>safety-related functions. The scope of compliance<br>with the issues addressed by GL 2007-01 is limited to<br>power cables within the scope of 10 CFR 50.65.<br>Conformance is achieved for cable monitoring by the<br>COL holder applying the guidance of RG 1.218 as<br>discussed in Chapter 8. | 8.1<br>8.2<br>8.3        |
| Generic Letter 2008-01 | Managing Gas Accumulation in Emergency Core<br>Cooling, Decay Heat Removal, and Containment<br>Spray Systems               | Partially Conforms    | NuScale has determined that gas accumulation<br>buildup will not impact ECCS under accident<br>conditions. DHRS does not interface with the RCS. It is<br>connected to the secondary system.  | 5.4<br>Ch 6              |
| Bulletin 2007-01       | Security Officer Attentiveness   | Not Applicable        | Applicable to holders of operating licenses for nuclear power reactors.   | Not Applicable           |

#### Table 1.9-6: Evaluation of Operating Experience (Generic Letters and Bulletins)

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#### Table 1.9-6: Evaluation of Operating Experience (Generic Letters and Bulletins) (Continued)

| Doc ID           | Title   | Conformance<br>Status | Comments  | Section        |
|------------------|---|-----------------------|---|----------------|
| Bulletin 2011-01 | Mitigating Strategies                         | Not Applicable        | Bulletin 2011-01 was addressed to existing Licensees.<br>It required the Licensee to "confirm continue<br>compliance with 10 CFR 50.54(hh)(2)". The<br>compliance with 10 CFR 50.54(hh)(2) is addressed in<br>Section 20.2. | Not Applicable |
| Bulletin 2012-01 | Design Vulnerability in Electric Power System | Partially Conforms    | Consideration of this bulletin is demonstrated by the conformance with SRP BTP 8-9, which is described in Section 8.2.3.  | 8.2.3          |

## Table 1.9-7: Conformance with Advanced and Evolutionary Light Water Reactor Design Issues (SECYs and Associated SRMs)

| Doc ID         | Title  | Conformance<br>Status | Comments   | Section        |  |
|----------------|--|-----------------------|--|----------------|--|
| SECY-89-013    | Design Requirements Related to the Evolutionary                    | Conforms              | Addressed through SECY-90-016 and SECY-93-087. See                   | -              |  |
|                | Advanced Light Water Reactors                                      |                       | Table 1.9-8 for further information.                                 | 10.4           |  |
| SECY-90-016    | Evolutionary Light-Water Reactor (LWR)                             | Partially Conforms    | This SECY was directed towards evolutionary ALWR                     | 19.1           |  |
|                | Certification Issues and Their Relationship to                     |                       | designs. The applicability of certain SECY-90-016 issues             | 19.2           |  |
|                | Current Regulatory Requirements                                    |                       | to passive plants was later established in SECY-93-087               |                |  |
|                |  |                       | and SECY-94-084. As a passive ALWR design, the                       |                |  |
|                |  |                       | NuScale design conforms to the passive plant                         |                |  |
|                |  |                       | guidance of SECY-93-087 and SECY-94-084, rather                      |                |  |
|                |  |                       | than that of SECY-90-016. See Table 1.9-8 for further                |                |  |
| CEC) ( 00 0 44 |  |                       | information.   |                |  |
| SECY-90-241    | Level of Detail Required for Design Certification<br>under Part 52 | Conforms              | Incorporated into 10 CFR 52 and implementing NRC guidance documents. | -              |  |
| SECY-90-377    | Requirements for Design Certification under                        | Conforms              | Incorporated into 10 CFR 52 and implementing NRC                     | -              |  |
|                | 10 CFR Part 52   |                       | guidance documents.  |                |  |
| SECY-91-074    | Prototype Decisions for Advanced Reactor Designs                   | Conforms              | Incorporated into 10 CFR 52 and implementing NRC guidance documents. | -              |  |
| SECY-91-078    | Chapter 11 of the Electric Power Research                          | Not Applicable        | SECY-91-078 pertains to evolutionary ALWR designs                    | Not Applicable |  |
|                | Institute's (EPRI's) Requirements Document and                     |                       | and is not directly applicable to passive plant designs.             |                |  |
|                | Additional Evolutionary Light WaterReactor (LWR)                   |                       |  |                |  |
|                | Certification Issues   |                       |  |                |  |
| SECY-91-178    | ITAAC for Design Certifications and Combined                       | Conforms              | Incorporated into 10 CFR 52 and implementing NRC                     | 14.3.2         |  |
|                | Licenses   |                       | guidance documents.  |                |  |
| SECY-91-210    | ITAAC Requirements for Design Review and                           | Conforms              | Incorporated into 10 CFR 52 and implementing NRC                     | -              |  |
|                | Issuance of FDA  |                       | guidance documents.  |                |  |
| SECY-91-229    | Severe Accident Mitigation Design Alternatives for                 | Conforms              | Incorporated into NRC Orders, regulatory guidance,                   | 19.2.6         |  |
|                | Certified Standard Designs   |                       | and pending rulemaking.  |                |  |
| SECY-91-262    | Resolution of Selected Technical and Severe                        | Conforms              | Incorporated into NRC Orders, regulatory guidance,                   | -              |  |
|                | Accident Issues for Evolutionary Light-Water                       |                       | and pending rulemaking.  |                |  |
|                | Reactor (LWR) Designs  |                       |  |                |  |
| SECY-92-053    | Use of Design Acceptance Criteria During the                       | Conforms              | Incorporated into NRC Orders, regulatory guidance,                   | 14.3.6         |  |
|                | 10 CFR Part 52 Design Certification Reviews                        |                       | and pending rulemaking.  |                |  |
| SECY-92-092    | The Containment Performance Goal, External                         | Conforms              | Incorporated into NRC Orders, regulatory guidance,                   | -              |  |
|                | Events Sequences, and the Definition of                            |                       | and pending rulemaking.  |                |  |
|                | Containment Failure for Advanced LWRs                              |                       |  |                |  |

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| Doc ID      | Title  | Conformance<br>Status | Comments   | Section   |
|-------------|--|-----------------------|--|---|
| SECY-93-087 | Policy, Technical, and Licensing Issues Pertaining<br>to Evolutionary and Advanced Light-Water<br>Reactor (ALWR) Designs   | See Table 1.9-8.      | None.  | -   |
| SECY-94-084 | Policy and Technical Issues Associated with the<br>Regulatory Treatment of Non-Safety Systems in<br>Passive Plant Design (RTNSS)   | Partially Conforms    | Incorporated into 10 CFR 52 and implementing NRC<br>guidance documents. The NuScale Fire Protection<br>System does not contain any RTNSS equipment.<br>However, Section C, Safe Shutdown Requirements, of<br>the SECY discusses the stable shutdown condition for<br>passive ALWR which is applicable to the NuScale<br>Power Plant. | 5.4<br>8.1<br>8.2<br>8.3<br>8.4<br>9.2.5<br>Appendix 9A<br>15.0.4<br>19.3 |
| SECY-94-302 | Source-Term-Related Technical and Licensing<br>Issues Relating to Evolutionary and Passive Light-<br>Water-Reactor Designs   | Conforms              | Incorporated into 10 CFR 52 and implementing NRC guidance documents.   | -   |
| SECY-95-132 | Policy and Technical Issues Associated with<br>Regulatory Treatment of Non-Safety Systems in<br>Passive Plant Designs  | Conforms              | Incorporated into 10 CFR 52 and implementing NRC guidance documents.   | 8.1<br>8.2<br>8.3<br>8.4<br>19.3  |
| SECY-96-128 | Policy and Key Technical Issues Pertaining to the<br>Westinghouse AP600 Standardized Passive<br>Reactor Design   | Partially Conforms    | Section IV of this SECY applies.   | 19.3  |
| SECY-14-038 | Performance-Based Framework for Nuclear Power<br>Plant Emergency Preparedness Oversight  | Not Applicable        | None.  | 13.3  |
| SECY-14-088 | Proposed Options to Address Lessons-Learned<br>Review of the U.S. Nuclear Regulatory<br>Commissions Force-On-Force Inspection Program<br>in Response to Staff Requirements Memorandum -<br>COMGEA/COMWCO-14-0001 | Not Applicable        | Site-specific requirements.  | Not Applicable  |

 Table 1.9-7: Conformance with Advanced and Evolutionary Light Water Reactor Design Issues (SECYs and Associated SRMs) (Continued)

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### Table 1.9-8: Conformance with SECY-93-087, "Policy, Technical, and Licensing Issues Pertaining to Evolutionary and Advanced Light-Water Reactor Designs"

| lssue | Description   | Conformance<br>Status | Comments  | Section         |
|-------|---|-----------------------|---|-----------------|
| I.A   | Use of a Physically-Based Source Term: Incorporation of engineering judgment<br>and a more realistic source term in design that deviates from the siting<br>requirements in 10 CFR 100. | Conforms              | None.   | 15.0.3<br>15.10 |
| I.B   | Anticipated Transient without SCRAM (ATWS): Position on the current practices and design features to achieve a high degree of protection against an ATWS.                               | Partially Conforms    | The NuScale design relies on diversity<br>within the module protection system<br>(MPS) to reduce the risk associated<br>with ATWS events.   | 15.8            |
| I.C   | Mid-Loop Operation: Position on design features necessary to ensure a high degree of reliability of RHR systems in PWR.   | Not Applicable        | Design does not use external loops<br>and no drain down condition for<br>refueling.   | Not Applicable  |
| I.D   | Station Blackout (SBO): Position on methods to mitigate the effects of a loss of all AC power.  | Not Applicable        | The relevance of the SECY-90-016<br>SBO issue to passive ALWR designs<br>was deferred to and addressed in<br>Section F of SECY-94-084 and SECY-<br>95-132. The NuScale design conforms<br>to the passive plant guidance these<br>documents. | Not Applicable  |
| I.E   | Fire Protection: Positions on design configuration and features the fire protection system and other management schemes to ensure safe shutdown of the reactor.                         | Conforms              | None.   | Appendix 9A     |
| I.F   | Intersystem LOCA: Position on acceptable design practices and preventative measures to minimize the probability of an ISLOCA.   | Conforms              | None.   | 9.3.4<br>19.2.2 |
| I.G   | Hydrogen Control: Position on acceptable requirements to measure and mitigate<br>the effects of hydrogen produced due to a water reaction with zirconium fuel<br>cladding.              | Partially Conforms    |   | 6.2.5           |
| I.H   | Core Debris Coolability: Acceptability criteria for cooling area and quenching ability regarding corium interaction with concrete.  | Conforms              | None.   | 19.2            |
| 1.1   | High-Pressure Core Melt Ejection: Position on acceptable design features to<br>prevent the event of a high-pressure core melt ejection.   | Conforms              | None.   | 19.2.3          |
| l.J   | Containment Performance: Position on acceptable conditional containment failure probabilities or other analyses to ensure a high degree of protection from the containment.             | Conforms              | None.   | 19.1<br>19.2    |
| I.K   | Dedicated Containment Vent Penetration: Position for a dedicated vent penetration to preclude containment failure resulting from a containment over-pressurization event.               | Conforms              | None.   | 19.2.4          |

Conformance with Regulatory Criteria

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| on      | NuScale Final Safety Analysis Repor |
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| lssue | Description  | Conformance<br>Status | Comments  | Section                |
|-------|--|-----------------------|---|------------------------|
| I.L   | Equipment Survivability: Position on the applicability of environmental<br>qualification and quality assurance requirements related to plant features<br>provided only for severe-accident protection.   | Conforms              | None.   | 19.2.3                 |
| I.M   | Elimination of Operating-Basis Earthquake: Position on the applicability of the OBE in design and the possibility of decoupling the OBE and SSE in the design of safety systems.   | Conforms              | By setting the OBE to 1/3 of the SSE it<br>is decoupled from the design<br>evaluation process.  | 3.7                    |
| I.N   | In-Service Testing of Pumps and Valves: Position on periodic testing to confirm operability of safety-related pumps and valves.  | Conforms              | None.   | 3.9.6                  |
| II.A  | Industry Codes and Standards: Position on use of recently developed or modified design codes and industry standards in ALWR designs that have not been reviewed for acceptability by the NRC.  | Conforms              | NuScale use the latest endorsed codes and standards or others on case by case basis.  | all                    |
| II.B  | Electrical Distribution: Positions originally addressed by SECY-91-078 that<br>specified that an evolutionary ALWR provide: (1) an alternate power source to<br>nonsafety-related loads, and (2) at least one offsite circuit connected directly to<br>each redundant safety division with no intervening nonsafety-related buses. | Not Applicable        | The NuScale electrical system design<br>conforms to the passive plant<br>guidance of SECY-94-084, Section G.  | Not Applicable         |
| II.C  | Seismic Hazard Curves and Design Parameters: Position on use of proposed generic bounding seismic hazard curves and performance of seismic PRA.  | Conforms              | None.   | 19.1.5                 |
| II.D  | Leak-Before-Break: Position on use of leak-before-break concept.   | Conforms              | LBB is applied to the MS and FW lines inside containment.   | 3.6.3                  |
| II.E  | Classification of Main Steam Lines in BWRs: Position on the staffs defined approach for seismic classification of the main steam line in both evolutionary and passive BWRs.   | Not Applicable        | Applicable to BWRs.   | Not Applicable         |
| II.F  | Tornado Design Basis: Position on the maximum tornado wind speed to be used for a design basis tornado.  | Partially Conforms    | The FSAR uses the maximum tornado<br>wind speed of 230 mph found in<br>RG 1.76 Revision 1 rather than the<br>outdated 300 mph guidance found in<br>SECY-93-087. | 3.3                    |
| II.G  | Containment Bypass: Position on ALWR design against containment bypass.<br>Specifically, failure of the containment system to channel fission product releases<br>through the suppression pool, or the failure of passive containment cooling heat<br>exchanger tubes in large pools of water outside containment.                 | Conforms              | None.   | 15.0.3<br>19.1<br>19.2 |
| II.H  | Containment Leak Rate Testing: Position on testing duration for Type C leak rate testing (prior to rule change).   | Partially Conforms    | None.   | 6.2.6                  |
| 11.1  | Post-Accident Sampling System: Position on the required capability to analyze dissolved hydrogen, oxygen, and chloride in accordance with applicable regulations.  | Departure             | The NuScale design supports an<br>exemption from<br>10 CFR 50.34(f)(2)(viii).   | 9.3.2                  |

### Table 1.9-8: Conformance with SECY-93-087, "Policy, Technical, and Licensing Issues Pertaining to Evolutionary and Advanced Light-Water Reactor Designs" (Continued)

Tier 2

1.9-221

| lssue | Description  | Conformance<br>Status | Comments          | Section              |
|-------|--|-----------------------|-------------------|----------------------|
| ll'1  | Level of Detail: Position on a design certification submittal with depth of detail similar to that in an FSAR.   | Conforms              | None.             | All FSAR<br>Sections |
| II.K  | Prototyping: No guidance provided; information only  | Conforms              | None.             | 1.5                  |
| II.L  | ITAAC: Position on providing ITAAC to demonstrate that a nuclear power plant referencing a certified design is built and operates consistent with the design certification.  | Conforms              | None.             | 14.3                 |
| II.M  | Reliability Assurance Program: Position on providing a description of purpose, scope, objectives, and implementation of a design reliability assurance program.  | Conforms              | None.             | 17.4                 |
| II.N  | Site-Specific PRAs and Analyses of External Events: Position on the inclusion of external event analysis beyond the design basis that needs to be addressed as part of the plant PRA during the design certification review.   | Conforms              | None.             | 19.1                 |
| II.O  | Severe Accident Mitigation Design Alternatives (SAMDAs): Position on the consideration of SAMDA as part of the final design approval/design certification of an advanced reactor.  | Conforms              | None.             | 19.2.6               |
| II.P  | Generic Rulemaking Related to Design Certification: No guidance provided;<br>information only.   | Not Applicable        | Information Only. | Not Applicable       |
| II.Q  | Defense Against Common-Mode Failures in Digital Instrumentation and Control<br>Systems: Position on the use of defense-in-depth and diversity of instrumentation<br>and control systems as part of the final design approval/design certification of an<br>advanced reactor. | Conforms              | None.             | 7.1.5                |
| II.R  | Multiple SG Tube Failures: Position on requiring that analysis of multiple SG Tube Failures of 2 to 5 SG tubes be included in the application for design certification of passive ALWRs.   | Conforms              | None.             | 15.6<br>19.1         |
| II.S  | PRA Beyond Design Certification: Position on requiring conversion of the design certification PRA into a plant-specific PRA  | Conforms              | None.             | 19.1                 |
| II.T  | Control Room Annunciator (Alarm) Reliability: Position on recommending that<br>additional requirements for ALWR alarm systems are necessary to minimize the<br>problems experienced by operating nuclear power plants  | Conforms              | None.             | 7.2.13               |
| III.A | Regulatory Treatment of Active Nonsafety Systems in Passive Designs: Position on the proposed staff approach for resolving the regulatory treatment of the active non-safety systems in passive ALWRs.   | Conforms              | None.             | 19.3                 |
| III.B | Definition of Passive Failure: Position on the staff redefining some passive failures<br>of components as active failures (i.e., check valves) to cause valves to be evaluated<br>in a much more stringent manner than in previous licensing review                          | Conforms              | None.             | 15.0.0               |
| III.C | Thermal-Hydraulic Stability of the SBWR  | Not Applicable        | BWR requirement.  | Not Applicable       |

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| lssue | Description   | Conformance<br>Status | Comments   | Section               |
|-------|---|-----------------------|--|-----------------------|
| III.D | Safe Shutdown Requirements: Position on using non-safety grade active cooling<br>systems to bring a reactor to cold shutdown since non-safety RHR systems do not<br>comply with the guidance of 1.139 or branch technical position 5-1  | Conforms              | The provisions of this SECY are met by<br>using the nonsafety-related<br>containment flood and drain system<br>to flood the containment to allow<br>cooldown to cold conditions for<br>disconnection and transfer of NPMs.<br>During shutdown and NPM<br>movement, residual and decay heat<br>removal is provided by heat<br>convection and conduction from the<br>reactor to the reactor pool via the<br>RCS, flooded containment, and the<br>RPV and containment vessel walls. | 3.1.4<br>5.4.3<br>7.1 |
| III.E | Control Room Habitability: Position on appropriate analytical methods (i.e., dose limits and accident duration) to be used in determining the acceptability criteria for control room habitably in accordance with regulatory standards.  | Conforms              | None.  | 15.0.3                |
| III.F | Radionuclide Attenuation: Position on fission product removal processes inside containment by natural effects and holdup by the secondary building and piping systems in addition to commission position on containment spray systems for passive ALWRs.  | Conforms              | None.  | 6.5.3<br>15.0.3       |
| III.G | Simplification of Offsite Emergency Planning: Position on simplifying off-site emergency planning of passive designs due to the estimated low probability of core damage of such designs.   | Conforms              | None.  | 13.3                  |
| II.H  | Role of the Passive Plant Control Room Operator: Commission position on<br>sufficient man-in-the-loop testing and evaluation to be performed and that a fully<br>functional integrated control room prototype is necessary for passive plant<br>control room designs to demonstrate that functions and tasks are integrated<br>properly into the man/machine interface decisions. | Conforms              | None.  | 18.7<br>18.10         |

## Tier 2

1.9-223

#### 1.10 Nuclear Power Plants to be Operated on Multi-Unit Sites

COL Item 1.10-1: A COL applicant that references the NuScale Power Plant design certification will evaluate the potential hazards resulting from construction activities of the new NuScale facility to the safety-related and risk significant structures, systems, and components of existing operating unit(s) and newly constructed operating unit(s) at the co-located site per 10 CFR 52.79(a)(31). The evaluation will include identification of management and administrative controls necessary to eliminate or mitigate the consequences of potential hazards and demonstration that the limiting conditions for operation of an operating unit would not be exceeded. This COL item is not applicable for construction activities (build-out of the facility) at an individual NuScale Power Plant with operating NuScale Power Modules.